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Draft
Regulation of the European Parliament and of the Council establishing
the Neighbourhood, Development and International Cooperation Instrument
(NDICI)*

RECITALS and ARTICLES

Provisionally agreed
Provisionally agreed pending alignment on agreement on another cluster
Still open after trilogue discussion
Still open in the current technical discussions
For next trilogue

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The proposed Regulation is part of the package of proposals linked to the MFF 2021-2027 and therefore dependent on the outcome of the horizontal negotiations. Pending these, the reference amounts in Article 6 are put within square brackets. Other provisions of the draft NDICI Regulation appear in square brackets due to their inclusion in the draft Negotiating Box set out in ST 10010/19. All provisions which appear between square brackets are excluded from the Council negotiating mandate at this stage. Moreover, other provisions, on which the discussions are ongoing have been deleted, as they do not form part of the Council partial negotiating mandate. Provisions related to the participation of non-EU countries have not been amended due to the horizontal nature of such provisions.

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<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council negotiating mandate</i>	<i>Possible compromise</i>	<i>Comments</i>
1.	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing the Neighbourhood, Development and International Cooperation Instrument	Position of the European Parliament adopted at first reading on 27 March 2019 with a view to the adoption of Proposal for a Regulation (EU) .../... of the European Parliament and of the Council establishing the Neighbourhood, Development and International Cooperation Instrument	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing the Neighbourhood, Development and International Cooperation Instrument		
2.	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,		
3.	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 209, 212 and 322(1) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 209, 212 and 322(1) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 209, 212 and 322(1) thereof,		

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4.	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,		
5.	After transmission of the draft legislative act to the national parliaments,	After transmission of the draft legislative act to the national parliaments,	After transmission of the draft legislative act to the national parliaments,		
6.	Having regard to the opinion of the European Economic and Social Committee ¹ ,	Having regard to the opinion of the European Economic and Social Committee ¹ , Court of Auditors ³ ,	Having regard to the opinion of the European Economic and Social Committee ¹ ,		
7.	Having regard to the opinion of the Committee of the Regions ² ,	Having regard to the opinion of the Committee of the Regions ² , European Economic and Social Committee ¹ ,	Having regard to the opinion of the Committee of the Regions ² ,		
8.	Having regard to the opinion of the Court of Auditors ³ ,	Having regard to the opinion of the Court of Auditors ³ , Committee of the Regions ² ,	Having regard to the opinion of the Court of Auditors ³ ,		

¹ *OJ C 110, 22.3.2019, p. 163.*

² *OJ C 86, 7.3.2019, p. 295.*

³ *OJ C 45, 4.2.2019, p. 1.*

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9.	Acting in accordance with the ordinary legislative procedure,	Acting in accordance with the ordinary legislative procedure ⁴ ,	Acting in accordance with the ordinary legislative procedure,		
10.	Whereas:	Whereas:	Whereas:		
11.	(1) The general objective of the Programme "Neighbourhood, Development and International Cooperation Instrument" (the 'Instrument') should be to uphold and promote the Union's values and interests worldwide in order to pursue the objectives and principles of the Union's external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union.	(1) The general objective of the Programme "Neighbourhood, Development and International Cooperation Instrument" (the 'Instrument') should be to uphold and promote provide the financial framework to support the upholding and promotion of the Union's values, and principles and fundamental interests worldwide in order to pursue accordance with the objectives and principles of the Union's external action, as laid down in Article 3(5), Articles 8 and 21 of the	(1) The general objective of the Programme "Neighbourhood, Development and International Cooperation Instrument" (the 'Instrument') should be to uphold and promote the Union's values and interests worldwide in order to pursue the objectives and principles of the Union's external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union.	(1) The general objective of the Programme "Neighbourhood, Development and International Cooperation Instrument" (the 'Instrument') should be to uphold and promote the Union's values, and principles and fundamental interests worldwide in order to pursue the objectives and principles of the Union's external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union.	<i>Provisionally closed on 11 June 2020</i>

⁴ *Position of the European Parliament of 27 March 2019*

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		Treaty on European Union (TEU).			
12.	(2) In accordance with Article 21 of the Treaty on European Union, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty.	(2) In accordance with Article 21 of the Treaty on European Union on European Union TEU , the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty Treaty TEU .	(2) In accordance with Article 21 of the Treaty on European Union, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty.	(2) In accordance with Article 21 of the Treaty on European Union, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty.	<i>Provisionally closed on 11 June 2020</i>
12. bis		<i>(2a) In accordance with Article 21 TEU, the application of this Regulation is to be guided by the principles of the Union’s external action, namely</i>		<i>(2a) Union action should promote respect for and be rooted in international human rights law, including the Universal Declaration on Human</i>	<i>Provisionally closed on 11 June 2020</i>

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		<p><i>democracy, the rule of law, the universality and indivisibility of human rights and fundamental freedoms, respect for human dignity, equality and solidarity, and respect for the principles of the United Nations Charter and international law. This Regulation is intended to contribute to achieving the objectives of the Union's external action, including the Union's policies relating to human rights and the objectives outlined in the EU Strategic Framework and Action Plan on Human Rights and Democracy. Union action should favour adherence to the Universal Declaration on Human Rights.</i></p>		<p><i>Rights, and in international humanitarian law and should be guided by the universality and indivisibility of human rights.</i></p>	
13.	(3) In accordance with Article 8 of the Treaty	(3) In accordance with Article 8 of the Treaty	(3) In accordance with Article 8 of the Treaty	(3) In accordance with Article 8 of the Treaty	<i>Provisionally closed on 11 June 2020</i>

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	<p>on European Union, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation should contribute to such objective.</p>	<p>on European Union TEU, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation should contribute to such objective.</p>	<p>on European Union, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation should contribute to such objective.</p>	<p>on European Union, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation should contribute to such objective.</p>	
13. bis		<p><i>(3a) In accordance with Article 167 of the Treaty on the Functioning of the European Union (TFEU), The Union and the Member States should foster cooperation with third countries and the competent international organisations in the sphere of culture. This Regulation should</i></p>			<p><i>Provisionally closed on 11 June 2020</i></p>

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		<i>contribute to the objectives set out in that Article.</i>			
14.	(4) The primary objective of Union's development cooperation policy, as laid down in Article 208 of the Treaty on the Functioning of the European Union is the reduction and, in the long term, the eradication of poverty. The Union's development cooperation policy also contributes to the objectives of the Union's external action, in particular to foster the sustainable economic, social and environmental development of developing countries, with the primary aim of eradicating poverty, as set out in Article	(4) The primary objective of Union's development cooperation policy, as laid down in Article 208 of the Treaty on the Functioning of the European Union TFEU is the reduction and, in the long term, the eradication of poverty. The Union's development cooperation policy also contributes to the objectives of the Union's external action, in particular to foster the sustainable economic, social and environmental development of developing countries, with the primary aim of eradicating poverty, as set out in Article 21(2)(d) of the Treaty on European Union TEU , and to preserve lasting peace, prevent conflicts	(4) The primary objective of Union's development cooperation policy, as laid down in Article 208 of the Treaty on the Functioning of the European Union is the reduction and, in the long term, the eradication of poverty. The Union's development cooperation policy also contributes to the objectives of the Union's external action, in particular to foster the sustainable economic, social and environmental development of developing countries, with the primary aim of eradicating poverty, as set out in Article	(4) The primary objective of Union's development cooperation policy, as laid down in Article 208 of the Treaty on the Functioning of the European Union is the reduction and, in the long term, the eradication of poverty. The Union's development cooperation policy also contributes to the objectives of the Union's external action, in particular to foster the sustainable economic, social and environmental development of developing countries, with the primary aim of eradicating poverty, as set out in Article	<i>Provisionally closed on 11 June 2020</i>

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	21(2)(d) of the Treaty on European Union.	<i>and strengthen international security, as set out in point (c) of Article 21(2) TEU.</i>	21(2)(d) of the Treaty on European Union.	21(2)(d) of the Treaty on European Union .	
15.	(5) The Union shall ensure policy coherence for development as required by Article 208 of the Treaty on the Functioning of the European Union. The Union should take account of the objectives of development cooperation in the policies that are likely to affect developing countries, which will be a crucial element of the strategy to achieve the Sustainable Development Goals defined in the 2030 Agenda for Sustainable Development ('2030 Agenda') adopted by the United Nations in	(5) The Union shall ensure policy coherence for development as required by Article 208 of the Treaty on the Functioning of the European Union <i>TFEU.</i> The Union should take account of the objectives of development cooperation in the policies that are likely to affect developing countries, which will be a crucial element of the strategy to achieve the Sustainable Development Goals defined in the 2030 Agenda for Sustainable Development ('2030 Agenda') adopted by the United Nations in September 2015 ⁵ . Ensuring policy coherence for	(5) The Union shall ensure policy coherence for development as required by Article 208 of the Treaty on the Functioning of the European Union. The Union should take account of the objectives of development cooperation in the policies that are likely to affect developing countries, which will be a crucial element of the strategy to achieve the Sustainable Development Goals defined in the 2030 Agenda for Sustainable Development ('2030 Agenda') adopted by the United Nations in September 2015 ⁵ .	(5) The Union shall ensure policy coherence for development as required by Article 208 of the Treaty on the Functioning of the European Union. The Union should take account of the objectives of development cooperation in the policies that are likely to affect developing countries, which will be a crucial element of the strategy to achieve the Sustainable Development Goals defined in the 2030 Agenda for Sustainable Development ('2030 Agenda') adopted by the United Nations in September 2015 ⁵ . Ensuring policy	<i>Provisionally closed on 11 June 2020</i> EP's second amendment added in line 33bis.

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	September 2015 ⁵ . Ensuring policy coherence for sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels — nationally, within the Union, in other countries and at global level.	sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels — nationally, within the Union, in other countries and at global level. <i>Union and Member States development cooperation policies should complement and reinforce each other.</i>	Ensuring policy coherence for sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels nationally, within the Union, in other countries and at global level.	coherence for sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels nationally, within the Union, in other countries and at global level.	
15. bis				<i>(5 bis) This Regulation should contribute to preserving lasting peace, preventing conflicts and strengthening international security, as set out in point (c) of Article 21(2) TEU.</i>	<i>Provisionally closed on 11 June 2020</i>
16.	(6) This Instrument provides for actions in	(6) This Instrument provides for actions in	(6) This Instrument provides for actions in	(6) This Instrument provides for actions in	<i>Provisionally closed on 11 June 2020</i>

⁵ "Transforming our world: the 2030 Agenda for Sustainable Development", adopted at the United Nations Sustainable Development Summit on 25 September 2015 (A/RES/70/1).

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	support of those objectives and of the external action policies and builds on the actions previously supported under Regulation (EU) No 233/2014 ⁶ ; the 11th European Development Fund (EDF)'s Internal Agreement ⁷ and Implementing Regulation ⁸ ; Regulation (EU) No 232/2014 ⁹ ; Regulation (EU) No 230/2014 ¹⁰ ; Regulation	support of those objectives and of the external action policies and builds on the actions previously supported under Regulation (EU) No 233/2014 ⁶ ; the 11th European Development Fund (EDF)'s Internal Agreement ⁷ and Implementing Regulation ⁸ ; Regulation (EU) No 232/2014 ⁹ ; Regulation (EU) No 230/2014 ¹⁰ ; Regulation (EU) No 235/2014 ¹¹ ;	support of those objectives and of the external action policies and builds on the actions previously supported under Regulation (EU) No 233/2014 ⁶ ; the 11th European Development Fund (EDF)'s Internal Agreement ⁷ and Implementing Regulation ⁸ ; Regulation (EU) No 232/2014 ⁹ ; Regulation (EU) No 230/2014 ¹⁰ ;	support of those objectives and of the external action policies and builds on the actions previously supported under Regulation (EU) No 233/2014 ⁶ ; the 11th European Development Fund (EDF)'s Internal Agreement ⁷ and Implementing Regulation ⁸ ; Regulation (EU) No 232/2014 ⁹ ; Regulation (EU) No 230/2014 ¹⁰ ; Regulation	
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⁶ Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44.)

⁷ Internal agreement between the Representatives of the Governments of the Member States of the European Union, meeting within the Council, on the financing of European Union aid under the multiannual financial framework for the period 2014 to 2020, in accordance with the ACP-EU Partnership Agreement, and on the allocation of financial assistance for the Overseas Countries and Territories to which Part Four of the Treaty on the Functioning of the European Union applies (OJ L210/1, 6.8.2013).

⁸ Council Regulation (EU) 2015/322 of 2 March 2015 on the implementation of the 11th European Development Fund (OJ L 58/1, 3.3.2015).

⁹ Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27.)

¹⁰ Regulation (EU) No 230/2014 of the European Parliament and of the Council of 11 March 2014 establishing an instrument contributing to stability and peace (OJ L 77, 15.3.2014, p. 1.)

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	(EU) No 235/2014 ¹¹ ; Regulation (EU) No 234/2014 ¹² ; Regulation (Euratom) No 237/2014 ¹³ ; Regulation (EU) No 236/2014 ¹⁴ ; Decision No 466/2014/EU; Regulation (EC, Euratom) No 480/2009 ¹⁵ and Regulation (EU) 2017/1601 ¹⁶ .	Regulation (EU) No 234/2014 ¹² ; Regulation (Euratom) No 237/2014 ¹³ ; Regulation (EU) No 236/2014 ¹⁴ ; Decision No 466/2014/EU; Regulation (EC, Euratom) No 480/2009 ¹⁵ and Regulation (EU) 2017/1601 ¹⁶ .	Regulation (EU) No 235/2014 ¹¹ ; Regulation (EU) No 234/2014 ¹² ; Regulation (Euratom) No 237/2014 ¹³ ; Regulation (EU) No 236/2014 ¹⁴ ; Decision No 466/2014/EU; Regulation (EC, Euratom) No 480/2009 ¹⁵ and Regulation (EU) 2017/1601 ¹⁶ .	(EU) No 235/2014 ¹¹ ; Regulation (EU) No 234/2014 ¹² ; Regulation (Euratom) No 237/2014 ¹³ ; Regulation (EU) No 236/2014 ¹⁴ ; Decision No 466/2014/EU; Regulation (EC, Euratom) No 480/2009 ¹⁵ and Regulation (EU) 2017/1601 ¹⁶ .	
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- ¹¹ Regulation (EU) No 235/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for democracy and human rights worldwide (OJ L 77, 15.3.2014, p. 85.)
- ¹² Regulation (EU) No 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries (OJ L 77, 15.3.2014, p. 77.)
- ¹³ Council Regulation (Euratom) No 237/2014 of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109)
- ¹⁴ Regulation (EU) 236/2014 of the European Parliament and of the Council laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95)
- ¹⁵ Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (OJ L 145, 10.6.2009, p. 10)
- ¹⁶ Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

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17.	(7) The global context for action is the pursuit of a rules-based global order, with multilateralism as its key principle and the United Nations at its core. The 2030 Agenda, together with the Paris Agreement on Climate Change ¹⁷ and the Addis Ababa Action Agenda ¹⁸ is the international community's response to global challenges and trends in relation to sustainable development. With the Sustainable Development Goals at its core, the 2030 Agenda is a transformative framework to eradicate poverty and achieve	(7) The global context for action is the pursuit of a rules-based rules- and values-based global order, with multilateralism as its key principle and the United Nations at its core. The 2030 Agenda, together with the Paris Agreement on Climate Change ¹⁷ (<i>'the Paris Agreement'</i>) and the Addis Ababa Action Agenda ¹⁸ is the international community's response to global challenges and trends in relation to sustainable development. With the Sustainable Development Goals at its core, the 2030 Agenda is a transformative framework to eradicate	(7) The global context for action is the pursuit of a rules-based global order, with multilateralism as its key principle and the United Nations at its core. The 2030 Agenda, together with the Paris Agreement on Climate Change ¹⁷ and the Addis Ababa Action Agenda ¹⁸ is the international community's response to global challenges and trends in relation to sustainable development. With the Sustainable Development Goals at its core, the 2030 Agenda is a transformative framework to eradicate	(7) The global context for action is the pursuit of a rules- and values-based global order, with multilateralism as its key principle and the United Nations at its core. The 2030 Agenda, together with the Paris Agreement on Climate Change ¹⁷ and the Addis Ababa Action Agenda ¹⁸ is the international community's response to global challenges and trends in relation to sustainable development. With the Sustainable Development Goals at its core, the 2030 Agenda is a transformative framework to eradicate poverty and achieve	<i>Provisionally closed on 11 June 2020</i>
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¹⁷ Signed in New York on 22 April 2016.

¹⁸ "Addis Ababa Action Agenda of the Third International Conference on Financing for Development", adopted on 16 June 2015 and endorsed by the United Nations General Assembly on 27 July 2015 (A/RES/69/313).

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	<p>sustainable development globally. It is universal in scope, providing a comprehensive shared framework for action that applies to the Union, to its Member States and to its partners. It balances the economic, social and environmental dimensions of sustainable development, recognising the essential interlinkages between its goals and targets. The 2030 Agenda aims to leave no one behind. The implementation of the 2030 Agenda will be closely coordinated with the Union's other relevant international commitments. Actions undertaken by this Regulation should pay particular attention to</p>	<p>poverty, and achieve sustainable development globally <i>and promote peaceful, just and inclusive societies, while tackling climate change and working to preserve oceans and forests.</i> It is universal in scope, providing a comprehensive shared framework for action that applies to the Union, to its Member States and to its partners. It balances the economic, social, <i>cultural, educational</i> and environmental dimensions of sustainable development, recognising the essential interlinkages between its goals and targets. The 2030 Agenda aims to leave no one behind <i>and seeks to reach the furthest behind first.</i> The implementation of the 2030 Agenda will be</p>	<p>poverty and achieve sustainable development globally. It is universal in scope, providing a comprehensive shared framework for action that applies to the Union, to its Member States and to its partners. It balances the economic, social and environmental dimensions of sustainable development, recognising the essential interlinkages between its goals and targets. The 2030 Agenda aims to leave no one behind. The implementation of the 2030 Agenda will be closely coordinated with the Union's other relevant international commitments. Actions undertaken by this Regulation should pay</p>	<p>sustainable development globally. It is universal in scope, providing a comprehensive shared framework for action that applies to the Union, to its Member States and to its partners. It balances the economic, social and environmental dimensions of sustainable development, recognising the essential interlinkages between its goals and targets. The 2030 Agenda aims to leave no one behind <i>and seeks to reach the furthest behind first.</i> The implementation of the 2030 Agenda will be closely coordinated with the Union's other relevant international commitments. Actions undertaken by this</p>	
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	<p>interlinkages between Sustainable Development Goals and to integrated actions that can create co-benefits and meet multiple objectives in a coherent way.</p>	<p>closely coordinated with the Union's other relevant international commitments. Actions undertaken by this Regulation should pay <i>be guided by the principles and objectives set out in the 2030 Agenda, the Paris Agreement and the Addis Ababa Action Agenda and should contribute to achieving the Sustainable Development Goals, paying</i> particular attention to interlinkages between Sustainable Development Goals <i>them</i> and to integrated actions that can create co-benefits and meet multiple objectives in a coherent way <i>without undermining other objectives.</i></p>	<p>particular attention to interlinkages between Sustainable Development Goals and to integrated actions that can create co-benefits and meet multiple objectives in a coherent way.</p>	<p>Regulation should <i>be guided by the principles and objectives set out in the 2030 Agenda, the Paris Agreement and the Addis Ababa Action Agenda and should contribute to achieving the Sustainable Development Goals.</i> Particular attention <i>should be paid</i> to interlinkages between Sustainable Development Goals and to integrated actions that can create co-benefits and meet multiple objectives in a coherent way <i>without undermining other objectives.</i></p>	
<p>18.</p>	<p>(8) The implementation of this Regulation should be guided by the</p>	<p>(8) The implementation <i>application</i> of this Regulation should be</p>	<p>(8) The implementation of this Regulation should be</p>	<p>(8) The {1} of this Regulation should be guided by the five</p>	<p><i>Provisionally closed on 11 June 2020 pending</i></p>

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	<p>five priorities established in the Global Strategy for the European Union's Foreign and Security Policy (the 'Global Strategy')¹⁹, presented on 19 June 2016, which represents the Union's vision and the framework for united and responsible external engagement in partnership with others, to advance its values and interests. The Union should enhance partnerships, promote policy dialogue and collective responses to challenges of global concern. Its action should support the Union's interests and values in all its aspects, including preserving</p>	<p>guided by based on the five priorities established in the Global Strategy for the European Union's Foreign and Security Policy (the 'Global Strategy')¹⁹, presented on 19 June 2016, which represents the Union's vision and the framework for united and responsible external engagement in partnership with others, to advance its values and interests. The Union should enhance partnerships, promote policy dialogue and collective responses to challenges of global concern. Its action should support the Union's fundamental interests, principles and values in all its aspects,</p>	<p>guided by the five priorities established in the Global Strategy for the European Union's Foreign and Security Policy (the 'Global Strategy')¹⁹, presented on 19 June 2016 and endorsed by the Council in its Conclusions of 17 October 2016²⁰, which represents the Union's vision and the framework for united and responsible external engagement in partnership with others, to advance its values and interests. The Union should enhance partnerships, promote policy dialogue and collective responses to challenges of global concern. Its action</p>	<p>priorities established in the Global Strategy for the European Union's Foreign and Security Policy (the 'Global Strategy') endorsed by the Council in its Conclusions of 17 October 2016, which represents the Union's vision and the framework for united and responsible external engagement in partnership with others, to advance its values and interests. The Union should enhance partnerships, promote policy dialogue and collective responses to challenges of global concern. Its action should support the Union's fundamental interests, principles and</p>	<p><i>agreement on line 27 and governance</i></p> <p>{1} EP: implementation application CL: implementation</p> <p>{4} Colegislators agree to place language on nuclear safety elsewhere in the recitals. Text to be aligned with the wording in the enacting provisions and annexes</p>
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¹⁹ "Shared Vision, Common Action: A Stronger Europe. A global Strategy for the European Union's Foreign and Security Policy", June 2016.

