Draft

Regulation of the European Parliament and of the Council establishing the Neighbourhood, Development and International Cooperation Instrument (NDICI)⁷

Recitals linked to Cluster I, II and III (marked in blue)

- version of 4 June 2020 –

Lines in dark green are provisionally closed.

Lines in light green are provisionally closed, pending agreement on parts of them related to issues outside this cluster.

Parts in grey are not discussed under this cluster, either because they are not part of the Council's partial mandate for negotiations or because they are part of another cluster. In both cases, they will be discussed at a later stage.

Parts in yellow are not agreed yet but an agreement could be reached at technical level.

Parts in red are not agreed and should be discussed during the Trilogue.

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
1.	Proposal for a	Position of the	Proposal for a		
	REGULATION OF	European	REGULATION OF		

The proposed Regulation is part of the package of proposals linked to the MFF 2021-2027 and therefore dependent on the outcome of the horizontal negotiations. Pending these, the reference amounts in Article 6 are put within square brackets. Other provisions of the draft NDICI Regulation appear in square brackets due to their inclusion in the draft Negotiating Box set out in ST 10010/19. All provisions which appear between square brackets are excluded from the Council negotiating mandate at this stage. Moreover, other provisions, on which the discussions are ongoing have been deleted, as they don not form part of the Council partial negotiating mandate. Provisions related to the participation of non-EU countries have not been amended due to the horizontal nature of such provisions.

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing the Neighbourhood, Development and International Cooperation Instrument	Parliament adopted at first reading on 27 March 2019 with a view to the adoption of Proposal for a Regulation (EU)/ of the European Parliament and of the Council establishing the Neighbourhood, Development and International Cooperation	negotiating mandate THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing the Neighbourhood, Development and International Cooperation Instrument		
2.	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	Instrument THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,		
3.	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 209, 212 and 322(1) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 209, 212 and 322(1) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 209, 212 and 322(1) thereof,		
4.	Having regard to the proposal from the	Having regard to the proposal from the	Having regard to the proposal from the		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	European	European	European		
	Commission,	Commission,	Commission,		
5.	After transmission	After transmission of	After transmission of		
	of the draft	the draft legislative	the draft legislative act		
	legislative act to the	act to the national	to the national		
	national parliaments,	parliaments,	parliaments,		
6.	Having regard to the	Having regard to the	Having regard to the		
	opinion of the	opinion of the	opinion of the		
	European Economic	European Economic	European Economic		
	and Social	and Social	and Social		
	Committee ¹ ,	Committee ¹ , Court of	Committee ¹ ,		
		Auditors ³ ,			
7.	Having regard to the	Having regard to the	Having regard to the		
	opinion of the	opinion of the	opinion of the		
	Committee of the	Committee of the	Committee of the		
	Regions ² ,	Regions ² , European	Regions ² ,		
		Economic and Social			
		Committee ¹ ,			
8.	Having regard to the	Having regard to the	Having regard to the		
	opinion of the Court	opinion of the Court	opinion of the Court of		
	of Auditors ³ ,	of Auditors ³ ,	Auditors ³ ,		
		Committee of the			
		Regions ² ,			

OJ C 110, 22.3.2019, p. 163. OJ C 86, 7.3.2019, p. 295. OJ C 45, 4.2.2019, p. 1. 1

Line	Commission	EP position	Council partial	Possible compromise	Comments
9.	proposal Acting in	Acting in accordance	Acting in accordance		
· ·	accordance with the	with the ordinary	with the ordinary		
	ordinary legislative	legislative procedure ⁴ ,	legislative procedure,		
	procedure,	registative procedure,	legislative procedure,		
10.	Whereas:	Whereas:	Whereas:		
11.	(1) The general	(1) The general	(1) The general	(1) The general objective	Provisionally agreed
111	objective of the	objective of the	objective of the	of the Programme	Trovisionally agreed
	Programme	Programme	Programme	"[Neighbourhood,],	
	"Neighbourhood,	"Neighbourhood,	"[Neighbourhood,]	Development and	
	Development and	Development and	Development and	International Cooperation	
	International	International	International	Instrument" (the	
	Cooperation	Cooperation	Cooperation	'Instrument') should be to	
	Instrument" (the	Instrument" (the	Instrument" (the	uphold and promote the	
	'Instrument') should	'Instrument') should	'Instrument') should	Union's values, and	
	be to uphold and	be to uphold and	be to uphold and	principles and	
	promote the Union's	promote provide the	promote the Union's	fundamental interests	
	values and interests	financial framework	values and interests	worldwide in order to	
	worldwide in order	to support the	worldwide in order to	pursue the objectives and	
	to pursue the	upholding and	pursue the objectives	principles of the Union's	
	objectives and	<i>promotion of</i> the	and principles of the	external action, as laid	
	principles of the	Union's values, and	Union's external	down in Article 3(5),	
	Union's external	principles and	action, as laid down in	Articles 8 and 21 of the	
	action, as laid down	fundamental interests	Article 3(5), Articles 8	Treaty on European	
	in Article 3(5),	worldwide in order to	and 21 of the Treaty	Union.	
	Articles 8 and 21 of	pursue accordance	on European Union.		
	the Treaty on	<i>with</i> the objectives			
	European Union.	and principles of the			
	-	Union's external			

⁴ Position of the European Parliament of 27 March 2019

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
12.	(2) In accordance	action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union (TEU).	(2) In accordance with	(2) In accordance with	Provisionally agreed
12.	(2) In accordance with Article 21 of the Treaty on European Union, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty.	(2) In accordance with Article 21 of the Treaty on European Union TEU, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty TEU.	(2) In accordance with Article 21 of the Treaty on European Union, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty.	(2) In accordance with Article 21 of the Treaty on European Union, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty.	Provisionally agreed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
12. bis		(2a) In accordance with Article 21 TEU, the application of this Regulation is to be guided by the principles of the Union's external action, namely democracy, the rule of law, the universality and indivisibility of human rights and fundamental freedoms, respect for human dignity, equality and solidarity, and respect for the principles of the United Nations Charter and international law. This Regulation is intended to contribute to achieving the Objectives of the Union's external action, including the Union's policies relating to human		(2a) Union action should promote respect for and be rooted in international human rights law, including the Universal Declaration on Human Rights, and in international humanitarian law and should be guided by the universality and indivisibility of human rights.	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		rights and the objectives outlined in the EU Strategic Framework and Action Plan on Human Rights and Democracy. Union action should favour adherence to the Universal Declaration on Human Rights.			
13.	(3) In accordance with Article 8 of the Treaty on European Union, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation should	(3) In accordance with Article 8 of the Treaty on European Union TEU, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation	(3) In accordance with Article 8 of the Treaty on European Union, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation	(3) In accordance with Article 8 of the Treaty on European Union, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation should contribute to such objective.	Provisionally agreed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	contribute to such	should contribute to	should contribute to		
	objective.	such objective.	such objective.		
13. bis		(3a) In accordance			Provisionally agreed
		with Article 167 of the Treaty on the			
		Functioning of the			
		European Union			
		(TFEU), The Union			
		and the Member			
		States should foster			
		cooperation with			
		third countries and			
		the competent international			
		organisations in the			
		sphere of culture.			
		This Regulation			
		should contribute to			
		the objectives set out			
		in that Article.			
14.	(4) The primary	(4) The primary	(4) The primary	(4) The primary objective	Provisionally closed
	objective of Union's	objective of Union's	objective of Union's	of Union's development	
	development	development	development	cooperation policy, as laid	
	cooperation policy, as laid down in	cooperation policy, as laid down in Article	cooperation policy, as laid down in	down in Article 208 of the Treaty on the Functioning	
	Article 208 of the	208 of the Treaty on	Article 208 of the	of the European Union is	
	Treaty on the	the Functioning of the	Treaty on the	the reduction and, in the	
	Functioning of the	European Union	Functioning of the	long term, the eradication	
	European Union is	TFEU is the	European Union is the	of poverty. The Union's	
	the reduction and, in	reduction and, in the	reduction and, in the	development cooperation	

Line	Commission	EP position	Council partial	Possible compromise	Comments
	the long term, the eradication of poverty. The Union's development cooperation policy also contributes to the objectives of the Union's external action, in particular to foster the sustainable economic, social and environmental development of developing countries, with the primary aim of eradicating poverty, as set out in Article 21(2)(d) of the Treaty on European Union.	long term, the eradication of poverty. The Union's development cooperation policy also contributes to the objectives of the Union's external action, in particular to foster the sustainable economic, social and environmental development of developing countries, with the primary aim of eradicating poverty, as set out in Article 21(2)(d) of the Treaty on European Union TEU, and to preserve lasting peace, prevent conflicts and strengthen international security, as set out in point (c) of Article 21(2) TEU.	long term, the eradication of poverty. The Union's development cooperation policy also contributes to the objectives of the Union's external action, in particular to foster the sustainable economic, social and environmental development of developing countries, with the primary aim of eradicating poverty, as set out in Article 21(2)(d) of the Treaty on European Union.	policy also contributes to the objectives of the Union's external action, in particular to foster the sustainable economic, social and environmental development of developing countries, with the primary aim of eradicating poverty, as set out in Article 21(2)(d) of the Treaty on European Union .	
15.	(5) The Union shall ensure policy	(5) The Union shall ensure policy	(5) The Union shall ensure policy	(5) The Union shall ensure policy coherence	Provisionally closed

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate	_	
	coherence for	coherence for	coherence for	for development as	
	development as	development as	development as	required by Article 208 of	
	required by Article	required by Article	required by Article	the Treaty on the	EP's second amendment added
	208 of the Treaty on	208 of the Treaty on	208 of the Treaty on	Functioning of the	in line 33bis.
	the Functioning of	the Functioning of the	the Functioning of the	European Union. The	
	the European Union.	European Union	European Union. The	Union should take	
	The Union should	<i>TFEU</i> . The Union	Union should take	account of the objectives	
	take account of the	should take account of	account of the	of development	
	objectives of	the objectives of	objectives of	cooperation in the policies	
	development	development	development	that are likely to affect	
	cooperation in the	cooperation in the	cooperation in the	developing countries,	
	policies that are	policies that are likely	policies that are likely	which will be a crucial	
	likely to affect	to affect developing	to affect developing	element of the strategy to	
	developing	countries, which will	countries, which will	achieve the Sustainable	
	countries, which will	be a crucial element	be a crucial element of	Development Goals	
	be a crucial element	of the strategy to	the strategy to achieve	defined in the 2030	
	of the strategy to	achieve the	the Sustainable	Agenda for Sustainable	
	achieve the	Sustainable	Development Goals	Development ('2030	
	Sustainable	Development Goals	defined in the 2030	Agenda') adopted by the	
	Development Goals	defined in the 2030	Agenda for	United Nations in	
	defined in the 2030	Agenda for	Sustainable	September 2015 ⁵ .	
	Agenda for	Sustainable	Development ('2030	Ensuring policy	
	Sustainable	Development ('2030	Agenda') adopted by	coherence for sustainable	
	Development ('2030	Agenda') adopted by	the United Nations in	development, as	
	Agenda') adopted by	the United Nations in	September 2015 ⁵ .	embedded in the 2030	
	the United Nations	September 2015 ⁵ .	Ensuring policy	Agenda, requires taking	
	in September 2015 ⁵ .	Ensuring policy	coherence for	into account the impact of	

Transforming our world: the 2030 Agenda for Sustainable Development", adopted at the United Nations Sustainable Development Summit on 25 September 2015 (A/RES/70/1).

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	Ensuring policy coherence for sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels — nationally, within the Union, in other countries and at global level.	coherence for sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels — nationally, within the Union, in other countries and at global level. Union and Member States development cooperation policies should complement and reinforce each other.	sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels nationally, within the Union, in other countries and at global level.	all policies on sustainable development at all levels nationally, within the Union, in other countries and at global level.	
15 bis				(5 bis) This Regulation should contribute to preserving lasting peace, preventing conflicts and strengthening international security, as set out in point (c) of Article 21(2) TEU.	Provisionally closed
16.	(6) This Instrument provides for actions in support of those	(6) This Instrument provides for actions in support of those	(6) This Instrument provides for actions in support of those	(6) This Instrument provides for actions in support of those	Provisionally closed

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	objectives and of the	objectives and of the	objectives and of the	objectives and of the	
	external action	external action	external action	external action policies	
	policies and builds	policies and builds on	policies and builds on	and builds on the actions	
	on the actions	the actions previously	the actions previously	previously supported	
	previously supported	supported under	supported under	under Regulation (EU)	
	under Regulation	Regulation (EU) No	Regulation (EU) No	No 233/2014 ⁶ ; the 11th	
	(EU) No 233/2014 ⁶ ;	233/2014 ⁶ ; the 11th	233/2014 ⁶ ; the 11th	European Development	
	the 11th European	European	European	Fund (EDF)'s Internal	
	Development Fund	Development Fund	Development Fund	Agreement ⁷ and	
	(EDF)'s Internal	(EDF)'s Internal	(EDF)'s Internal	Implementing	
	Agreement ⁷ and	Agreement ⁷ and	Agreement ⁷ and	Regulation ⁸ ; [Regulation	
	Implementing	Implementing	Implementing	(EU) No 232/2014 ⁹ ; <i>J</i>	
	Regulation ⁸ ;	Regulation ⁸ ;	Regulation ⁸ ;	Regulation (EU) No	
	Regulation (EU) No	Regulation (EU) No	[Regulation (EU) No	230/2014 ¹⁰ ; Regulation	
	232/2014 ⁹ ;	232/2014 ⁹ ; Regulation	232/2014 ⁹ ; <i>J</i>	(EU) No 235/2014 ¹¹ ;	
	Regulation (EU) No	(EU) No 230/2014 ¹⁰ ;	Regulation (EU) No	Regulation (EU) No	
		Regulation (EU) No	230/2014 ¹⁰ ;	234/2014 ¹² ; Regulation	
		235/2014 ¹¹ ;	Regulation (EU) No	(Euratom) No 237/2014 ¹³ ;	

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44.)

Internal agreement between the Representatives of the Governments of the Member States of the European Union, meeting within the Council, on the financing of European Union aid under the multiannual financial framework for the period 2014 to 2020, in accordance with the ACP-EU Partnership Agreement, and on the allocation of financial assistance for the Overseas Countries and Territories to which Part Four of the Treaty on the Functioning of the European Union applies (OJ L210/1, 6.8.2013).

⁸ Council Regulation (EU) 2015/322 of 2 March 2015 on the implementation of the 11th European Development Fund (OJ L 58/1, 3.3.2015).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27.)

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	230/2014 ¹⁰ ;	Regulation (EU) No	235/2014 ¹¹ ;	Regulation (EU) No	
	Regulation (EU) No	234/2014 ¹² ;	Regulation (EU)	236/2014 ¹⁴ ; Decision No	
	235/2014 ¹¹ ;	Regulation (Euratom)	No 234/2014 ¹² ;	466/2014/EU; Regulation	
	Regulation (EU)	No 237/2014 ¹³ ;	Regulation (Euratom)	(EC, Euratom) No	
	No 234/2014 ¹² ;	Regulation (EU) No	No 237/2014 ¹³ ;	480/2009 ¹⁵ and	
	Regulation	236/2014 ¹⁴ ; Decision	Regulation (EU)	Regulation (EU)	
	(Euratom)	No 466/2014/EU;	No 236/2014 ¹⁴ ;	2017/1601 ¹⁶ .	
	No 237/2014 ¹³ ;	Regulation (EC,	Decision No		
	Regulation (EU)	Euratom) No	466/2014/EU;		
	No 236/2014 ¹⁴ ;	480/2009 ¹⁵ and	Regulation (EC,		
	Decision No	Regulation (EU)	Euratom) No		
	466/2014/EU;	2017/1601 ¹⁶ .	480/2009 ¹⁵ and		
	Regulation (EC,		Regulation (EU)		
	Euratom) No		2017/1601 ¹⁶ .		
	480/2009 ¹⁵ and				

Regulation (EU) No 230/2014 of the European Parliament and of the Council of 11 March 2014 establishing an instrument contributing to stability and peace (OJ L 77, 15.3.2014, p. 1.)

Regulation (EU) No 235/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for democracy and human rights worldwide (OJ L 77, 15.3.2014, p. 85.)

Regulation (EU) No 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries (OJ L 77, 15.3.2014, p. 77.)

Council Regulation (Euratom) No 237/2014 of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109)

Regulation (EU) 236/2014 of the European Parliament and of the Council laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95)

Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (OJ L 145, 10.6.2009, p. 10)

roposal egulation (EU) 017/1601 ¹⁶ . 7) The global context for action is the pursuit of a cules-based global order, with multilateralism as its	(7) The global context for action is the pursuit of a rulesbased rules-and	(7) The global context for action is the pursuit of a rules-	(7) The global context for action is the pursuit of a	Provisionally closed
017/1601 ¹⁶ . 7) The global ontext for action is ne pursuit of a ules-based global order, with	for action is the pursuit of a rules-	for action is the		Provisionally closed
ontext for action is ne pursuit of a ules-based global rder, with	for action is the pursuit of a rules-	for action is the		Provisionally closed
ne pursuit of a ules-based global rder, with	pursuit of a rules-		action is the pursuit of a	
ules-based global rder, with	*	pursuit of a rules-		ı
rder, with	based rules-and	parsare or a rares	rules- and values-based	I
*		based global order,	global order, with	I
nultilateralism as its	<i>values-based</i> global	with multilateralism as	multilateralism as its key	I
	order, with	its key principle and	principle and the United	I
ey principle and the	multilateralism as its	the United Nations at	Nations at its core. The	I
Inited Nations at its	key principle and the	its core. The 2030	2030 Agenda, together	I
ore. The 2030	United Nations at its	Agenda, together with	with the Paris Agreement	I
genda, together	core. The 2030		_	I
ith the Paris	Agenda, together with	_	the Addis Ababa Action	I
greement on		and the Addis Ababa	Agenda ¹⁸ is the	I
<u> </u>		Action Agenda ¹⁸ is the	•	I
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		its core, the 2030	to eradicate poverty and	I
i gi	genda, together th the Paris greement on imate Change ¹⁷ d the Addis Ababa etion Agenda ¹⁸ is e international mmunity's sponse to global allenges and ends in relation to estainable	core. The 2030 Agenda, together with the Paris greement on imate Change ¹⁷ d the Addis Ababa ction Agenda ¹⁸ is e international mmunity's sponse to global allenges and ends in relation to core. The 2030 Agenda, together with the Paris Agreement on Climate Change ¹⁷ ('the Paris Agreement') and the Addis Ababa Action Agenda ¹⁸ is the international community's response to global challenges	core. The 2030 Agenda, together the Paris greement on imate Change ¹⁷ d the Addis Ababa ction Agenda ¹⁸ is enternational mmunity's sponse to global allenges and ends in relation to stainable core. The 2030 Agenda, together with the Paris Agreement on Climate Change ¹⁷ and the Addis Ababa Action Agenda ¹⁸ is the international community's response to global challenges and trends in relation to global challenges and trends in relation stainable core. The 2030 Agenda, together with the Paris Agreement on Climate Change ¹⁷ and the Addis Ababa Action Agenda ¹⁸ is the international community's response to global challenges and trends in relation to sustainable Sustainable Development Goals at	core. The 2030 the Paris Agreement on Agenda, together with the Paris Agreement on the Paris Agreement on Climate Change ¹⁷ and the Addis Ababa Action Agenda ¹⁸ is the community's response to global allenges and allenges and and trends in relation to stainable core. The 2030 the Paris Agreement on Climate Change ¹⁷ and the Addis Ababa Action Agenda ¹⁸ is the international community's response to global challenges and trends in relation to global challen

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

Signed in New York on 22 April 2016.

[&]quot;Addis Ababa Action Agenda of the Third International Conference on Financing for Development", adopted on 16 June 2015 and endorsed by the United Nations General Assembly on 27 July 2015 (A/RES/69/313).