²⁰ ***Council Conclusions on the Global Strategy for the European Union's Foreign and Security Policy of 17 October 2016 (13202/16).***

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	<p>peace, preventing conflicts, strengthening international security, fighting root causes of irregular migration and assisting populations, countries and regions confronting natural or man-made disasters, supporting trade policy, economic diplomacy and economic cooperation, promoting digital solutions and technologies, and fostering the international dimension of Union's policies. In promoting its interests, the Union should comply with, and promote, the principles of respect for high social and environmental standards, for the rule of law, for international law and for human rights.</p>	<p>including <i>promoting democracy and human rights, contributing to the eradication of poverty</i>, preserving peace, preventing conflicts <i>conflict prevention, mediation and post-conflict reconstruction including women at all stages, ensuring nuclear safety</i>, strengthening international security, fighting <i>addressing</i> root causes of irregular migration <i>and forced displacement</i> and assisting populations, countries and regions confronting <i>confronted with</i> natural or man-made disasters, <i>bringing about the conditions to create an international legal framework for the protection of persons displaced due to climate change, fostering inclusive quality</i></p>	<p>should support the Union's interests and values in all its aspects, including preserving peace, preventing conflicts, strengthening international security, <i>inclusive governance</i>, fighting root causes of irregular migration, <i>forced displacement</i> and assisting populations, countries and regions confronting natural or man-made disasters, supporting trade policy, economic diplomacy and economic cooperation, promoting digital solutions and technologies, and fostering the international dimension of <i>the</i> Union's policies. In promoting its interests, the Union should <i>use an integrated approach</i></p>	<p>values in all its aspects {4} In doing so, the Union should <i>use an integrated approach and</i> comply with, and promote, the principles of respect for high social, <i>labour</i> and environmental standards, <i>including with regard to climate change</i>, for the rule of law, for international law <i>including in respect of humanitarian and international</i> human rights <i>law</i>.</p>	
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		<p><i>education</i>, supporting a <i>fair, sustainable and rules- and value-based</i> trade policy <i>as a tool for development and to bring improvements to the rule of law and human rights</i>, economic and cultural diplomacy and economic cooperation, promoting <i>innovation</i>, digital solutions and technologies, <i>protecting cultural heritage especially in conflict areas, addressing global public health threats</i> and fostering the international dimension of Union's policies. In promoting its <i>fundamental</i> interests, <i>principles and values</i>, the Union should comply with, and promote, the principles of respect for high social, <i>labour</i> and environmental standards <i>including with regard to</i></p>	<p><i>and</i> comply with, and promote, the principles of respect for high social and environmental standards, for the rule of law, for international law and for human rights.</p>		
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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Governance

		<i>climate change</i> , for the rule of law, for international law, and for <i>including in respect of humanitarian and international human rights law</i> .			
19.	(9) The new European Consensus on Development ('the Consensus') ²¹ , signed on 7 June 2017, provides the framework for a common approach to development cooperation by the Union and its Member States to implement the 2030 Agenda and the Addis Ababa Action Agenda. Eradicating poverty, tackling discrimination and inequalities, leaving no one behind and strengthening resilience	(9) The new <i>application of this Regulation should also be based on the</i> European Consensus on Development ('the Consensus') ²¹ , signed on 7 June 2017, <i>which</i> provides the framework for a common approach to development cooperation by the Union and its Member States to implement the 2030 Agenda and the Addis Ababa Action Agenda. Eradicating poverty, tackling discrimination and inequalities, leaving no	(9) The new European Consensus on Development ('the Consensus') ²¹ , signed on 7 8 June 2017, provides the framework for a common approach to development cooperation by the Union and its Member States to implement the 2030 Agenda and the Addis Ababa Action Agenda. Eradicating poverty, tackling discrimination and inequalities, leaving no one behind and	(9) The {1} <i>of this Regulation should also be guided by the</i> European Consensus on Development ('the Consensus') ²¹ of 8 June 2017 provides the framework for a common approach to development cooperation by the Union and its Member States to implement the 2030 Agenda and the Addis Ababa Action Agenda. Eradicating poverty, tackling discrimination and inequalities, leaving no	<i>Provisionally closed on 11 June 2020 pending agreement on governance</i> {1} EP: application CL: implementation

²¹ "The New European Consensus on Development 'Our World, our Dignity, Our Future'", Joint statement by the Council and the Representatives of the governments of the Member States meeting within the Council, the European Parliament and the European Commission, 8 June 2017.

Spending targets (except migration)

Migration spending target and related provisions

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	<p>are at the heart of development cooperation policy.</p>	<p>one behind, <i>protecting the environment and fighting climate change,</i> and strengthening resilience are at the heart of development cooperation policy <i>should underpin the application of this Regulation.</i></p>	<p>strengthening resilience are at the heart of development cooperation policy.</p>	<p>one behind , <i>protecting the environment and fighting climate change,</i> and strengthening resilience are at the heart of development cooperation policy and <i>should underpin the {1} of this Regulation.</i></p>	
<p>19. bis</p>		<p><i>(9a) In addition to the UN 2030 Agenda, the Paris Agreement on Climate Change the Addis Ababa Action Agenda, the EU Global Strategy, and the European Consensus on Development and the European Neighbourhood Policy, which constitute the primary policy framework, the following documents and their future revisions should also guide the application of this Regulation:</i></p>			

Spending targets (except migration)

Migration spending target and related provisions

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		<ul style="list-style-type: none">- <i>the EU Strategic Framework and Action Plan on Human Rights and Democracy;</i>- <i>the EU Human Rights guidelines;</i>- <i>the EU Integrated Approach to External Conflicts and Crises and the EU's comprehensive approach to external conflicts and crises of 2013;</i>- <i>the Comprehensive approach to the EU implementation of the United Nations Security Council Resolutions 1325 and 1820 on women, peace and security;</i>- <i>the Union Programme for the Prevention of Violent Conflicts;</i>- <i>the Council conclusions of 20</i>			
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		<ul style="list-style-type: none"><i>June 2011 on conflict prevention;</i><i>- the Concept on Strengthening EU Mediation and Dialogue Capacities;</i><i>- the EU-wide Strategic Framework to support Security Sector Reform (SSR);</i><i>- the EU strategy against illicit firearms, small arms and light weapons (SALW) and their ammunition;</i><i>- the EU Concept for Support to Disarmament, Demobilisation and Reintegration (DDR);</i><i>- the Council conclusions of 19 November 2007 on a EU response to</i>			
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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		<p><i>situations of fragility and the conclusions of the Council and the Representatives of the Governments of the Member States meeting within the Council, also dated 19 November 2007, on security and development;</i></p> <p>– <i>the European Council Declaration of 25 March 2004 on Combating Terrorism, the European Union Counter-Terrorism Strategy, of 30 November 2005 and the Council conclusions of 23 May 2011 on enhancing the links between internal and external aspects of counter-terrorism;</i></p>			
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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		<ul style="list-style-type: none">- <i>the OECD guidelines for multinational enterprises;</i>- <i>the UN guiding principles on business and human rights;</i>- <i>the UN New Urban Agenda;</i>- <i>the UN Convention on the Rights of Persons with Disabilities;</i>- <i>the Refugee Convention;</i>- <i>the Convention on the Elimination of All Forms of Discrimination against Women,</i>- <i>the outcomes of the Beijing Platform for Action and the Programme of Action of the International Conference on Population and</i>			
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		<p><i>Development (ICPD);</i></p> <ul style="list-style-type: none">- <i>the UNCTAD Roadmap towards Sustainable Sovereign Debt Workouts (April 2015);</i>- <i>the Guiding Principles on Foreign Debt and Human Rights drawn up by the Office of the UN High Commissioner for Human Rights;</i>- <i>the Global Compact on Refugees;</i>- <i>the Global Compact on Safe, Orderly and Regular Migration, adopted in Marrakech on December 10th 2018;</i>- <i>the United Nations Convention on the Rights of the Child.</i>			
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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20.	(10) In order to implement the new international framework established by the 2030 Agenda, the Global Strategy and the Consensus, this Regulation should aim at increasing the coherence and ensuring the effectiveness of the Union's external action by concentrating its efforts through a streamlined instrument to improve the implementation of the different external action policies.	(10) In order to implement the new international framework established by the 2030 Agenda, the Global Strategy and the Consensus, this Regulation should aim at increasing the coherence and ensuring the effectiveness of the Union's external action by concentrating its efforts through a streamlined instrument to improve the implementation of the different external action policies.	(10) In order to implement the new international framework established by the 2030 Agenda, the Global Strategy and the Consensus, This Regulation should aim at increasing the coherence and ensuring the effectiveness of the Union's external action by concentrating its efforts through a streamlined instrument to improve thus improving the implementation of the different external action policies.	(10) This Regulation should aim at increasing the coherence and ensuring the effectiveness of the Union's external action thus improving the implementation of the different external action policies.	<i>Provisionally closed on 11 June 2020</i>
21.	(11) In accordance with the Global Strategy and the Sendai Framework for Disaster Risk Reduction (2015-2030) as adopted on 18 March	(11) In accordance with the Global Strategy and the Sendai Framework for Disaster Risk Reduction (2015-2030) as adopted on 18 March 2015 ²² , recognition should be given to the need to move away from crisis response and	(11) In accordance with the Global Strategy, the Consensus and the Sendai Framework for Disaster Risk Reduction (2015-2030) as adopted on 18 March 2015 ²² , recognition should be	(11) In accordance with the Global Strategy, the Consensus and the Sendai Framework for Disaster Risk Reduction (2015-2030) as adopted on 18 March 2015 ²² , recognition should be given to the need to move away from crisis	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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	<p>2015²², recognition should be given to the need to move away from crisis response and containment to a more structural, long-term approach that more effectively addresses situations of fragility, natural and man-made disasters, and protracted crises. Greater emphasis and collective approaches are required on risk reduction, prevention, mitigation and preparedness; and further efforts are required to enhance swift response and a durable recovery. This Regulation should therefore contribute to strengthening resilience and linking humanitarian aid and</p>	<p>containment to a more structural, <i>preventive</i> long-term approach that more effectively addresses situations of fragility, natural and man-made disasters, and protracted crises. Greater emphasis and collective approaches are required on risk reduction, prevention, mitigation and preparedness; and further efforts are required to enhance swift response and a durable recovery. This Regulation should therefore contribute to strengthening resilience and linking humanitarian aid and development action <i>particularly</i> through rapid response actions <i>as well as relevant geographic and</i></p>	<p>given to the need to move away from crisis response and containment to a more structural, long-term approach that more effectively addresses situations of fragility, natural and man-made disasters, and protracted crises. Greater emphasis and collective approaches are required on risk reduction, prevention, mitigation and preparedness; and further efforts are required to enhance swift response and a durable recovery. This Regulation should therefore contribute to strengthening resilience and linking humanitarian aid and</p>	<p>response and containment to a more structural, long-term approach that more effectively addresses situations of fragility, natural and man-made disasters, and protracted crises. Greater emphasis and collective approaches are required on risk reduction, prevention, mitigation and preparedness; and further efforts are required to enhance swift response and a durable recovery. This Regulation should therefore contribute to strengthening resilience and linking humanitarian aid and development action <i>particularly</i> through rapid response actions</p>	
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²² "Sendai Framework for Disaster Risk Reduction", adopted on 18 March 2015 and endorsed by the United Nations General Assembly on 3 June 2015 (A/RES/69/283).

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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	development action through rapid response actions.	<i>thematic programmes, while ensuring the appropriate predictability, transparency and accountability, as well as coherence, consistency and complementarity with humanitarian aid and full compliance with international humanitarian law and without hindering the delivery of humanitarian aid according to the principles of humanity, neutrality, impartiality and independence in emergency and post-emergency contexts.</i>	development action through rapid response actions.	<i>as well as relevant geographic and thematic programmes, while ensuring respect of humanitarian principles.</i>	
22.	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level Forum in	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level Forum in	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level Forum in	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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	<p>2016 and recalled in the Consensus, the Union's development cooperation should apply the development effectiveness principles, namely ownership of development priorities by developing countries, a focus on results, inclusive development partnerships as well as transparency and accountability.</p>	<p>2016 and recalled in the Consensus, the Union's <i>Union, in the context of its official development cooperation assistance and across all aid modalities</i>, should apply the development effectiveness principles, namely ownership of development priorities by developing countries, a focus on results, inclusive development partnerships as well as <i>mutual</i> transparency and accountability, <i>in addition to the principles of alignment and harmonisation.</i></p>	<p>Forum in 2016 and recalled in the Consensus, the Union's development cooperation should apply the development effectiveness principles, namely ownership of development priorities by developing countries, a focus on results, inclusive development partnerships as well as transparency and <i>mutual</i> accountability.</p>	<p>2016 and recalled in the Consensus, the Union's <i>Union, in the context of its official development cooperation assistance and across all aid modalities</i> should apply the development effectiveness principles, namely ownership of development priorities by developing countries, a focus on results, inclusive development partnerships as well as transparency and <i>mutual</i> accountability <i>as well as alignment and harmonisation. In that regard, it is important that national development strategies include broad consultative processes in line with the Paris aid effectiveness principles, in particular when those serve as a basis for programming.</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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23.	(13) Pursuant to the Sustainable Development Goals, this Regulation should contribute to reinforced monitoring and reporting with a focus on results, covering outputs, outcomes and impacts in partner countries benefiting from the Union's external financial assistance. In particular, as agreed in the Consensus, actions under this Regulation are expected to contribute 20% of the Official Development Assistance funded under this Regulation to social inclusion and human development, including gender equality and women's empowerment.	(13) Pursuant to the Sustainable Development Goals, this Regulation should contribute to reinforced monitoring and reporting with a focus on results, covering outputs, outcomes and impacts in partner countries benefiting from the Union's external financial assistance. In particular, as agreed in the Consensus, actions under this Regulation are expected to <i>should</i> contribute at least 20 % of the Official Development Assistance funded under this Regulation to social inclusion and human development, including with a focus on basic social services, such as health, education, nutrition, water, sanitation and hygiene, and social protection,	(13) Pursuant to the Sustainable Development Goals, this Regulation should contribute to reinforced monitoring and reporting with a focus on results, covering outputs, outcomes and impacts in partner countries benefiting from the Union's external financial assistance. In particular, as agreed in the Consensus, actions under this Regulation are expected to contribute 20% of the Official Development Assistance funded under this Regulation to social inclusion and human development, including gender equality and women's empowerment.	(13) Pursuant to the Sustainable Development Goals, this Regulation should contribute to reinforced monitoring and reporting with a focus on results, covering outputs, outcomes and impacts in partner countries benefiting from the Union's external financial assistance. In particular, as agreed in the Consensus, actions under this Regulation are expected to contribute 20% of the Official Development Assistance funded under this Regulation to social inclusion and human development, including gender equality and women's empowerment.	<i>Provisionally closed</i>
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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Governance

		<i>particularly to the most marginalised, taking into account gender equality, and women's empowerment and children's rights as horizontal issues.</i>			
24.	(14) Whenever possible and appropriate, the results of the Union's external action should be monitored and assessed on the basis of pre-defined, transparent, country-specific and measurable indicators, adapted to the specificities and objectives of the Instrument and preferably based on the results framework of the partner country.	(14) Whenever possible and appropriate, <i>In order to improve effective accountability and transparency of the Union budget, the Commission should set up clear monitoring and evaluation mechanisms to ensure effective assessment of progress towards the achievement of this Regulation's objectives.</i> The results of the Union's external action should be monitored and assessed on the basis of pre-defined, transparent, country-specific and measurable indicators, adapted to the specificities and	(14) Whenever possible and appropriate, the results of the Union's external action should be monitored, <i>evaluated</i> and assessed on the basis of pre-defined, transparent, country-specific and measurable indicators, adapted to the specificities and objectives of the Instrument and preferably based on the results framework of the partner country.	(14) <i>The Commission should set-up ensure clear monitoring and evaluation mechanisms are in place in order to provide improve effective accountability and transparency in implementing the Union budget, and in order to ensure effective assessment of progress towards the achievement of this Regulation's objectives. Whenever possible and appropriate, the</i> results of the Union's external action should be monitored <i>and evaluated and assessed</i> on the basis of pre-defined, transparent,	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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		objectives of the Instrument and preferably based on the results framework of the partner country. <i>The Commission should regularly monitor its actions and review progress, making the results publicly available, in particular in the form of an annual report to the European Parliament and the Council.</i>		country-specific and measurable indicators, adapted to the specificities and objectives of the Instrument and preferably based on the results framework of the partner country.	
24. bis			<i>(14 bis) The Commission should regularly monitor actions financed under this Regulation and review progress made towards expected results, covering outputs and outcomes. Wherever possible, existing results frameworks should be used. The indicators used to measure progress</i>	<i>(14 bis) The Commission should regularly monitor actions financed under this Regulation and review progress made towards expected results, covering outputs and outcomes. Wherever possible, existing results frameworks should be used. The indicators used to measure progress should be in</i>	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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			<p><i>should be in line with the Sustainable Development Goals and be clear, relevant and have robust methodologies. The data for the indicators should be readily available and of a good quality. The values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also evaluate the impact and effectiveness of its sectoral policies, indicative programmes and specific actions,</i></p>	<p><i>line with the Sustainable Development Goals and be clear, relevant and have robust methodologies. The data for the indicators should be readily available and of a good quality. The values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also make appropriate use of independent external evaluations. In this regard, the Commission should ensure, where relevant, appropriate</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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			<i>where appropriate by means of independent external evaluations.</i>	<i>involvement of the European Parliament, the Council as well as other stakeholders, including civil society organisations.</i>	
24. ter			<i>(14 ter) The Commission should send its evaluation reports to the European Parliament, to the Council and to the Member States through the relevant committee. Evaluations may be discussed in that committee at the request of Member States. The results should feed back into programme design and resource allocation.</i>	<i>(14 ter) The Commission should send its evaluation reports to the European Parliament, to the Council and to the Member States {1}. The results should feed back into programme design and resource allocation.</i>	{1} EP: no text CL: <i>through the relevant committee. Evaluations may be discussed in that committee at the request of Member States.</i>
25.	(15) This Regulation should contribute to the collective Union objective of providing 0.7% of Gross National	(15) This Regulation should contribute to the collective Union objective of providing 0,7% of Gross National	(15) This Regulation should contribute to the collective Union objective of providing 0.7% of Gross National	(15) This Regulation should contribute to the collective Union objective of providing 0.7% of Gross National	{1} EP: <i>and continuing to monitor and report on progress</i>

Spending targets (except migration)

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	Income as Official Development Assistance within the timeframe of the 2030 Agenda. In that regard, at least 92% of the funding under this Regulation should contribute to actions designed in such a way that they fulfil the criteria for Official Development Assistance as established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development.	Income as Official Development Assistance within the timeframe of the 2030 Agenda. <i>That commitment should be based on a clear roadmap for the Union and its Member States to set out deadlines and modalities for its achievement.</i> In that regard, at least 92% 95 % of the funding under this Regulation should contribute to actions designed in such a way that they fulfil the criteria for Official Development Assistance as established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development.	Income as Official Development Assistance within the timeframe of the 2030 Agenda. In that regard, at least 92% of the funding under this Regulation should contribute to actions designed in such a way that they fulfil the criteria for Official Development Assistance as established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development.	Income as Official Development Assistance within the timeframe of the 2030 Agenda, <i>by supporting realistic, verifiable actions to meet this commitment</i> {1}. In that regard, at least {2}% of the funding under this Regulation should contribute to actions designed in such a way that they fulfil the criteria for Official Development Assistance as established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development.	CL: no text {2} EP: 92% 95 CL: 92
26.	(16) In order to ensure resources are provided to where the need is greatest, especially to the Least Developed	(16) In order to ensure resources are provided to where the need is greatest, especially to the Least Developed	(16) In order to ensure resources are provided to where the need is greatest, especially to the Least Developed	(16) In order to ensure resources are provided to where the need is greatest, especially to the Least Developed	{1} EP: no text CL: 0.15-

Spending targets (except migration)

Migration spending target and related provisions

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	<p>Countries and the countries in situation of fragility and conflict, this Regulation should contribute to the collective target of reaching 0.20% of the Union Gross National Income towards Least Developed Countries within the timeframe of the 2030 Agenda.</p>	<p>Countries and the countries in situation of fragility and conflict, this Regulation should contribute to the collective target of reaching 0,20 % of the Union Gross National Income towards Least Developed Countries within the timeframe of the 2030 Agenda. <i>This commitment should be based on a clear roadmap for the EU and its Member States to set out deadlines and modalities for its achievement.</i></p>	<p>Countries and the countries in situation of fragility and conflict, this Regulation should contribute to the <i>EU</i> collective target of reaching <i>0.15-0.20%</i> of the Union Gross National Income <i>towards as Official Development Assistance to Least Developed Countries in the short term, and to reach 0.20% of Gross National Income as Official Development Assistance</i> within the timeframe of the 2030 Agenda.</p>	<p>Countries and the countries in situation of fragility and conflict, this Regulation should contribute to the <i>EU</i> collective target of reaching <i>{1}</i> 0.20% of the Union Gross National Income <i>towards as Official Development Assistance to Least Developed Countries {2}</i> within the timeframe of the 2030 Agenda.</p>	<p><i>{2}</i> EP: no text</p> <p><i>CL: in the short term, and to reach 0.20% of Gross National Income as Official Development Assistance</i></p> <p>EP amendment to be discussed again after agreement on line 25</p>
<p>26. bis</p>		<p><i>(16a) In line with existing commitments in the EU Gender Action Plan II, at least 85 % of Official Development Assistance funded programmes, geographic and thematic, should have</i></p>	<p><i>(16 bis) As agreed in the Consensus, actions under this Regulation are expected to contribute at least 20% of the Official Development Assistance funded under this Regulation</i></p>	<p>As agreed in the Consensus, actions under this Regulation are expected to contribute <i>at least</i> 20% of the Official Development Assistance funded under this Regulation to</p>	<p><i>Provisionally closed</i></p>

Spending targets (except migration)

Migration spending target and related provisions

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		<i>gender equality as a principal or a significant objective, as defined by the OECD DAC. A mandatory review of the spending should ensure that a significant part of these programmes have gender equality and women's and girls' rights and empowerment as a principal objective.</i>	<i>to social inclusion and human development objectives.</i>	social inclusion and human development, including <i>basic social services, such as health, education, nutrition, water, sanitation and hygiene, and social protection, particularly to the most marginalised.</i>	
26. ter		<i>(16b) This regulation should give particular attention to children and youth as contributors to the realisation of Agenda 2030. The Union's external action under this Regulation should give particular attention to their needs and empowerment and will contribute to the realisation of their potential as key agents of change by investing</i>		<i>(16b) This regulation should support children and youth as key agents of change and as contributors to the realisation of Agenda 2030, giving particular attention to their needs and empowerment.</i>	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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		<i>in human development and social inclusion.</i>			
26. quater		<i>(16c) The inhabitants of the countries of Sub-Saharan Africa are mainly adolescents and young people. Each country should decide on its demographic policy. However, the demographic dynamic should be tackled in a global way in order to ensure that current and future generations will be able to achieve their full potential in a sustainable way.</i>			<i>Provisionally closed on 11 June 2020</i>
27.	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically – the European Neighbourhood and Africa, as well as countries that are fragile and most in need, but also thematically – security, migration,	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically – the European Neighbourhood and Africa, as well as countries that are fragile and most in need, <i>particularly Least Developed Countries,</i>	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically the European Neighbourhood and Africa, as well as countries that are fragile and most in need, but also thematically security,		

Spending targets (except migration)

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	<p>climate change and human rights.</p>	<p>but also thematically – <i>sustainable development, poverty eradication, democracy and human rights, the rule of law, good governance, security, safe, orderly and regular migration, the reduction of inequalities, gender equality, addressing environmental degradation and climate change and human rights global public health threats.</i></p>	<p>migration, climate change and <i>environment, and</i> human rights.</p>		
<p>27. bis</p>		<p><i>(17a) This Regulation should contribute to creating State and societal resilience in the area of global public health by addressing global public health threats, strengthening health systems, achieving universal health coverage, preventing and combatting</i></p>		<p><i>(17a) This Regulation should contribute to creating State and societal resilience in the area of global public health by addressing global public health threats, strengthening health systems, achieving universal health coverage, preventing and combatting</i></p>	<p><i>Provisionally closed</i></p>

Spending targets (except migration)

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		<i>communicable diseases and helping to secure affordable medicines and vaccines for all.</i>		<i>communicable diseases and helping to secure affordable medicines and vaccines for all.</i>	
27. ter			<i>(17 bis) The financial envelopes foreseen for the Neighbourhood and Sub-Saharan Africa geographic programmes should only be increased, given the particular priority the Union gives to these regions.</i>	{1}	To be discussed together with art.6 {1} EP: no text CL: <i>(17 bis) The financial envelopes foreseen for the Neighbourhood and Sub-Saharan Africa geographic programmes should only be increased, given the particular priority the Union gives to these regions.</i>
28.	(18) This Regulation should support the implementation of the European Neighbourhood Policy, as reviewed in 2015, and the implementation of regional cooperation	(18) <i>The special relationship developed with the Union's neighbouring countries, in accordance with Article 8 TEU, should be preserved and enhanced through the</i>	(18) This Regulation should support the implementation of the European Neighbourhood Policy,	(18) This Regulation should support the implementation of the European Neighbourhood Policy, <i>and endorsed by the Council in its</i>	<i>Provisionally closed</i>

Spending targets (except migration)

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	<p>frameworks, such as cross-border cooperation and the external aspects of relevant macro-regional and sea basin strategies and policies. Those initiatives offer political frameworks for deepening relations with and among partner countries, based on the principles of mutual accountability, shared ownership and responsibility.</p>	<p><i>application of this Regulation. This Regulation should contribute to reinforcing States' and societies' resilience in the Union's neighbourhood, following the engagement taken in the Global Strategy. It should support the implementation of the European Neighbourhood Policy, as reviewed in 2015, and the implementation of regional cooperation frameworks, such as cross-border cooperation and the external aspects of relevant macro-regional and sea basin strategies and policies in the eastern and</i></p>	<p>as reviewed in 2015²³, and endorsed by the Council in its Conclusions of 14 December 2015²⁴, while maintaining an adequate geographical balance, and the implementation of regional cooperation frameworks, such as cross-border cooperation, transnational and maritime cooperation as well as the external aspects of relevant macro-regional and sea basin strategies and policies. Those initiatives offer political frameworks for deepening relations with and among partner countries,</p>	<p>Conclusions of 14 December 2015, while maintaining an adequate geographical balance, and the implementation of regional cooperation frameworks, such as cross-border cooperation, transnational and maritime cooperation as well as and the external aspects of relevant macro-regional and sea basin strategies and policies in the eastern and southern neighbourhood, including the Northern Dimension and the Black Sea regional cooperation, as well as the integrated EU policy for the Arctic .</p>	
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²³ *Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015*

²⁴ *Council Conclusions on the Review of the European Neighbourhood Policy, of 14 December 2015 (926/15).*

Spending targets (except migration)

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Governance

		<i>southern neighbourhood, including the Northern Dimension and the Black Sea regional cooperation.</i> Those initiatives offer <i>supplementary</i> political frameworks for deepening relations with and among partner countries, based on the principles of mutual accountability, shared ownership and responsibility.	based on the principles of mutual accountability, shared ownership and responsibility.	Those initiatives offer political <i>supplementary</i> frameworks for deepening relations with and among partner countries, based on the principles of mutual accountability, shared ownership and responsibility.	
29.	(19) The European Neighbourhood Policy, as reviewed in 2015 ²⁵ ,	(19) The European Neighbourhood Policy, as reviewed in 2015 ²⁵ ;	(19) The European Neighbourhood Policy, as reviewed in 2015 ²⁵	(19) The European Neighbourhood Policy, as reviewed in 2015 ²⁷ ;	

²⁵ Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

EP position: ~~Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.~~

²⁷ Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

Spending targets (except migration)

Migration spending target and related provisions

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	<p>aims at the stabilisation of neighbouring countries and strengthening resilience, particularly by boosting economic development, as the Union's main political priorities. In order to attain its objective, the reviewed European Neighbourhood Policy has been focusing on four priority areas: good governance, democracy, the rule of law and human rights, with a particular focus in engaging further with civil society; economic development; security; migration and mobility, including tackling the root causes of irregular migration and forced</p>	<p>aims at <i>the deepening of democracy, promotion of human rights and upholding of the rule of law</i>, the stabilisation of neighbouring countries and strengthening resilience, particularly by boosting <i>promoting political, economic development and social reforms</i>, as the Union's main political priorities. In order to attain its objective, the <i>implementation of the reviewed European Neighbourhood Policy has been focusing on four through this Regulation should focus on the following</i> priority areas: good governance, democracy, the rule of law and human rights,</p>	<p><i>and endorsed by the Council in its Conclusions of 14 December 2015</i>²⁶, aims at the stabilisation of neighbouring countries and strengthening <i>their</i> resilience, particularly by boosting economic development, as the Union's main political priorities. In order to attain its objective, the reviewed European Neighbourhood Policy has been focusing on four <i>the following</i> priority areas: good governance, democracy, the rule of law and human rights, with a particular focus in engaging further with civil society; economic</p>	<p>aims at <i>the deepening of democracy, promotion of human rights and upholding of the rule of law</i>, the stabilisation of neighbouring countries and strengthening <i>their</i> resilience, particularly by <i>promoting political, economic and social reforms development</i>, as the Union's main political priorities. In order to attain its objective, the reviewed European Neighbourhood Policy has been focusing on <i>the following four</i> priority areas: good governance, democracy, the rule of law and human rights, with a particular focus in</p>	<p>EP: performance CL: performance incentive {2} <i>EP: In the case of a serious or persistent degradation of democracy in one of the partner countries, support should be suspended.</i> CL: no text</p>
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EP position: Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

²⁶ *Council Conclusions on the Review of the European Neighbourhood Policy, of 14 December 2015 (926/15).*

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

	<p>displacement. Differentiation and enhanced mutual ownership are the hallmark of the European Neighbourhood Policy, recognising different levels of engagement, and reflecting the interests of each country concerning the nature and focus of its partnership with the Union.</p>	<p>with a particular focus in engaging further with civil society; economic <i>socio-economic</i> development, <i>including the fight against youth unemployment, as well as education and environmental sustainability</i>; security; migration and mobility, including tackling the root causes of irregular migration and forced displacement <i>and supporting populations, countries and regions confronted with enhanced migratory pressure. This Regulation should support the implementation of the Union's association agreements and deep and comprehensive free trade agreements with countries in the neighbourhood.</i> Differentiation and</p>	<p>development; <i>enhancement of connectivity</i>; security; <i>reform agenda</i>; migration and mobility, including tackling the root causes of irregular migration and forced displacement. Differentiation and enhanced mutual ownership are the hallmark of the European Neighbourhood Policy, recognising different levels of engagement <i>and needs</i>, and reflecting the interests of each country concerning the nature and focus of its partnership with the Union.</p>	<p>engaging further with civil society; <i>socio-economic</i> development, <i>including the fight against youth unemployment, as well as education and environmental sustainability</i> and <i>enhanced connectivity</i>; security; migration and mobility, including tackling the root causes of irregular migration and forced displacement. Differentiation and enhanced mutual ownership, <i>including through the implementation of the {1} -based approach</i>, are the hallmark of the European Neighbourhood Policy, recognising different levels of engagement, and reflecting the interests of each country concerning the nature</p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		<p>enhanced mutual ownership are the hallmark of the European Neighbourhood Policy, recognising different levels of engagement, and reflecting the interests of each country concerning the nature and focus of its partnership with the Union. <i>The performance-based approach is one of the key tenets of the European Neighbourhood Policy. In the case of a serious or persistent degradation of democracy in one of the partner countries, support should be suspended. Neighbourhood funding is a key lever in addressing common challenges, such as irregular migration and</i></p>		<p>and focus of its partnership with the Union. <i>This Regulation should support the implementation of the association, partnership and cooperation agreements, jointly agreed association agendas and partnership priorities or other relevant, existing and future, jointly agreed documents with countries in the neighbourhood. {2} The visibility of Union assistance in the neighbourhood area should be enhanced.</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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		<i>climate change, as well as in spreading prosperity, security and stability through economic development and better governance. The visibility of Union assistance in the neighbourhood area should be enhanced.</i>			
30.	(20) This Regulation should support the implementation of a modernised association agreement with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the	(20) This Regulation should support the implementation of a modernised association agreement with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key and shared global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the Joint Africa-EU	(20) This Regulation should support the implementation of a modernised association agreement with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in	(20) This Regulation should support the implementation of a modernised association agreement with countries of the Africa, Caribbean and Pacific (ACP) Group of States and allow the EU and its ACP partners to develop further strong alliances on key global challenges. In particular, this Regulation should support the continuation of the established cooperation between the Union and the African Union in line with the	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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	Joint Africa-EU Strategy and build on the future EU-ACP agreement after 2020, including through a continental approach towards Africa.	Strategy, <i>including the engagement from Africa and the Union to promote children's rights as well as the empowerment of Europe's and Africa's youth</i> , and build on the future EU-ACP agreement after 2020, including through a continental approach towards Africa, <i>and a mutually beneficial partnership of equals between the EU and Africa.</i>	line with the Joint Africa-EU Strategy and build on the future EU-ACP agreement after 2020, including through a continental approach towards Africa.	Joint Africa-EU Strategy and build on the future EU-ACP agreement after 2020, including through a continental approach towards Africa, <i>and a mutually beneficial partnership of equals between the EU and Africa.</i>	
				<i>(20 bis) The Union should also seek to further develop relations and build partnerships with third countries in Asia and the Americas.</i>	<i>Provisionally closed on 11 June 2020</i>
30. bis		<i>(20a) This Regulation should also contribute to the trade-related aspects of the Union's external relations, such as cooperation with</i>		<i>(20a) This Regulation should also contribute to the trade-related aspects of the Union's external relations including supply-chain</i>	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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		<i>third countries on supply chain due diligence for tin, tantalum and gold, the Kimberley Process, the Sustainability Compact, the implementation of commitments under Regulation (EU) No 978/2012 of the European Parliament and the Council²⁸ (GSP Regulation), cooperation under the Forest Law Enforcement, Governance and Trade (FLEGT) and Aid for Trade initiatives in order to ensure consistency and mutual support between Union trade policy and development goals and actions.</i>		<i>due diligence in order to ensure consistency and mutual support between Union trade policy and development goals and actions.</i>	
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²⁸ *Regulation (EU) No 978/2012 of the European Parliament and of the Council of 25 October 2012 applying a scheme of generalised tariff preferences and repealing Council Regulation (EC) No 732/2008 (OJ L 303, 31.10.2012, p. 1).*

Spending targets (except migration)

Migration spending target and related provisions

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			<i>(20 bis) The Union should seek to further develop relations and build partnerships with third countries, including in Asia and the Americas.</i>		<i>Provisionally closed on 11 June 2020</i> <i>Moved to line 30.</i>
31.	(21) The Union should seek the most efficient use of available resources in order to optimise the impact of its external action. That should be achieved through coherence and complementarity among the Union's external financing instruments, notably the Instrument for Pre-Accession III ²⁹ , the Humanitarian Aid Instrument ³⁰ , the Decision on Overseas Countries and	(21) The Union should seek the most efficient use of available resources in order to optimise the impact of its external action. That should be achieved through coherence, consistency and complementarity among the Union's external financing instruments, notably the Instrument for Pre-Accession III ²⁹ , the Humanitarian Aid Instrument ³⁰ , the Decision on Overseas	(21) The Union should seek the most efficient use of available resources in order to optimise the impact of its external action. That should be achieved through coherence, consistency and complementarity among the Union's external financing instruments, notably the Instrument for Pre-Accession III ²⁹ , the Humanitarian Aid Instrument ³⁰ , the	(21) The Union should seek the most efficient use of available resources in order to optimise the impact of its external action. That should be achieved through coherence, consistency and complementarity among the Union's external financing instruments, notably the Instrument for Pre-Accession Assistance III ²⁹ , the Humanitarian Aid Instrument ³⁰ , the	<i>Provisionally closed on 11 June 2020</i>

²⁹ COM (2018) 465 final Proposal for a Regulation of the European Parliament and of the Council establishing the Instrument for Pre-accession Assistance (IPA III)

³⁰ Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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	<p>Territories³¹, the European Instrument for Nuclear Safety to complement the Neighbourhood, Development and International Cooperation Instrument on the basis of the Euratom Treaty³², the common foreign and security policy and the newly proposed European Peace Facility³³ which is financed outside the Union budget, as well as the creation of synergies with other Union policies and Programmes. This</p>	<p>Countries and Territories³¹, the European Instrument for Nuclear Safety to complement the Neighbourhood, Development and International Cooperation Instrument on the basis of the Euratom Treaty³², the common foreign and security policy and the newly proposed European Peace Facility³³ which is financed outside the Union budget, as well as the creation of synergies with other Union policies and</p>	<p>Decision on Overseas Countries and Territories³¹, the European Instrument for Nuclear Safety to complement the Neighbourhood, Development and International Cooperation Instrument on the basis of the Euratom Treaty³², the common foreign and security policy <i>including, where relevant, the Common Security and Defence Policy</i>, and the newly proposed European Peace Facility³³ which is</p>	<p>Decision on Overseas Countries and Territories³¹, the European Instrument for Nuclear Safety to complement the Neighbourhood, Development and International Cooperation Instrument on the basis of the Euratom Treaty³², the common foreign and security policy <i>including, where relevant, the Common Security and Defence Policy</i>, and the European Peace Facility³³ which is</p>	
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³¹ COM(2018) 461 final Proposal for a Council Decision on the Association of the Overseas Countries and Territories with the European Union including relations between the European Union on the one hand, and Greenland and the Kingdom of Denmark on the other ('Overseas Association Decision').

³² COM(2018) 462 final Proposal for a Council Regulation establishing a European Instrument for Nuclear Safety complementing the Neighbourhood, Development and International Cooperation Instrument on the basis of the Euratom Treaty.

³³ C(2018) 3800 final Proposal of the High Representative of the Union for Foreign Affairs and Security Policy to the Council for a Council Decision establishing a European Peace Facility.

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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	includes coherence and complementarity with macro-financial assistance, where relevant. In order to maximise the impact of combined interventions to achieve a common objective, this Regulation should allow for the combination of funding with other Union Programmes, as long as the contributions do not cover the same costs.	Programmes, including Trust Funds as well as policies and programmes of the EU Member States . This includes coherence and complementarity with macro-financial assistance, where relevant. In order to maximise the impact of combined interventions to achieve a common objective, this Regulation should allow for the combination of funding with other Union Programmes, as long as the contributions do not cover the same costs.	financed outside the Union budget, as well as the creation of synergies with other Union policies and Programmes. This includes coherence and complementarity with macro-financial assistance, where relevant. In order to maximise the impact of combined interventions to achieve a common objective, this Regulation should allow for the combination of funding with other Union Programmes, as long as the contributions do not cover the same costs.	Union budget, as well as the creation of synergies with other Union policies and Programmes. This includes coherence and complementarity with macro-financial assistance, where relevant. In order to maximise the impact of combined interventions to achieve a common objective, this Regulation should allow for the combination of funding with other Union Programmes, as long as the contributions do not cover the same costs.	
32.	(22) Funding from this Regulation should be used to finance actions under the international dimension of Erasmus, the implementation of which should be done	(22) Funding from this Regulation should be used to finance actions under the international dimension of Erasmus and Creative Europe , the implementation of	(22) Building on its success, this Regulation should enable the Union to further strengthen the external dimension of the Erasmus	(22) Building on its success, this Regulation should enable the Union to further strengthen the external dimension of the Erasmus	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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	according to the Erasmus Regulation ³⁴ .	which should be done according to Regulation (EU) .../... of the European Parliament and of the Council ('the Erasmus Regulation') ³⁴ and Regulation (EU) .../... of the European Parliament and of the Council ('the Creative Europe Regulation') ³⁵ .	programme. Funding from this Regulation should be used to finance actions under the international dimension of Erasmus, the implementation use of which should be done according to the Erasmus Regulation ³⁴ and in accordance with the programming document adopted under this Regulation. Programming under this Regulation should fully enhance the potential of Erasmus programme.	programme. Funding from this Regulation should be used to finance actions under the international dimension of Erasmus, the implementation of which should be done according to the Erasmus Regulation ³⁴ and in accordance with the programming document adopted under this Regulation. Programming under this Regulation should fully enhance the potential of Erasmus programme.	
32. bis		(22a) The international dimension of the Erasmus Plus Programme should be boosted aiming at			<i>Provisionally closed on 11 June 2020</i>

³⁴ COM (2018) 367 final Proposal for a Regulation of the European Parliament and of the Council establishing 'Erasmus': the Union programme for education, training, youth and sport and repealing Regulation (EU) 1288/2013.