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	the Sustainable	development. With	Agenda is a	achieve sustainable	
	Development Goals	the Sustainable	transformative	development globally. It	
	at its core, the 2030	Development Goals at	framework to	is universal in scope,	
	Agenda is a	its core, the 2030	eradicate poverty and	providing a	
	transformative	Agenda is a	achieve sustainable	comprehensive shared	
	framework to	transformative	development globally.	framework for action that	
	eradicate poverty	framework to	It is universal in scope,	applies to the Union, to its	
	and achieve	eradicate poverty, and	providing a	Member States and to its	
	sustainable	achieve sustainable	comprehensive shared	partners. It balances the	
	development	development globally	framework for action	economic, social and	
	globally. It is	and promote	that applies to the	environmental dimensions	
	universal in scope,	peaceful, just and	Union, to its Member	of sustainable	
	providing a	inclusive societies,	States and to its	development, recognising	
	comprehensive	while tackling climate	partners. It balances	the essential interlinkages	
	shared framework	change and working	the economic, social	between its goals and	
	for action that	to preserve oceans	and environmental	targets. The 2030 Agenda	
	applies to the Union,	and forests. It is	dimensions of	aims to leave no one	
	to its Member States	universal in scope,	sustainable	behind and seeks to reach	
	and to its partners. It	providing a	development,	the furthest behind first.	
	balances the	comprehensive shared	recognising the	The implementation of	
	economic, social and	framework for action	essential interlinkages	the 2030 Agenda will be	
	environmental	that applies to the	between its goals and	closely coordinated with	
	dimensions of	Union, to its Member	targets. The 2030	the Union's other relevant	
	sustainable	States and to its	Agenda aims to leave	international	
	development,	partners. It balances	no one behind. The	commitments. Actions	
	recognising the	the economic, social,	implementation of the	undertaken by this	
	essential	cultural, educational	2030 Agenda will be	Regulation should <i>be</i>	
	interlinkages	and environmental	closely coordinated	guided by the principles	
	between its goals	dimensions of	with the Union's other	and objectives set out in	
	and targets. The	sustainable	relevant international	the 2030 Agenda, the	

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	2030 Agenda aims	development,	commitments. Actions	Paris Agreement and the	
	to leave no one	recognising the	undertaken by this	Addis Ababa Action	
	behind. The	essential interlinkages	Regulation should pay	Agenda and should	
	implementation of	between its goals and	particular attention to	contribute to achieving	
	the 2030 Agenda	targets. The 2030	interlinkages between	the Sustainable	
	will be closely	Agenda aims to leave	Sustainable	Development Goals.	
	coordinated with the	no one behind and	Development Goals	P articular attention	
	Union's other	seeks to reach the	and to integrated	should be paid to	
	relevant	furthest behind first.	actions that can create	interlinkages between	
	international	The implementation	co-benefits and meet	Sustainable Development	
	commitments.	of the 2030 Agenda	multiple objectives in	Goals and to integrated	
	Actions undertaken	will be closely	a coherent way.	actions that can create co-	
	by this Regulation	coordinated with the		benefits and meet	
	should pay	Union's other relevant		multiple objectives in a	
	particular attention	international		coherent way without	
	to interlinkages	commitments. Actions		undermining other	
	between Sustainable	undertaken by this		objectives.	
	Development Goals	Regulation should pay			
	and to integrated	be guided by the			
	actions that can	principles and			
	create co-benefits	objectives set out in			
	and meet multiple	the 2030 Agenda, the			
	objectives in a	Paris Agreement and			
	coherent way.	the Addis Ababa			
		Action Agenda and			
		should contribute to			
		achieving the			
		Sustainable			
		Development Goals,			
		paying particular			

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
		attention to			
		interlinkages between			
		Sustainable			
		Development Goals			
		<i>them</i> and to integrated			
		actions that can create			
		co-benefits and meet			
		multiple objectives in			
		a coherent way			
		without undermining			
		other objectives.			
18.	(8) The	(8) The	(8) The	The {1} of this	Provisionally closed pending
	implementation of	implementation	implementation of this	Regulation should be	agreement on line 27and
	this Regulation	application of this	Regulation should be	guided by the five	governance
	should be guided by	Regulation should be	guided by the five	priorities established in	
	the five priorities	guided by based on	priorities established	the Global Strategy for	{1}
	established in the	the five priorities	in the Global Strategy	the European Union's	EP: implementation
	Global Strategy for	established in the	for the European	Foreign and Security	application
	the European	Global Strategy for	Union's Foreign and	Policy (the 'Global	CL: implementation
	Union's Foreign and	the European Union's	Security Policy (the	Strategy') endorsed by the	
	Security Policy (the	Foreign and Security	'Global Strategy') ¹⁹ ,	Council in its	
	'Global Strategy') ¹⁹ ,	Policy (the 'Global	presented on 19 June	Conclusions of	
	presented on 19 June	Strategy') ¹⁹ , presented	2016 and endorsed by	17 October 2016, which	
	2016, which	on 19 June 2016,	the Council in its	represents the Union's	
	represents the	which represents the	Conclusions of	vision and the framework	{4}
	Union's vision and	Union's vision and the	17 October 2016 ²⁰ ,	for united and responsible	Colegislators agree to place
	the framework for	framework for united	which represents the	external engagement in	language on nuclear safety

²⁰ Council Conclusions on the Global Strategy for the European Union's Foreign and Security Policy of 17 October 2016 (13202/16).

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	united and	and responsible	Union's vision and the	partnership with others, to	elsewhere in the recitals. Text
	responsible external	external engagement	framework for united	advance its values and	to be aligned with the wording
	engagement in	in partnership with	and responsible	interests. The Union	in the enacting provisions and
	partnership with	others, to advance its	external engagement	should enhance	annexes
	others, to advance	values and interests.	in partnership with	partnerships, promote	
	its values and	The Union should	others, to advance its	policy dialogue and	
	interests. The Union	enhance partnerships,	values and interests.	collective responses to	
	should enhance	promote policy	The Union should	challenges of global	
	partnerships,	dialogue and	enhance partnerships,	concern. Its action should	
	promote policy	collective responses to	promote policy	support the Union's	
	dialogue and	challenges of global	dialogue and	fundamental interests,	
	collective responses	concern. Its action	collective responses to	principles and values in	
	to challenges of	should support the	challenges of global	all its aspects {4} In	
	global concern. Its	Union's fundamental	concern. Its action	doing so, the Union	
	action should	interests, principles	should support the	should use an integrated	
	support the Union's	and values in all its	Union's interests and	approach and comply	
	interests and values	aspects, including	values in all its	with, and promote, the	
	in all its aspects,	promoting democracy	aspects, including	principles of respect for	
	including preserving	and human rights,	preserving peace,	high social, <i>labour</i> and	
	peace, preventing	contributing to the	preventing conflicts,	environmental standards,	
	conflicts,	eradication of	strengthening	including with regard to	
	strengthening	<i>poverty</i> , preserving	international security,	climate change, for the	
	international	peace, preventing	inclusive governance,	rule of law, for	
	security, fighting	conflicts conflict	fighting root causes of	international law	
	root causes of	prevention, mediation	irregular migration,	including in respect of	
	irregular migration	and post-conflict	forced displacement	humanitarian and	
	and assisting	reconstruction	and assisting	international human	
	populations,	including women at	populations, countries	rights <i>law</i> .	
	countries and	all stages, ensuring	and regions		
	regions confronting	nuclear safety,	confronting natural or		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	natural or man-made	strengthening	man-made disasters,		
	disasters, supporting	international security,	supporting trade		
	trade policy,	fighting addressing	policy, economic		
	economic diplomacy	root causes of	diplomacy and		
	and economic	irregular migration	economic cooperation,		
	cooperation,	and forced	promoting digital		
	promoting digital	displacement and	solutions and		
	solutions and	assisting populations,	technologies, and		
	technologies, and	countries and regions	fostering the		
	fostering the	confronting	international		
	international	confronted with	dimension of <i>the</i>		
	dimension of	natural or man-made	Union's policies. In		
	Union's policies. In	disasters, <i>bringing</i>	promoting its interests,		
	promoting its	about the conditions	the Union should <i>use</i>		
	interests, the Union	to create an	an integrated		
	should comply with,	international legal	approach and comply		
	and promote, the	framework for the	with, and promote, the		
	principles of respect	protection of persons	principles of respect		
	for high social and	displaced due to	for high social and		
	environmental	climate change,	environmental		
	standards, for the	fostering inclusive	standards, for the rule		
	rule of law, for	quality education,	of law, for		
	international law	supporting <i>a fair</i> ,	international law and		
	and for human	sustainable and	for human rights.		
	rights.	rules- and value-			
		based trade policy as			
		a tool for			
		development and to			
		bring improvements			
		to the rule of law and			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposai	human rights,	negotiating manaate		
		economic <i>and</i>			
		cultural diplomacy			
		and economic			
		cooperation,			
		promoting			
		<i>innovation</i> , digital			
		solutions and			
		technologies,			
		protecting cultural			
		heritage especially in			
		conflict areas,			
		addressing global			
		public health threats			
		and fostering the			
		international			
		dimension of Union's			
		policies. In promoting			
		its fundamental			
		interests, principles			
		and values, the Union			
		should comply with,			
		and promote, the			
		principles of respect			
		for high social, <i>labour</i>			
		and environmental			
		standards including			
		with regard to climate			
		change, for the rule of			
		law, for international			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		law, and for including in respect of humanitarian and international human rights law.			
19.	(9) The new European Consensus on Development ('the Consensus') ²¹ , signed on 7 June 2017, provides the framework for a common approach to development cooperation by the Union and its Member States to implement the 2030 Agenda and the Addis Ababa Action Agenda. Eradicating poverty, tackling discrimination and inequalities, leaving no one behind and strengthening resilience are at the	(9) The new application of this Regulation should also be based on the European Consensus on Development ('the Consensus') ²¹ , signed on 7 June 2017, which provides the framework for a common approach to development cooperation by the Union and its Member States to implement the 2030 Agenda and the Addis Ababa Action Agenda. Eradicating poverty, tackling discrimination and inequalities, leaving	(9) The new European Consensus on Development ('the Consensus') ²¹ , signed on 78 June 2017, provides the framework for a common approach to development cooperation by the Union and its Member States to implement the 2030 Agenda and the Addis Ababa Action Agenda. Eradicating poverty, tackling discrimination and inequalities, leaving no one behind and strengthening resilience are at the	(9) The {1} of this Regulation should also be guided by the European Consensus on Development ('the Consensus') ²¹ of 8 June 2017 provides the framework for a common approach to development cooperation by the Union and its Member States to implement the 2030 Agenda and the Addis Ababa Action Agenda. Eradicating poverty, tackling discrimination and inequalities, leaving no one behind, protecting the environment and fighting climate change, and strengthening resilience are at the heart	Provisionally closed pending agreement on governance {1} EP: application CL: implementation

²¹ "The New European Consensus on Development 'Our World, our Dignity, Our Future'", Joint statement by the Council and the Representatives of the governments of the Member States meeting within the Council, the European Parliament and the European Commission, 8 June 2017.

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	heart of development cooperation policy.	no one behind, protecting the environment and fighting climate change, and strengthening resilience are at the heart of development cooperation policy	heart of development cooperation policy.	of development cooperation policy and should underpin the {1} of this Regulation.	
19. bis		should underpin the application of this Regulation. (9a) In addition to the UN 2030 Agenda, the Paris Agreement on		{ <mark>1</mark> }	Follow-up at technical level for inclusion of a list to be specified at a later stage. Not
		Climate Change the Addis Ababa Action Agenda, the EU Global Strategy, and			necessarily linked only to EU documents. {1}
		the European Consensus on Development and the European			EP: (9a) In addition to the UN 2030 Agenda, the Paris Agreement on Climate
		Neighbourhood Policy, which constitute the primary policy framework, the following documents			Change the Addis Ababa Action Agenda, the EU Global Strategy, and the European Consensus on Development and the European
		and their future revisions should also			Neighbourhood Policy, which constitute the primary policy

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	•	guide the application			framework, the following
		of this Regulation:			documents and their future
		- the EU Strategic			revisions should also guide the
		Framework and			application of this Regulation:
		Action Plan on			- the EU Strategic
		Human Rights			Framework and Action
		and Democracy;			Plan on Human Rights
		- the EU Human			and Democracy;
		Rights guidelines;			- the EU Human Rights
		- the EU Integrated			guidelines;
		Approach to			- the EU Integrated
		External			Approach to External
		Conflicts and			Conflicts and Crises and
		Crises and the			the EU's comprehensive
		EU's			approach to external
		comprehensive			conflicts and crises of
		approach to			2013;
		external conflicts			- the Comprehensive
		and crises of			approach to the EU
		2013;			implementation of the
		- the			United Nations Security
		Comprehensive			Council Resolutions 1325
		approach to the			and 1820 on women, peace
		EU			and security;
		implementation			- the Union Programme for
		of the United			the Prevention of Violent
		Nations Security			Conflicts;
		Council Resolutions 1325			- the Council conclusions of
		Resolutions 1325 and 1820 on			20 June 2011 on conflict
		ana 1820 on			prevention;

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		women, peace and security; - the Union Programme for the Prevention of Violent Conflicts; - the Council conclusions of 20 June 2011 on conflict prevention; - the Concept on Strengthening EU Mediation and Dialogue Capacities; - the EU-wide Strategic Framework to support Security Sector Reform (SSR); - the EU strategy against illicit firearms, small arms and light weapons (SALW) and their ammunition;			 the Concept on Strengthening EU Mediation and Dialogue Capacities; the EU-wide Strategic Framework to support Security Sector Reform (SSR); the EU strategy against illicit firearms, small arms and light weapons (SALW) and their ammunition; the EU Concept for Support to Disarmament, Demobilisation and Reintegration (DDR); the Council conclusions of 19 November 2007 on a EU response to situations of fragility and the conclusions of the Council and the Representatives of the Governments of the Member States meeting within the Council, also dated 19 November 2007, on security and development; the European Council Declaration of 25 March

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		- the EU Concept			2004 on Combating
		for Support to			Terrorism, the European
		Disarmament,			Union Counter-Terrorism
		Demobilisation			Strategy, of 30 November
		and Reintegration			2005 and the Council
		(DDR);			conclusions of 23 May
		- the Council			2011 on enhancing the
		conclusions of 19			links between internal and
		November 2007			external aspects of
		on a EU response			counter-terrorism;
		to situations of			- the OECD guidelines for
		fragility and the			multinational enterprises;
		conclusions of the			- the UN guiding principles
		Council and the			on business and human
		Representatives			rights;
		of the			- the UN New Urban
		Governments of			Agenda;
		the Member			- the UN Convention on the
		States meeting			Rights of Persons with
		within the			Disabilities;
		Council, also			- the Refugee Convention;
		dated 19			- the Convention on the
		November 2007,			Elimination of All Forms
		on security and			of Discrimination against
		development;			Women,
		- the European			- the Council of Europe
		Council			Istanbul Convention
		Declaration of 25			- the outcomes of the
		March 2004 on			Beijing Platform for
		Combating			Action and the Programme

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		Terrorism, the			of Action of the
		European Union			International Conference
		Counter-			on Population and
		Terrorism			Development (ICPD);
		Strategy, of 30			- the UNCTAD Roadmap
		November 2005			towards Sustainable
		and the Council			Sovereign Debt Workouts
		conclusions of 23			(April 2015);
		May 2011 on			- the Guiding Principles on
		enhancing the			Foreign Debt and Human
		links between			Rights drawn up by the
		internal and			Office of the UN High
		external aspects			Commissioner for Human
		of counter-			Rights;
		terrorism;			- the Global Compact on
		- the OECD			Refugees;
		guidelines for			- the Global Compact on
		multinational			Safe, Orderly and Regular
		enterprises;			Migration, adopted in
		- the UN guiding			Marrakech on December
		principles on			10th 2018;
		business and			- the United Nations
		human rights;			Convention on the Rights
		- the UN New			of the Child.
		Urban Agenda;			o the Kimberley
		- the UN			Process,
		Convention on			o the Sustainability
		the Rights of			Compact,
		Persons with			o Regulation (EU)
		Disabilities;			No 978/2012 of the

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	- the Refugee Convention; - the Convention on the Elimination of All Forms of Discrimination against Women, - the outcomes of the Beijing Platform for Action and the Programme of Action of the International Conference on Population and Development (ICPD); - the UNCTAD Roadmap towards Sustainable Sovereign Debt Workouts (April 2015); - the Guiding Principles on Foreign Debt and	negotiating mandate		European Parliament and the Council ²² (GSP Regulation) - CL: No text

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		Human Rights drawn up by the Office of the UN High Commissioner for Human Rights; - the Global Compact on Refugees; - the Global Compact on Safe, Orderly and Regular Migration, adopted in Marrakech on December 10th 2018; - the United Nations Convention on the Rights of the Child.			
20.	(10) In order to implement the new international framework established by the 2030 Agenda, the Global Strategy and	(10) In order to implement the new international framework established by the 2030 Agenda, the Global Strategy and	(10) In order to implement the new international framework established by the 2030 Agenda, the Global Strategy and the Consensus,	(10) This Regulation should aim at increasing the coherence and ensuring the effectiveness of the Union's external action <i>thus improving</i> the implementation of the	Provisionally closed

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	the Consensus, this	the Consensus, this	t <i>T</i> his Regulation	different external action	
	Regulation should	Regulation should aim	should aim at	policies.	
	aim at increasing the	at increasing the	increasing the		
	coherence and	coherence and	coherence and		
	ensuring the	ensuring the	ensuring the		
	effectiveness of the	effectiveness of the	effectiveness of the		
	Union's external	Union's external	Union's external action		
	action by	action by	by concentrating its		
	concentrating its	concentrating its	efforts through a		
	efforts through a	efforts through a	streamlined instrument		
	streamlined	streamlined	to improve thus		
	instrument to	instrument to improve	improv <i>ing</i> the		
	improve the	the implementation of	implementation of the		
	implementation of	the different external	different external		
	the different external	action policies.	action policies.		
	action policies.				
21.	(11) In accordance	(11) In accordance	(11) In accordance	(11) In accordance with	Provisionally closed
	with the Global	with the Global	with the Global	the Global Strategy, the	
	Strategy and the	Strategy and the	Strategy, the	<i>Consensus</i> and the Sendai	
	Sendai Framework	Sendai Framework for	Consensus and the	Framework for Disaster	
	for Disaster Risk	Disaster Risk	Sendai Framework for	Risk Reduction (2015-	
	Reduction (2015-	Reduction (2015-	Disaster Risk	2030) as adopted on 18	
	2030) as adopted on	2030) as adopted on	Reduction (2015-	March 2015 ²³ , recognition	
	18 March 2015 ²³ ,	18 March 2015 ²³ ,	2030) as adopted on	should be given to the	
	recognition should	recognition should be	18 March 2015 ²³ ,	need to move away from	
	be given to the need	given to the need to	recognition should be	crisis response and	
	to move away from	move away from	given to the need to	containment to a more	

[&]quot;Sendai Framework for Disaster Risk Reduction", adopted on 18 March 2015 and endorsed by the United Nations General Assembly on 3 June 2015 (A/RES/69/283).

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	crisis response and	crisis response and	move away from crisis	structural, long-term	
	containment to a	containment to a more	response and	approach that more	
	more structural,	structural, <i>preventive</i>	containment to a more	effectively addresses	
	long-term approach	long-term approach	structural, long-term	situations of fragility,	
	that more effectively	that more effectively	approach that more	natural and man-made	
	addresses situations	addresses situations of	effectively addresses	disasters, and protracted	
	of fragility, natural	fragility, natural and	situations of fragility,	crises. Greater emphasis	
	and man-made	man-made disasters,	natural and man-made	and collective approaches	
	disasters, and	and protracted crises.	disasters, and	are required on risk	
	protracted crises.	Greater emphasis and	protracted crises.	reduction, prevention,	
	Greater emphasis	collective approaches	Greater emphasis and	mitigation and	
	and collective	are required on risk	collective approaches	preparedness; and further	
	approaches are	reduction, prevention,	are required on risk	efforts are required to	
	required on risk	mitigation and	reduction, prevention,	enhance swift response	
	reduction,	preparedness; and	mitigation and	and a durable recovery.	
	prevention,	further efforts are	preparedness; and	This Regulation should	
	mitigation and	required to enhance	further efforts are	therefore contribute to	
	preparedness; and	swift response and a	required to enhance	strengthening resilience	
	further efforts are	durable recovery. This	swift response and a	and linking humanitarian	
	required to enhance	Regulation should	durable recovery. This	aid and development	
	swift response and a	therefore contribute to	Regulation should	action <i>particularly</i>	
	durable recovery.	strengthening	therefore contribute to	through rapid response	
	This Regulation	resilience and linking	strengthening	actions as well as	
	should therefore	humanitarian aid and	resilience and linking	relevant geographic and	
	contribute to	development action	humanitarian aid and	thematic programmes,	
	strengthening	particularly through	development action	while ensuring respect of	
	resilience and	rapid response actions	through rapid response	humanitarian principles.	
	linking humanitarian	as well as relevant	actions.	process.	
	aid and development	geographic and			
	ara ana ao (cropment	thematic			

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	action through rapid response actions.	programmes, while ensuring the appropriate predictability, transparency and accountability, as well as coherence, consistency and complementarity with humanitarian aid and full compliance with international humanitarian law and without hindering the delivery of humanitarian aid according to the principles of humanity, neutrality, impartiality and independence in emergency and postemergency contexts.			
22.	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi	Provisionally closed

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	2011 and renewed at	2011 and renewed at	the Nairobi High	High Level Forum in	
	the Nairobi High	the Nairobi High	Level Forum in 2016	2016 and recalled in the	
	Level Forum in	Level Forum in 2016	and recalled in the	Consensus, the Union's	
	2016 and recalled in	and recalled in the	Consensus, the	Union, in the context of	
	the Consensus, the	Consensus, the	Union's development	its official development	
	Union's	Union's Union, in the	cooperation should	cooperation assistance	
	development	context of its official	apply the development	and across all aid	
	cooperation should	development	effectiveness	modalities should apply	
	apply the	cooperation	principles, namely	the development	
	development	assistance and across	ownership of	effectiveness principles,	
	effectiveness	all aid modalities,	development priorities	namely ownership of	
	principles, namely	should apply the	by developing	development priorities by	
	ownership of	development	countries, a focus on	developing countries, a	
	development	effectiveness	results, inclusive	focus on results, inclusive	
	priorities by	principles, namely	development	development partnerships	
	developing	ownership of	partnerships as well as	as well as transparency	
	countries, a focus on	development priorities	transparency and	and <i>mutual</i> accountability	
	results, inclusive	by developing	mutual accountability.	as well as alignment and	
	development	countries, a focus on		harmonisation.	
	partnerships as well	results, inclusive			
	as transparency and	development			
	accountability.	partnerships as well as			
		mutual transparency			
		and accountability, in			
		addition to the			
		principles of			
		alignment and			
		harmonisation.			
23.	(13) Pursuant to the	(13) Pursuant to the	(13) Pursuant to the		
	Sustainable	Sustainable	Sustainable		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	Development Goals,	Development Goals,	Development Goals,		
	this Regulation	this Regulation should	this Regulation should		
	should contribute to	contribute to	contribute to		
	reinforced	reinforced monitoring	reinforced monitoring		
	monitoring and	and reporting with a	and reporting with a		
	reporting with a	focus on results,	focus on results,		
	focus on results,	covering outputs,	covering outputs,		
	covering outputs,	outcomes and impacts	outcomes and impacts		
	outcomes and	in partner countries	in partner countries		
	impacts in partner	benefiting from the	benefiting from the		
	countries benefiting	Union's external	Union's external		
	from the Union's	financial assistance.	financial assistance. In		
	external financial	In particular, as	particular, as agreed in		
	assistance. In	agreed in the	the Consensus, actions		
	particular, as agreed	Consensus, actions	under this Regulation		
	in the Consensus,	under this Regulation	are expected to		
	actions under this	are expected to	contribute 20% of the		
	Regulation are	should contribute at	Official Development		
	expected to	least 20 % of the	Assistance funded		
	contribute 20% of	Official Development	under this Regulation		
	the Official	Assistance funded	to social inclusion and		
	Development	under this Regulation	human development,		
	Assistance funded	to social inclusion and	including gender		
	under this	human development,	equality and women's		
	Regulation to social	including with a focus	empowerment.		
	inclusion and human	on basic social			
	development,	services, such as			
	including gender	health, education,			
	equality and	nutrition, water,			
		sanitation and			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	women's empowerment.	hygiene, and social protection, particularly to the most marginalised, taking into account gender equality, and women's empowerment and children's rights as horizontal issues.			
24.	(14) Whenever possible and appropriate, the results of the Union's external action should be monitored and assessed on the basis of pre-defined, transparent, country-specific and measurable indicators, adapted to the specificities and objectives of the Instrument and preferably based on the results framework of the partner country.	(14) Whenever possible and appropriate, In order to improve effective accountability and transparency of the Union budget, the Commission should set up clear monitoring and evaluation mechanisms to ensure effective assessment of progress towards the achievement of this Regulation's objectives. The results of the Union's external action should	(14) Whenever possible and appropriate, the results of the Union's external action should be monitored, <i>evaluated</i> and assessed on the basis of pre-defined, transparent, country-specific and measurable indicators, adapted to the specificities and objectives of the Instrument and preferably based on the results framework of the partner country.	(14) The Commission should set up ensure clear monitoring and evaluation mechanisms are in place in order to provide improve effective accountability and transparency in implementing the Union budget, and in order to ensure effective assessment of progress towards the achievement of this Regulation's objectives. Whenever possible and appropriate, Tthe results of the Union's external action should be monitored and evaluated	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		be monitored and		and assessed on the basis	
		assessed on the basis		of pre-defined,	
		of pre-defined,		transparent, country-	
		transparent, country-		specific and measurable	
		specific and		indicators, adapted to the	
		measurable indicators,		specificities and	
		adapted to the		objectives of the	
		specificities and		Instrument and preferably	
		objectives of the		based on the results	
		Instrument and		framework of the partner	
		preferably based on		country.	
		the results framework			
		of the partner country.			
		The Commission			
		should regularly			
		monitor its actions			
		and review progress,			
		making the results			
		publicly available, in			
		particular in the form			
		of an annual report			
		to the European			
		Parliament and the			
		Council.			
24. bis			(14 bis) The	(14 bis) The Commission	Provisionally closed
			Commission should	should regularly monitor	
			regularly monitor	actions financed under	
			actions financed	this Regulation and	
			under this Regulation	review progress made	
			and review progress	towards expected results,	

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			made towards	covering outputs and	
			expected results,	outcomes. Wherever	
			covering outputs and	possible, existing results	
			outcomes. Wherever	frameworks should be	
			possible, existing	used. The indicators used	
			results frameworks	to measure progress	
			should be used. The	should be in line with the	
			indicators used to	Sustainable Development	
			measure progress	Goals and be clear,	
			should be in line with	relevant and have robust	
			the Sustainable	methodologies. The data	
			Development Goals	for the indicators should	
			and be clear, relevant	be readily available and	
			and have robust	of a good quality. The	
			methodologies. The	values of the indicators	
			data for the indicators	on 1 January 2021	
			should be readily	should be used as a basis	
			available and of a	for assessing the extent	
			good quality. The	to which the objectives of	
			values of the	this Regulation have	
			indicators on 1	been achieved and will	
			January 2021 should	feed into the annual	
			be used as a basis for	reports as well as the	
			assessing the extent to	mid-term and final	
			which the objectives	evaluations of the	
			of this Regulation	Regulation. The	
			have been achieved	Commission should also	
			and will feed into the	make appropriate use of	
			annual reports as well	independent external	
			as the mid-term and	evaluations.	

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
			final evaluations of the Regulation. The Commission should also evaluate the impact and effectiveness of its sectoral policies, indicative programmes and specific actions, where appropriate by means of independent external evaluations.	In this regard, the Commission should ensure, where relevant, appropriate involvement of the European Parliament, the Council as well as other stakeholders,including civil society organisations.	
24. ter			(14 ter) The Commission should send its evaluation reports to the European Parliament, to the Council and to the Member States through the relevant committee. Evaluations may be discussed in that committee at the request of Member States. The results should feed back into programme design	{1}	To be discussed in the governance discussion

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			and resource		
2.5			allocation.		
25.	(15) This Regulation	(15) This Regulation	(15) This Regulation		
	should contribute to	should contribute to	should contribute to		
	the collective Union	the collective Union	the collective Union		
	objective of	objective of providing	objective of providing		
	providing 0.7% of	0,7% of Gross	0.7% of Gross		
	Gross National	National Income as	National Income as		
	Income as Official	Official Development	Official Development		
	Development	Assistance within the	Assistance within the		
	Assistance within	timeframe of the 2030	timeframe of the 2030		
	the timeframe of the	Agenda. <i>That</i>	Agenda. In that regard,		
	2030 Agenda. In	commitment should	at least 92% of the		
	that regard, at least	be based on a clear	funding under this		
	92% of the funding	roadmap for the	Regulation should		
	under this	Union and its	contribute to actions		
	Regulation should	Member States to set	designed in such a		
	contribute to actions	out deadlines and	way that they fulfil the		
	designed in such a	modalities for its	criteria for Official		
	way that they fulfil	achievement. In that	Development		
	the criteria for	regard, at least 92 %	Assistance as		
	Official	95 % of the funding	established by the		
	Development	under this Regulation	Development		
	Assistance as	should contribute to	Assistance Committee		
	established by the	actions designed in	of the Organisation for		
	Development	such a way that they	Economic Cooperation		
	Assistance	fulfil the criteria for	and Development.		
	Committee of the	Official Development			
	Organisation for	Assistance as			
	Economic	established by the			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	Cooperation and	Development			
	Development.	Assistance Committee			
		of the Organisation			
		for Economic			
		Cooperation and			
		Development.			
26.	(16) In order to	(16) In order to ensure	(16) In order to ensure		
	ensure resources are	resources are provided	resources are provided		
	provided to where	to where the need is	to where the need is		
	the need is greatest,	greatest, especially to	greatest, especially to		
	especially to the	the Least Developed	the Least Developed		
	Least Developed	Countries and the	Countries and the		
	Countries and the	countries in situation	countries in situation		
	countries in situation	of fragility and	of fragility and		
	of fragility and	conflict, this	conflict, this		
	conflict, this	Regulation should	Regulation should		
	Regulation should	contribute to the	contribute to the <i>EU</i>		
	contribute to the	collective target of	collective target of		
	collective target of	reaching 0,20 % of	reaching 0.15- 0.20%		
	reaching 0.20% of	the Union Gross	of the Union Gross		
	the Union Gross	National Income	National Income		
	National Income	towards Least	towards as Official		
	towards Least	Developed Countries	Development		
	Developed	within the timeframe	Assistance to Least		
	Countries within the	of the 2030 Agenda.	Developed Countries		
	timeframe of the	This commitment	in the short term, and		
	2030 Agenda.	should be based on a	to reach 0.20% of		
		clear roadmap for the	Gross National		
		EU and its Member	Income as Official		
		States to set out	Development		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	1 11. 1	negotiating mandate		
		deadlines and	Assistance within the		
		modalities for its	timeframe of the 2030		
25.11		achievement.	Agenda.		
26. bis		(16a) In line with	(16 bis) As agreed in		
		existing commitments	the Consensus,		
		in the EU Gender	actions under this		
		Action Plan II, at	Regulation are		
		least 85 % of Official	expected to contribute		
		Development	at least 20% of the		
		Assistance funded	Official Development		
		programmes,	Assistance funded		
		geographic and	under this Regulation		
		thematic, should have	to social inclusion		
		gender equality as a	and human		
		principal or a	development		
		significant objective,	objectives.		
		as defined by the			
		OECD DAC. A			
		mandatory review of			
		the spending should			
		ensure that a			
		significant part of			
		these programmes			
		have gender equality			
		and women's and			
		girls' rights and			
		empowerment as a			
		principal objective.			
26. ter		(16b) This regulation		(16b) This regulation	Provisionally closed
		should give particular		should support children	

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		attention to children and youth as contributors to the realisation of Agenda 2030. The Union's external action under this Regulation should give particular attention to their needs and empowerment and will contribute to the realisation of their potential as key agents of change by investing in human development and social inclusion.		and youth as key agents of change and as contributors to the realisation of Agenda 2030, giving particular attention to their needs and empowerment.	
26. quater		(16c) The inhabitants of the countries of Sub-Saharan Africa are mainly adolescents and young people. Each country should decide on its demographic policy. However, the demographic dynamic should be tackled in a global			Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		way in order to ensure that current and future generations will be able to achieve their full potential in a sustainable way.			
27.	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically – the European Neighbourhood and Africa, as well as countries that are fragile and most in need, but also thematically – security, migration, climate change and human rights.	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically – the European Neighbourhood and Africa, as well as countries that are fragile and most in need, particularly Least Developed Countries, but also thematically – sustainable development, poverty eradication, democracy and human rights, the rule of law, good	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically [the European Neighbourhood] and Africa, as well as countries that are fragile and most in need, but also thematically security, migration, climate change and environment, and human rights.	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically [the European Neighbourhood] and Africa, as well as countries that are fragile and most in need {1}, but also thematically sustainable development, human rights, democracy and the rule of law, security, migration, climate change and environment, and global public health.	COM to revert with proposal that includes other country categories .as agreed in Article 11. {1} EP: particularly Least Developed Countries CL: This Regulation should reflect the need to focus on strategic priorities, both geographically - [the European Neighbourhood] and Africa, as well as countries that are fragile and most in need in particular Least Developed Countries, low
		governance, security, safe, orderly and regular migration, the			income countries, countries in crisis, post-crisis, fragile and vulnerable situations,

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		reduction of inequalities, gender equality, addressing environmental degradation and climate change and human rights global public health threats.			including small islands developing states and landlocked developing countries. In addition, this reulgation should address the specific challenges of Middle Income Countries and in particular countries that graduate from lower income status. This regulation should also focus on strategic priorities thematically - sustainable development, human rights, democracy and the rule of law, security, migration, climate change and environment, and global public health.
27. bis		(17a) This Regulation should contribute to creating State and societal resilience in the area of global public health by addressing global public health threats, strengthening health	(17 bis) The financial envelopes foreseen for the [Neighbourhood] and Sub-Saharan Africa geographic programmes should only be increased, given the particular		

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposur	systems, achieving universal health coverage, preventing and combatting communicable diseases and helping to secure affordable medicines and vaccines for all.	priority the Union gives to these regions.		
28.	(18) This Regulation should support the implementation of the European Neighbourhood Policy, as reviewed in 2015, and the implementation of regional cooperation frameworks, such as cross-border cooperation and the external aspects of relevant macroregional and sea basin strategies and policies. Those initiatives offer political frameworks for deepening relations with and	(18) The special relationship developed with the Union's neighbouring countries, in accordance with Article 8 TEU, should be preserved and enhanced through the application of this Regulation. This Regulation should contribute to reinforcing States' and societies' resilience in the Union's neighbourhood, following the engagement taken in	(18) [DELETED,] and the implementation of regional cooperation frameworks, such as cross-border cooperation, transnational and maritime cooperation as well as the external aspects of relevant macro-regional and sea basin strategies and policies. Those initiatives offer political frameworks for deepening relations with and among partner countries, based on the principles of mutual accountability, shared		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	among partner	the Global Strategy.	ownership and		
	countries, based on	It should support the	responsibility.		
	the principles of	implementation of the			
	mutual	European			
	accountability,	Neighbourhood			
	shared ownership	Policy, as reviewed in			
	and responsibility.	2015, and the			
		implementation of			
		regional cooperation			
		frameworks, such as			
		cross-border			
		cooperation and the			
		external aspects of			
		relevant macro-			
		regional and sea basin			
		strategies and policies in the eastern and			
		southern			
		neighbourhood,			
		including the			
		Northern Dimension			
		and the Black Sea			
		regional cooperation.			
		Those initiatives offer			
		supplementary			
		political frameworks			
		for deepening			
		relations with and			
		among partner			
		countries, based on			
	<u> </u>	countries, based on			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		the principles of mutual accountability, shared ownership and	3		
		responsibility.			
29.	(19) The European Neighbourhood Policy, as reviewed in 2015 ²⁴ , aims at the stabilisation of neighbouring countries and strengthening resilience, particularly by boosting economic development, as the Union's main political priorities. In order to attain its objective, the	(19) The European Neighbourhood Policy, as reviewed in 2015 ²⁴ , aims at the deepening of democracy, promotion of human rights and upholding of the rule of law, the stabilisation of neighbouring countries and strengthening resilience, particularly by boosting promoting political,	(19) [DELETED.]		
	reviewed European	economic			
	Neighbourhood	development and			
	Policy has been	social reforms, as the			
	focusing on four priority areas: good	Union's main political priorities. In order to			

Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

EP position: Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	governance,	attain its objective, the			
	democracy, the rule	implementation of the			
	of law and human	reviewed European			
	rights, with a	Neighbourhood			
	particular focus in	Policy has been			
	engaging further	focusing on four			
	with civil society;	through this			
	economic	Regulation should			
	development;	focus on the			
	security; migration	following priority			
	and mobility,	areas: good			
	including tackling	governance,			
	the root causes of	democracy, the rule of			
	irregular migration	law and human rights,			
	and forced	with a particular focus			
	displacement.	in engaging further			
	Differentiation and	with civil society;			
	enhanced mutual	economie socio-			
	ownership are the	economic			
	hallmark of the	development,			
	European	including the fight			
	Neighbourhood	against youth			
	Policy, recognising	unemployment, as			
	different levels of	well as education and			
	engagement, and	environmental			
	reflecting the	sustainability;			
	interests of each	security; migration			
	country concerning	and mobility,			
	the nature and focus	including tackling the			
		root causes of			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	of its partnership	irregular migration			
	with the Union.	and forced			
		displacement and			
		supporting			
		populations,			
		countries and regions			
		confronted with			
		enhanced migratory			
		pressure. This			
		Regulation should			
		support the			
		implementation of the			
		Union's association			
		agreements and deep			
		and comprehensive			
		free trade agreements			
		with countries in the			
		neighbourhood.			
		Differentiation and			
		enhanced mutual			
		ownership are the			
		hallmark of the			
		European			
		Neighbourhood			
		Policy, recognising			
		different levels of			
		engagement, and			
		reflecting the interests			
		of each country			
		concerning the nature			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	F • F • • • • • • • • • • • • • • • • • • •	and focus of its			
		partnership with the			
		Union. <i>The</i>			
		performance-based			
		approach is one of			
		the key tenets of the			
		European			
		Neighbourhood			
		Policy. In the case of			
		a serious or persistent			
		degradation of			
		democracy in one of			
		the partner countries,			
		support should be			
		suspended.			
		Neighbourhood			
		funding is a key lever			
		in addressing			
		common challenges,			
		such as irregular			
		migration and			
		climate change, as			
		well as in spreading			
		prosperity, security			
		and stability through economic			
		development and			
		better governance. The visibility of			
		Union assistance in			
		Onion assistance in			

the neighbourhood area should be enhanced. 30. (20) This Regulation should support the implementation of a modernised association agreement with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the Joint Africa in the Joint Africa, and a linc with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the Joint Africa-EU Strategy, 10. (20) This Regulation should support the implementation of a modernised association agreement with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the Joint Africa-EU Strategy and build on the future EU-ACP towards Africa, and a	Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
should support the implementation of a modernised association agreement with countries of the countries of the Africa, Caribbean and Africa, Caribbean and Pacific (ACP) Group and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. In particular, this particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the Joint Africa, and a modernised association agreement with countries of the african Union in line with the Joint Africa, Caribbean and Pacific (ACP) Group of States and allow the implementation of a modernised association agreement with countries of the african Union in line with the Joint Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the Joint Africa-EU Strategy and build on the future EU-ACP towards Africa, and a		proposai	area should be	negonanng manaare		
Affica-EO Strategy including the agreement after 2020, mutually beneficial	30.	should support the implementation of a modernised association agreement with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in	(20) This Regulation should support the implementation of a modernised association agreement with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key and shared global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the Joint Africa-	should support the implementation of a modernised association agreement with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the Joint Africa-EU Strategy and build	should support the implementation of a modernised association agreement with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. J In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the Joint Africa-EU Strategyand build on the future EU-ACP agreement after 2020, including through a continental approach	Provisionally closed

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	future EU-ACP agreement after 2020, including through a continental approach towards Africa.	Africa and the Union to promote children's rights as well as the empowerment of Europe's and Africa's youth, and build on the future EU-ACP agreement after 2020, including through a continental approach towards Africa, and a mutually beneficial partnership of equals between the EU and Africa.	continental approach towards Africa.	between the EU and Africa.	
				(20 bis) The Union should also seek to further develop relations and build partnerships with third countries in Asia and the Americas.	Provisionally closed
30. bis		(20a) This Regulation should also contribute to the trade-related aspects of the Union's external relations, such as cooperation with third countries		(20a) This Regulation should also contribute to the trade-related aspects of the Union's external relations including supply-chain due diligence in order to ensure consistency and	Provisionally closed

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	on supply chain due	negotiating mandate	mutual support between	
		diligence for tin,		Union trade policy and	
		tantalum and gold,		development goals and	
		the Kimberley		actions.	
		Process, the			
		Sustainability			
		Compact, the			
		implementation of			
		commitments under			
		Regulation (EU) No			
		978/2012 of the			
		European Parliament			
		and the Council ²⁵			
		(GSP Regulation),			
		cooperation under			
		the Forest Law			
		Enforcement,			
		Governance and			
		Trade (FLEGT) and			
		Aid for Trade			
		initiatives in order to			
		ensure consistency			
		and mutual			
		support between			
		Union trade policy			
		and development			
		goals and actions.			