³⁵ **COM(2018)0366 Proposal for a Regulation of the European Parliament and of the Council establishing the Creative Europe programme (2021 to 2027) and repealing Regulation (EU) No 1295/2013.**

Spending targets (except migration)

Migration spending target and related provisions

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	<i>increasing opportunities for mobility and cooperation for individuals and organisations from less developed countries of the world - supporting capacity-building in third countries, skills' development, people-to-people exchanges, while offering a greater number of opportunities for cooperation and mobility with developed and emerging countries.</i>			
			<i>(22. bis)Funding from this Regulation should be used to finance actions under the international dimension of Creative Europe in order to contribute to foster international cultural relations, and recognise the role of culture in promoting European values.</i>	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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32. ter		<i>(22b) Considering the relevance of addressing education and culture in line with the 2030 Agenda for Sustainable Development and the EU strategy for international cultural relations, this Regulation should contribute to ensure inclusive and equitable quality education, promote life-long learning opportunities for all, foster international cultural relations, and recognise the role of culture in promoting European values through dedicated and targeted actions designed to have a clear impact on the Union's role on the global scene.</i>			<i>Provisionally closed on 11 June 2020</i>
33.	(23) The main approach for actions financed under this Regulation should be through	(23) The main approach for actions financed under this Regulation should be through	(23) The main approach for actions financed under this Regulation should be	(23) The main approach for actions financed under this Regulation should be through	<i>Provisionally closed on 11 June 2020 pending agreement on governance</i>

Spending targets (except migration)

Migration spending target and related provisions

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	<p>geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations. This general approach should be complemented by thematic programmes and by rapid response actions, where relevant.</p>	<p>geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations, This general approach <i>while supporting thematic priorities such as human rights, civil society and sustainability. The objectives under the geographic and thematic programmes should be consistent and coherent with each other and</i> should be complemented by thematic programmes and by rapid response actions, where relevant. <i>Effective complementarity between the geographic, thematic and rapid response programmes and actions should be ensured. In order to</i></p>	<p>through geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations. This general approach should be complemented by thematic programmes and by rapid response actions, where relevant.</p>	<p>geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations. This general approach should be complemented by thematic programmes and by rapid response actions, where relevant <i>whilst ensuring the consistency and coherence of all programmes and actions</i> {1}.</p>	<p>{1} EP: <i>In order to take account of the specificities of each programme, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission to supplement the provisions of this Regulation by setting out the Union's strategy, the priority areas, detailed objectives, the expected results, specific performance indicators and the specific financial allocation for each programme. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work,</i></p>
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		<p><i>take account of the specificities of each programme, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission to supplement the provisions of this Regulation by setting out the Union's strategy, the priority areas, detailed objectives, the expected results, specific performance indicators and the specific financial allocation for each programme. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the</i></p>			<p><i>including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making³⁶. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.</i></p> <p>CL: No text</p>
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Spending targets (except migration)

Migration spending target and related provisions

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		<i>principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law-Making³⁶. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.</i>			
33. bis			<i>(23 bis) The EU and its Member States should be united in diversity and working better together, using a variety of experiences and</i>	<i>(23 bis) The Union's and Member States' development cooperation policies should complement and reinforce each other. The EU and its</i>	<i>Provisionally closed on 11 June 2020</i>

³⁶ OJ L 123, 12.5.2016, p. 1.

Spending targets (except migration)

Migration spending target and related provisions

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Amounts

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			<p><i>approaches, bearing in mind their comparative advantages. Therefore, the Union should foster inclusiveness and collaboration with Member States seeking to maximise added value and taking into account experience and capacities, thus reinforcing shared interests, values and common goals. In this regard, the Union and its Member States should also seek to promote the exchange of best practices, knowledge sharing and capacity-building amongst them.</i></p>	<p><i>Member States should be united in diversity and working better together, using a variety of experiences and approaches, bearing in mind their comparative advantages. Therefore, the Union should foster inclusiveness and collaboration with Member States seeking to maximise added value and taking into account experience and capacities, thus reinforcing shared interests, values and common goals. In this regard, the Union and its Member States should also seek to promote the exchange of best practices, knowledge sharing and capacity-building amongst them.</i></p>	
33. ter				<p><i>In cases of forms of Union funding in</i></p>	<p><i>Provisionally closed on 2 October 2020</i></p>

Spending targets (except migration)

Migration spending target and related provisions

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Amounts

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				<i>which public administrations of Member States are involved, such as twinning, simplified implementing and contractual provisions should be discussed with Member States and applied in compliance with the Financial Regulation.</i>	
34.	(24) In line with the Consensus, the Union and its Member States should enhance joint programming to increase their collective impact by bringing together their resources and capacities. Joint programming should build on the partner countries' engagement, appropriation and ownership. The Union and its Member States should seek to support partner countries through joint	(24) In line with the Consensus, the Union and its Member States should enhance joint programming to increase their collective impact by bringing together their resources and capacities. Joint programming should build on the partner countries' engagement, appropriation and ownership. The Union and its Member States should seek to support partner countries through joint implementation	(24) In line with the Consensus, the Union and its Member States should enhance joint programming to increase their collective impact by bringing together their resources and capacities. Joint Programming should be promoted and strengthened, while being kept voluntary, flexible, inclusive, and tailored to the country context, and allow for the replacement of EU	(24) In line with the Consensus, the Union and its Member States should enhance joint programming to increase their collective impact by bringing together their resources and capacities. Joint Programming should be promoted and strengthened, while being kept voluntary, flexible, inclusive, and tailored to the country context, and allow for the replacement of EU and Member States'	<i>Provisionally closed on 11 June 2020 pending agreement on governance</i> {1} EP: implementation application CL: implementation {2} EP: application N.B. Takes note of concept of joint implementation CL: implementation

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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	<p>implementation, whenever appropriate.</p>	<p><i>application</i>, whenever appropriate. Joint application should be inclusive and open to all Union partners who agree and can contribute to a common vision, including Member States' agencies and their development financial institutions, local authorities, the private sector, civil society and academia.</p>	<p><i>and Member States' programming documents with EU Joint Programming documents.</i> Joint programming should build on the partner countries' engagement, appropriation and ownership. The Union and its Member States should seek to support partner countries through joint implementation, whenever appropriate.</p>	<p><i>programming documents with EU Joint Programming documents.</i> Joint programming should build on the partner countries' engagement, appropriation and ownership. The Union and its Member States should seek to support partner countries through joint {1}, whenever appropriate. Joint {2} should be inclusive and open to all Union partners who agree and can contribute to a common vision, including Member States' agencies and their development financial institutions, local authorities, the private sector, civil society and academia.</p>	
				<p><i>(24 bis) The criteria used to establish partners' needs in the</i></p>	<p><i>Provisionally closed on 11 June 2020</i></p>

Spending targets (except migration)

Migration spending target and related provisions

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				<i>programming approach should be consistent with the transparency principles laid down in this Regulation.</i>	
34. bis		<i>(24a) In the case of a serious or persistent degradation of democracy, human rights and rule of law in one of the partner countries, support may, by means of a delegated act, be partially or fully suspended. The Commission should take due account of relevant European Parliament resolutions in its decision-making.</i>			EP and CL maintain their positions
34. ter		<i>(24b) This Regulation should reconfirm nuclear safety as an important part of the Union external action and facilitate the objectives of cooperation specified in Regulation (EU) .../... of the European</i>		<i>(24 ter) The Union is committed to facilitating the objectives of nuclear safety cooperation specified in Regulation (EINS). Therefore, the partner countries track record in implementing obligations and</i>	{1} EP: <i>regarding full or partial suspension of assistance under this Regulation</i> CL: no text

Spending targets (except migration)

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		<p><i>Parliament and of the Council³⁷ ('Regulation EINS'). Therefore, in the event where a partner country persistently fails to respect the basic nuclear safety standards, such as provisions of the relevant international Conventions within the Framework of the IAEA, the Espoo and Aarhus Conventions and their subsequent amendments, the Treaty on the Non-Proliferation of Nuclear Weapons and the additional Protocols thereto, the commitments to implementation of stress tests and related measures, and the objectives of</i></p>		<p><i>commitments concerning nuclear safety should be taken into account and be taken up in the regular political dialogue with those countries. In the event where a partner country persistently fails to respect the basic nuclear safety standards and provisions of the relevant international conventions, the Union should take appropriate steps {1}.</i></p>	
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³⁷ *Regulation (EU) .../... of the European Parliament and of the Council of... on... (OJ...)*

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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		<i>cooperation specified in Regulation EINS, assistance under this Regulation for the country concerned should be reconsidered and may be suspended or partly suspended.</i>			
35.	(25) Whilst democracy and human rights, including gender equality and women's empowerment should be reflected throughout the implementation of this Regulation, Union assistance under the thematic programmes for human rights and democracy and civil society organisations should have a specific complementary and additional role by virtue of its global nature and its independence of action from the consent of the governments and public authorities of the	(25) Whilst democracy and fundamental freedoms , including the protection of children, minorities, persons with disabilities and LGBTI persons, as well as gender equality, and women's women and girls' empowerment should be consistently reflected and mainstreamed throughout the implementation application of this Regulation, Union assistance under the thematic programmes for human rights and democracy and civil society organisations -	(25) Whilst democracy and human rights, including gender equality and women's empowerment should be reflected throughout the implementation of this Regulation, Union assistance under the thematic programmes for human rights and democracy and civil society organisations should have a specific complementary and additional role by virtue of its global nature and its independence of action from the consent of the governments and public authorities of	(25) Under this Regulation, the Union should address human rights and democratisation issues at all levels. Whilst democracy and human rights, including gender equality and women's empowerment should be reflected and mainstreamed throughout the implementation of this Regulation, Union assistance under the thematic programmes for human rights and democracy and civil society organisations {1} should have a specific complementary	<i>Provisionally closed on 11 June 2020 pending agreement on local authorities</i> {1} EP: and local authorities CL: no text

Spending targets (except migration)

Migration spending target and related provisions

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	<p>third countries concerned.</p>	<p><i>local authorities</i> should have a specific complementary and additional role by virtue of its global nature and its independence of action from the consent of the governments and public authorities of the third countries concerned. <i>In doing so, the Union should pay particular attention to countries and urgency situations where human rights and fundamental freedoms are most at risk and where disrespect for those rights and freedoms is particularly pronounced and systematic, as well as to situations where space for civil society is at stake. The Union's assistance under this Regulation should be designed in such a way as to allow for support to, and cooperation and</i></p>	<p>the third countries concerned. <i>This role should allow for cooperation and partnership with civil society, especially on sensitive human rights and democracy issues.</i></p>	<p>and additional role by virtue of its global nature and its independence of action from the consent of the governments and public authorities of the third countries concerned. <i>This role should allow for cooperation and partnership with civil society, especially on sensitive human rights and democracy issues. The Union should pay particular attention to countries and urgency situations where human rights and fundamental freedoms are most at risk and where disrespect for those rights and freedoms is particularly pronounced and systematic, in a flexible manner.</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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		<p><i>partnership with civil society on sensitive and human rights and democracy issues, providing the flexibility and requisite reactivity to respond to changing circumstances, needs of beneficiaries, or periods of crisis, and when necessary, contributing to capacity building of civil society. In such cases, the political priorities should be to promote respect for international law and to provide means of action to local civil society and other relevant human rights stakeholders in order to contribute to work that is carried out in very difficult circumstances. This Regulation should offer also the possibility for civil society organisations to receive small grants in a fast</i></p>			
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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		<i>and efficient manner when necessary, in particular in the most difficult situations, such as those of fragility, crisis, and inter-community tensions.</i>			
35. bis		<i>(25a) In accordance with Articles 2, 3 and 21 TEU and Article 8 TFEU, the implementation of this Regulation should be guided by the principles of gender equality, women and girls' empowerment, and should seek to protect and promote women's rights in line with the Gender Action Plan II, the Council Conclusions on Women Peace and Security of 10 December 2018, the Council of Europe Istanbul Convention and Goal 5 of the 2030 Agenda for Sustainable Development.</i>			<i>Provisionally closed</i>

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<p>35. bis bis</p>			<p><i>(25 bis) EU Election Observation Missions should contribute to increase transparency and confidence in electoral processes, and provide an informed assessment of elections as well as recommendations for their further improvement, in the context of Union cooperation and political dialogue with partner countries.</i></p>	<p><i>(25 bis) EU Election Observation Missions should contribute to increase transparency and confidence in electoral processes, and provide an informed assessment of elections as well as recommendations for their further improvement, in the context of Union cooperation and political dialogue with partner countries.</i></p>	<p><i>Provisionally closed on 11 June 2020</i></p>
<p>35. ter</p>		<p><i>(25b) This Regulation should address and mainstream the promotion of women's rights and gender equality globally, including by supporting organisations which are working on promoting sexual and reproductive health and rights (access to quality and accessible information, education and services)</i></p>			<p><i>Provisionally closed on 11 June 2020</i></p>

Spending targets (except migration)					
Migration spending target and related provisions					
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		<i>and combating gender-based violence and discrimination, as well as recognising and addressing the close links between the issues of peace, security, development and gender equality. This work should be coherent with, and promote the implementation of relevant international and European principles and conventions.</i>			
35. ter bis			<i>(25 ter) As reaffirmed in the Council Conclusions of 26 November 2018 on the implementation of the EU Gender Action Plan II (GAP II) in 2017³⁸: Strengthening gender equality and women's empowerment in EU</i>	<i>(25 ter) The implementation of this Regulation should be guided by the principles of gender equality, women and girls' empowerment, and should seek to protect and promote women's rights in line with the EU Gender Action</i>	{1} EP: <i>A significant part</i> CL: <i>At least 5%</i>

³⁸ *Council Conclusions of 26 November 2018 on the implementation of the EU Gender Action Plan II (GAP II) in 2017*

Spending targets (except migration)

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			<p><i>external action, increased efforts to reach the five minimum standards of performance indicated by the GAP II should lead to a gender sensitive and transformative approach in all EU external action and international cooperation. At least 85% of new actions implemented under this Regulation should score G1 or G2 on the Gender Equality Policy Marker of the OECD Development Assistance Committee (G-marker), and at least 5% of those actions should have gender equality and women's empowerment as a principal objective.</i></p>	<p><i>Plans and relevant Council Conclusions and international conventions, including the Council Conclusions on Women Peace and Security of 10 December 2018. Strengthening gender equality and women's empowerment in EU external action and increasing efforts to reach the minimum standards of performance indicated by the Gender Action Plans should lead to a gender sensitive and transformative approach in all EU external action and international cooperation. At least 85 % of new actions implemented under this Regulation, should have gender equality as a principal or a</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

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				<p><i>significant objective, as defined by the gender equality policy marker of the OECD DAC. {1} of these actions should have gender equality and women's and girls' rights and empowerment as a principal objective.</i></p>	
35. quater			<p><i>(25 quater) This Regulation recognizes that demographic growth and demographic shifts can have significant impact on development gains and economic progress. It should support partners' efforts towards an integrated approach that minimises the challenges related to population growth and maximises the benefits of a demographic dividend, while respecting, protecting</i></p>	<p><i>(25 quater) This Regulation recognises that demographic growth and demographic shifts can have significant impact on development gains and economic progress. In order to ensure that current and future generations will be able to achieve their full potential in a sustainable way, this Regulation should support partners' efforts towards an integrated approach that minimises the challenges related to</i></p>	<p><i>Provisionally closed on 11 June 2020</i></p>

Spending targets (except migration)

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			<i>and fulfilling human rights as well as gender equality.</i>	<i>population growth and maximises the benefits of a demographic dividend, while respecting each country's right to decide on its demographic policy and while respecting, protecting and fulfilling human rights as well as gender equality.</i>	
36.	(26) Civil society organisations should embrace a wide range of actors with different roles and mandates which includes all non-State, not-for-profit structures, non-partisan and non-violent, through which people organise to pursue shared objectives and ideals, whether political, cultural, social or economic. Operating from the local to the national, regional and	(26) Civil society organisations should embrace a wide range of actors with different multiple roles and mandates which includes all non-State, not-for-profit structures, non-partisan structures , through which people organise to pursue shared objectives and ideals, whether political, cultural, social, or religious, environmental, economic or holding	(26) Civil society organisations should embrace a wide range of actors with different roles and mandates which includes all non-State, not-for-profit structures, non-partisan independent and non-violent, through which people organise to pursue shared objectives and ideals, whether political, cultural, social or economic. Operating from the local to the	(26) Civil society organisations should embrace a wide range of actors with different multiple roles and mandates which includes all non-State, not-for-profit structures, non-partisan independent and non-violent structures , through which people organise to pursue shared objectives and ideals, whether political, cultural, social, religious,	<i>Provisionally closed on 11 June 2020 pending agreement on governance</i> <i>{1}</i> <i>EP: “implementation application”</i> <i>CL: “implementation”</i>

Spending targets (except migration)

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	<p>international levels, they comprise urban and rural, formal and informal organisations.</p>	<p>authorities to account. Operating from the local to the national, regional and international levels, they comprise urban and rural, formal and informal organisations. Other bodies or actors not specifically excluded by this Regulation should be able to be financed when it is necessary to achieve the objectives of this Regulation.</p>	<p>national, regional and international levels, they comprise urban and rural, formal and informal organisations. This Regulation should provide EU support to civil society organisations in order to pursue the values, interests and objectives of the Union.</p>	<p>environmental, social or economic. Operating from the local to the national, regional and international levels, they comprise urban and rural, formal and informal organisations. This Regulation should provide EU support to civil society organisations in order to pursue the values, interests and objectives of the Union. Civil society organisations should be duly consulted and have timely access to relevant information allowing them to be adequately involved and play a meaningful role during the design, {1} and associated monitoring processes of programmes.</p>	
36. -bis				<p>The role of organisations supporting democracy,</p>	<p><i>Provisionally closed, placement to be checked</i></p>

Spending targets (except migration)

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				<i>free elections, civil society, human rights and the rule of law worldwide, such as the European Endowment for Democracy (EED), as well as citizen election observation organisations and their European and other regional and global platforms, should be supported under this Regulation.</i>	
36. bis		<i>(26a) In line with the Consensus for Development, the Union and its Member States should foster the participation of civil society organisations (CSOs) and local authorities (LAs) in contributing to sustainable development and to the implementation of the SDGs, inter alia in the sectors of democracy, the rule of law,</i>		<i>(26a) This Regulation should foster the participation of civil society organisations in contributing to sustainable development and to the implementation of the SDGs inter alia in the areas of democracy, the rule of law, fundamental freedoms and human rights, social justice and basic social services.</i>	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

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		<p><i>fundamental freedoms and human rights, social justice and as providers of basic social services to populations most in need. They should recognise the multiple roles played by CSOs and LAs, the latter as promoters of a territorial approach to development, including decentralisation processes, participation, oversight and accountability. The Union and its Member States should promote an operating space and enabling environment for CSOs, and further enhance their support for CSOs' and LAs' capacity building in order to strengthen their voice in the sustainable development process and advance political, social and economic dialogue, including</i></p>			
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Spending targets (except migration)

Migration spending target and related provisions

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		<i>through civil society facilities programmes.</i>			
			<i>(26 bis) Under this Regulation, the Union should address human rights and democratisation issues, including in partnership with civil society, at global, regional, national and local levels.</i>		<i>Provisionally closed on 11 June 2020</i> Covered in line 35
36. ter		<i>(26b) The Union should support civil society organisations and promote their greater strategic involvement in all external instruments and programmes, including geographical programmes and the rapid response actions under this Regulation, in keeping with the Council conclusions of 15 October 2012 on 'The roots of democracy and sustainable development: Europe's engagement with civil</i>			<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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		<i>society in external relations’.</i>			
			<i>(26 ter) This Regulation should consider digital solutions and technologies as powerful enablers for sustainable development and inclusive growth, and should contribute to further promoting digitalisation.</i>		
37.	(27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in	(27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound	(27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in	(27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in	<i>Provisionally closed on 2 October 2020</i>

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	budgetary matters and on sound financial management ³⁹ , for the European Parliament and the Council during the annual budgetary procedure.	financial management ³⁹ , for the European Parliament and the Council during the annual budgetary procedure.	budgetary matters and on sound financial management ³⁹ , for the European Parliament and the Council during the annual budgetary procedure.	budgetary matters and on sound financial management ³⁹ , for the European Parliament and the Council during the annual budgetary procedure.	
38.	(28) Reflecting the importance of tackling climate change in line with the Union commitments to implement the Paris Agreement and the United Nations Sustainable Development Goals, this Regulation should contribute to mainstream climate action in the Union policies and to the achievement of an overall target of 25 % of the Union budget expenditures supporting	(28) Reflecting the importance of tackling climate change, protecting the environment and fight biodiversity loss , in line with the Union commitments to implement the Paris Agreement, the Convention on Biological Diversity and the United Nations Sustainable Development Goals, this Regulation should contribute to mainstream climate and environmental action in	(28) Reflecting the importance of tackling climate change in line with the Union commitments to implement the Paris Agreement and the United Nations Sustainable Development Goals, this Regulation should contribute to mainstream climate action in the Union policies and to the achievement of an overall target of 25-30 % of the Union budget expenditures	(28) Reflecting the importance of tackling climate change{ 1 } in line with the Union commitments to implement the Paris Agreement{ 2 } and the United Nations Sustainable Development Goals, this Regulation should contribute to mainstream climate { 3 } action in the Union policies and to the achievement of an overall target of 2530 % of the Union budget expenditures supporting	{ 1 } EP: , protecting the environment and fight biodiversity loss, CL: No text { 2 } EP: , the Convention on Biological Diversity CL: No text { 3 } EP:

³⁹ OJ C 373, 20.12.2013, p. 1.