Regulation (EU) No 978/2012 of the European Parliament and of the Council of 25 October 2012 applying a scheme of generalised tariff preferences and repealing Council Regulation (EC) No 732/2008 (OJ L 303, 31.10.2012, p. 1).

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		D
			(20 bis) The Union		Provisionally closed
			should seek to further		M 1 20
			develop relations and		Moved to line 30.
			build partnerships		
			with third countries,		
			including in Asia and		
31.	(21) The Union	(21) The Union	the Americas. (21) The Union should	(21) The Union should	Provisionally closed
31.	should seek the most	should seek the most	seek the most efficient	seek the most efficient	Frovisionally Closed
	efficient use of	efficient use of	use of available	use of available resources	
	available resources	available resources in	resources in order to		
				in order to optimise the	
	in order to optimise	order to optimise the	optimise the impact of its external action.	impact of its external action. That should be	
	the impact of its external action. That	impact of its external action. That should be	That should be		
	should be achieved			achieved through	
		achieved through	achieved through	coherence, consistency	
	through coherence and	coherence,	coherence,	and complementarity	
		consistency and	consistency and	among the Union's	
	complementarity	complementarity	complementarity	external financing	
	among the Union's	among the Union's	among the Union's	instruments, notably the Instrument for Pre-	
	external financing	external financing	external financing		
	instruments, notably	instruments, notably	instruments, notably	Accession Assistance	
	the Instrument for	the Instrument for	the Instrument for Pre-	III ²⁶ , the Humanitarian	
	Pre-Accession III ²⁶ ,	Pre-Accession III ²⁶ ,	Accession III ²⁶ , the	Aid Instrument ²⁷ , the	
	the Humanitarian	the Humanitarian Aid	Humanitarian Aid	Decision on Overseas	
		Instrument ²⁷ , the	Instrument ²⁷ , the	Countries and	

²⁶ COM (2018) 465 final Proposal for a Regulation of the European Parliament and of the Council establishing the Instrument for Pre-accession Assistance (IPA III)

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	Decision on Overseas	negotiating mandate	Tamitania 28 tha	
	Aid Instrument ²⁷ ,		Decision on Overseas	Territories ²⁸ , the	
	the Decision on	Countries and	Countries and	European Instrument for	
	Overseas Countries	Territories ²⁸²⁸ , the	Territories ²⁸ , the	Nuclear Safety to	
	and Territories ²⁸ , the	European Instrument	European Instrument	complement the	
	European Instrument	for Nuclear Safety to	for Nuclear Safety to	Neighbourhood,	
	for Nuclear Safety to	complement the	complement the	Development and	
	complement the	Neighbourhood,	Neighbourhood,	International Cooperation	
	Neighbourhood,	Development and	Development and	Instrument on the basis of	
	Development and	International	International	the Euratom Treaty ²⁹ , the	
	International	Cooperation	Cooperation	common foreign and	
	Cooperation	Instrument on the	Instrument on the	security policy including,	
	Instrument on the	basis of the Euratom	basis of the Euratom	where relevant, the	
	basis of the Euratom	Treaty ²⁹ , the common	Treaty ²⁹ , the common	Common Security and	
	Treaty ²⁹ , the	foreign and security	foreign and security	Defence Policy, and [the	
	common foreign and	policy and the newly	policy <i>including</i> ,	European Peace Facility ³⁰	
	security policy and	proposed European	where relevant, the	which is financed outside	
	the newly proposed	Peace Facility ³⁰ which	Common Security and	the Union budget], as well	
	European Peace	is financed outside the	Defence Policy, and	as the creation of	
	Facility ³⁰ which is	Union budget, as well	[the newly proposed	synergies with other	
	financed outside the	as the creation of	European Peace	Union policies and	
	Union budget, as	synergies with other	Facility ³⁰ which is	Programmes. This	

²⁷ Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

²⁸ COM(2018) 461 final Proposal for a Council Decision on the Association of the Overseas Countries and Territories with the European Union including relations between the European Union on the one hand, and Greenland and the Kingdom of Denmark on the other ('Overseas Association Decision').

²⁹ COM(2018) 462 final Proposal for a Council Regulation establishing a European Instrument for Nuclear Safety complementing the Neighbourhood, Development and International Cooperation Instrument on the basis of the Euratom Treaty.

C(2018) 3800 final Proposal of the High Representative of the Union for Foreign Affairs and Security Policy to the Council for a Council Decision establishing a European Peace Facility.

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	well as the creation	Union policies and	financed outside the	includes coherence and	
	of synergies with	Programmes,	Union budget], as well	complementarity with	
	other Union policies	including Trust	as the creation of	macro-financial	
	and Programmes.	Funds as well as	synergies with other	assistance, where	
	This includes	policies and	Union policies and	relevant. In order to	
	coherence and	programmes of the	Programmes. This	maximise the impact of	
	complementarity	EU Member States.	includes coherence	combined interventions to	
	with macro-financial	This includes	and complementarity	achieve a common	
	assistance, where	coherence and	with macro-financial	objective, this Regulation	
	relevant. In order to	complementarity with	assistance, where	should allow for the	
	maximise the impact	macro-financial	relevant. In order to	combination of funding	
	of combined	assistance, where	maximise the impact	with other Union	
	interventions to	relevant. In order to	of combined	Programmes, as long as	
	achieve a common	maximise the impact	interventions to	the contributions do not	
	objective, this	of combined	achieve a common	cover the same costs.	
	Regulation should	interventions to	objective, this		
	allow for the	achieve a common	Regulation should		
	combination of	objective, this	allow for the		
	funding with other	Regulation should	combination of		
	Union Programmes,	allow for the	funding with other		
	as long as the	combination of	Union Programmes, as		
	contributions do not	funding with other	long as the		
	cover the same	Union Programmes,	contributions do not		
	costs.	as long as the	cover the same costs.		
		contributions do not			
		cover the same costs.			
32.	(22) Funding from	(22) Funding from	(22) Building on its	(22) Building on its	Provisionally closed
	this Regulation	this Regulation should	success, this	success, this Regulation	
	should be used to	be used to finance	Regulation should	should enable the Union	
	finance actions	actions under the	enable the Union to	to further strengthen the	

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	under the	international	further strengthen the	external dimension of the	
	international	dimension of Erasmus	external dimension of	Erasmus programme.	
	dimension of	and Creative Europe,	the Erasmus	Funding from this	
	Erasmus, the	the implementation of	programme. Funding	Regulation should be used	
	implementation of	which should be done	from this Regulation	to finance actions under	
	which should be	according to	should be used to	the international	
	done according to	Regulation (EU)/	finance actions under	dimension of Erasmus,	
	the Erasmus	of the European	the international	the implementation of	
	Regulation ³¹ .	Parliament and of the	dimension of Erasmus,	which should be done	
		Council ('the	the implementation	according to the Erasmus	
		Erasmus	use of which should	Regulation ³¹ and in	
		Regulation') ³¹ and	be done according to	accordance with the	
		Regulation (EU)/	the Erasmus	programming document	
		of the European	Regulation ³¹ and in	adopted under this	
		Parliament and of the	accordance with the	Regulation.	
		Council ('the	programming	Programming under this	
		Creative Europe	document adopted	Regulation should fully	
		Regulation') 32 .	under this Regulation.	enhance the potential of	
			Programming under	Erasmus programme.	
			this Regulation		
			should fully enhance		
			the potential of		
			Erasmus programme.		
32. bis		(22a) The			Provisionally closed
		international			-

COM (2018) 367 final Proposal for a Regulation of the European Parliament and of the Council establishing 'Erasmus': the Union programme for education, training, youth and sport and repealing Regulation (EU) 1288/2013.

³² COM(2018)0366 Proposal for a Regulation of the European Parliament and of the Council establishing the Creative Europe programme (2021 to 2027) and repealing Regulation (EU) No 1295/2013.

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		dimension of the Erasmus Plus Programme should be boosted aiming at increasing opportunities for mobility and cooperation for individuals and organisations from less developed countries of the world - supporting capacity- building in third countries, skills' development, people- to-people exchanges, while offering a greater number of opportunities for cooperation and mobility with developed and emerging countries.			
				(22)Funding from this Regulation should be used to finance actions under the international dimension of Creative Europe in order to	Provisionally closed

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
				contribute to foster international cultural relations, and recognise the role of culture in promoting European values.	
32. ter		(22b) Considering the relevance of addressing education and culture in line with the 2030 Agenda for Sustainable Development and the EU strategy for international cultural relations, this Regulation should contribute to ensure inclusive and equitable quality education, promote life-long learning opportunities for all, foster international cultural relations, and recognise the role of culture in promoting European values through dedicated and		yuucs.	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		targeted actions designed to have a clear impact on the Union's role on the global scene.			
33.	(23) The main approach for actions financed under this Regulation should be through geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations. This general approach should be complemented by thematic programmes and by rapid response actions, where relevant.	(23) The main approach for actions financed under this Regulation should be through geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations, .This general approach while supporting thematic priorities such as human rights, civil society and sustainability. The objectives under the geographic and thematic programmes should be consistent and coherent with each other and should be	(23) The main approach for actions financed under this Regulation should be through geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations. This general approach should be complemented by thematic programmes and by rapid response actions, where relevant.	(23) The main approach for actions financed under this Regulation should be through geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations. This general approach should be complemented by thematic programmes and by rapid response actions, where relevant whilst ensuring the consistency and coherence of all programmes and actions {1}.	Provisionally closed pending agreement on governance {1} EP: In order to take account of the specificities of each programme, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission to supplement the provisions of this Regulation by setting out the Union's strategy, the priority areas, detailed objectives, the expected results, specific performance indicators and the specific financial allocation for each programme. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	complemented by thematic programmes and by rapid response actions, where relevant. Effective complementarity between the geographic, thematic and rapid response programmes and actions should be ensured. In order to take account of the specificities of each programme, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission to supplement the provisions of this Regulation by setting out the Union's strategy, the priority areas, detailed objectives, the expected results,	negotiating mandate		that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making ³⁴ . In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts. CL: No text

³⁴

OJ L 123, 12.5.2016, p. 1.

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		specific performance			
		indicators and the			
		specific financial			
		allocation for each programme. It is of			
		particular importance			
		that the Commission			
		carry out appropriate			
		consultations during			
		its preparatory work,			
		including at expert			
		level, and that those			
		consultations be			
		conducted in			
		accordance with the			
		principles laid down			
		in the			
		Interinstitutional			
		Agreement of 13			
		April 2016 on Better			
		Law-Making ³³ . In			
		particular, to ensure equal participation in			
		the preparation of			
		delegated acts, the			
		European Parliament			
		and the Council			
		receive all documents			

³³ *OJ L 123, 12.5.2016, p. 1.*

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.			
33. bis			its Member States should be united in diversity and working better together, using a variety of experiences and approaches, bearing in mind their comparative advantages. Therefore, the Union should foster inclusiveness and collaboration with Member States seeking to maximise added value and taking into account experience and	(23 bis) The Union's and Member States' development cooperation policies should complement and reinforce each other. The EU and its Member States should be united in diversity and working better together, using a variety of experiences and approaches, bearing in mind their comparative advantages. Therefore, the Union should foster inclusiveness and collaboration with Member States seeking to maximise added value	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
			capacities, thus reinforcing shared interests, values and common goals. In this regard, the Union and its Member States should also seek to promote the exchange of best practices, knowledge sharing and capacity-building amongst them.	and taking into account experience and capacities, thus reinforcing shared interests, values and common goals. In this regard, the Union and its Member States should also seek to promote the exchange of best practices, knowledge sharing and capacitybuilding amongst them.	
34.	(24) In line with the Consensus, the Union and its Member States should enhance joint programming to increase their collective impact by bringing together their resources and capacities. Joint programming should build on the partner countries' engagement, appropriation and	(24) In line with the Consensus, the Union and its Member States should enhance joint programming to increase their collective impact by bringing together their resources and capacities. Joint programming should build on the partner countries' engagement, appropriation and ownership. The Union	(24) In line with the Consensus, the Union and its Member States should enhance joint programming to increase their collective impact by bringing together their resources and capacities. Joint Programming should be promoted and strengthened, while being kept voluntary, flexible, inclusive, and tailored to the	(24) In line with the Consensus, the Union and its Member States should enhance joint programming to increase their collective impact by bringing together their resources and capacities. Joint Programming should be promoted and strengthened, while being kept voluntary, flexible, inclusive, and tailored to the country context, and allow for the replacement	Provisionally closed pending agreement on governance {1} EP: implementation application CL: implementation {2} EP: application N.B. Takes note of concept of joint implementation CL: implementation
	appropriation and ownership. The	ownership. The Union and its Member States	and tailored to the country context, and	of EU and Member States' programming	

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	Union and its Member States should seek to support partner countries through joint implementation, whenever appropriate.	should seek to support partner countries through joint implementation application, whenever appropriate. Joint application should be inclusive and open to all Union partners who agree and can contribute to a common vision, including Member States' agencies and their development financial institutions, local authorities, the private sector, civil society and academia.	allow for the replacement of EU and Member States' programming documents with EU Joint Programming documents. Joint programming should build on the partner countries' engagement, appropriation and ownership. The Union and its Member States should seek to support partner countries through joint implementation, whenever appropriate.	documents with EU Joint Programming documents. Joint programming should build on the partner countries' engagement, appropriation and ownership. The Union and its Member States should seek to support partner countries through joint {1}, whenever appropriate. Joint {2} should be inclusive and open to all Union partners who agree and can contribute to a common vision, including Member States' agencies and their development financial institutions, local authorities, the private sector, civil society and academia. (24 bis) The criteria used to establish partners' needs in the programming approach should be consistent with	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
				the transparency principles laid down in this Regulation.	
34. bis		(24a) In the case of a serious or persistent degradation of democracy, human rights and rule of law in one of the partner countries, support may, by means of a delegated act, be partially or fully suspended. The Commission should take due account of relevant European Parliament resolutions in its decision-making.			
34. ter		(24b) This Regulation should reconfirm nuclear safety as an important part of the Union external action and facilitate the objectives of cooperation (EU)			

Commission	EP position	Council partial	Possible compromise	Comments
proposui	/ of the European	negotiating manadic		
	Council ³⁵			
	('Regulation EINS').			
	Therefore, in the			
	event where a partner			
	country persistently			
	fails to respect the			
	basic nuclear safety			
	•			
	=			
	The state of the s			
	Commission proposal	proposal / of the European Parliament and of the Council ³⁵ ('Regulation EINS'). Therefore, in the event where a partner country persistently fails to respect the	megotiating mandate / of the European Parliament and of the Council ³⁵ ('Regulation EINS'). Therefore, in the event where a partner country persistently fails to respect the basic nuclear safety standards, such as provisions of the relevant international Conventions within the Framework of the IAEA, the Espoo and Aarhus Conventions and their subsequent amendments, the Treaty on the Non- Proliferation of Nuclear Weapons and the additional Protocols thereto, the commitments to implementation of stress tests and related measures, and	proposal / of the European Parliament and of the Council ³⁵ ('Regulation EINS'). Therefore, in the event where a partner country persistently fails to respect the basic nuclear safety standards, such as provisions of the relevant international Conventions within the Framework of the IAEA, the Espoo and Aarhus Conventions and their subsequent amendments, the Treaty on the Non- Proliferation of Nuclear Weapons and the additional Protocols thereto, the commitments to implementation of stress tests and related measures, and

Regulation (EU) .../... of the European Parliament and of the Council of... on... (OJ...)

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		cooperation specified			
		in Regulation EINS,			
		assistance under this			
		Regulation for the			
		country concerned should be			
		reconsidered and may			
		be suspended or			
		partly suspended.			
35.	(25) Whilst	(25) Whilst	(25) Whilst democracy	(25) Under this	Provisionally closed pending
	democracy and	democracy and human	and human rights,	Regulation, the Union	agreement on local authorities
	human rights,	rights and	including gender	should address human	
	including gender	fundamental	equality and women's	rights and	{1}
	equality and	<i>freedoms</i> , including	empowerment should	democratisation issues at	EP: and local authorities
	women's	the protection of	be reflected	all levels. Whilst	CL: no text
	empowerment	children, minorities,	throughout the	democracy and human	
	should be reflected	persons with	implementation of this	rights, including gender	
	throughout the	disabilities and	Regulation, Union	equality and women's	
	implementation of	LGBTI persons, as	assistance under the	empowerment should be	
	this Regulation,	well as gender	thematic programmes	reflected and	
	Union assistance	equality, and women's	for human rights and	mainstreamed throughout	
	under the thematic	women and girls'	democracy and civil	the implementation of this	
	programmes for	empowerment should	society organisations	Regulation, Union	
	human rights and	be <i>consistently</i>	should have a specific	assistance under the	
	democracy and civil	reflected and	complementary and	thematic programmes for	
	society organisations	mainstreamed	additional role by	human rights and	
	should have a	throughout the	virtue of its global	democracy and civil	
	specific	implementation	nature and its	society organisations {1}	
	complementary and	application of this	independence of	should have a specific	
	additional role by	Regulation, Union	action from the	complementary and	

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	virtue of its global	assistance under the	consent of the	additional role by virtue	
	nature and its	thematic programmes	governments and	of its global nature and its	
	independence of	for human rights and	public authorities of	independence of action	
	action from the	democracy and civil	the third countries	from the consent of the	
	consent of the	society organisations -	concerned. This role	governments and public	
	governments and	local authorities	should allow for	authorities of the third	
	public authorities of	should have a specific	cooperation and	countries concerned. This	
	the third countries	complementary and	partnership with civil	role should allow for	
	concerned.	additional role by	society, especially on	cooperation and	
		virtue of its global	sensitive human	partnership with civil	
		nature and its	rights and democracy	society, especially on	
		independence of	issues.	sensitive human rights	
		action from the		and democracy issues.	
		consent of the		The Union should pay	
		governments and		particular attention to	
		public authorities of		countries and urgency	
		the third countries		situations where human	
		concerned. In doing		rights and fundamental	
		so, the Union should		freedoms are most at risk	
		pay particular		and where disrespect for	
		attention to countries		those rights and	
		and urgency		freedoms is particularly	
		situations where		pronounced and	
		human rights and		systematic, in a flexible	
		fundamental		manner.	
		freedoms are most at			
		risk and where			
		disrespect for those			
		rights and freedoms			
		is particularly			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		pronounced and			
		systematic, as well as			
		to situations where			
		space for civil society			
		is at stake. The			
		Union's assistance			
		under this Regulation			
		should be designed in			
		such a way as to			
		allow for support to,			
		and cooperation and			
		partnership with civil			
		society on sensitive			
		and human rights			
		and democracy			
		issues, providing the			
		flexibility and			
		requisite reactivity to			
		respond to changing			
		circumstances, needs			
		of beneficiaries, or			
		periods of crisis, and			
		when necessary,			
		contributing to			
		capacity building of			
		civil society. In such			
		cases, the political			
		priorities should be to			
		promote respect for			
		international law and			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		to provide means of action to local civil society and other relevant human rights stakeholders in order to contribute to work that is carried out in very difficult circumstances. This Regulation should offer also the possibility for civil society organisations to receive small grants in a fast and efficient manner when necessary, in particular in the most difficult situations, such as those of fragility, crisis, and inter-community tensions.			
35. bis		(25a) In accordance with Articles 2, 3 and 21 TEU and Article 8 TFEU, the implementation of this Regulation should be guided by		(25 a) The {1} of this Regulation should be guided by the principles of gender equality, women and girls' empowerment, and should seek to protect	{1}EP: applicationCL: implementation{2} To be discussed under SRHR discussions:

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		the principles of gender equality, women and girls' empowerment, and should seek to protect and promote women's rights in line with the Gender Action Plan II, the Council Conclusions on Women Peace and Security of 10 December 2018, the Council of Europe Istanbul Convention and Goal 5 of the 2030 Agenda for Sustainable Development.		and promote women's rights. The Union should support organisations which are working on {2} combating gender-based violence and discrimination.	EP: promoting sexual and reproductive health and rights and on CL: no text CL notes this recital should be taken into account when the gender target is discussed
			(25 bis) EU Election Observation Missions should contribute to increase transparency and confidence in electoral processes, and provide an informed assessment of elections as well as recommendations for their further	(25 bis) EU Election Observation Missions should contribute to increase transparency and confidence in electoral processes, and provide an informed assessment of elections as well as recommendations for their further	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
			improvement, in the context of Union cooperation and political dialogue with	improvement, in the context of Union cooperation and political dialogue with partner	
35. ter		(25b) This Regulation should address and mainstream the promotion of women's rights and gender equality globally, including by supporting organisations which are working on promoting sexual and reproductive health and rights (access to quality and accessible information, education and services) and combating genderbased violence and discrimination, as well as recognising and addressing the close links between the issues of peace, security, development	partner countries.	countries.	Provisionally closed

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		and gender equality. This work should be coherent with, and promote the implementation of relevant international and European principles and conventions.			
			in the Council Conclusions of 26 November 2018 on the implementation of the EU Gender Action Plan II (GAP II) in 2017 ³⁶ : Strengthening gender equality and women's empowerment in EU external action, increased efforts to reach the five minimum standards of performance indicated by the GAP II should lead to a gender-sensitive and		To be discussed in relation with line 35 bis

Council Conclusions of 26 November 2018 on the implementation of the EU Gender Action Plan II (GAP II) in 2017

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposat		transformative approach in all EU external action and international cooperation. At least 85% of new actions implemented under this Regulation should score G1 or G2 on the Gender Equality Policy Marker of the OECD Development Assistance Committee (G-marker), and at least 5% of those actions should have gender equality and women's empowerment as a principal objective.		
35. quater			(25 quater) This Regulation recognizes that demographic growth and demographic shifts can have significant impact on development gains and economic	(25 quater) This Regulation recognises that demographic growth and demographic shifts can have significant impact on development gains and economic progress. In order to ensure that current and	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposition in the second seco		progress. It should support partners' efforts towards an integrated approach that minimises the challenges related to population growth and maximises the benefits of a demographic dividend, while respecting, protecting and fulfilling human rights as well as gender equality.	future generations will be able to achieve their full potential in a sustainable way, this Regulation should support partners' efforts towards an integrated approach that minimises the challenges related to population growth and maximises the benefits of a demographic dividend, while respecting each country's right to decide on its demographic policy and while respecting, protecting and fulfilling human rights as well as	
36.	(26) Civil society organisations should embrace a wide range of actors with different roles and mandates which includes all non-State, not-for-profit structures, non-partisan and non-violent, through	(26) Civil society organisations should embrace a wide range of actors with different multiple roles and mandates which includes all non-State, not-for-profit structures, non-partisan and non-violent structures,	(26) Civil society organisations should embrace a wide range of actors with different roles and mandates which includes all non-State, not-for-profit structures, non-partisan-independent and non-violent, through which people	gender equality. (26) Civil society organisations should embrace a wide range of actors with different multiple roles and mandates which includes all non-State, not-for- profit structures, non- partisan independent and non-violent structures, through which people	Provisionally closed pending agreement on governance and budgetary provisions {I} EP: "implementation application" CL: "implementation"

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	which people organise to pursue shared objectives and ideals, whether political, cultural, social or economic. Operating from the local to the national, regional and international levels, they comprise urban and rural, formal and informal organisations.	through which people organise to pursue shared objectives and ideals, whether political, cultural, social, of religious, environmental, economic or holding authorities to account. Operating from the local to the national, regional and international levels, they comprise urban and rural, formal and informal organisations. Other bodies or actors not specifically excluded by this Regulation should be able to be financed when it is necessary to achieve the objectives of this Regulation.	organise to pursue shared objectives and ideals, whether political, cultural, social or economic. Operating from the local to the national, regional and international levels, they comprise urban and rural, formal and informal organisations. This Regulation should provide EU support to civil society organisations in order to pursue the values, interests and objectives of the Union.	organise to pursue shared objectives and ideals, whether political, cultural, religious, environmental, social or economic. Operating from the local to the national, regional and international levels, they comprise urban and rural, formal and informal organisations. This Regulation should provide EU support to civil society organisations in order to pursue the values, interests and objectives of the Union. Civil society organisations should be duly consulted and have timely access to relevant information allowing them to be adequately involved and play a meaningful role during the design, {1} and associated monitoring processes of programmes. {2}	Will revert to {2} in context of discussions on budgetary provisions. {2} EP: Other bodies or actors not specifically excluded by this Regulation should be able to be financed when it is necessary to achieve the objectives of this Regulation. CL: No text

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposal		negotiating mandate	(26bis) Local authorities embrace a large variety of sub-national levels and branches of government, including municipalities, communities, districts, counties, provinces, regions and their associations. In line with the European Consensus on development, the Union should foster close consultation and association of local authorities, as well as their participation in contributing to sustainable development and to the implementation of the sustainable development goals at local level, in particular regarding democracy, the rule of law, fundamental freedoms and human rights, social justice and as providers of basic social services. The	Part of local authorities discussion. Agreed in principle in cluster 2. CL general caveat: acceptable if EP agrees not to set a dedicated thematic LA programme. EP general caveat: the compromises and modifications on LAs made at technical level are seen as working towards a possible compromise at political level. For this, the EP maintains its position of envisioning a dedicated CSO-LAs programme

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
				Union should recognise the multiple roles played by local authorities as promoters of a territorial approach to local development, including decentralisation processes, participation, and accountability. The Union should further enhance its support for local authorities' capacity building in order to strengthen their voice in the sustainable development process and advance political, social and economic dialogue, as well as promote decentralised cooperation.	
36. bis		(26a) In line with the Consensus for Development, the Union and its Member States should foster the participation of civil society organisations (CSOs) and local		(26a) This Regulation should foster the participation of civil society organisations in contributing to sustainable development and to the implementation of the SDGs inter alia in the	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		authorities (LAs) in		areas of democracy, the	
		contributing to		rule of law, fundamental	
		sustainable		freedoms and human	
		development and to		rights, social justice and	
		the implementation of		basic social services.	
		the SDGs, inter alia			
		in the sectors of			
		democracy, the rule			
		of law, fundamental			
		freedoms and human			
		rights, social justice			
		and as providers of			
		basic social services			
		to populations most			
		in need. They should			
		recognise the			
		multiple roles played			
		by CSOs and LAs, the			
		latter as promoters of			
		a territorial approach			
		to development,			
		including			
		decentralisation			
		processes,			
		participation,			
		oversight and			
		accountability. The			
		Union and its			
		Member States			
		should promote an			

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		operating space and enabling environment for CSOs, and further enhance their support for CSOs' and LAs' capacity building in order to strengthen their voice in the sustainable development process and advance political, social and economic dialogue, including through civil society facilities programmes.	V		
			(26 bis) Under this Regulation, the Union should address human rights and democratisation issues, including in partnership with civil society, at global, regional, national and local levels.		Provisionally closed Covered in line 35
36. ter		(26b) The Union should support civil society organisations			Provisionally closed

LIMITE 80 EN

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		and promote their greater strategic involvement in all external instruments and programmes, including geographical programmes and the rapid response actions under this Regulation, in keeping with the Council conclusions of 15 October 2012 on 'The roots of democracy and sustainable development: Europe's engagement with civil society in external relations'.			
			(26 ter) This Regulation should consider digital solutions and technologies as powerful enablers for sustainable development and inclusive growth, and		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			should contribute to		
			further promoting		
			digitalisation.		
37.	(27) This Regulation	(27) This Regulation	(27) This Regulation		
	lays down a	lays down a financial	lays down a financial		
	financial envelope	envelope for this	envelope for this		
	for this Instrument,	Instrument, which is	Instrument, which is to		
	which is to	to constitute the prime	constitute the prime		
	constitute the prime	reference amount,	reference amount,		
	reference amount,	within the meaning of	within the meaning of		
	within the meaning	point 17 of the	point 17 of the		
	of point 17 of the	Interinstitutional	Interinstitutional		
	Interinstitutional	Agreement of 2	Agreement of 2		
	Agreement of 2	December 2013	December 2013		
	December 2013	between the European	between the European		
	between the	Parliament, the	Parliament, the		
	European	Council and the	Council and the		
	Parliament, the	Commission on	Commission on		
	Council and the	budgetary discipline,	budgetary discipline,		
	Commission on	on cooperation in	on cooperation in		
	budgetary discipline,	budgetary matters and	budgetary matters and		
	on cooperation in	on sound financial	on sound financial		
	budgetary matters	management ³⁷ , for the	management ³⁷³⁷ , for		
	and on sound	European Parliament	the European		
	financial	and the Council	Parliament and the		
	management ³⁷ , for	during the annual	Council during the		
	the European	budgetary procedure.	annual budgetary		
	Parliament and the		procedure.		

³⁷ OJ C 373, 20.12.2013, p. 1.

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	Council during the				
	annual budgetary				
	procedure.				
38.	(28) Reflecting the	(28) Reflecting the	(28) Reflecting the		
	importance of	importance of tackling	importance of tackling		
	tackling climate	climate change,	climate change in line		
	change in line with	protecting the	with the Union		
	the Union	environment and	commitments to		
	commitments to	fight biodiversity loss,	implement the Paris		
	implement the Paris	in line with the Union	Agreement and the		
	Agreement and the	commitments to	United Nations		
	United Nations	implement the Paris	Sustainable		
	Sustainable	Agreement, the	Development Goals,		
	Development Goals,	Convention on	this Regulation should		
	this Regulation	Biological Diversity	contribute to		
	should contribute to	and the United	mainstream climate		
	mainstream climate	Nations Sustainable	action in the Union		
	action in the Union	Development Goals,	policies and to the		
	policies and to the	this Regulation should	achievement of an		
	achievement of an	contribute to	overall target of [25%]		
	overall target of	mainstream climate	of the Union budget		
	[25 %] of the Union	and environmental	expenditures		
	budget expenditures	action in the Union	supporting climate		
	supporting climate	policies and to the	objectives. Actions		
	objectives. Actions	achievement of an the	under this Regulation		
	under this	overall target of 25 %	are expected to		
	Regulation are	of the Union budget	contribute		
	expected to	expenditures	[DELETED] of its		
	contribute [25%] of	supporting climate	overall financial		
	its overall financial	objectives and	envelope to climate		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	envelope to climate	support actions with	objectives. Relevant		
	objectives. Relevant	clean and identifiable	actions will be		
	actions will be	co-benefits across	identified during the		
	identified during the	sectors. Actions under	implementation of this		
	implementation of	this Regulation are	Regulation, and the		
	this Regulation, and	expected to contribute	overall contribution		
	the overall	25 % 45 % of its	from this Regulation		
	contribution from	overall financial	should be part of		
	this Regulation	envelope to climate	relevant <i>monitoring</i> , evaluations and review		
	should be part of relevant evaluations	objectives, environmental	processes.		
	and review	management and	processes.		
	processes.	protection,			
	processes.	biodiversity and			
		combatting			
		desertification, of			
		which 30 % of the			
		overall financial			
		envelope should be			
		dedicated to climate			
		change mitigation			
		and adaptation.			
		Relevant actions will			
		be identified during			
		the implementation			
		<i>application</i> of this Regulation, and the			
		overall contribution			
		from this Regulation			
		should be part of			
		should be part of			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	ргорози	relevant evaluations	negonaing manaare		
		and review processes.			
		Union action in this			
		area should favour			
		the adherence to the			
		Paris Agreement and			
		to the Rio			
		Conventions, and not			
		contribute to			
		environmental			
		degradation or cause			
		harm to the			
		environment or			
		climate. Actions and			
		measures			
		contributing to			
		meeting the target on			
		climate shall put			
		special emphasis on			
		support for			
		adaptation to climate			
		change in poor, highly vulnerable			
		countries, and should			
		take into			
		consideration the			
		relation between			
		climate, peace and			
		security, women's			
		empowerment and			

LIMITE 85 EN

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		the fight against poverty. This Regulation should contribute to the sustainable management of natural resources and promote sustainable and secure mining, forest management			
38. bis		and agriculture.	(28 bis) Funding allocated in the context of this Regulation should be coherent with the long term temperature goal set out in the Paris Agreement of holding the increase in the global average temperature to well below 2°C above preindustrial levels and pursuing efforts to limit the temperature increase to 1.5°C.		
38. ter			(28 ter) The funding allocated in the context of this		

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			Regulation should be		
			subject to the OECD		
			methodology (Rio		
			markers) to track the		
			expenditure related to		
			environmental		
			management and		
			protection, climate		
			action, desertification		
38. quater			and biodiversity.		
36. quater			(28 quater) Particular attention should be		
			given to actions that		
			create co-benefits and		
			meet multiple		
			objectives, including for climate,		
			biodiversity and the		
			environment.		
39.	(29) It is essential to	(29) It is essential to	(29) It is essential to		
37.	, ,	` '	` '		
	further step up	further step up	further step up		
	cooperation on	Cooperation on	cooperation on migration with partner		
	migration with	migration with partner countries, reaping the	countries while		
	partner countries,	benefits of well			
	reaping the benefits	managed and regular	respecting		
	of well-managed		competences of the		
	and regular	can lead to mutually	Member States,		
	migration and	benefiting from	reaping the benefits of		
	effectively	orderly, safe and	well-managed and		
	addressing irregular	responsible migration	regular migration and		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	migration. Such	and <i>to</i> effectively	negotiating mandate effectively addressing		
	cooperation should	addressing irregular	irregular migration.		
	contribute to	migration and forced	Such cooperation		
		displacement. Such	should contribute to		
	ensuring access to international	cooperation should	ensuring access to		
		contribute to	international		
	protection, addressing the root				
	C	facilitating safe and	protection, addressing the root causes of		
	causes of irregular	legal pathways for			
	migration,	migration and	irregular migration		
	enhancing border	asylum, ensuring	and forced		
	management and	access to international	displacement,		
	pursuing efforts in	protection, addressing	enhancing border		
	the fight against	the root causes of	management and		
	irregular migration,	irregular migration	pursuing efforts in the		
	trafficking in human	and forced	fight against to		
	beings and migrant	displacement,	prevent irregular		
	smuggling, and	engaging with	migration, and forced		
	working on returns,	diasporas, enhancing	displacement, fighting		
	readmission and	border management	against trafficking in		
	reintegration where	and pursuing efforts	human beings and		
	relevant, on the	in the fight against	migrant smuggling,		
	basis of mutual	addressing irregular	and working on		
	accountability and	migration, trafficking	returns, readmission		
	full respect of	in human beings and	and reintegration		
	humanitarian and	migrant smuggling,	where relevant, on the		
	human rights	and working on safe,	basis of mutual		
	obligations.	dignified and	accountability and full		
	Therefore, third	sustainable returns,	respect of		
	countries' effective	readmission and	humanitarian and		
	cooperation with the	reintegration where	human rights		

LIMITE 88 EN

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	Union in this area	relevant, in a conflict-	obligations. Therefore,		
	should be an integral	sensitive manner, on	third countries'		
	element in the	the basis of mutual	effective cooperation		
	general principles of	accountability, and in	with the Union in this		
	this Regulation. An	full respect of	area should be an		
	increased coherence	humanitarian and	integral element in the		
	between migration	human rights	general principles of		
	and development	obligations .	this Regulation. An		
	cooperation policies	Therefore, third	increased coherence		
	is important to	countries' effective	between migration		
	ensure that	cooperation with the	and, development		
	development	under international	cooperation and other		
	assistance supports	and Union in this area	<i>external</i> policies is		
	partner countries to	should be an integral	important to ensure		
	manage migration	element in the general	that development the		
	more effectively.	principles of this	Union's external		
	This Regulation	Regulation. An	assistance supports		
	should contribute to	increased law.	partner countries to		
	a coordinated,	Coherence between	manage migration		
	holistic and	migration and	more effectively. This		
	structured approach	development	Regulation should		
	to migration,	cooperation policies is	contribute to a		
	maximising the	important to ensure	coordinated, holistic		
	synergies and	that development	and structured		
	applying the	assistance supports	approach to migration,		
	necessary leverage.	partner countries to	maximising the		
		manage fight poverty	synergies and applying		
		and inequality,	the necessary leverage.		
		promote rights and			
		freedoms, as well as			

LIMITE 89
EN

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		contribute to an orderly, safe and responsible migration more effectively management. This Regulation should contribute to a coordinated, holistic and structured approach to migration, maximising the synergies and applying the necessary leverage the positive impact of migration and mobility on development.			
40.	(30) This Regulation should enable the Union to respond to challenges, needs and opportunities related to migration, in complementarity with Union migration policy. To contribute to that end, and without	(30) This Regulation should enable the Union to respond to challenges, needs and opportunities related to migration, in complementarity with Union migration policy and development policies. To contribute to that	(30) This Regulation should enable the Union-to, in cooperation with Member States, to comprehensively respond to challenges, needs and opportunities related to migration, in and forced displacement		

LIMITE 90 EN

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	prejudice to	end, in order to	in coherence and		
	unforeseen	maximise the	complementarity with		
	circumstances, 10%	contribution of	Union migration		
	of its financial	migration to	policy. To contribute		
	envelope is expected	development, and	to that end, and		
	to be dedicated to	without prejudice to	without prejudice to		
	addressing the root	unforeseen	unforeseen		
	causes of irregular	eireumstances new	circumstances, at least		
	migration and forced	emerging challenges	10% of its the		
	displacement and to	or new needs, a	financial envelope is		
	supporting migration	maximum of 10 % of	expected to of this		
	management and	its financial envelope	Regulation should be		
	governance	is expected to be	dedicated <i>particularly</i>		
	including the	dedicated to	to actions supporting		
	protection of	addressing the root	management and		
	refugees and	causes of irregular	governance of		
	migrants' rights	migration and forced	migration and forced		
	within the objectives	displacement and to	displacement, within		
	of this Regulation.	supporting	the objectives of this		
		strengthened	Regulation. In		
		engagement to	addition this target		
		facilitate safe,	should also include		
		orderly, regular and	actions to addressing		
		responsible migration	the root causes of		
		management and the	irregular migration		
		implementation of	and forced		
		planned and well-	displacement and to		
		managed migration	supporting migration		
		policies and	management and		
		governance, including	governance including		