Spending targets (except migration)

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	<p>climate objectives. Actions under this Regulation are expected to contribute 25% of its overall financial envelope to climate objectives. Relevant actions will be identified during the implementation of this Regulation, and the overall contribution from this Regulation should be part of relevant evaluations and review processes.</p>	<p>the Union policies and to the achievement of an the overall target of 25 % of the Union budget expenditures supporting climate objectives and support actions with clean and identifiable co-benefits across sectors. Actions under this Regulation are expected to contribute 25 % 45 % of its overall financial envelope to climate objectives, environmental management and protection, biodiversity and combatting desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptation. Relevant actions will be identified during the implementation application of this</p>	<p>supporting climate objectives. Actions under this Regulation are expected to contribute at least 25% of its overall financial envelope to climate objectives. Relevant actions will be identified during the implementation of this Regulation, and the overall contribution from this Regulation should be part of relevant monitoring, evaluations and review processes.</p>	<p>climate objectives. Actions under this Regulation are expected to contribute {4} of its overall financial envelope to climate objectives {5}. Relevant actions will be identified during the {6} of this Regulation, and the overall contribution from this Regulation should be part of relevant monitoring, evaluations and review processes.</p>	<p>and environmental</p> <p>CL: No text</p> <p>{4}</p> <p>EP: 25 % 45 %</p> <p>CL: At least 25%</p> <p>{5}</p> <p>EP: , environmental management and protection, biodiversity and combatting desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptation</p> <p>CL: No text</p> <p>{6}</p>
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Spending targets (except migration)

Migration spending target and related provisions

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Amounts

Governance

		<p>Regulation, and the overall contribution from this Regulation should be part of relevant evaluations and review processes. <i>Union action in this area should favour the adherence to the Paris Agreement and to the Rio Conventions, and not contribute to environmental degradation or cause harm to the environment or climate. Actions and measures contributing to meeting the target on climate shall put special emphasis on support for adaptation to climate change in poor, highly vulnerable countries, and should take into consideration the relation between climate, peace and security, women's empowerment and the</i></p>		<p>EP: implementation <i>application</i></p> <p>CL: implementation</p>
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Spending targets (except migration)					
Migration spending target and related provisions					
Neighbourhood					
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Governance					
		<i>fight against poverty. This Regulation should contribute to the sustainable management of natural resources and promote sustainable and secure mining, forest management and agriculture.</i>			
38. bis			<i>(28 bis) Funding allocated in the context of this Regulation should be coherent with the long term temperature goal set out in the Paris Agreement of holding the increase in the global average temperature to well below 2°C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5°C.</i>	<i>Union action in this area should favour the adherence to the Paris Agreement and the Rio Conventions on biodiversity, climate change and desertification, and not contribute to environmental degradation or cause harm to the environment or climate. In particular, funding allocated in the context of this Regulation should be coherent with the long-term temperature goal of</i>	<i>Provisionally closed</i> <i>EP line 38: Union action in this area should favour the adherence to the Paris Agreement and to the Rio Conventions, and not contribute to environmental degradation or cause harm to the environment or climate. Actions and measures contributing to meeting the target on climate shall put special emphasis on</i>

Spending targets (except migration)

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				<p><i>holding the increase in the global average temperature to well below 2°C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5°C. It should also be coherent with the objective to increase the ability to adapt to the adverse effects of climate change and foster climate resilience. Particular attention should be given to actions that create co-benefits and meet multiple objectives, including for climate, biodiversity and the environment.</i></p>	<p><i>support for adaptation to climate change in poor, highly vulnerable countries, and should take into consideration the relation between climate, peace and security, women’s empowerment and the fight against poverty. This Regulation should contribute to the sustainable management of natural resources and promote sustainable and secure mining, forest management and agriculture.</i></p>
38. ter			<p><i>(28 ter) The funding allocated in the context of this Regulation should be subject to the OECD methodology (Rio markers) to track the</i></p>		<p><i>Provisionally closed</i></p> <p><i>Reference to Rio markers in line 421</i></p>

Spending targets (except migration)

Migration spending target and related provisions

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			<i>expenditure related to environmental management and protection, climate action, desertification and biodiversity.</i>		
38. quater			<i>(28 quater) Particular attention should be given to actions that create co-benefits and meet multiple objectives, including for climate, biodiversity and the environment.</i>		<i>Provisionally closed</i>
39.	(29) It is essential to further step up cooperation on migration with partner countries, reaping the benefits of well-managed and regular migration and effectively addressing irregular migration. Such cooperation should contribute to ensuring access to international protection, addressing the root	(29) It is essential to further step up Cooperation on migration with partner countries, reaping the benefits of well-managed and regular <i>can lead to mutually benefiting from orderly, safe and responsible migration and to</i> effectively addressing irregular migration <i>and forced displacement.</i> Such cooperation should	(29) It is essential to further step up cooperation on migration with partner countries <i>while respecting competences of the Member States,</i> reaping the benefits of well-managed and regular migration and effectively addressing irregular migration. Such cooperation should contribute to	(29) {1} cooperation on migration with partner countries <i>while respecting competences of the Member States,</i> reaping the benefits -of <i>orderly, safe, regular and responsible, well-managed and regular</i> migration and effectively addressing irregular migration <i>and forced displacement.</i> Such cooperation should contribute to	{1} EP: It is essential to further step up No text CL: It is essential to further step up {2} EP: <i>contributing to ensuring access to legal pathways for migration,</i>

Spending targets (except migration)

Migration spending target and related provisions

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	<p>causes of irregular migration, enhancing border management and pursuing efforts in the fight against irregular migration, trafficking in human beings and migrant smuggling, and working on returns, readmission and reintegration where relevant, on the basis of mutual accountability and full respect of humanitarian and human rights obligations. Therefore, third countries' effective cooperation with the Union in this area should be an integral element in the general principles of this Regulation. An increased coherence between migration and development cooperation policies is important to ensure that development assistance</p>	<p>contribute to <i>facilitating safe and legal pathways for migration and asylum</i>, ensuring access to international protection, addressing the root causes of irregular migration <i>and forced displacement, engaging with diasporas</i>, enhancing border management and pursuing efforts in the fight against <i>addressing irregular migration, trafficking in human beings and migrant smuggling, and working on safe, dignified and sustainable</i> returns, readmission and reintegration where relevant, <i>in a conflict-sensitive manner</i>, on the basis of mutual accountability, <i>and in</i> full respect of humanitarian and human rights obligations. Therefore, third</p>	<p>ensuring access to international protection, addressing the root causes of irregular migration <i>and forced displacement</i>, enhancing border management and pursuing efforts in the fight against <i>to prevent irregular migration, and forced displacement, fighting against</i> trafficking in human beings and migrant smuggling, and working on returns, readmission and reintegration where relevant, on the basis of mutual accountability and full respect of humanitarian and human rights obligations. Therefore, third countries' effective cooperation with the Union in this area should be an integral element in the</p>	<p>ensuring access to international protection, addressing the root causes of irregular migration <i>and forced displacement</i>, {2} enhancing border management and pursuing efforts in the fight against <i>to prevent irregular migration, and forced displacement, fighting against</i> trafficking in human beings and migrant smuggling, and working on <i>dignified and sustainable</i> returns, readmission and reintegration where relevant, on the basis of mutual accountability and full respect of humanitarian and human rights obligations <i>under international and Union law, and by engaging with diasporas</i>. {3} An</p>	<p>CL: no text</p> <p>{3} EP: Therefore, third countries' effective cooperation with the Union in this area should be an integral element in the general principles of this Regulation.</p> <p>CL: Therefore, third countries' effective cooperation with the Union in this area should be an integral element in the general principles of this Regulation.</p> <p>{4} EP: and applying the necessary leverage</p> <p>CL: and applying the necessary leverage</p>
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Spending targets (except migration)

Migration spending target and related provisions

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	<p>supports partner countries to manage migration more effectively. This Regulation should contribute to a coordinated, holistic and structured approach to migration, maximising the synergies and applying the necessary leverage.</p>	<p>countries' effective cooperation with the Union in this area should be an integral element in the general principles of this Regulation. An increased law. countries' effective cooperation with the Union in this area should be an integral element in the general principles of this Regulation. An increased law. Coherence between migration and development cooperation policies is important to ensure that development assistance supports partner countries to manage fight poverty and inequality, promote rights and freedoms, as well as contribute to an orderly, safe and responsible migration more effectively management. This Regulation should contribute to a coordinated, holistic and structured approach to migration, maximising the synergies and applying the necessary</p>	<p>general principles of this Regulation. An increased coherence between migration and development cooperation and other external policies is important to ensure that development the Union's external assistance supports partner countries to manage migration more effectively. This Regulation should contribute to a coordinated, holistic and structured approach to migration, maximising the synergies and applying the necessary leverage. general principles of this Regulation. An increased coherence between migration and development cooperation and other external policies is important to ensure that development the Union's external assistance supports partner countries to manage migration more effectively. This Regulation should contribute to a coordinated, holistic and structured approach to migration, maximising the synergies and applying the necessary leverage.</p>	<p>increased coherence between migration and development cooperation and other external policies is important to ensure that development the Union's external assistance supports partner countries to manage migration more effectively towards sustainable development. This Regulation should contribute to a coordinated, holistic and structured approach to migration, maximising the synergies {4}.</p>	<p>Consensus language for reference: The EU should address in a comprehensive manner the multiple aspects of migration and forced displacement, including smuggling and trafficking in human beings, border management, remittances, addressing the root causes, international protection and return, readmission and reintegration, on the basis of mutual accountability and full respect of humanitarian and human rights obligations.</p>
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Spending targets (except migration)

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		leverage <i>the positive impact of migration and mobility on development.</i>			
40.	(30) This Regulation should enable the Union to respond to challenges, needs and opportunities related to migration, in complementarity with Union migration policy. To contribute to that end, and without prejudice to unforeseen circumstances, 10% of its financial envelope is expected to be dedicated to addressing the root causes of irregular migration and forced displacement and to supporting migration management and governance including the protection of refugees and migrants' rights within the objectives of this Regulation.	(30) This Regulation should enable the Union to respond to challenges, needs and opportunities related to migration, in complementarity with Union migration policy <i>and development policies.</i> To contribute to that end, <i>in order to maximise the contribution of migration to development,</i> and without prejudice to unforeseen circumstances <i>new emerging challenges or new needs, a maximum of 10 % of its financial envelope is expected to be dedicated to addressing the root causes of irregular migration and forced displacement and to</i>	(30) This Regulation should enable the Union to, <i>in cooperation with Member States, to comprehensively</i> respond to challenges, needs and opportunities related to migration, <i>in and forced displacement in coherence and</i> complementarity with Union migration policy. To contribute to that end, and without prejudice to unforeseen circumstances, <i>at least 10% of its the financial envelope is expected to of this Regulation should be dedicated particularly to actions supporting management and governance of</i>	(30) This Regulation should enable the Union to, <i>in cooperation with Member States, to comprehensively</i> respond to challenges, needs and opportunities related to migration <i>and forced displacement in coherence and</i> complementarity with Union migration policy. To contribute to that end, {1} and without prejudice to unforeseen circumstances, {2} 10% {3} <i>Migration-related actions under this Regulation, as needed through its geographic programmes and rapid response actions should build on the experience gained during the</i>	{1} EP: <i>in order to maximise the contribution of migration to development,</i> CL: no text {2} EP: , <i>a maximum of</i> CL: <i>at least</i> {3} EP: of its financial envelope is expected to be dedicated to addressing the root causes of irregular migration and forced displacement, <i>supporting the needs of displaced people and</i>

		<p>supporting <i>strengthened engagement to facilitate safe, orderly, regular and responsible migration management and the implementation of planned and well-managed migration policies</i> and governance, including the protection of refugees and migrants' rights <i>based on international and Union law</i> within the objectives of this Regulation. <i>This Regulation should also contribute to addressing the brain-drain phenomenon and to help support the needs of displaced people and host communities, in particular through the provision of access to basic services and livelihoods opportunities.</i></p>	<p><i>migration and forced displacement, within the objectives of this Regulation. In addition this target should also include actions to addressing the root causes of irregular migration and forced displacement and to supporting migration management and governance including the protection of refugees and migrants' rights within the objectives of this Regulation. when they directly target specific challenges, related to migration and forced displacement. Migration-related actions under this Regulation, as needed through its geographic and thematic programmes and rapid response actions</i></p>	<p><i>implementation of the European Agenda on Migration and the Multiannual Financial Framework 2014-2020, in particular the European Union Emergency Trust Fund for stability and addressing root causes of irregular migration and displaced persons in Africa, to build comprehensive partnerships. {4}{5} These actions should be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. The Commission should develop and use a robust and transparent tracking system to measure such expenditure and to report on it.</i></p>	<p><i>host communities, and to supporting safe, orderly and regular migration policies, management and governance including the protection of refugees and migrants' rights based on international and Union law</i> within the objectives of this Regulation.</p> <p>CL: of its <i>the</i> financial envelope is expected to <i>of this Regulation should</i> be dedicated particularly to actions supporting management and governance of migration and forced displacement, within the objectives of this Regulation. In addition this target should also include actions to addressing the root causes of irregular</p>
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Spending targets (except migration)

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			<p><i>should build on the experience gained during the implementation of the European Agenda on Migration and the Multiannual Financial Framework 2014-2020, in particular the European Union Emergency Trust Fund for stability and addressing root causes of irregular migration and displaced persons in Africa, to build comprehensive partnerships. EU support should take into account the development benefits of regular migration and contribute to the effective implementation of EU agreements and dialogues on migration with third countries by</i></p>		<p>migration and forced displacement and to supporting migration management and governance including the protection of refugees and migrants' rights within the objectives of this Regulation. <i>when they directly target specific challenges, related to migration and forced displacement.</i></p> <p>{4}</p> <p>EP: no text</p> <p>CL: <i>EU support should take into account the development benefits of regular migration and contribute to the effective implementation of EU agreements and dialogues on migration with third countries by</i></p>
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Spending targets (except migration)

Migration spending target and related provisions

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			<i>encouraging cooperation through a flexible incitative approach. A facility should enable to address ongoing and emerging migration challenges within this Regulation using all appropriate components through flexible funding while respecting its financial envelopes and relying on their flexible implementation. These actions should be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. The Commission should develop and use a robust and transparent tracking system to measure such</i>		<i>encouraging cooperation through a flexible incitative approach.</i> {5} EP: no text CL: A facility should enable to address ongoing and emerging migration challenges within this Regulation using all appropriate components through flexible funding while respecting its financial envelopes and relying on their flexible implementation.
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Spending targets (except migration)

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			<i>expenditure and to report on it.</i>		
40. bis		<p><i>(30a) Information and communication technologies (ICT) and services are proven enablers of sustainable development and inclusive growth. They can be key to improving citizens' lives even in the poorest countries, in particular by empowering women and girls, enhancing democratic governance and transparency, and boosting productivity and job creation. Nevertheless, connectivity and affordability remain a problem both across and within regions, since there are large variations between high and lower income countries and between cities and rural areas. This regulation should</i></p>		<p><i>(30a) This Regulation should consider digital solutions and information and communication technologies as powerful enablers for sustainable development and inclusive growth, and should contribute to further promoting digitalisation.</i></p>	<p><i>Provisionally closed on 11 June 2020</i></p>

Spending targets (except migration)

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		<i>therefore help the Union to further mainstream digitalisation into the Union development policies.</i>			
40 bis bis				<i>(30a bis) Actions adopted under this Regulation in relation to the fight against terrorism and organised crime, cyber security and the fight against cybercrime, and capacity building of military actors in support of development and security for development should aim to generate direct human security benefits for the population, contain relevant good practices to ensure sustainability and accountability in the medium and long term including effective democratic oversight, and should promote the</i>	<i>Provisionally agreed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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				<i>rule of law, transparency, and established international law principles.</i>	
40. ter		<i>(30b) The 2030 Agenda for Sustainable Development, adopted by a Resolution of the United Nations General Assembly on 25 September 2015, underlined the importance of promoting peaceful and inclusive societies both as a Sustainable Development Goal (SDG) 16 and in order to achieve other development policy outcomes. SDG 16.a specifically requests to ‘Strengthen relevant national institutions, including through international cooperation, for building capacities at all levels, in particular in</i>		<i>(30b) The 2030 Agenda for Sustainable Development, adopted by a Resolution of the United Nations General Assembly on 25 September 2015, underlined the importance of promoting peaceful and inclusive societies both as a Sustainable Development Goal (SDG) 16 and in order to achieve other development policy outcomes. SDG 16.a specifically requests to ‘Strengthen relevant national institutions, including through international cooperation, for building capacities at all levels, in particular</i>	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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Amounts

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		<i>developing countries, to prevent violence and combat terrorism and crime’.</i>		<i>in developing countries, to prevent violence and combat terrorism and crime’.</i>	
40. quater		<i>(30c) In the High Level Meeting Communiqué of 19 February 2016, the Development Assistance Committee of the Organisation for Economic Cooperation and Development updated the reporting directives on Official Development Assistance in the field of peace and security. The financing of the actions undertaken in accordance with this Regulation constitutes Official Development Assistance when it fulfils the criteria set out in those reporting directives or any subsequent reporting directives, upon which the Development</i>		<i>(30c) In the High Level Meeting Communiqué of 19 February 2016, the Development Assistance Committee of the Organisation for Economic Cooperation and Development updated the reporting directives on Official Development Assistance in the field of peace and security. The financing of the actions undertaken in accordance with this Regulation constitutes Official Development Assistance when it fulfils the criteria set out in those reporting directives or any subsequent reporting directives, upon which the Development</i>	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		<i>Assistance Committee is able to agree.</i>		<i>Assistance Committee is able to agree.</i>	
40. quin-ques		<i>(30d) The capacity building in support of development and security for development should be used in exceptional cases only, where the objectives of the Regulation cannot be met by other development cooperation activities. Giving support to security sector actors in third countries, including, under exceptional circumstances, the military, in a conflict prevention, crisis management or stabilisation context is essential to ensure appropriate conditions for poverty eradication and development. Good governance, effective democratic control and civilian oversight of the</i>		<i>(30d) Capacity building in support of development and security for development should be used in exceptional cases only, where the objectives of the Regulation cannot be met by recourse to non-military actors.</i>	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

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		<i>security system, including of the military, as well as compliance with human rights and the rule of law principles are essential attributes of a well-functioning State in any context, and should be promoted through a wider security sector reform support to third countries.</i>		
40. sexes		<i>(30e) This Regulation should build on the conclusions of the evaluation by the Commission requested for June 2020, including a wide-ranging, multi-stakeholder public consultation, assessing the coherence of capacity building in support of development and security for development within the security-development nexus funded by the</i>		<i>(30e) This Regulation should build on the experience gained and lessons learned from actions on capacity-building for development and security for development, in particular from relevant consultations and evaluations, conducted within the framework of Regulation 2017/2306 of 12 December 2017. In this context,</i>
				<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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Governance

		<i>Union and its Member States with the Global Strategy and the UN Sustainable Development Goals.</i>		<i>Commission should also take into account, where appropriate, joint evaluations with Member States.</i>	
40. septies		<i>(30f) The Union should also promote a conflict-sensitive and gender-sensitive approach in all actions and programmes under this Regulation, with the aim of avoiding negative impacts and maximising positive ones.</i>		<i>(30f) The Union should also promote a conflict-sensitive and gender-sensitive approach in all actions and programmes under this Regulation.</i>	<i>Provisionally closed on 11 June 2020</i> To be aligned with recital on gender
41.	(31) Horizontal financial rules adopted by the European Parliament and the Council on the basis of Article 322 of the Treaty on the Functioning of the European Union should apply to this Regulation. These rules are laid down in the Financial Regulation and determine in particular the procedure	(31) Horizontal financial rules adopted by the European Parliament and the Council on the basis of Article 322 of the Treaty on the Functioning of the European Union <i>TFEU</i> should apply to this Regulation. These rules are laid down in <i>Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the</i>	(31) Horizontal financial rules adopted by the European Parliament and the Council on the basis of Article 322 of the Treaty on the Functioning of the European Union should apply to this Regulation. These rules are laid down in the Financial Regulation and determine in particular the	(31) Horizontal financial rules adopted by the European Parliament and the Council on the basis of Article 322 of the Treaty on the Functioning of the European Union apply to this Regulation. These rules are laid down in the Financial Regulation and determine in particular the procedure for	{1} EP: the protection of the Union's budget in case of generalised deficiencies as regards the rule of law in Member States and third countries, as the respect for the rule of law is essential for sound financial

Spending targets (except migration)

Migration spending target and related provisions

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	<p>for establishing and implementing the budget through grants, procurement, prizes, indirect implementation, financial assistance, budget support, trust funds, financial instruments and budgetary guarantees, and provide for checks on the responsibility of financial actors. Rules adopted on the basis of Article 322 TFEU also concern the protection of the Union's budget in case of generalised deficiencies as regards the rule of law in Member States and third countries, as the respect for the rule of</p>	<p>Council⁴⁰ (“the Financial Regulation”) and determine in particular the procedure for establishing and implementing the budget through grants, procurement, prizes, indirect implementation, financial assistance, budget support, trust funds, financial instruments and budgetary guarantees, and provide for checks on the responsibility of financial actors. Rules adopted on the basis of Article 322 TFEU also concern the protection of the Union's budget in case of generalised deficiencies as regards the rule of law in</p>	<p>procedure for establishing and implementing the budget through grants, procurement, prizes, indirect implementation, financial assistance, budget support, trust funds, financial instruments and budgetary guarantees, and provide for checks on the responsibility of financial actors. Rules adopted on the basis of Article 322 TFEU also concern other conditionalities to protect the budget the protection of the Union's budget in case of generalised deficiencies as regards</p>	<p>establishing and implementing the budget through grants, procurement, prizes, indirect implementation, financial assistance, budget support, trust funds, financial instruments and budgetary guarantees, and provide for checks on the responsibility of financial actors. Rules adopted on the basis of Article 322 TFEU also concern {1}.</p>	<p>management and effective EU funding</p> <p>CL: other conditionalities to protect the budget the protection of the Union's budget in case of generalised deficiencies as regards the rule of law in Member States and third countries, as the respect for the rule of law is essential for sound financial management and effective EU funding</p>
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⁴⁰ **Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).**

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Migration spending target and related provisions

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	law is essential for sound financial management and effective EU funding.	Member States and third countries, as the respect for the rule of law is essential for sound financial management and effective EU funding.	the rule of law in Member States and third countries, as the respect for the rule of law is essential for sound financial management and effective EU funding. ⁴¹		
42.	(32) The types of financing and the methods of implementation under this Regulation should be chosen on the basis of their ability to achieve the specific objectives of the actions and to deliver results, taking into account, in particular, the costs of controls, the administrative burden, and the expected risk of non-compliance. This should include consideration of the use of lump sums, flat rates	(32) The types of financing and the methods of implementation application under this Regulation should be chosen on the basis of partner's needs, preferences and specific context, their relevance, sustainability and ability to comply with the development effectiveness principles, achieve the specific objectives of the actions and to deliver results, taking into account, in particular, the costs of	(32) The types of financing and the methods of implementation under this Regulation should be chosen on the basis of their ability to achieve the specific objectives of the actions and to deliver results, taking into account, in particular, the costs of controls, the administrative burden, and the expected risk of non-compliance. This should include consideration of the	(32) The types of financing and the methods of {1} under this Regulation should be chosen on the basis of their ability to achieve the specific objectives of the actions and to deliver results, taking into account, in particular, the costs of controls, the administrative burden, and the expected risk of non-compliance. This should include consideration of the use of lump sums, flat rates and unit costs, as well	<i>Provisionally closed pending governance</i> {1} EP: implementation application CL: implementation EP amendment on EED integrated in separate recital (line 36 - bis)

⁴¹ *This recital may have to be updated pending the outcome of negotiations on the conditionality regime.*

Spending targets (except migration)

Migration spending target and related provisions

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	<p>and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation.</p>	<p>controls, the administrative burden, and the expected risk of non-compliance. This should include consideration of the use of lump sums, flat rates and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation.</p> <p><i>The role of the European Endowment for Democracy (EED) as a foundation mandated through the European institutions for the support of democracy, civil society and human rights worldwide should be strengthened and increased under this Regulation. The EED should be given the administrative flexibility and the financial opportunities to disburse targeted grants</i></p>	<p>use of lump sums, flat rates and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation.</p>	<p>as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation.</p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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		<i>to civil society actors in the European Neighbourhood standing for the implementation of the European Neighbourhood Policy, in particular where it concerns the development of democracy, human rights, free elections and the rule of law.</i>			
43.	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴² , should constitute an integrated financial package supplying financing capacity in the form of	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴² , should constitute an integrated financial package supplying financing capacity in the form of grants,	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴² , should constitute an integrated financial package supplying financing capacity in the form of	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴³ , should constitute an integrated financial package supplying financing capacity in the form of	<i>Provisionally closed on 2 October 2020</i>

⁴² Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

⁴³ Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

	<p>grants, budgetary guarantees and financial instruments worldwide. The EFSD+ should support the External Investment Plan and combine blending and budgetary guarantee operations covered by the External Action Guarantee, including those covering sovereign risks associated with lending operations, previously carried out under the external lending mandate to the European Investment Bank. Given its role under the Treaties and its experience over the last decades in supporting Union policies, the European Investment Bank should remain a natural partner for the Commission for the implementation of operations under the</p>	<p>budgetary guarantees and financial instruments worldwide. The EFSD+ should support the External Investment Plan and combine blending and budgetary guarantee operations covered by the External Action Guarantee, including those covering sovereign risks associated with lending operations, previously carried out under the external lending mandate to the European Investment Bank. Given its role under the Treaties and its experience over the last decades in supporting Union policies, the European Investment Bank should remain a natural partner for the Commission for the implementation application of operations under the External Action Guarantee. Other</p>	<p>grants, budgetary guarantees and financial instruments worldwide. The EFSD+ should support become one of the pillars of the External Investment Plan (EIP) and should be complemented by pillar 2 and 3 of the EIP. The EFSD+ should combine blending and budgetary guarantee operations covered by the External Action Guarantee, including those covering sovereign risks associated with lending operations, previously carried out under the external lending mandate to the European Investment Bank (EIB). Given its role under the Treaties and its experience over the last decades in</p>	<p>grants, budgetary guarantees and financial instruments worldwide. The EFSD+, complemented by technical assistance and efforts to improve the investment climate of partners, should become part of the External Investment Plan and combine blending and budgetary guarantee operations covered by the External Action Guarantee, including those covering sovereign risks associated with lending operations, previously carried out under the external lending mandate to the European Investment Bank (EIB). Given its role under the Treaties and its experience over the last decades in supporting Union policies, the European</p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

	<p>External Action Guarantee.</p>	<p><i>Multilateral Development Banks (MDBs) or EU National Development Banks (NDBs) also have skills and capital which can add significant value to the impact of Union development policy and their participation under the EFSD+ should therefore also be strongly promoted through this Regulation.</i></p>	<p>supporting Union policies, the European Investment Bank should remain a natural partner for the Commission for the implementation of operations under the External Action Guarantee. <i>Programming should lead to an appropriate balance between blending and budgetary guarantee operations with the purpose of maximising development impact. The EFSD+ should be composed of regional investment platforms within the areas covered by this Regulation and, where relevant, the areas under Regulation [IPA III]. Its implementation should be supported by</i></p>	<p>Investment Bank should remain a natural partner for the Commission for the implementation of operations under the External Action Guarantee. <i>The allocation of funds to be used for EFSD+ operations should be based on the relevant programming documents, in particular the priorities defined therein, and should take into consideration, inter alia, the specific realities and needs of each partner country or region and the relative weight of the allocation of funds per geographical area laid down in this Regulation. Programming should lead to an appropriate balance between blending and budgetary</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

			<p><i>a strategic board. The EFSD+ should be implemented through an open and collaborative investment architecture to ensure an optimal use of the sectoral and geographic expertise of eligible counterparts and maximise its development impact. In order to ensure an independent, impartial, inclusive and transparent risk management of the EFSD+, a technical assessment group, open to experts from the EIB, other eligible counterparts and interested Member States, organised and led by the Commission should be established. The conclusions of analysis done by the</i></p>	<p><i>guarantee operations under the EFSD+ as well as other types of financing foreseen in Article 23. The EFSD+ should be implemented through an open and collaborative investment architecture to ensure an optimal use of the sectoral and geographic expertise of eligible counterparts and maximise its development impact. The EFSD+ should be composed of regional investment platforms within the areas covered by this Regulation and, should also cover, where relevant, the areas under Regulation [IPA III]. In order to ensure an independent, impartial, inclusive and transparent risk management of the</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

			<p><i>technical assessment group should be shared in a timely manner with all Member States, with due regard to confidentiality issues. The Commission and all selected eligible counterparts, including the EIB, after consulting and taking into account the advice of the technical risk assessment group, should conclude and sign guarantee agreements and should present the key elements of these agreements to the strategic board.</i></p>	<p><i>EFSD+, a technical assessment group, open to experts from the EIB, other eligible counterparts and interested Member States, organised and led by the Commission should be established. The Commission should ensure that information and analysis are shared in a timely, transparent and inclusive manner with all Member States, with due regard to confidentiality issues. The Commission should conclude guarantee agreements with all selected eligible counterparts, including the EIB, after consulting and taking into account the advice of the technical risk assessment group, and should present the key elements of these</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

				<i>agreements to the strategic board.</i>	
43. bis			<i>(33 bis) Given its role under the Treaties and its experience over the last decades in supporting Union policies, the EIB should remain a natural partner for the Commission for the implementation of operations under the External Action Guarantee. The EIB and the Commission should enhance their cooperation and coordination throughout the implementation of the External Action Guarantee under the EFSD+, including during the programming process and in the field. To this end, the EIB should implement dedicated investment</i>	<i>(33bis) Given its role under the Treaties and its experience over the last decades in supporting Union policies, the EIB should remain a natural partner for the Commission for the implementation of operations under the External Action Guarantee. The EIB and the Commission should enhance their cooperation and coordination throughout the implementation of the External Action Guarantee under the EFSD+, including during the programming process and in the field. The EIB should be entrusted with the</i>	<p>{1}</p> <p>EP: [EUR 30 000 million] Pending discussion on budget</p> <p>CL [EUR 26 725 million]</p> <p>Linked to 374 sexes - To be further discussed</p>

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

			<i>windows for an indicative volume of EUR 26 725 million of the External Action Guarantee for the entire Multiannual Financial Framework, which should constitute the dedicated mandates required by the EIB to operate outside the EU. These dedicated windows should support the provision of a comprehensive risk cover for operations with sovereign and non-commercial sub-sovereign counterparts and for operations with commercial sub-sovereign counterparts as well as political risk cover for private sector operations aiming at the promotion of the internationalisation of partner countries'</i>	<i>implementation of a dedicated investment window covering a comprehensive risk cover for operations with sovereign and non-commercial sub-sovereign counterparts which should be exclusive except for operations that the EIB decides not to or cannot carry out. Additional non-exclusive dedicated windows should be established for the EIB if appropriate, covering a comprehensive risk cover for operations with commercial sub-sovereign counterparts; and operations for the promotion of foreign direct investment, trade and the internationalisation of partner countries' economies, including through inward foreign</i>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

			<p><i>economies, including through inward foreign direct investments, as well as other Union thematic priorities in support of the objectives of this Regulation and in line with the Sustainable Development Goals, including, but not limited to European Union Development Finance Institutions and European Union private sector entities. The EU guarantee shall only cover the political risk of the private sector operations. Sub-sovereign operations shall be considered commercial unless otherwise duly justified by the EIB The dedicated part of the External Action Guarantee supporting sovereign and non-</i></p>	<p><i>direct investments, as well as other Union thematic priorities in support of the objectives of this Regulation and in line with the Sustainable Development Goals, including, but not limited to European Union Development Finance Institutions and European Union private sector entities. The EU guarantee should only provide a political risk cover for private sector operations, which should be coherent with those of the export credit agencies of Member States. These windows, which should constitute the dedicated mandates required by the EIB to operate outside the EU, should apply the same rules and conditions of any</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

			<i>commercial sub-sovereign operations may be non-remunerated due to its nature and policy objectives, and should be exclusive except for operations in countries where the EIB decides not to or cannot work. The amounts for each of the dedicated windows should be substantiated and confirmed as a result of the programming process at the beginning of the Multiannual Financial Framework and during the reviews of programming. The objectives, priorities and amounts for each of the dedicated windows and their implementation should ensure full policy alignment with the</i>	<i>other investment window under the EFSD+, including the rules of governance, and except for the exclusive window, be established in accordance with the procedure defined in Article 27. For these three EIB-dedicated windows, the overall indicative amount should be {1}. The amounts for each of the windows should be substantiated and confirmed as a result of the programming process at the beginning of the Multiannual Financial Framework and during the reviews of programming. The objectives, priorities and amounts for each of the windows and their implementation should ensure full</i>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

			<p><i>EU's priorities and comply with the present Regulation and the relevant multi-annual indicative programmes, including its geographic and thematic priorities. The implementation of the operations under these dedicated windows should be in line with the EIB's rules, policies and procedures, including EIB internal governance rules. The risk assessment and remuneration methodology under EFSD+ should be consistently applied to all investment windows, including those dedicated to the EIB, in order to ensure a level playing field.</i></p>	<p><i>policy alignment with the EU's priorities and comply with the present Regulation and the relevant multi-annual indicative programmes, including its geographic and thematic priorities. The risk assessment and remuneration methodology under EFSD+ should be consistently applied to all investment windows, including those dedicated to the EIB, in order to ensure a level playing field. The windows implemented by the EIB may cover any of the countries eligible for the External Action Guarantee in particular where most needed and in accordance with the geographical priorities of this Regulation and</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

				<p><i>where relevant [IPA III]. EFSD+ guarantees for EIB operations with commercial sub-sovereign counterparts and private sector operations should be undertaken on terms similar to guarantees provided to other eligible counterparts. EFSD+ guarantees for sovereign and non-commercial sub-sovereign operations and commercial sub-sovereign operations that do not generate substantial revenues, undertaken by the EIB or other eligible counterpart, may be non-remunerated in order to help reduce the cost of financing for public sector investments undertaken by partner countries. In compliance with the</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

				<p><i>objectives and principles of this Regulation, the relevant programming documents as well as, where relevant, Regulation [IPA III], the Commission and the EIB should conclude dedicated guarantee agreements for the EIB dedicated investment windows.</i></p>	
44.	<p>(34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic and social development and promoting the socio-economic resilience in partner countries with a particular focus on the</p>	<p>(34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic, cultural and social development and promoting the socio-economic resilience in partner countries with a particular focus on the</p>	<p>(34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic and social development and promoting the socio-economic resilience in partner countries with a</p>	<p>(34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic, environmental and social development, transition into sustainable value-added economy and</p>	<p><i>Provisionally closed</i></p>

Spending targets (except migration)

Migration spending target and related provisions

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Amounts

Governance

	<p>eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as addressing specific socioeconomic root causes of irregular migration, in accordance with the relevant indicative programming documents. Special attention should be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.</p>	<p>eradication of poverty, <i>preventing conflict and the promotion of peaceful, just and inclusive societies</i>, sustainable and inclusive growth <i>economic progress, tackling climate change through mitigation and adaptation, environmental degradation</i>, the creation of decent jobs <i>in compliance with relevant ILO standards</i> and economic opportunities, <i>in particular for women, young and vulnerable people. Emphasis should be placed on providing inclusive and equitable quality education, and the development of skills and entrepreneurship by strengthening educational and cultural structures</i>,</p>	<p>particular focus on the eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises, <i>sustainable connectivity, the fight against climate change in line with the Paris Agreement and the management and protection of the environment, gender equality and the empowerment of women and young people</i>, as well as addressing specific socioeconomic root causes of irregular migration <i>and root causes of forced displacement</i>, in</p>	<p><i>a stable investment environment</i>, and promoting the socio-economic <i>and environmental</i> resilience in partner countries with a particular focus on the eradication of poverty, <i>contributing to the reduction of socio-economic inequalities</i>, sustainable and inclusive growth, <i>climate change adaptation and mitigation, environmental protection and management</i>, the creation of decent jobs <i>on the basis of the core ILO labour standards</i>, economic opportunities, skills and entrepreneurship, socioeconomic sectors, <i>including social enterprises and cooperatives</i>, micro,</p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		<p><i>including for children in humanitarian emergencies and situations of forced displacement. It should also aim at supporting a stable investment environment, industrialisation, socioeconomic sectors, cooperatives, social enterprises, micro, small and medium-sized enterprises as well as addressing strengthening democracy the rule of law and human rights, the lack of which often constitute the specific socioeconomic root causes of irregular migration and forced displacement, in accordance with the relevant indicative programming documents. Special attention should be given to countries identified as</i></p>	<p>accordance with the relevant indicative programming documents. <i>The implementation of the EFSD+ should comply with the objectives, principles and policy framework of this Regulation and, where relevant, Regulation [IPA III] in particular the applicable internationally agreed guidelines, principles and conventions on investment, including the Principles for Responsible Investment, UN Guiding Principles on Business and Human Rights, OECD Guidelines for Multinational Enterprises, the UN Food and Agriculture Organization's Principles for Responsible</i></p>	<p>small and medium-sized enterprises, <i>sustainable connectivity, the fight against climate change in line with the Paris Agreement and the management and protection of the environment, support to vulnerable groups, respect of Human Rights, gender equality and the empowerment of women and young people</i>, as well as addressing specific socioeconomic root causes of irregular migration <i>and root causes of forced displacement</i>, in accordance with the relevant indicative programming documents. <i>The implementation of the EFSD+ should comply with the objectives, principles and policy framework of this</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

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		<p>experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries. <i>A special focus should be placed also to improve the delivery of essential public basic services, food security, and to improve the quality of life of rapidly growing urban populations, including through adequate, safe and affordable housing. The EFSD+ should encourage for-profit/non-profit partnerships as a means of guiding private sector investments towards sustainable development and poverty eradication. The strategic involvement of civil society organisations and of Union Delegations in partner countries should also be</i></p>	<p><i>Investment in Agriculture and Food Systems, International Labour Organization conventions, international human rights law and the development effectiveness principles as set out in the Busan Partnership for Effective Development Cooperation and reaffirmed in Nairobi in 2016, including ownership, alignment, focus on results, transparency and mutual accountability, as well as the objective of untying aid.</i> Special attention should be given to countries identified as experiencing fragility or conflict, Least Developed Countries, <i>small island developing states,</i></p>	<p><i>Regulation and, where relevant, Regulation [IPA III] in particular the applicable internationally agreed guidelines, principles and conventions on investment, including the Principles for Responsible Investment, UN Guiding Principles on Business and Human Rights, OECD Guidelines for Multinational Enterprises, the UN Food and Agriculture Organization's Principles for Responsible Investment in Agriculture and Food Systems, International Labour Organization conventions, international human rights law and the development effectiveness principles</i></p>	
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Spending targets (except migration)