LIMITE 91 EN

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
		the protection of	the protection of		
		refugees and migrants'	refugees and migrants'		
		rights based on	rights within the		
		international and	objectives of this		
		<i>Union law</i> within the	Regulation. when they		
		objectives of this	directly target specific		
		Regulation. <i>This</i>	challenges, related to		
		Regulation should	migration and forced		
		also contribute to	displacement.		
		addressing the brain-	Migration-related		
		drain phenomenon	actions under this		
		and to help support	Regulation, as needed		
		the needs of displaced	through its		
		people and host	geographic and		
		communities, in	thematic programmes		
		particular through	and rapid response		
		the provision of	actions should build		
		access to basic	on the experience		
		services and	gained during the		
		livelihoods	implementation of the		
		opportunities.	European Agenda on		
			Migration and the Multiannual		
			Financial Framework		
			2014-2020, in particular the		
			-		
			European Union Emergency Trust		
			Fund for stability and		
			addressing root		
			addressing root		

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			causes of irregular		
			migration and		
			displaced persons in		
			Africa, to build		
			comprehensive		
			partnerships. EU		
			support should take		
			into account the		
			development benefits		
			of regular migration		
			and contribute to the		
			effective		
			implementation of EU		
			agreements and		
			dialogues on		
			migration with third		
			countries by		
			encouraging		
			cooperation through a		
			flexible incitative		
			approach. A facility		
			should enable to		
			address ongoing and		
			emerging migration		
			challenges within this		
			Regulation using all		
			appropriate		
			components through		
			flexible funding while		
			respecting its		

LIMITE 93 EN

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			financial envelopes		
			and relying on their		
			flexible		
			implementation.		
			These actions should		
			be implemented in full		
			respect of		
			international law,		
			including		
			international human		
			rights and refugee		
			law, EU and national		
			competences. The		
			Commission should		
			develop and use a		
			robust and		
			transparent tracking		
			system to measure		
			such expenditure and		
			to report on it.		
40. bis		(30a) Information		(30a) This Regulation	Provisionally closed
		and communication		should consider digital	
		technologies (ICT)		solutions and	
		and services are		information and	
		proven enablers of		communication	
		sustainable		technologies as powerful	
		development and		enablers for sustainable	
		inclusive growth.		development and	
		They can be key to		inclusive growth, and	
		improving citizens'		should contribute to	

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposat	lives even in the poorest countries, in particular by empowering women and girls, enhancing democratic governance and transparency, and boosting productivity and job creation. Nevertheless, connectivity and affordability remain a problem both across and within regions, since there are large variations between high and lower income countries and between cities and rural areas. This regulation should therefore help the Union to further mainstream digitalisation into the Union development policies.	negotiating manatate	further promoting digitalisation.	
40. ter		(30b) The 2030			
		Agenda for			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		Sustainable	8 8		
		Development,			
		adopted by a			
		Resolution of the			
		United Nations			
		General Assembly on			
		25 September 2015,			
		underlined the			
		importance of			
		promoting peaceful			
		and inclusive			
		societies both as a			
		Sustainable			
		Development Goal			
		(SDG) 16 and in			
		order to achieve other			
		development policy outcomes. SDG 16.a			
		specifically requests to 'Strengthen			
		relevant national			
		institutions, including			
		through international			
		cooperation, for			
		building capacities at			
		all levels, in			
		particular in			
		developing countries,			
		to prevent violence			

LIMITE 96 EN

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposai	and combat terrorism	negotiating manadie		
		and crime'.			
40. quater		(30c) In the High			
-		Level Meeting			
		Communiqué of 19			
		February 2016, the			
		Development			
		Assistance Committee			
		of the Organisation			
		for Economic			
		Cooperation and			
		Development updated			
		the reporting			
		directives on Official			
		Development			
		Assistance in the field			
		of peace and security.			
		The financing of the			
		actions undertaken in accordance with this			
		Regulation			
		constitutes Official			
		Development			
		Assistance when it			
		fulfils the criteria set			
		out in those reporting			
		directives or any			
		subsequent reporting			
		directives, upon			
1		which the			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		Development			
		Assistance Committee			
		is able to agree.			
40. quin-quies	3	(30d) The capacity			
		building in support of			
		development and			
		security for			
		development should			
		be used in			
		exceptional cases			
		only, where the			
		objectives of the			
		Regulation cannot be			
		met by other			
		development			
		cooperation activities.			
		Giving support to			
		security sector actors			
		in third countries,			
		including, under			
		exceptional			
		circumstances, the			
		military, in a conflict			
		prevention, crisis			
		management or			
		stabilisation context			
		is essential to ensure			
		appropriate			
		conditions for poverty			
		eradication and			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		development. Good governance, effective democratic control and civilian oversight of the security system, including of the military, as well as compliance with human rights and the rule of law principles are essential attributes of a well-functioning State in any context, and should be promoted through a wider security sector reform support to third countries.			
40. sexies		(30e) This Regulation should build on the conclusions of the evaluation by the Commission requested for June 2020, including a wide-ranging, multistakeholder public consultation, assessing the			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
40. septies		coherence of capacity building in support of development and security for development within the security-development nexus funded by the Union and its Member States with the Global Strategy and the UN Sustainable Development Goals. (30f) The Union should also promote a conflict-sensitive and gender-sensitive approach in all actions and programmes under this Regulation, with the aim of avoiding negative impacts and maximising positive		(30f) The Union should also promote a conflict-sensitive and gendersensitive approach in all actions and programmes under this Regulation.	Provisionally closed To be aligned with recital on gender
41.	(31) Horizontal financial rules adopted by the European Parliament and the Council on	(31) Horizontal financial rules adopted by the European Parliament and the Council on the	(31) Horizontal financial rules adopted by the European Parliament and the Council on the basis of		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	the basis of Article	basis of Article 322 of	Article 322 of the		
	322 of the Treaty on	the Treaty on the	Treaty on the		
	the Functioning of	Functioning of the	Functioning of the		
	the European Union	European Union	European Union		
	should apply to this	<i>TFEU</i> should apply	should apply to this		
	Regulation. These	to this Regulation.	Regulation. These		
	rules are laid down	These rules are laid	rules are laid down in		
	in the Financial	down in <i>Regulation</i>	the Financial		
	Regulation and	(EU, Euratom)	Regulation and		
	determine in	2018/1046 of the	determine in particular		
	particular the	European Parliament	the procedure for		
	procedure for	and of the Council ³⁸	establishing and		
	establishing and	("the Financial	implementing the		
	implementing the	Regulation") and	budget through grants,		
	budget through	determine in	procurement, prizes,		
	grants, procurement,	particular the	indirect		
	prizes, indirect	procedure for	implementation,		
	implementation,	establishing and	financial assistance,		
	financial assistance,	implementing the	budget support, trust		
	budget support, trust	budget through grants,	funds, financial		
	funds, financial	procurement, prizes,	instruments and		
	instruments and	indirect	budgetary guarantees,		
	budgetary	implementation,	and provide for checks		
	guarantees, and	financial assistance,	on the responsibility of		
	provide for checks	budget support, trust	financial actors. [Rules		

Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	on the responsibility	funds, financial	adopted on the basis of		
	of financial actors.	instruments and	Article 322 TFEU also		
	Rules adopted on the	budgetary guarantees,	concern the protection		
	basis of Article 322	and provide for	of the Union's budget		
	TFEU also concern	checks on the	in case of generalised		
	the protection of the	responsibility of	deficiencies as regards		
	Union's budget in	financial actors. Rules	the rule of law in		
	case of generalised	adopted on the basis	Member States and		
	deficiencies as	of Article 322 TFEU	third countries, as the		
	regards the rule of	also concern the	respect for the rule of		
	law in Member	protection of the	law is essential for		
	States and third	Union's budget in case	sound financial		
	countries, as the	of generalised	management and		
	respect for the rule	deficiencies as regards	effective EU funding.]		
	of law is essential	the rule of law in			
	for sound financial	Member States and			
	management and	third countries, as the			
	effective EU	respect for the rule of			
	funding.	law is essential for			
		sound financial			
		management and			
		effective EU funding.			
42.	(32) The types of	(32) The types of	(32) The types of		
	financing and the	financing and the	financing and the		
	methods of	methods of	methods of		
	implementation	implementation	implementation under		
	under this	application under this	this Regulation should		
	Regulation should	Regulation should be	be chosen on the basis		
	be chosen on the	chosen on the basis of	of their ability to		
	basis of their ability	partner's needs,	achieve the specific		

LIMITE 102 EN

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	to achieve the	preferences and	objectives of the		
	specific objectives	specific context, their	actions and to deliver		
	of the actions and to	relevance,	results, taking into		
	deliver results,	sustainability and	account, in particular,		
	taking into account,	ability to <i>comply with</i>	the costs of controls,		
	in particular, the	the development	the administrative		
	costs of controls, the	effectiveness	burden, and the		
	administrative	principles, achieve	expected risk of non-		
	burden, and the	the specific objectives	compliance. This		
	expected risk of	of the actions and to	should include		
	non-compliance.	deliver results, taking	consideration of the		
	This should include	into account, in	use of lump sums, flat		
	consideration of the	particular, the costs of	rates and unit costs, as		
	use of lump sums,	controls, the	well as financing not		
	flat rates and unit	administrative burden,	linked to costs as		
	costs, as well as	and the expected risk	referred to in		
	financing not linked	of non-compliance.	Article 125(1) of the		
	to costs as referred	This should include	Financial Regulation.		
	to in Article 125(1)	consideration of the			
	of the Financial	use of lump sums, flat			
	Regulation.	rates and unit costs, as			
		well as financing not			
		linked to costs as			
		referred to in Article			
		125(1) of the			
		Financial Regulation.			
		The role of the			
		European			
		Endowment for			
		Democracy (EED) as			

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		a foundation			
		mandated through			
		the European			
		institutions for the			
		support of			
		democracy, civil			
		society and human			
		rights worldwide			
		should be			
		strengthened and			
		increased under this			
		Regulation. The EED			
		should be given the administrative			
		flexibility and the			
		financial			
		opportunities to			
		disburse targeted			
		grants to civil society			
		actors in the			
		European			
		Neighbourhood			
		standing for the			
		implementation of the			
		European			
		Neighbourhood			
		Policy, in particular			
		where it concerns the			
		development of			
		democracy, human			

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	wights functions	negotiating mandate		
		rights, free elections and the rule of law.			
43.	(33) The new	(33) The new	(33) The new		
43.		` '	` '		
	European Fund for	European Fund for	European Fund for		
	Sustainable	Sustainable	Sustainable		
	Development Plus	Development Plus	Development Plus		
	('EFSD+'), building	('EFSD+'), building	('EFSD+'), building		
	on its successful	on its successful	on its successful		
	predecessor, the	predecessor, the	predecessor, the		
	EFSD ³⁹ , should	EFSD ³⁹ , should	EFSD ³⁹ , should		
	constitute an	constitute an	constitute an		
	integrated financial	integrated financial	integrated financial		
	package supplying	package supplying	package supplying		
	financing capacity in		financing capacity in		
	the form of grants,	the form of grants,	the form of grants,		
	budgetary	budgetary guarantees	budgetary guarantees		
	guarantees and	and financial	and financial		
	financial instruments	instruments	instruments		
	worldwide. The	worldwide. The	worldwide. The		
	EFSD+ should	EFSD+ should	EFSD+ should support		
	support the External	support the External	become one of the		
	Investment Plan and	Investment Plan and	<i>pillars of</i> the External		
	combine blending	combine blending and	Investment Plan (EIP)		
	and budgetary	budgetary guarantee	and should be		
	guarantee operations	operations covered by	complemented by		
	covered by the	the External Action	pillar 2 and 3 of the		
	External Action	Guarantee, including	EIP. The EFSD+		

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	Guarantee, including	those covering	should combine		
	those covering	sovereign risks	blending and		
	sovereign risks	associated with	budgetary guarantee		
	associated with	lending operations,	operations covered by		
	lending operations,	previously carried out	the External Action		
	previously carried	under the external	Guarantee, including		
	out under the	lending mandate to	those covering		
	external lending	the European	sovereign risks		
	mandate to the	Investment Bank.	associated with		
	European	Given its role under	lending operations,		
	Investment Bank.	the Treaties and its	previously carried out		
	Given its role under	experience over the	under the external		
	the Treaties and its	last decades in	lending mandate to the		
	experience over the	supporting Union	European Investment		
	last decades in	policies, the European	Bank (EIB). Given its		
	supporting Union	Investment Bank	role under the Treaties		
	policies, the	should remain a	and its experience over		
	European	natural partner for the	the last decades in		
	Investment Bank	Commission for the	supporting Union		
	should remain a	implementation	policies, the European		
	natural partner for	application of	Investment Bank		
	the Commission for	operations under the	should remain a		
	the implementation	External Action	natural partner for the		
	of operations under	Guarantee. <i>Other</i>	Commission for the		
	the External Action	Multilateral	implementation of		
	Guarantee.	Development Banks	operations under the		
		(MDBs) or EU	External Action		
		National	Guarantee.		
		Development Banks	Programming should		
		(NDBs) also have	lead to an appropriate		

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		skills and capital which can add significant value to the impact of Union development policy and their participation under the EFSD+ should therefore also be strongly promoted through this Regulation.	balance between blending and budgetary guarantee operations with the purpose of maximising development impact. The EFSD+ should be composed of regional investment platforms within the areas covered by this Regulation and, should also cover, where relevant, the areas under Regulation [IPA III]. Its implementation should be supported by a strategic board. The EFSD+ should be implemented through an open and collaborative investment architecture to ensure an optimal use of the sectoral and geographic expertise of eligible		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			counterparts and		
			maximise its		
			development impact.		
			In order to ensure an		
			independent,		
			impartial, inclusive		
			and transparent risk		
			management of the		
			EFSD+, a technical		
			assessment group,		
			open to experts from		
			the EIB, other eligible		
			counterparts and		
			interested Member		
			States, organised and		
			led by the		
			Commission should		
			be established. The		
			conclusions of		
			analysis done by the		
			technical assessment		
			group should be		
			shared in a timely		
			manner with all		
			Member States, with		
			due regard to		
			confidentiality issues.		
			The Commission and		
			all selected eligible		
			counterparts,		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			including the EIB,		
			after consulting and		
			taking into account		
			the advice of the		
			technical risk		
			assessment group,		
			should conclude and		
			sign guarantee		
			agreements and		
			should present the key		
			elements of these		
			agreements to the		
			strategic board.		
43. bis			(33 bis) Given its role		
			under the Treaties		
			and its experience		
			over the last decades		
			in supporting Union		
			policies, the EIB		
			should remain a		
			natural partner for		
			the Commission for		
			the implementation of		
			operations under the		
			External Action		
			Guarantee. The EIB		
			and the Commission		
			should enhance their		
			cooperation and		
			coordination		

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			throughout the		
			implementation of the		
			External Action		
			Guarantee under the		
			EFSD+, including		
			during the		
			programming process		
			and in the field. To		
			this end, the EIB		
			should implement		
			dedicated investment		
			windows for an		
			indicative volume of		
			[EUR 30 000 million]		
			of the External Action		
			Guarantee for the		
			entire Multiannual		
			Financial		
			Framework, which		
			should constitute the		
			dedicated mandates		
			required by the EIB to		
			operate outside the		
			EU. These dedicated		
			windows should		
			support the provision		
			of a comprehensive		
			risk cover for		
			operations with		
			sovereign and non-		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			commercial sub-		
			sovereign		
			counterparts and for		
			operations with		
			commercial sub-		
			sovereign		
			counterparts as well		
			as political risk cover		
			for private sector		
			operations aiming at		
			the promotion of the		
			internationalisation of		
			partner countries'		
			economies, including		
			through inward		
			foreign direct		
			investments, as well		
			as other Union		
			thematic priorities in		
			support of the		
			objectives of this		
			Regulation and in line		
			with the Sustainable		
			Development Goals,		
			including, but not		
			limited to European		
			Union Development		
			Finance Institutions		
			and European Union		
			private sector entities.		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			The EU guarantee		
			shall only cover the		
			political risk of the		
			private sector		
			operations. Sub-		
			sovereign operations		
			shall be considered		
			commercial unless		
			otherwise duly		
			justified by the EIB		
			The dedicated part of		
			the External Action		
			Guarantee supporting		
			sovereign and non-		
			commercial sub-		
			sovereign operations		
			may be non-		
			remunerated due to its		
			nature and policy		
			objectives, and should		
			be exclusive except		
			for operations in		
			countries where the		
			EIB decides not to or		
			cannot work. The		
			amounts for each of		
			the dedicated windows		
			should be		
			substantiated and		
			confirmed as a result		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
			of the programming		
			process at the		
			beginning of the		
			Multiannual		
			Financial Framework		
			and during the		
			reviews of		
			programming. The		
			objectives, priorities		
			and amounts for each		
			of the dedicated		
			windows and their		
			implementation		
			should ensure full		
			policy alignment with		
			the EU's priorities		
			and comply with the		
			present Regulation		
			and the relevant		
			multi-annual		
			indicative		
			programmes,		
			including its		
			geographic and		
			thematic priorities.		
			The implementation		
			of the operations		
			under these dedicated		
			windows should be in		
			line with the EIB's		

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposut		rules, policies and procedures, including EIB internal governance rules. The risk assessment and remuneration methodology under EFSD+ should be consistently applied to all investment windows, including those dedicated to the EIB, in order to ensure a level playing field.		
44.	(34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic and social development and promoting the socio-	(34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic, cultural and social development and promoting the socio- economic resilience in	(34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic and social development and promoting the socio- economic resilience in partner countries with		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	economic resilience	partner countries with	a particular focus on		
	in partner countries	a particular focus on	the eradication of		
	with a particular	the eradication of	poverty, sustainable		
	focus on the	poverty, <i>preventing</i>	and inclusive growth,		
	eradication of	conflict and the	the creation of decent		
	poverty, sustainable	promotion of	jobs, economic		
	and inclusive	peaceful, just and	opportunities, skills		
	growth, the creation	inclusive societies,	and entrepreneurship,		
	of decent jobs,	sustainable and	socioeconomic		
	economic	inclusive growth	sectors, micro, small		
	opportunities, skills	economic progress,	and medium-sized		
	and	tackling climate	enterprises,		
	entrepreneurship,	change through	sustainable		
	socioeconomic	mitigation and	connectivity, the fight		
	sectors, micro, small	adaptation,	against climate		
	and medium-sized	environmental	change in line with		
	enterprises as well	degradation, the	the Paris Agreement		
	as addressing	creation of decent	and the management		
	specific	jobs in compliance	and protection of the		
	socioeconomic root	with relevant ILO	environment, gender		
	causes of irregular	standards and	equality and the		
	migration, in	economic	empowerment of		
	accordance with the	opportunities, in	women and young		
	relevant indicative	particular for women,	people, as well as		
	programming	young and vulnerable	addressing specific		
	documents. Special	people. Emphasis	socioeconomic root		
	attention should be	should be placed on	causes of irregular		
	given to countries	providing inclusive	migration and root		
	identified as	and equitable quality	causes of forced		
	experiencing	education, and the	displacement, in		

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	fragility or conflict,	development of skills	accordance with the		
	Least Developed	and entrepreneurship	relevant indicative		
	Countries and	by strengthening	programming		
	heavily indebted	educational and	documents. The		
	poor countries.	cultural structures,	implementation of the		
		including for	EFSD+ should		
		children in	comply with the		
		humanitarian	objectives, principles		
		emergencies and	and policy framework		
		situations of forced	of this Regulation		
		displacement. It	and, where relevant,		
		should also aim at	Regulation [IPA III]		
		supporting a stable	in particular the		
		investment	applicable		
		environment,	internationally agreed		
		industrialisation,	guidelines, principles		
		socioeconomic	and conventions on		
		sectors, cooperatives,	investment, including		
		social enterprises, micro, small and	the Principles for Responsible		
		medium-sized	Investment, UN		
		enterprises as well as	Guiding Principles on		
		addressing	Business and Human		
		strengthening	Rights, OECD		
		democracy the rule of	Guidelines for		
		law and human	Multinational		
		rights, the lack of	Enterprises, the UN		
		which often	Food and Agriculture		
		constitute the specific	Organization's		
		socioeconomic root	Principles for		

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposui	causes of irregular	Responsible		
		migration and forced	Investment in		
		displacement, in	Agriculture and Food		
		accordance with the	Systems,		
		relevant indicative	International Labour		
		programming	Organization		
		documents. Special	conventions,		
		attention should be	international human		
		given to countries	rights law and the		
		identified as	development		
		experiencing fragility	effectiveness		
		or conflict, Least	principles as set out in		
		Developed Countries	the Busan		
		and heavily indebted	Partnership for		
		poor countries. A	Effective		
		special focus should	Development		
		be placed also to	Cooperation and		
		improve the delivery	reaffirmed in Nairobi		
		of essential public	in 2016, including		
		basic services, food	ownership, alignment,		
		security, and to	focus on results,		
		improve the quality of	transparency and		
		life of rapidly	mutual		
		growing urban	accountability, as well		
		populations,	as the objective of		
		including through	untying aid. Special		
		adequate, safe and	attention should be		
		affordable housing.	given to countries		
		The EFSD+ should	identified as		
		encourage for-	experiencing fragility		

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		profit/non-profit partnerships as a means of guiding private sector investments towards sustainable development and poverty eradication. The strategic involvement of civil society organisations and of Union Delegations in partner countries should also be promoted at all stages of the project cycle, to help finding tailor- made solutions for promoting the socioeconomic development of communities, job creation, and new business opportunities. Investments should be based on conflict analysis, focus on the root causes of	or conflict, Least Developed Countries, small island developing states, landlocked developing countries and heavily indebted poor countries.		