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Amounts

Governance

		<i>promoted at all stages of the project cycle, to help finding tailor-made solutions for promoting the socioeconomic development of communities, job creation, and new business opportunities. Investments should be based on conflict analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering peace and minimising the risks of exacerbating conflicts.</i>	<i>landlocked developing countries</i> and heavily indebted poor countries.	<i>as set out in the Busan Partnership for Effective Development Cooperation and reaffirmed in Nairobi in 2016, including ownership, alignment, focus on results, transparency and mutual accountability, as well as the objective of untying aid.</i> Special attention should be given to countries identified as experiencing fragility or conflict, Least Developed Countries, <i>small island developing states, landlocked developing countries</i> and heavily indebted poor countries.	
45.	(35) The EFSD+ should maximise additionality of funding, address market failures and sub-optimal investment situations, deliver innovative products and	(35) The EFSD+ should maximise additionality of funding, address market failures and sub-optimal investment situations, deliver innovative products and	(35) The EFSD+ should maximise additionality of funding, address market failures and sub-optimal investment situations, <i>support</i>	(35) The EFSD+ should maximise additionality of funding, address market failures and sub-optimal investment situations, <i>support local public entities in</i>	<i>Provisionally closed on 2 October 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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	<p>‘crowd-in’ private sector funds. Involvement of the private sector in the Union’s cooperation with partner countries through the EFSD+ should yield measurable and additional development impact without distorting the market and should be cost-effective based on mutual accountability and risk and cost sharing. The EFSD+ should operate as a ‘one-stop-shop’, receiving financing proposals from financial institutions and public or private investors and delivering a wide range of financial support to eligible investments.</p>	<p>‘crowd-in’ private sector funds <i>to optimise the contribution of private finance to local sustainable development.</i> Involvement of the private sector in the Union’s cooperation with partner countries through the EFSD+ should yield measurable and additional development impact <i>in full respect of the environment and local communities’ rights and livelihoods and</i> without distorting the <i>local market and unfairly competing with local economic actors. It</i> should be cost-effective based on mutual accountability and risk and cost sharing. The EFSD+, <i>while based on adequate accountability and transparency criteria,</i> should operate</p>	<p><i>local public entities in scaling up and financing autonomously their investment,</i> deliver innovative products and ‘crowd-in’ private sector funds. Involvement of the private sector <i>including micro, small and medium-sized enterprises,</i> in the Union’s cooperation with partner countries through the EFSD+ should yield measurable and additional development impact without distorting the market and should be cost-effective based on mutual accountability and risk and cost sharing. The EFSD+ should operate as a ‘one-stop-shop’, receiving financing proposals from</p>	<p><i>scaling up and financing autonomously their investment,</i> deliver innovative products and ‘crowd-in’ private sector funds. <i>Additionality should be applied in accordance with the objectives and principles of this Regulation and with other relevant EU policies.</i> Involvement of the private sector <i>including micro, small and medium-sized enterprises,</i> in the Union’s cooperation with partner countries through the EFSD+ should yield measurable and additional development impact without distorting the <i>local market and unfairly competing with local economic actors. It</i> should be cost-effective,</p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		<p>as a ‘one-stop-shop’, receiving financing proposals from financial institutions and public or private investors and delivering a wide range of financial support to eligible investments.</p>	<p>financial institutions and public or private investors and delivering a wide range of financial support to eligible investments. <i>The leveraging effect of EFSD+ should be evaluated measuring the mobilisation of additional funding for sustainable development by using EFSD+ financial support. The leverage effect should be measured according to the definition laid down in Article 2(38) of the Financial Regulation and international rules and practices for measuring the amounts mobilised from the private sector by official development finance interventions, such as</i></p>	<p><i>transparent</i>, based on mutual accountability and risk and cost sharing. The EFSD+ should operate as a ‘one-stop-shop’, receiving financing proposals from financial institutions and public or private investors and delivering a wide range of financial support to eligible investments. <i>The leveraging effect of EFSD+ should be evaluated measuring the mobilisation of additional funding for sustainable development by using EFSD+ financial support. The leverage effect should be measured according to the definition laid down in Article 2(38) of the Financial Regulation and international rules and practices for measuring the amounts</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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			<i>the OECD-DAC methodologies.</i>	<i>mobilised from the private sector by official development finance interventions, such as the OECD-DAC methodologies. The European Parliament or the Council may invite eligible counterparts and civil society to an exchange of views concerning the financing and investment operations covered by this Regulation.</i>	
45. bis		<i>(35a) An EU guarantee for the sovereign investments operations in the public sector shall form part of the EFSD+. That EU guarantee shall not be extended to sovereign investment operations that involve on-lending to the private sector or lending to, or for the benefit of, sub-sovereign</i>			<i>Provisionally closed</i> Included in line 43 bis

Spending targets (except migration)

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		<i>entities that can access sub-sovereign financing without sovereign guarantees. In order to assist capacity planning by the EIB, a minimum guaranteed volume of such sovereign investments operations shall be allocated to the EIB.</i>			
46.	(36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the Guarantee Fund for external actions. The External Action Guarantee should support the EFSD+ operations covered by budgetary guarantees, macro-financial assistance and loans to third countries on the basis of Council Decision	(36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the Guarantee Fund for external actions. The External Action Guarantee should support the EFSD+ operations covered by budgetary guarantees, macro-financial assistance and loans to third countries on the basis of Council Decision 77/270/Euratom ⁴⁴ . These operations should be	(36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the Guarantee Fund for external actions. The External Action Guarantee should support the EFSD+ operations covered by budgetary guarantees, macro-financial assistance and loans to third countries on the basis of Council Decision 77/270/Euratom ⁴⁴ . These operations	(36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the the guarantees supported by the Guarantee Fund for external action. The External Action Guarantee should support the EFSD+ operations covered by budgetary guarantees, macro-financial assistance and loans to third countries on the basis of Council Decision	<i>Provisionally closed on 2 October 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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	<p>77/270/Euratom⁴⁴. These operations should be supported by appropriations under this Regulation, together with those under Regulation (EU) No .../... (IPA III) and Regulation (EU) No .../... (EINS), which should also cover the provisioning and liabilities arising from macro-financial assistance loans and loans to third countries referred to in Article 10(2) of Regulation EINS, respectively. When funding EFSD+ operations, priority should be given to those which have a high</p>	<p>supported by appropriations under this Regulation, together with those under Regulation (EU) No .../... of the European Parliament and of the Council⁴⁵ ('IPA III Regulation') and Regulation EINS, which should also cover the provisioning and liabilities arising from macro-financial assistance loans and loans to third countries referred to in Article 10(2) of Regulation EINS, respectively. When funding EFSD+ operations, priority should be given to those which have a high</p>	<p>should be supported by appropriations under this Regulation, together with those under Regulation (EU) No .../... (IPA III) and Regulation (EU) No .../... (EINS), which should also cover the provisioning and liabilities arising from macro-financial assistance loans and loans to third countries referred to in Article 10(2) of Regulation EINS, respectively. When funding EFSD+ operations, priority should be given to those which ensure additionality and achieve the highest</p>	<p>77/270/Euratom⁴⁶. These operations should be supported by appropriations under this Regulation, together with those under Regulation (EU) No .../... (IPA III) and Regulation (EU) No .../... (EINS), which should also cover the provisioning and liabilities arising from macro-financial assistance loans and loans to third countries referred to in Article 10(2) of Regulation EINS, respectively. When funding EFSD+ operations, priority should be given to those which maximise</p>	
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⁴⁴ Council decision 77/270/EURATOM of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

⁴⁵ **Regulation (EU) .../... of the European Parliament and of the Council of ... on ... (OJ...).**

⁴⁶ Council decision 77/270/EURATOM of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Spending targets (except migration)

Migration spending target and related provisions

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	<p>impact on job creation and whose cost-benefit ratio enhances the sustainability of investment. The operations supported with the External Action Guarantee should be accompanied by an in-depth <i>ex ante</i> assessment of environmental, financial and social aspects, as appropriate and in line with the better regulation requirements. The External Action Guarantee should not be used to provide essential public services, which remains a government responsibility.</p>	<p>impact on <i>decent</i> job creation <i>and livelihoods</i> and whose cost-benefit ratio enhances the sustainability of investment <i>and which provide the highest guarantees of sustainability and long-term development impact throughout local ownership</i>. The operations supported with the External Action Guarantee should be accompanied by an in-depth <i>ex ante</i> assessment of environmental, financial and social aspects, as appropriate <i>and including the impact on human rights and livelihoods of affected communities and the impact on inequalities and the identification of ways to address those inequalities</i> in line with the better regulation</p>	<p><i>development impact, including those which</i> have a high impact on job creation and whose cost-benefit ratio enhances the sustainability of investment. The operations supported with the External Action Guarantee should be accompanied by an in-depth <i>ex ante</i> assessment of environmental, financial and social aspects, as appropriate and in line with the better regulation requirements. The External Action Guarantee should not be used to provide essential public services, which remains a government responsibility.</p>	<p><i>additionality and development impact, including those which</i> have a high impact on <i>decent</i> job creation and whose cost-benefit ratio enhances the sustainability of investment <i>and which provide for sustainability and long-term development impact</i>. The operations supported with the External Action Guarantee should be accompanied by an in-depth <i>ex ante</i> assessment of environmental, financial and social aspects, as appropriate and in line with the better regulation requirements. <i>Budgetary guarantees and financial instruments should adhere to the Union policy on non-cooperative</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		requirements <i>and taking due account of the principle of free and prior informed consent (FPIC) of affected communities in land-related investments</i> . The External Action Guarantee should not be used to provide essential public services, which remains a government responsibility. <i>Ex-post impact assessments should also happen to measure the development impact of the EFSD+ operations.</i>		<i>jurisdictions for tax purposes, and updates thereto, as laid down in relevant legal acts of the Union and Council conclusions, in particular the Council Conclusions of 8 November 2016 and the Annex thereto as well as principles laid down in Directive (EU) 2015/849 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing. All relevant Financial Regulation provisions apply, in particular those on indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public <i>provide essential public</i></i>	
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Spending targets (except migration)

Migration spending target and related provisions

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Amounts

Governance

				<i>services should remain a government responsibility, which remains a government responsibility.</i>	
47.	(37) In order to provide for flexibility, increase the attractiveness for the private sector and maximise the impact of the investments a derogation from the rules related to the methods of implementation of the Union budget, as laid down in the Financial Regulation, should be provided as regards the eligible counterparts. Those eligible counterparts could also be bodies which are not entrusted with the implementation of a public-private partnership and could also be bodies governed by the private law of a partner country.	(37) In order to provide for flexibility, increase the attractiveness for the private sector, <i>promote fair competition</i> and maximise the impact of the investments a derogation from the rules related to the methods of implementation of the Union budget, as laid down in the Financial Regulation, should be provided as regards the eligible counterparts. Those eligible counterparts could also be bodies which are not entrusted with the implementation of a public-private partnership and could also be bodies governed by the private law of a partner country.	(37) In order to provide for flexibility, increase the attractiveness for the private sector and maximise the impact of the investments a derogation from the rules related to the methods of implementation of the Union budget, as laid down in the Financial Regulation, should be provided as regards the eligible counterparts. Those eligible counterparts could also be bodies which are not entrusted with the implementation of a public-private partnership and could also be bodies governed by the private	(37) In order to provide for flexibility, increase the attractiveness for the private sector and maximise the impact of the investments a derogation from the rules related to the methods of implementation of the Union budget, as laid down in the Financial Regulation, should be provided as regards the eligible counterparts. Those eligible counterparts could also be bodies which are not entrusted with the implementation of a public-private partnership and could also be bodies governed by the private law of a partner country.	<i>Provisionally closed on 2 October 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

			law of a partner country.		
48.	(38) In order to increase the impact of the External Action Guarantee, Member States and contracting parties to the Agreement on the European Economic Area should have the possibility of providing contributions in the form of cash or a guarantee. Contribution in the form of a guarantee should not exceed 50% of the amount of operations guaranteed by the Union. The financial liabilities arising from this guarantee should not be provisioned and the liquidity cushion should be provided by the common provisioning fund.	(38) In order to increase the impact of the External Action Guarantee, Member States and contracting parties to the Agreement on the European Economic Area should have the possibility of providing contributions in the form of cash or a guarantee. Contribution in the form of a guarantee should not exceed 50 % of the amount of operations guaranteed by the Union. The financial liabilities arising from this guarantee should not be provisioned and the liquidity cushion should be provided by the common provisioning fund.	(38) In order to increase the impact of the External Action Guarantee, Member States and contracting parties to the Agreement on the European Economic Area should have the possibility of providing contributions in the form of cash or a guarantee. Contribution in the form of a guarantee should not exceed 50% of the amount of operations guaranteed by the Union. The financial liabilities arising from this guarantee should not be provisioned and the liquidity cushion should be provided by the common provisioning fund.	(38) In order to increase the impact of the External Action Guarantee, Member States and contracting parties to the Agreement on the European Economic Area should have the possibility of providing contributions in the form of cash or a guarantee. Contribution in the form of a guarantee should not exceed 50% of the amount of operations guaranteed by the Union. The financial liabilities arising from this guarantee should not be provisioned and the liquidity cushion should be provided by the common provisioning fund.	<i>Provisionally closed on 2 October 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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<p>49.</p>	<p>(39) External actions are often implemented in a highly volatile environment requiring continuous and rapid adaptation to the evolving needs of Union partners and to global challenges to human rights, democracy and good governance, security and stability, climate change and environment, oceans, and the migration crisis and its root causes. Reconciling the principle of predictability with the need to react rapidly to new needs consequently means adapting the financial implementation of the programmes. To increase the ability of the EU to respond to unforeseen needs, building on the</p>	<p>(39) External actions are often implemented applied in a highly volatile environment requiring continuous and rapid adaptation to the evolving needs of Union partners and to global challenges to human rights and fundamental freedoms, democracy and good governance, security and stability, climate change and environment, oceans, and the migration, crisis and including its root causes such as poverty and inequality, and the impact of the increasing number of displaced persons, especially on developing countries. Reconciling the principle of predictability with the need to react rapidly to new needs consequently means adapting the financial implementation application of the</p>	<p>(39) External actions are often implemented in a highly volatile environment requiring continuous and rapid adaptation to the evolving needs of Union partners and to global challenges to human rights, democracy and good governance, security and stability, climate change and environment, oceans, and the migration crisis and its their root causes. Reconciling the principle of predictability with the need to react rapidly to new needs consequently means adapting the financial implementation of the programmes. To increase the ability of the EU to respond to unforeseen needs,</p>	<p>(39) External actions are often {1} in a highly volatile environment requiring continuous and rapid adaptation to the evolving needs of Union partners, to global challenges to human rights, democracy and good governance, security and stability, climate change and environment, oceans, and to challenges related to migration and forced displacement and their root causes. Reconciling the principle of predictability with the need to react rapidly to new needs consequently means adapting the financial {2} of the programmes. To increase the ability of the EU to respond to {3}, building on the</p>	<p>To be discussed after discussions on governance</p> <p>{1} EP: implemented applied CL: implemented</p> <p>{2} EP: implemented applied CL: implemented</p> <p>{3} EP: unforeseen needs not covered by programmes and programming documents CL: unforeseen needs</p> <p>{4} CL: an a significant EP: an a pre-defined</p> <p>{5} EP: duly justified cases in</p>
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

	successful experience of the European Development Fund (EDF), an amount should be left unallocated as an emerging challenges and priorities cushion. It should be mobilised in accordance with the procedures established in this Regulation.	programmes. To increase the ability of the EU to respond to unforeseen needs <i>not covered by programmes and programming documents</i> , building on the successful experience of the European Development Fund (EDF), an <i>a pre-defined</i> amount should be left unallocated as an emerging challenges and priorities cushion. It should be mobilised in <i>duly justified cases in</i> accordance with the procedures established in this Regulation.	building on the successful experience of the European Development Fund (EDF), an <i>significant</i> amount should be left unallocated as an emerging challenges and priorities cushion. It should be mobilised in accordance with the procedures established in this Regulation.	successful experience of the European Development Fund (EDF), {4} amount should be left unallocated as an emerging challenges and priorities cushion. It should be mobilised in {5} accordance with the procedures established in this Regulation.	CL: no text
50.	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation for other policies, namely	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation for other policies, namely	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation for other policies, namely	<i>Provisionally closed</i>

Spending targets (except migration)

Migration spending target and related provisions

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	carryovers and re-commitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries, thus maximising the Union funds available for the Union's external action interventions.	carryovers and re-commitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries, thus maximising the Union funds available for the Union's external action interventions.	for other policies, namely carryovers and re-commitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries, thus maximising the Union funds available for the Union's external action interventions.	carryovers and re-commitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries, thus maximising the Union funds available for the Union's external action interventions.	
50. bis			<i>(40 bis) Capacity building of military actors in third countries should be undertaken as part of the Union's development cooperation policy when it mainly pursues objectives in the field of development and as part of the Union's CFSP when it mainly pursues objectives in the field of peace and security, in compliance with</i>	<i>(40 bis) Capacity building of military actors in third countries should be undertaken as part of the Union's development cooperation policy when it mainly pursues objectives in the field of development and as part of the Union's CFSP when it mainly pursues objectives in the field of peace and security, in compliance with Article 40 of the Treaty on European</i>	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

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			<p><i>Article 40 of the Treaty on European Union. This Regulation respects the application of the procedures and the extent of the powers of the institutions under the Union's development cooperation policy and the Union's CFSP.</i></p>	<p><i>Union. This Regulation respects the application of the procedures and the extent of the powers of the institutions under the Union's development cooperation policy and the Union's CFSP.</i></p>	
				<p><i>(40 bis bis) Actions under this Regulation involving the provision or financing of equipment, services or technology should be in line with relevant Union, national and international provisions and in particular the rules set out in the Common Position 944/2008/CFSP defining common rules governing control of exports of military</i></p>	<p><i>Provisionally closed on 11 June 2020</i></p>

Spending targets (except migration)

Migration spending target and related provisions

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				<p><i>technology and equipment with Union restrictive measures as well as with Regulation (EC) No 428/2009 on dual-use.</i></p> <p><i>Risk assessments by the Commission under this Regulation are without prejudice to the assessment of export license applications by Member States. Each Member State should assess the export license applications made to it, including those relating to government-to-government transfers, for items on the EU Common Military List, on a case-by-case basis against the criteria established in the afore-mentioned Common Position.</i></p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

				<i>In accordance with Regulation (EU) 2019/125 such actions should not finance the provision of any type of equipment that may be used for torture or other cruel, inhuman or degrading treatment or punishment.</i>	
51.	(41) Pursuant to Article 83 of Council Decision .../... (OCTs), persons and entities established in overseas countries and territories should be eligible for funding under this Regulation, subject to its rules and objectives and possible arrangements applicable to the Member State to which the relevant overseas country or territory is linked. Moreover, cooperation between the partner countries and the overseas countries and territories as well as the	(41) Pursuant to Article 83 of Council Decision .../... (OCTs), persons and entities established in overseas countries and territories should be eligible for funding under this Regulation, subject to its rules and objectives and possible arrangements applicable to the Member State to which the relevant overseas country or territory is linked. Moreover, cooperation between the partner countries and the overseas countries and territories as well as the	(41) <i>The [Council Decision on the Overseas Association, including Greenland .../... (EU) lays down the financial envelope for the Association of the OCTs with the Union]. This financial envelope is the main source of funding for OCTs. [Pursuant to Article 83 of Council Decision .../... (OCTs)], persons and entities established in overseas countries and territories should be eligible for funding under this Regulation,</i>	(41) <i>The [Council Decision on the Overseas Association, including Greenland .../... (EU)] lays down the financial envelope for the Association of the OCTs with the Union. This financial envelope is the main source of funding for OCTs. [Pursuant to Article 83 of Council Decision .../... (OCTs)], persons and entities established in overseas countries and territories should be eligible for funding under this Regulation,</i>	<i>Provisionally closed on 11 June 2020</i>

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Migration spending target and related provisions

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	<p>Union outermost regions under Article 349 of the Treaty on the Functioning of the European Union should be encouraged in areas of common interest.</p>	<p>Union outermost regions under Article 349 of the Treaty on the Functioning of the European Union <i>TFEU</i> should be encouraged in areas of common interest.</p>	<p>subject to its rules and objectives and possible arrangements applicable to the Member State to which the relevant overseas country or territory is linked. Moreover, cooperation between the partner countries and the overseas countries and territories as well as the Union outermost regions under Article 349 of the Treaty on the Functioning of the European Union should be encouraged in areas of common interest.</p>	<p>subject to its rules and objectives and possible arrangements applicable to the Member State to which the relevant overseas country or territory is linked. Moreover, cooperation between the partner countries and the overseas countries and territories as well as the Union outermost regions under Article 349 of the Treaty on the Functioning of the European Union should be encouraged in areas of common interest.</p>	
52.	<p>(42) In order to enhance partner countries' ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own</p>	<p>(42) In order to enhance partner countries' democratic ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner</p>	<p>(42) In order to enhance partner countries' ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of</p>	<p>(42) In order to enhance partner countries' ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own</p>	<p><i>Provisionally closed on 11 June 2020</i></p>

Spending targets (except migration)

Migration spending target and related provisions

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	<p>institutions and of partner countries' systems and procedures for all aspects of the project cycle for cooperation.</p>	<p>countries' own institutions, resources, expertise and of partner countries' systems and procedures for all aspects of the project cycle for cooperation while ensuring local resources and expertise and the full involvement of local governments and civil society. The Union should also provide training programmes on how to apply for Union funding to local authorities' civil servants and civil society organisations with the aim of helping them to enhance the eligibility and efficiency of their projects. These programmes should be carried out in the countries concerned, be available in the language of the country and complement any distance learning</p>	<p>partner countries' own institutions and of partner countries' systems and procedures for all aspects of the project cycle for cooperation.</p>	<p>institutions, capacities, expertise and of partner countries' systems and procedures for all aspects of the project cycle for cooperation while ensuring the full involvement of local governments and civil society. The Union should make available information and training on how to apply for Union funding to potential beneficiaries of Union funding.</p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		<i>programmes also established, in order to ensure a targeted training responding to the needs of that country.</i>			
				<i>(42 bis) Communication fosters democratic debate, reinforces institutional control and scrutiny over Union funding, and contributes to boosting the credibility of the Union. The Union and the beneficiaries of Union funding should enhance the visibility of the Union's action, and communicate adequately on the added value of Union's support. In this regard, in accordance with the Financial Regulation, agreements concluded with recipients of Union funding should contain obligations</i>	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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				<i>ensuring appropriate visibility and the Commission should act in an appropriate and timely manner when these obligations are not met.</i>	
53.	(43) Annual or multi-annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi-annual action plans consist of a set of measures grouped into one document.	(43) Annual or multi-annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi-annual action plans consist of a set of measures grouped into one document.	(43) Annual or multi-annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi-annual action plans consist of a set of measures grouped into one document.	(43) Annual or multi-annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi-annual action plans consist of a set of measures grouped into one document.	
54.	(44) In accordance with the Financial Regulation, Regulation (EU, Euratom) No 883/2013 of the European Parliament	(44) In accordance with the Financial Regulation, Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council ⁴⁷ Council Regulation (EC, Euratom) No 2988/95 ⁴⁸ , Council Regulation (Euratom, EC) No 2185/96 ⁴⁹ and Council	(44) In accordance with the Financial Regulation, Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council ⁴⁷ ; and Council Regulations (EC, Euratom) No 2988/95 ⁴⁸ , Council Regulation (Euratom,		

Spending targets (except migration)

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	<p>and of the Council⁴⁷, Council Regulation (EC, Euratom) No 2988/95⁴⁸, Council Regulation (Euratom, EC) No 2185/96⁴⁹ and Council Regulation (EU) 2017/1939⁵⁰, the financial interests of the Union are to be protected through effective and proportionate measures, including the prevention, detection, correction and investigation of irregularities, including fraud, the recovery of funds lost, wrongly paid</p>	<p>Regulation (EU) 2017/1939⁵⁰, the financial interests of the Union are to be protected through effective and proportionate measures, including the prevention, detection, correction and investigation of irregularities, including fraud, the recovery of funds lost, wrongly paid or incorrectly used and, where appropriate, the imposition of administrative sanctions. In particular, in accordance with Regulation (EU,</p>	<p>EC) No 2185/96⁴⁹ and Council Regulation (EU) 2017/1939⁵⁰, the financial interests of the Union are to be protected through effective and by means of-proportionate measures, including measures relating to the prevention, detection, correction and investigation of irregularities, including fraud, to the recovery of funds lost, wrongly paid or incorrectly used, and, where appropriate, to the</p>		
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⁴⁷ Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p.1)

⁴⁸ Council Regulation (EC, Euratom) No 2988/95 of 18 December 1995 on the protection of the European Communities financial interests (OJ L 312, 23.12.95, p.1).