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		conflict, fragility and instability, maximising the potential for fostering	Ŭ U		
		peace and minimising the risks of exacerbating conflicts.			
45.	(35) The EFSD+ should maximise additionality of funding, address market failures and sub-optimal investment situations, deliver innovative products and 'crowd-in' private sector funds. Involvement of the private sector in the Union's cooperation with partner countries through the EFSD+ should yield measurable and additional development impact without distorting	(35) The EFSD+ should maximise additionality of funding, address market failures and sub-optimal investment situations, deliver innovative products and 'crowd- in' private sector funds to optimise the contribution of private finance to local sustainable development. Involvement of the private sector in the Union's cooperation with partner countries through the EFSD+ should yield	(35) The EFSD+ should maximise additionality of funding, address market failures and sub-optimal investment situations, support local public entities in scaling up and financing autonomously their investment, deliver innovative products and 'crowd-in' private sector funds. Involvement of the private sector including micro, small and medium- sized enterprises, in the Union's		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	should be cost-	additional	partner countries		
	effective based on	development impact	through the EFSD+		
	mutual	in full respect of the	should yield		
	accountability and	environment and	measurable and		
	risk and cost	local communities'	additional		
	sharing. The EFSD+	rights and livelihoods	development impact		
	should operate as a	and without distorting	without distorting the		
	'one-stop-shop',	the <i>local</i> market and	market and should be		
	receiving financing	unfairly competing	cost-effective based on		
	proposals from	with local economic	mutual accountability		
	financial institutions	actors. It should be	and risk and cost		
	and public or private	cost-effective based	sharing. The EFSD+		
	investors and	on mutual	should operate as a		
	delivering a wide	accountability and	'one-stop-shop',		
	range of financial	risk and cost sharing.	receiving financing		
	support to eligible	The EFSD+, while	proposals from		
	investments.	based on adequate	financial institutions		
		accountability and	and public or private		
		transparency criteria,	investors and		
		should operate as a	delivering a wide		
		'one-stop-shop',	range of financial		
		receiving financing	support to eligible		
		proposals from	investments. <i>The</i>		
		financial institutions	leveraging effect of		
		and public or private	EFSD+ should be		
		investors and	evaluated measuring		
		delivering a wide	the mobilisation of		
		range of financial	additional funding for		
		support to eligible	sustainable		
		investments.	development by using		

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate EFSD+ financial support. The leverage effect should be measured according to the definition laid down in Article 2(38) of the Financial Regulation and international rules and practices for measuring the amounts mobilised from the private sector by official development finance interventions, such as the OECD-DAC methodologies.		
45. bis		(35a) An EU guarantee for the sovereign investments operations in the public sector shall form part of the EFSD+. That EU guarantee shall not be extended to sovereign investment operations that involve on-lending to	J		

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		the private sector or lending to, or for the benefit of, subsovereign entities that can access subsovereign financing without sovereign guarantees. In order to assist capacity planning by the EIB, a minimum guaranteed volume of such sovereign investments operations shall be allocated to the EIB.			
46.	(36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the Guarantee Fund for external actions. The External Action Guarantee should support the EFSD+ operations covered by budgetary	(36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the Guarantee Fund for external actions. The External Action Guarantee should support the EFSD+ operations covered by budgetary guarantees, macro-financial	(36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the Guarantee Fund for external actions. The External Action Guarantee should support the EFSD+ operations covered by budgetary guarantees, macro-financial		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	-	negotiating mandate	-	
	guarantees, macro-	assistance and loans	assistance and loans to		
	financial assistance	to third countries on	third countries on the		
	and loans to third	the basis of Council	basis of Council		
	countries on the	Decision	Decision		
	basis of Council	77/270/Euratom ⁴⁰ .	77/270/Euratom ⁴⁰ .		
	Decision	These operations	These operations		
	77/270/Euratom ⁴⁰ .	should be supported	should be supported		
	These operations	by appropriations	by appropriations		
	should be supported	under this Regulation,	under this Regulation,		
	by appropriations	together with those	together with those		
	under this	under Regulation	under Regulation (EU)		
	Regulation, together	(EU) No/ of the	No/ (IPA III) and		
	with those under	European Parliament	Regulation (EU) No		
	Regulation (EU) No	and of the Council ⁴¹	/ (EINS), which		
	/ (IPA III) and	('IPA III Regulation')	should also cover the		
	Regulation (EU) No	and Regulation EINS,	provisioning and		
	/ (EINS), which	which should also	liabilities arising from		
	should also cover	cover the provisioning	macro-financial		
	the provisioning and	and liabilities arising	assistance loans and		
	liabilities arising	from macro-financial	loans to third countries		
	from macro-	assistance loans and	referred to in Article		
	financial assistance	loans to third	10(2) of Regulation		
	loans and loans to	countries referred to	EINS, respectively.		
	third countries	in Article 10(2) of	When funding EFSD+		
	referred to in Article	Regulation EINS,	operations, priority		
	10(2) of Regulation	respectively. When	should be given to		

Council decision 77/270/EURATOM of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Regulation (EU) .../... of the European Parliament and of the Council of ... on ... (OJ...).

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	2 11 5505	negotiating mandate		
	EINS, respectively.	funding EFSD+	those which <i>ensure</i>		
	When funding	operations, priority	additionality and		
	EFSD+ operations,	should be given to	achieve the highest		
	priority should be	those which have a	development impact,		
	given to those which	high impact on decent	including those which		
	have a high impact	job creation and	have a high impact on		
	on job creation and	<i>livelihoods</i> and whose	job creation and whose		
	whose cost-benefit	cost-benefit ratio	cost-benefit ratio		
	ratio enhances the	enhances the	enhances the		
	sustainability of	sustainability of	sustainability of		
	investment. The	investment and which	investment. The		
	operations supported	provide the highest	operations supported		
	with the External	guarantees of	with the External		
	Action Guarantee	sustainability and	Action Guarantee		
	should be	long-term	should be		
	accompanied by an	development impact	accompanied by an in-		
	in-depth ex ante	throughout local	depth ex ante		
	assessment of	ownership. The	assessment of		
	environmental,	operations supported	environmental,		
	financial and social	with the External	financial and social		
	aspects, as	Action Guarantee	aspects, as appropriate		
	appropriate and in	should be	and in line with the		
	line with the better	accompanied by an	better regulation		
	regulation	in-depth ex ante	requirements. The		
	requirements. The	assessment of	External Action		
	External Action	environmental,	Guarantee should not		
	Guarantee should	financial and social	be used to provide		
	not be used to	aspects, as appropriate	essential public		
	provide essential	and including the	services, which		
	public services,	impact on human			

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	which remains a	rights and livelihoods	remains a government		
	government	of affected	responsibility.		
	responsibility.	communities and the			
		impact on			
		inequalities and the			
		identification of ways			
		to address those			
		inequalities in line			
		with the better			
		regulation			
		requirements and			
		taking due account of			
		the principle of free			
		and prior informed			
		consent (FPIC) of			
		affected communities			
		in land-related investments. The			
		External Action			
		Guarantee should not			
		be used to provide			
		essential public services, which			
		· ·			
		remains a government			
		responsibility. <i>Ex- post impact</i>			
		assessments should			
		also happen to measure the			
		development impact			

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposai	of the EFSD+	negotiating manadie		
		operations.			
47.	(37) In order to	(37) In order to	(37) In order to		
	provide for	provide for flexibility,	provide for flexibility,		
	flexibility, increase	increase the	increase the		
	the attractiveness for	attractiveness for the	attractiveness for the		
	the private sector	private sector,	private sector and		
	and maximise the	promote fair	maximise the impact		
	impact of the	competition and	of the investments a		
	investments a	maximise the impact	derogation from the		
	derogation from the	of the investments a	rules related to the		
	rules related to the	derogation from the	methods of		
	methods of	rules related to the	implementation of the		
	implementation of	methods of	Union budget, as laid		
	the Union budget, as	implementation of the	down in the Financial		
	laid down in the	Union budget, as laid	Regulation, should be		
	Financial	down in the Financial	provided as regards		
	Regulation, should	Regulation, should be	the eligible		
	be provided as	provided as regards	counterparts. Those		
	regards the eligible	the eligible	eligible counterparts		
	counterparts. Those	counterparts. Those	could also be bodies		
	eligible counterparts	eligible counterparts	which are not		
	could also be bodies	could also be bodies	entrusted with the		
	which are not	which are not	implementation of a		
	entrusted with the	entrusted with the	public-private		
	implementation of a	implementation of a	partnership and could		
	public-private	public-private	also be bodies		
	partnership and	partnership and could	governed by the		
	could also be bodies	also be bodies	private law of a		
	governed by the	governed by the	partner country.		

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	private law of a	private law of a	<i>g</i>		
	partner country.	partner country.			
48.	(38) In order to	(38) In order to	(38) In order to		
	increase the impact	increase the impact of	increase the impact of		
	of the External	the External Action	the External Action		
	Action Guarantee,	Guarantee, Member	Guarantee, Member		
	Member States and	States and contracting	States and contracting		
	contracting parties	parties to the	parties to the		
	to the Agreement on	Agreement on the	Agreement on the		
	the European	European Economic	European Economic		
	Economic Area	Area should have the	Area should have the		
	should have the	possibility of	possibility of		
	possibility of	providing	providing		
	providing	contributions in the	contributions in the		
	contributions in the	form of cash or a	form of cash or a		
	form of cash or a	guarantee.	guarantee.		
	guarantee.	Contribution in the	Contribution in the		
	Contribution in the	form of a guarantee	form of a guarantee		
	form of a guarantee	should not exceed	should not exceed		
	should not exceed	50 % of the amount of	50% of the amount of		
	50% of the amount	operations guaranteed	operations guaranteed		
	of operations	by the Union. The	by the Union. The		
	guaranteed by the	financial liabilities	financial liabilities		
	Union. The financial	arising from this	arising from this		
	liabilities arising	guarantee should not	guarantee should not		
	from this guarantee	be provisioned and	be provisioned and the		
	should not be	the liquidity cushion	liquidity cushion		
	provisioned and the	should be provided by	should be provided by		
	liquidity cushion	the common	the common		
	should be provided	provisioning fund.	provisioning fund.		

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	by the common		negotiating manaate		
	provisioning fund.				
49.	(39) External actions	(39) External actions	(39) External actions		
.,.	are often	are often implemented	are often implemented		
	implemented in a	applied in a highly	in a highly volatile		
	highly volatile	volatile environment	environment requiring		
	environment	requiring continuous	continuous and rapid		
	requiring continuous	and rapid adaptation	adaptation to the		
	and rapid adaptation	to the evolving needs	evolving needs of		
	to the evolving	of Union partners and	Union partners and to		
	needs of Union	to global challenges to	global challenges to		
	partners and to	human rights <i>and</i>	human rights,		
	global challenges to	fundamental	democracy and good		
	human rights,	freedoms, democracy	governance, security		
	democracy and good	and good governance,	and stability, climate		
	governance, security	security and stability,	change and		
	and stability, climate	climate change and	environment, oceans,		
	change and	environment, oceans,	and the migration		
	environment,	and the migration,	erisiscrises and its		
	oceans, and the	erisis and including	their root causes.		
	migration crisis and	its root causes such as	Reconciling the		
	its root causes.	poverty and	principle of		
	Reconciling the	inequality, and the	predictability with the		
	principle of	impact of the	need to react rapidly to		
	predictability with	increasing number of	new needs		
	the need to react	displaced persons,	consequently means		
	rapidly to new needs	especially on	adapting the financial		
	consequently means	developing countries.	implementation of the		
	adapting the	Reconciling the	programmes. To		
	financial	principle of	increase the ability of		
	minimicial	principle of	mercase the ability of		

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	_	negotiating mandate	_	
	implementation of	predictability with the	the EU to respond to		
	the programmes. To	need to react rapidly	unforeseen needs,		
	increase the ability	to new needs	building on the		
	of the EU to respond	consequently means	successful experience		
	to unforeseen needs,	adapting the financial	of the European		
	building on the	implementation	Development Fund		
	successful	application of the	(EDF), an a significant		
	experience of the	programmes. To	amount should be left		
	European	increase the ability of	unallocated as an		
	Development Fund	the EU to respond to	emerging challenges		
	(EDF), an amount	unforeseen needs not	and priorities cushion.		
	should be left	covered by	It should be mobilised		
	unallocated as an	programmes and	in accordance with the		
	emerging challenges	programming	procedures established		
	and priorities	documents, building	in this Regulation.		
	cushion. It should be	on the successful			
	mobilised in	experience of the			
	accordance with the	European			
	procedures	Development Fund			
	established in this	(EDF), an a pre-			
	Regulation.	defined amount			
		should be left			
		unallocated as an			
		emerging challenges			
		and priorities cushion.			
		It should be mobilised			
		in duly justified cases			
		<i>in</i> accordance with			
		the procedures			

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposat	established in this Regulation.	negotating manate		
50.	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation for other policies, namely carryovers and recommitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries, thus maximising the Union funds available for the Union's external action interventions.	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation for other policies, namely carryovers and recommitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries, thus maximising the Union funds available for the Union's external action interventions.	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation for other policies, Inamely carryovers and re-commitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries I, thus maximising the Union funds available for the Union's external action interventions.		
50. bis			(40 bis) Capacity building of military		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate actors in third		
			countries should be		
			undertaken as part of		
			the Union's		
			development		
			cooperation policy		
			when it mainly		
			pursues objectives in		
			the field of		
			development and as		
			part of the Union's		
			CFSP when it mainly		
			pursues objectives in		
			the field of peace and		
			security, in		
			compliance with		
			Article 40 of the		
			Treaty on European		
			Union. This		
			Regulation respects		
			the application of the		
			procedures and the		
			extent of the powers of the institutions		
			under the Union's		
			development		
			cooperation policy		
			and the Union's		
			CFSP.		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
51.	(41) Pursuant to	(41) Pursuant to	(41) The [Council	(41) The [Council	Provisionally closed
	Article 83 of	Article 83 of Council	Decision on the	Decision on the Overseas	
	Council Decision	Decision/	Overseas Association,	Association, including	
	/ (OCTs),	(OCTs), persons and	including Greenland	Greenland/ (EU)]	
	persons and entities	entities established in	/ (EU) lays down	lays down the financial	
	established in	overseas countries and	the financial envelope	envelope for the	
	overseas countries	territories should be	for the Association of	Association of the OCTs	
	and territories	eligible for funding	the OCTs with the	with the Union. This	
	should be eligible	under this Regulation,	Union]. This financial	financial envelope is the	
	for funding under	subject to its rules and	envelope is the main	main source of funding	
	this Regulation,	objectives and	source of funding for	for OCTs. [Pursuant to	
	subject to its rules	possible arrangements	OCTs. [Pursuant to	Article 83 of Council	
	and objectives and	applicable to the	Article 83 of Council	Decision/ (OCTs)J,	
	possible	Member State to	Decision/	persons and entities	
	arrangements	which the relevant	(OCTs)], persons and	established in overseas	
	applicable to the	overseas country or	entities established in	countries and territories	
	Member State to	territory is linked.	overseas countries and	should be eligible for	
	which the relevant	Moreover,	territories should be	funding under this	
	overseas country or	cooperation between	eligible for funding	Regulation, subject to its	
	territory is linked.	the partner countries	under this Regulation,	rules and objectives and	
	Moreover,	and the overseas	subject to its rules and	possible arrangements	
	cooperation between	countries and	objectives and	applicable to the Member	
	the partner countries	territories as well as	possible arrangements	State to which the	
	and the overseas	the Union outermost	applicable to the	relevant overseas country	
	countries and	regions under Article	Member State to	or territory is linked.	
	territories as well as	349 of the Treaty on	which the relevant	Moreover, cooperation	
	the Union outermost	the Functioning of the	overseas country or	between the partner	
	regions under	European Union	territory is linked.	countries and the overseas	
	Article 349 of the	TFEU should be	Moreover, cooperation	countries and territories as	
	Treaty on the		between the partner	well as the Union	

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	Functioning of the European Union should be encouraged in areas of common interest.	encouraged in areas of common interest.	countries and the overseas countries and territories as well as the Union outermost regions under Article 349 of the Treaty on the Functioning of the European Union should be encouraged in areas of common interest.	outermost regions under Article 349 of the Treaty on the Functioning of the European Union should be encouraged in areas of common interest.	
52.	(42) In order to enhance partner countries' ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own institutions and of partner countries' systems and procedures for all aspects of the project cycle for cooperation.	enhance partner countries' democratic ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own institutions, resources, expertise and of partner countries' systems and procedures for all aspects of the project cycle for cooperation	(42) In order to enhance partner countries' ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own institutions and of partner countries' systems and procedures for all aspects of the project cycle for cooperation.	(42) In order to enhance partner countries' ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own institutions, capacities, expertise and of partner countries' systems and procedures for all aspects of the project cycle for cooperation while ensuring the full involvement of local governments and civil society. The Union	Provisionally closed

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		while ensuring local		should make available	
		resources and		information and training	
		expertise and the full		on how to apply for	
		involvement of local		Union funding to	
		governments and civil		potential beneficiaries of	
		society. The Union		Union funding.	
		should also provide			
		training programmes			
		on how to apply for			
		Union funding to			
		local authorities' civil			
		servants and civil			
		society organisations			
		with the aim of			
		helping them to			
		enhance the			
		eligibility and			
		efficiency of their			
		projects. These			
		programmes should			
		be carried out in the			
		countries concerned,			
		be available in the			
		language of the			
		country and			
		complement any			
		distance learning			
		programmes also			
		established, in order			
		to ensure a targeted			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		training responding to the needs of that country.			
				(42 bis) Communication fosters democratic debate, reinforces institutional control and scrutiny over Union funding, and contributes to boosting the credibility of the Union. The Union and the beneficiaries of Union funding should enhance the visibility of the Union's action, and communicate adequately on the added value of Union's support. In this regard, in accordance with the Financial Regulation, agreements concluded with recipients of Union funding should contain obligations ensuring appropriate visibility and the Commission should act in an appropriate and timely manner when	Provisionally closed

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
				these obligations are not	
				met.	
53.	(43) Annual or multi-annual action plans and measures referred to in Article	(43) Annual or multi- annual action plans and measures referred to in Article 19	(43) Annual or multi- annual action plans and measures referred to in Article 19		
	19 constitute work programmes under the Financial	constitute work programmes under the Financial Regulation.	constitute work programmes under the Financial Regulation.		
	Regulation. Annual or multi-annual action plans consist of a set of measures	Annual or multi- annual action plans consist of a set of measures grouped into	Annual or multi- annual action plans consist of a set of measures grouped into		
	grouped into one document.	one document.	one document.		
54.	(44) In accordance with the Financial Regulation, Regulation (EU, Euratom) No	(44) In accordance with the Financial Regulation, Regulation (EU, Euratom) No	(44) In accordance with the Regulation (EU, Euratom) No 2018/1046 of the European Parliament		
	883/2013 of the European Parliament	883/2013 of the European Parliament	and of the Council ⁴⁷ (the ''Financial		

Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	and of the Council ⁴² ,	and of the Council ⁴²	Regulation"),		
	Council Regulation	Council Regulation	Regulation (EU,		
	(EC, Euratom) No	(EC, Euratom) No	Euratom) No		
	2988/95 ⁴³ , Council	2988/95 ⁴³ , Council	883/2013 of the		
	Regulation	Regulation (Euratom,	European Parliament		
	(Euratom, EC) No	EC) No 2185/96 ⁴⁴ and	and of the Council ⁴² ,		
	2185/96 ⁴⁴ and	Council Regulation	Council Regulation		
	Council Regulation	$(EU) 2017/1939^{45}$, the	(EC, Euratom, EC) No		
	(EU) 2017/1939 ⁴⁵ ,	financial interests of	2988/95 ⁴³ , Council		
	the financial	the Union are to be	Regulation (Euratom,		
	interests of the	protected through	EC) No 2185/964444		
	Union are to be	effective and	and Council		
	protected through	proportionate	Regulation (EU)		
	effective and	measures, including	$2017/1939^{45}$, the		
	proportionate	the prevention,	financial interests of		
	measures, including	detection, correction	the Union are to be		
	the prevention,	and investigation of	protected through		
	detection, correction	irregularities,	effective and		
	and investigation of	including fraud, the	proportionate		
	irregularities,	recovery of funds lost,	measures, including		
	including fraud, the	wrongly paid or	the prevention,		

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p.1)

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Council Regulation (EC, Euratom) No 2988/95 of 18 December 1995 on the protection of the European Communities financial interests (OJ L 312, 23.12.95, p.1).

Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the
 Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p.2)
 OJ L 283, 31.10.2017, p.1.

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate	_	
	recovery of funds	incorrectly used and,	detection, correction		
	lost, wrongly paid or	where appropriate, the	and investigation of		
	incorrectly used and,	imposition of	irregularities,		
	where appropriate,	administrative	including fraud, the		
	the imposition of	sanctions. In	recovery of funds lost,		
	administrative	particular, in	wrongly paid or		
	sanctions. In	accordance with	incorrectly used and,		
	particular, in	Regulation (EU,	where appropriate, the		
	accordance with	Euratom) No	imposition of		
	Regulation (EU,	883/2013 and	administrative		
	Euratom) No	Regulation (Euratom,	sanctions. In		
	883/2013 and	EC) No 2185/96 the	particular, in		
	Regulation	European Anti-Fraud	accordance with		
	(Euratom, EC) No	Office (OLAF) may	Regulation (EU,		
	2185/96 the	carry out	Euratom) No		
	European Anti-	administrative	883/2013 and		
	Fraud Office	investigations,	Regulation (Euratom,		
	(OLAF) may carry	including on-the-spot	EC) No 2185/96, the		
	out administrative	checks and	European Anti-Fraud		
	investigations,	inspections, with a	Office (OLAF) may		
	including on-the-	view to establishing	carry out		
	spot checks and	whether there has	administrative		
	inspections, with a	been fraud, corruption	investigations,		
	view to establishing	or any other illegal	including on-the-spot		
	whether there has	activity affecting the	checks and		
	been fraud,	financial interests of	inspections, with a		
	corruption or any	the Union. In	view to establishing		
	other illegal activity	accordance with	whether there has been		
	affecting the	Regulation (EU)	fraud, corruption or		
	financial interests of	2017/1939, the	any other illegal		

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Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	_	negotiating mandate	-	
	the Union. In	European Public	activity affecting the		
	accordance with	Prosecutor's Office	financial interests of		
	Regulation (EU)	may investigate and	the Union. In		
	2017/1939, the	prosecute fraud and	accordance with		
	European Public	other criminal	Regulation (EU)		
	Prosecutor's Office	offences affecting the	2017/1939, the		
	may investigate and	financial interests of	European Public		
	prosecute fraud and	the Union, as	Prosecutor's Office		
	other criminal	provided for in	(''the EPPO'') may		
	offences affecting	Directive (EU)	investigate and		
	the financial	2017/137146 of the	prosecute fraud and		
	interests of the	European Parliament	other criminal		
	Union, as provided	and of the Council. In	offences affecting		
	for in Directive (EU)	accordance with the	against the Union's		
	2017/1371 ⁴⁶ of the	Financial Regulation,	financial interests-of		
	European Parliament	any person or entity	the Union, as provided		
	and of the Council.	receiving Union funds	for in Directive (EU)		
	In accordance with	is to fully cooperate in	2017/1371 of the		
	the Financial	the protection of the	European Parliament		
	Regulation, any	Union's financial	and of the Council ⁴⁶ .		
	person or entity	interests and grant the	In accordance with the		
	receiving Union	necessary rights and	Financial Regulation,		
	funds is to fully	access to the	any person or entity		
	cooperate in the	Commission, OLAF	receiving Union funds		
	protection of the	and the European	is to fully cooperate in		
	Union's financial	Court of Auditors, and	the protection of the		
	interests and grant	to ensure that any	Union's financial		

Directive (EU) 2017/1371 of the European Parliament and of the Council of 5 July 2017 on the fight against fraud to the Union's financial interests by means of criminal law (OJ 198, 28.7.2017, p.29)

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	the necessary rights	third parties involved	interests-and, to grant		
	and access to the	in the implementation	the necessary rights		
	Commission, OLAF	of Union funds grant	and access to the		
	and the European	equivalent rights; for	Commission, OLAF,		
	Court of Auditors,	this reason,	the EPPO in respect		
	and to ensure that	agreements with third	of those Member		
	any third parties	countries and	States participating in		
	involved in the	territories and with	enhanced cooperation		
	implementation of	international	pursuant to		
	Union funds grant	organisations, and any	Regulation (EU)		
	equivalent rights; for	contract or agreement	2017/1939, and the		
	this reason,	resulting from the	European Court of		
	agreements with	implementation of this	Auditors (ECA), and		
	third countries and	Regulation should	to ensure that any third		
	territories and with	contain provisions	parties involved in the		
	international	expressly empowering	implementation of		
	organisations, and	the Commission, the	Union funds grant		
	any contract or	Court of Auditors and	equivalent rights;.		
	agreement resulting	OLAF to conduct	$\mathbf{f}\mathbf{F}$ or this reason,		
	from the	such audits, on-the-	agreements with third		
	implementation of	spot checks and	countries and		
	this Regulation	inspections, according	territories and with		
	should contain	to their respective	international		
	provisions expressly	competences and	organisations, and any		
	empowering the	ensuring that any third	contract or agreement		
	Commission, the	parties involved in the	resulting from the		
	Court of Auditors	implementation of	implementation of this		
	and OLAF to	Union funding grant	Regulation, should		
	conduct such audits,	equivalent rights.	contain provisions		
	on-the-spot checks		expressly empowering		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	and inspections,		the Commission, the		
	according to their		Court of Auditors and		
	respective		OLAF to conduct such		
	competences and		audits, on-the-spot		
	ensuring that any		checks and		
	third parties		inspections, according		
	involved in the		to their respective		
	implementation of		competences and		
	Union funding grant		ensuring that any third		
	equivalent rights.		parties involved in the		
			implementation of		
			Union funding grant		
			equivalent rights.		
54. bis		(44a) In order to			
		contribute to the			
		international fight			
		against tax fraud, tax			
		evasion, fraud,			
		corruption and			
		money laundering all			
		financing through			
		this Regulation			
		should be provided in			
		a completely			
		transparent manner.			
		Furthermore, the			
		eligible counterparts			
		should not support			
		any activities carried			
		out for illegal			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		purposes nor participate in any financing or investment operation through a vehicle located in a non- cooperative jurisdiction or in a tax haven. Counterparts should also refrain from making any use of tax avoidance or aggressive tax			
55.	(45) In order to ensure uniform conditions for the implementation of the relevant provisions of this Regulation, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with Regulation (EU) No	planning schemes. (45) In order to ensure uniform conditions for the implementation of the relevant provisions of this Regulation, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with Regulation (EU) No 182/201148 of the	(45) In order to ensure uniform conditions for the implementation of the relevant provisions of this Regulation, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with Regulation (EU) No 182/2011 ⁴⁸ of the European Parliament and of the Council.		

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	182/2011 ⁴⁸ of the	European Parliament	negotiating manaate		
	European Parliament	and of the Council.			
	and of the Council.	und of the council.			
56.	(46) In order to	(46) In order to	(46) In order to		
	supplement or	supplement or non-	supplement or amend		
	amend non-essential	essential elements of	non-essential elements		
	elements of this	this Regulation, the	of this Regulation, the		
	Regulation, the	power to adopt acts in	power to adopt acts in		
	power to adopt acts	accordance with	accordance with		
	in accordance with	Article 290 TFEU	Article 290 of the		
	Article 290 of the	should be delegated	Treaty on the		
	Treaty on the	to the Commission in	Functioning of the		
	Functioning of the	respect of setting out	European Union		
	European Union	the Union's strategy,	should be delegated to		
	should be delegated	the priority areas,	the Commission in		
	to the Commission	detailed objectives,	respect of the		
	in respect of the	the expected results,	provisioning rates laid		
	provisioning rates	specific performance	down in Article 26(3),		
	laid down in Article	indicators and the	the areas of		
	26(3), the areas of	specific financial	cooperation and		
	cooperation and	allocation and	intervention listed in		
	intervention listed in	cooperation	Annexes II, III and IV,		
	Annexes II, III and	modalities for each	the priority areas of		
	IV, the priority areas	geographic and	the EFSD+ operations		

Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p.13).

EP position: Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p.13).

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	of the EFSD+	thematic programme,	listed in Annex V, the		
	operations listed in	as well as for action	governance of the		
	Annex V, the	plans and measures	EFSD+ in Annex VI,		
	governance of the	not based on	to review or		
	EFSD+ in Annex	programming	complement the		
	VI, to review or	documents	indicators in Annex		
	complement the	establishing a human	VII where considered		
	indicators in Annex	rights operational	necessary and to		
	VII where	framework,	supplement this		
	considered	establishing a risk	Regulation with		
	necessary and to	management	provisions on the		
	supplement this	framework, deciding	establishment of a		
	Regulation with	on the needs not	monitoring and		
	provisions on the	covered by	evaluation framework.		
	establishment of a	programmes or			
	monitoring and	programming			
	evaluation	documents, deciding			
	framework.	on the suspension of			
		assistance,			
		establishing the			
		performance-based			
		approach framework,			
		establishing the			
		provisioning rates,			
		establishing a			
		monitoring and			
		evaluation			
		framework and			
		extending the scope			
		of actions to			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	p. op oswe	countries and			
		territories not covered			
		by this Regulation. In			
		order to amend non-			
		essential elements of			
		this Regulation, the			
		power to adopt acts in			
		accordance with			
		Article 290 of the			
		Treaty on the			
		Functioning of the			
		European Union			
		TFEU should be			
		delegated to the			
		Commission in			
		respect of the			
		provisioning rates laid			
		down in Article 26(3),			
		to the areas of			
		cooperation and			
		intervention listed in			
		Annexes II, III and			
		IV, the priority areas			
		of the EFSD+			
		operations and the			
		investment windows			
		listed in Annex V, the			
		governance of the			
		EFSD+ in Annex VI,			
		to review or			

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal	complement as well	negotiating mandate		
		as the indicators listed			
		in Annex VII where			
		considered necessary			
		and to supplement this			
		Regulation with			
		provisions on the			
		establishment of a			
		monitoring and			
		evaluation framework.			
57.	(47) Pursuant to	(47) Pursuant to	(47) Pursuant to		
	paragraph 22 and 23	paragraph 22 and 23	paragraph 22 and 23		
	of the Inter-	of the Inter-	of the Inter-		
	institutional	institutional	institutional agreement		
	agreement for Better	agreement for Better	for Better Law-		
	Law-Making of 13	Law-Making of 13	Making of 13 April		
	April 2016 ⁴⁹ , there	April 2016 ⁴⁹ , there is	2016^{49} , there is a need		
	is a need to evaluate	a need to evaluate this	to evaluate this		
	this Programme on	Programme on the	Programme on the		
	the basis of	basis of information	basis of information		
	information	collected through	collected through		
	collected through	specific monitoring	specific monitoring		
	specific monitoring	requirements, while	requirements, while		
	requirements, while	avoiding	avoiding		
	avoiding	overregulation and	overregulation and		
	overregulation and	administrative	administrative		
i	administrative	burdens, in particular	burdens, in particular		

Interinstitutional Agreement between the European Parliament, the Council of the European Union and the European Commission on Better Law-Making of 13 April 2016; OJ L 123, 12.5.2016, p. 1–14.

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	burdens, in	on Member States.	on Member States.		
	particular on	These requirements,	These requirements,		
	Member States.	where appropriate,	where appropriate, can		
	These requirements,	ean should include	should include		
	where appropriate,	measurable indicators,	measurable indicators,		
	can include	as a basis for	as a basis for		
	measurable	evaluating the effects	evaluating the effects		
	indicators, as a basis	of the Programme on	of the Programme on		
	for evaluating the	the ground. It is of	the ground. It is of		
	effects of the	particular importance	particular importance		
	Programme on the	that the Commission	that the Commission		
	ground. It is of	carry out appropriate	carry out appropriate		
	particular	consultations during	consultations during		
	importance that the	its preparatory work,	its preparatory work,		
	Commission carry	including at expert	including at expert		
	out appropriate	level with relevant	level, and that those		
	consultations during	stakeholders such as	consultations be		
	its preparatory work,	civil society and	conducted in		
	including at expert	<i>experts</i> , and that those	accordance with the		
	level, and that those	consultations be	principles laid down in		
	consultations be	conducted in	the Interinstitutional		
	conducted in	accordance with the	Agreement on Better		
	accordance with the	principles laid down	Law-Making of 13		
	principles laid down	in the	April 2016. In		
	in the	Interinstitutional	particular, to ensure		
	Interinstitutional	Agreement on Better	equal participation in		
	Agreement on Better	Law-Making of 13	the preparation of		
	Law-Making of 13	April 2016. In	delegated acts, the		
	April 2016. In	particular, to ensure	European Parliament		
	particular, to ensure	equal participation in	and the Council		

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	equal participation	the preparation of	receive all documents		
	in the preparation of	delegated acts, the	at the same time as		
	delegated acts, the	European Parliament	Member States'		
	European Parliament	and the Council	experts, and their		
	and the Council	receive all documents	experts systematically		
	receive all	at the same time as	have access to		
	documents at the	Member States'	meetings of		
	same time as	experts, and their	Commission expert		
	Member States'	experts systematically	groups dealing with		
	experts, and their	have access to	the preparation of		
	experts	meetings of	delegated acts.		
	systematically have	Commission expert			
	access to meetings	groups dealing with			
	of Commission	the preparation of			
	expert groups	delegated acts.			
	dealing with the				
	preparation of				
	delegated acts.				
58.	(48) The references	(48) The references to	(48) The references to		
	to Union	Union instruments in	Union instruments in		
	instruments in	Article 9 of Council	Article 9 of Council		
	Article 9 of Council	Decision	Decision		
	Decision	2010/427/EU ⁵⁰ , which	2010/427/EU ⁵⁰ , which		
	2010/427/EU ⁵⁰ ,	are replaced by Due	are replaced by this		
	which are replaced	to the broad nature	Regulation, should be		

Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30).

EP position: Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30).

Line	Commission	EP position	Council partial	Possible compromise	Comments
	proposal		negotiating mandate		
	by this Regulation,	and scope of this	read as references to		
	should be read as	Regulation , should be	this Regulation and the		
	references to this	read as references to	Commission should		
	Regulation and the	and to ensure	ensure that this		
	Commission should	coherence between	Regulation is		
	ensure that this	the principles,	implemented in		
	Regulation is	objectives and	accordance with the		
	implemented in	spending under both	role of the EEAS as		
	accordance with the	this Regulation and	provided in that		
	role of the EEAS as	the other external	Decision.		
	provided in that	financing			
	Decision.	instruments, such as			
		Regulation EINS, or			
		Instruments which			
		are intrinsically			
		linked to external			
		policies, such as the			
		IPA III Regulation, a horizontal steering			
		group composed of			
		all relevant			
		Commission and			
		EEAS services and			
		chaired by the Vice-			
		President of the			
		Commission/High			
		Representative of the			
		Union for Foreign			
		Affairs and Security			
		Policy (VP/HR) or a			

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	ргорози	representative of that	negotiating manuait		
		office should ensure			
		that be responsible			
		for the steering,			
		coordinating and			
		managing of the			
		policies, programmes,			
		objectives and actions			
		<i>under</i> this Regulation			
		is implemented in			
		accordance with the			
		role of in order to			
		ensure consistency,			
		efficiency,			
		transparency and			
		accountability of			
		Union external			
		financing. The			
		VP/HR should ensure			
		overall political			
		coordination of the Union's external			
		action. For all			
		actions, including			
		rapid response			
		actions and			
		exceptional			
		assistance measures,			
		and throughout the			
		whole cycle of			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
	proposui	programming,	negonaning manaare		
		planning and			
		application of the			
		instrument, the High			
		Representative and			
		the EEAS as provided			
		in that Decision			
		should work with the			
		relevant members			
		and services of the			
		Commission,			
		identified on the basis			
		of the nature and			
		objectives of the			
		action foreseen,			
		building upon their			
		expertise. All			
		proposals for decisions should be			
		prepared by following			
		the Commission's			
		procedures and			
		should be submitted			
		to the Commission			
		for adoption.			
58. bis		(48a) The application			
		of this Regulation			
		should, where			
		relevant, be			
		complementary to,			

Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
		and should be consistent with, measures adopted by the Union in pursuit of the Common Foreign and Security Policy objectives within the framework of Chapter Two of Title V TEU and measures adopted within the framework			
59.	(49) The envisaged actions as provided for hereunder should strictly follow the conditions and procedures set out by the restrictive measures of the Union,	of Part Five TFEU. (49) The envisaged actions as provided for hereunder should strictly follow the conditions and procedures set out by the restrictive measures of the Union,	(49) The envisaged actions as provided for hereunder should strictly follow the conditions and procedures set out by the restrictive measures of the Union, as well as the Union's decisions and regulations on transfer controls, on products having dual use, and on products used for capital punishment and torture;		

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Line	Commission proposal	EP position	Council partial negotiating mandate	Possible compromise	Comments
59. bis		(49a) The European			
		Parliament should be			
		fully involved in the			
		design,			
		programming,			
		monitoring and			
		evaluation phases of			
		the instruments in			
		order to guarantee			
		political control and			
		democratic scrutiny			
		and accountability of			
		Union funding in the			
		field of external action. An enhanced			
		dialogue between the			
		institutions should be			
		established in order			
		to ensure that the			
		European Parliament			
		is in a position to			
		exercise political			
		control during the			
		application of this			
		Regulation in a			
		systematic and			
		smooth manner			
		thereby enhancing			
		both efficiency and			
		legitimacy,			