⁴⁹ Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p.2)

⁵⁰ OJ L 283, 31.10.2017, p.1.

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	<p>or incorrectly used and, where appropriate, the imposition of administrative sanctions. In particular, in accordance with Regulation (EU, Euratom) No 883/2013 and Regulation (Euratom, EC) No 2185/96 the European Anti-Fraud Office (OLAF) may carry out administrative investigations, including on-the-spot checks and inspections, with a view to establishing whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the Union. In accordance with Regulation (EU) 2017/1939, the European Public Prosecutor's Office may investigate and</p>	<p>Euratom) No 883/2013 and Regulation (Euratom, EC) No 2185/96 the European Anti-Fraud Office (OLAF) may carry out administrative investigations, including on-the-spot checks and inspections, with a view to establishing whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the Union. In accordance with Regulation (EU) 2017/1939, the European Public Prosecutor's Office may investigate and prosecute fraud and other criminal offences affecting the financial interests of the Union, as provided for in Directive (EU) 2017/1371⁵¹ of the European Parliament and of the Council. In accordance with the Financial Regulation,</p>	<p>imposition of administrative penalties-sanctions. In particular, in accordance with Regulations (EU, Euratom) No 883/2013 and Regulation (Euratom, EC) No 2185/96 (<i>Euratom, EC</i>) No 2185/96 and (<i>EU, Euratom</i>) No 883/2013, the European Anti-Fraud Office (OLAF) may has the power to carry out administrative investigations, including on-the-spot checks and inspections, with a view to establishing whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the Union. In accordance with Regulation (EU) 2017/1939, the</p>		
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	<p>prosecute fraud and other criminal offences affecting the financial interests of the Union, as provided for in Directive (EU) 2017/1371⁵¹ of the European Parliament and of the Council. In accordance with the Financial Regulation, any person or entity receiving Union funds is to fully cooperate in the protection of the Union's financial interests and grant the necessary rights and access to the Commission, OLAF and the European Court of Auditors, and to ensure that any third parties involved in the implementation of Union funds grant</p>	<p>any person or entity receiving Union funds is to fully cooperate in the protection of the Union's financial interests and grant the necessary rights and access to the Commission, OLAF and the European Court of Auditors, and to ensure that any third parties involved in the implementation of Union funds grant equivalent rights; for this reason, agreements with third countries and territories and with international organisations, and any contract or agreement resulting from the implementation of this Regulation should contain provisions expressly empowering the Commission, the</p>	<p>European Public Prosecutor's Office may investigate and prosecute fraud and other criminal offences affecting the financial interests of the Union, as provided for in Directive (EU) 2017/1371 of the European Parliament and of the Council. <i>The European Public Prosecutor's Office (EPPO) is empowered, in accordance with Regulation (EU) 2017/1939, to investigate and prosecute criminal offences affecting the financial interests of the Union as provided for in Directive (EU) 2017/1371 of the European Parliament and of the Council.</i>⁵¹⁵¹.</p>		
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⁵¹ Directive (EU) 2017/1371 of the European Parliament and of the Council of 5 July 2017 on the fight against fraud to the Union's financial interests by means of criminal law (OJ 198, 28.7.2017, p.29)

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	<p>equivalent rights; for this reason, agreements with third countries and territories and with international organisations, and any contract or agreement resulting from the implementation of this Regulation should contain provisions expressly empowering the Commission, the Court of Auditors and OLAF to conduct such audits, on-the-spot checks and inspections, according to their respective competences and ensuring that any third parties involved in the implementation of Union funding grant equivalent rights.</p>	<p>Court of Auditors and OLAF to conduct such audits, on-the-spot checks and inspections, according to their respective competences and ensuring that any third parties involved in the implementation of Union funding grant equivalent rights.</p>	<p>In accordance with the Financial Regulation, any person or entity receiving Union funds is to fully cooperate in the protection of the Union's financial interests-of the Union and, grant the necessary rights and access to the Commission, OLAF, and the European Court of Auditors, and to ensure that any third parties involved in the implementation of Union funds grant equivalent rights the Court of Auditors and, in respect of those Member States participating in enhanced cooperation pursuant to Regulation (EU) 2017/1939, the EPPO, and ensure that any third parties involved in the implementation of</p>		
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			<p><i>Union funds grant equivalent rights</i>; For this reason, agreements with third countries and territories and with international organisations, and any contract or agreement resulting from the implementation of this Regulation, should contain provisions expressly empowering the Commission, the Court of Auditors and OLAF to conduct such audits, on-the-spot checks and inspections, according to their respective competences and ensuring that any third parties involved in the implementation of Union funding grant equivalent rights.</p>		
54. bis		<p><i>(44a) In order to contribute to the international fight against tax fraud, tax evasion, fraud,</i></p>		<p><i>This Regulation should contribute to the international fight against tax fraud, tax evasion, fraud,</i></p>	<p><i>Provisionally closed</i></p>

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		<p><i>corruption and money laundering all financing through this Regulation should be provided in a completely transparent manner. Furthermore, the eligible counterparts should not support any activities carried out for illegal purposes nor participate in any financing or investment operation through a vehicle located in a non-cooperative jurisdiction or in a tax haven. Counterparts should also refrain from making any use of tax avoidance or aggressive tax planning schemes.</i></p>		<p><i>corruption and money laundering.</i></p>	
55.	<p>(45) In order to ensure uniform conditions for the implementation of the relevant provisions of this Regulation, implementing powers should be conferred on the Commission. Those powers should be</p>	<p>(45) In order to ensure uniform conditions for the implementation of the relevant provisions of this Regulation, implementing powers should be conferred on the Commission. Those powers should be</p>	<p>(45) In order to ensure uniform conditions for the implementation of the relevant provisions of this Regulation, implementing powers should be conferred on the Commission. Those powers should be</p>		

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	exercised in accordance with Regulation (EU) No 182/2011 ⁵² of the European Parliament and of the Council.	exercised in accordance with Regulation (EU) No 182/2011⁵² of the European Parliament and of the Council.	exercised in accordance with Regulation (EU) No 182/2011 ⁵² of the European Parliament and of the Council.		
56.	(46) In order to supplement or amend non-essential elements of this Regulation, the power to adopt acts in accordance with Article 290 of the Treaty on the Functioning of the European Union should be delegated to the Commission in respect of the provisioning rates laid down in Article 26(3), the areas of cooperation and intervention listed in Annexes II, III and IV,	(46) In order to supplement or non-essential elements of this Regulation, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission in respect of setting out the Union's strategy, the priority areas, detailed objectives, the expected results, specific performance indicators and the specific financial allocation and	(46) In order to supplement or amend non-essential elements of this Regulation, the power to adopt acts in accordance with Article 290 of the Treaty on the Functioning of the European Union should be delegated to the Commission in respect of the provisioning rates laid down in Article 26(3), the areas of cooperation and		

⁵² Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p.13).
EP position: ~~Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p.13).~~

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	<p>the priority areas of the EFSD+ operations listed in Annex V, the governance of the EFSD+ in Annex VI, to review or complement the indicators in Annex VII where considered necessary and to supplement this Regulation with provisions on the establishment of a monitoring and evaluation framework.</p>	<p><i>cooperation modalities for each geographic and thematic programme, as well as for action plans and measures not based on programming documents establishing a human rights operational framework, establishing a risk management framework, deciding on the needs not covered by programmes or programming documents, deciding on the suspension of assistance, establishing the performance-based approach framework, establishing the provisioning rates, establishing a monitoring and evaluation framework and extending the scope of actions to countries and territories not covered by this Regulation. In order to</i></p>	<p>intervention listed in Annexes II, III and IV, the priority areas of the EFSD+ operations listed in Annex V, the governance of the EFSD+ in Annex VI, to review or complement the indicators in Annex VII where considered necessary and to supplement this Regulation with provisions on the establishment of a monitoring and evaluation framework.</p>		
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		<p>amend non-essential elements of this Regulation, the power to adopt acts in accordance with Article 290 of the Treaty on the Functioning of the European Union <i>TFEU</i> should be delegated to the Commission in respect of the provisioning rates laid down in Article 26(3), to the areas of cooperation and intervention listed in Annexes II, III and IV, the priority areas of the EFSD+ operations <i>and the investment windows</i> listed in Annex V, the governance of the EFSD+ in Annex VI, to review or complement as well as the indicators <i>listed</i> in Annex VII where considered necessary and to supplement this Regulation with provisions on the</p>			
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		establishment of a monitoring and evaluation framework.			
57.	(47) Pursuant to paragraph 22 and 23 of the Inter-institutional agreement for Better Law-Making of 13 April 2016 ⁵³ , there is a need to evaluate this Programme on the basis of information collected through specific monitoring requirements, while avoiding overregulation and administrative burdens, in particular on Member States. These requirements, where appropriate, can include measurable indicators, as a basis for evaluating the effects of the	(47) Pursuant to paragraph 22 and 23 of the Inter-institutional agreement for Better Law-Making of 13 April 2016 ⁵³ , there is a need to evaluate this Programme on the basis of information collected through specific monitoring requirements, while avoiding overregulation and administrative burdens, in particular on Member States. These requirements, where appropriate, can should include measurable indicators, as a basis for evaluating the effects of	(47) Pursuant to paragraph 22 and 23 of the Inter-institutional agreement for Better Law-Making of 13 April 2016 ⁵³ , there is a need to evaluate this Programme on the basis of information collected through specific monitoring requirements, while avoiding overregulation and administrative burdens, in particular on Member States. These requirements, where appropriate, can should include measurable indicators, as a basis	(47) Pursuant to paragraph 22 and 23 of the Inter-institutional agreement for Better Law-Making of 13 April 2016 ⁵⁴ , there is a need to evaluate this Programme on the basis of information collected through specific monitoring requirements, while avoiding overregulation and administrative burdens, in particular on Member States. These requirements, where appropriate, can should include measurable indicators, as a basis for evaluating the effects of	{1} EP: In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts. CL: no text

⁵³ Interinstitutional Agreement between the European Parliament, the Council of the European Union and the European Commission on Better Law-Making of 13 April 2016; OJ L 123, 12.5.2016, p. 1–14.

⁵⁴ Interinstitutional Agreement between the European Parliament, the Council of the European Union and the European Commission on Better Law-Making of 13 April 2016; OJ L 123, 12.5.2016, p. 1–14.

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	<p>Programme on the ground. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement on Better Law-Making of 13 April 2016. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the</p>	<p>the Programme on the ground. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level with relevant stakeholders such as civil society and experts, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement on Better Law-Making of 13 April 2016. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of</p>	<p>for evaluating the effects of the Programme on the ground. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement on Better Law-Making of 13 April 2016. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have</p>	<p>the Programme on the ground. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work, including at expert level with relevant stakeholders such as civil society and experts, and that those consultations be conducted in accordance with the principles laid down in the Interinstitutional Agreement on Better Law-Making of 13 April 2016. {1}</p>	
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	preparation of delegated acts.	Commission expert groups dealing with the preparation of delegated acts.	access to meetings of Commission expert groups dealing with the preparation of delegated acts.		
58.	(48) The references to Union instruments in Article 9 of Council Decision 2010/427/EU ⁵⁵ , which are replaced by this Regulation, should be read as references to this Regulation and the Commission should ensure that this Regulation is implemented in accordance with the role of the EEAS as provided in that Decision.	(48) The references to Union instruments in Article 9 of Council Decision 2010/427/EU⁵⁵, which are replaced by <i>Due to the broad nature and scope of this Regulation, should be read as references to and to ensure coherence between the principles, objectives and spending under both</i> this Regulation and the <i>other external financing instruments, such as Regulation EINS , or Instruments which are intrinsically linked to</i>	(48) The references to Union instruments in Article 9 of Council Decision 2010/427/EU ⁵⁵ , which are replaced by this Regulation, should be read as references to this Regulation and the Commission should ensure that this Regulation is implemented in accordance with the role of the EEAS as provided in that Decision.		EP and CL maintain their positions

⁵⁵ Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30).

EP position: Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30).

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		<p><i>external policies, such as the IPA III Regulation, a horizontal steering group composed of all relevant Commission and EEAS services and chaired by the Vice-President of the Commission/High Representative of the Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The VP/HR should ensure overall political</i></p>			
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		<p><i>coordination of the Union's external action. For all actions, including rapid response actions and exceptional assistance measures, and throughout the whole cycle of programming, planning and application of the instrument, the High Representative and the EEAS as provided in that Decision should work with the relevant members and services of the Commission, identified on the basis of the nature and objectives of the action foreseen, building upon their expertise. All proposals for decisions should be prepared by following the Commission's procedures and should be submitted to the</i></p>			
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		<i>Commission for adoption.</i>			
58. bis		<i>(48a) The application of this Regulation should, where relevant, be complementary to, and should be consistent with, measures adopted by the Union in pursuit of the Common Foreign and Security Policy objectives within the framework of Chapter Two of Title V TEU and measures adopted within the framework of Part Five TFEU.</i>		<i>(48a) Actions and measures as provided for in this Regulation should, where relevant, be complementary to, consistent with and respect the measures adopted by the Union in pursuit of the Common Foreign and Security Policy objectives within the framework of Chapter Two of Title V TEU and the measures adopted within the framework of Title IV of Part Five TFEU.</i>	<i>Provisionally closed</i>
59.	(49) The envisaged actions as provided for hereunder should strictly follow the conditions and procedures set out by the restrictive measures of the Union,	(49) The envisaged actions as provided for hereunder should strictly follow the conditions and procedures set out by the restrictive measures of the Union,	(49) The envisaged actions as provided for hereunder should strictly follow the conditions and procedures set out by the restrictive measures of the Union,		<i>Provisionally closed</i>

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59. bis		<i>(49a) The European Parliament should be fully involved in the design, programming, monitoring and evaluation phases of the instruments in order to guarantee political control and democratic scrutiny and accountability of Union funding in the field of external action. An enhanced dialogue between the institutions should be established in order to ensure that the European Parliament is in a position to exercise political control during the application of this Regulation in a systematic and smooth manner thereby enhancing both efficiency and legitimacy,</i>			EP and CL maintain their positions
60.	HAVE ADOPTED THIS REGULATION:	HAVE ADOPTED THIS REGULATION:	HAVE ADOPTED THIS REGULATION:		

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61.	TITLE I GENERAL PROVISIONS	TITLE I GENERAL PROVISIONS	TITLE I GENERAL PROVISIONS	TITLE I GENERAL PROVISIONS	<i>Provisionally closed on 5 December 2019</i>
62.	Article 1 Subject matter	Article 1 Subject matter	Article 1 Subject matter	Article 1 Subject matter	<i>Provisionally closed on 5 December 2019</i>
63.	This Regulation establishes the Programme “Neighbourhood, Development and International Cooperation Instrument” (the ‘Instrument’).	This Regulation establishes the Programme “Neighbourhood, Development and International Cooperation Instrument” (the ‘Instrument’).	This Regulation establishes the Programme “Neighbourhood, Development and International Cooperation Instrument” (the ‘Instrument’) for the period from 1 January 2021 to 31 December 2027.	This Regulation establishes the Programme “Neighbourhood, Development and International Cooperation Instrument” (the ‘Instrument’) {1} .	<i>NB: Name to be agreed at a later stage</i> {1} EP: no text CL: for the period from 1 January 2021 to 31 December 2027
64.	It lays down the objectives of the Instrument, the budget for the period 2021 – 2027, the forms of Union funding and the rules for providing such funding.	It lays down the objectives of the Instrument, the budget for the period 2021 – 2027, the forms of Union funding and the rules for providing such funding.	It lays down the objectives of the Instrument, the budget for the period 2021 – 2027, the forms of Union funding and the rules for providing such funding.	It lays down the objectives of the Instrument, the budget for the period 2021 – 2027, the forms of Union funding and the rules for providing such funding.	<i>Provisionally closed on 5 December 2019</i>
65.	It also establishes the European Fund for Sustainable Development Plus (the ‘EFSD+’) and an	It also establishes the European Fund for Sustainable Development Plus (the ‘EFSD+’) and an	It also establishes the European Fund for Sustainable Development Plus (the ‘EFSD+’) and an	It also establishes the European Fund for Sustainable Development Plus (the ‘EFSD+’) and an	<i>Provisionally closed on 5 December 2019</i>

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	External Action Guarantee.	External Action Guarantee.	External Action Guarantee.	External Action Guarantee.	
66.	Article 2 Definitions	Article 2 Definitions	Article 2 Definitions	Article 2 Definitions	<i>Provisionally closed</i>
67.	For the purposes of this Regulation, the following definitions apply:	For the purposes of this Regulation, the following definitions apply:	For the purposes of this Regulation, the following definitions apply:	For the purposes of this Regulation, the following definitions apply:	<i>Provisionally closed</i>
68.	(1) “country programme” shall mean an indicative programme covering one country;	(1) “country programme” shall mean an indicative programme covering one country;	(1) “country programme” shall mean an indicative programme covering one country;	(1) “country programme” shall mean an indicative programme covering one country;	<i>Provisionally closed</i>
69.	(2) “multi-country programme” shall mean an indicative programme covering more than one country;	(2) “multi-country programme” shall mean an indicative programme covering more than one country;	(2) “multi-country programme” shall mean an indicative programme covering more than one country;	(2) “multi-country programme” shall mean an indicative programme covering more than one country;	<i>Provisionally closed</i>
70.	(3) “cross-border cooperation” shall mean cooperation between one or more Member States, and one or more third countries and territories along the external borders of the Union.	(3) “cross-border cooperation” shall mean cooperation between one or more Member States, and one or more third countries and territories along the external borders of the Union.	(3) “cross-border cooperation” shall mean cooperation between one or more Member States, and one or more third countries and territories along the external land and maritime borders of the Union. Cross-border cooperation in	(3) “cross-border cooperation” shall mean cooperation between one or more Member States, and one or more third countries and territories along the external adjacent land and maritime borders of the Union and shall be understood to cover also transnational	

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			<i>this Regulation shall be understood to cover external cross-border cooperation, transnational cooperation over larger transnational territories or around sea basins and interregional cooperation as laid down in the [ETC Regulation].</i>	<i>cooperation over larger transnational territories or around sea basins and interregional cooperation as laid down in the [ETC Regulation].</i>	
71.	(4) “regional programme” shall mean an indicative multi-country programme covering more than one third country within the same geographic area as established in Article 4 (2);	(4) “regional programme” shall mean an indicative multi-country programme covering more than one third country within the same geographic area as established in Article 4 (2);	(4) “regional programme” shall mean an indicative multi-country programme covering more than one third country within the same geographic area as established in Article 4(2);	(4) “regional programme” shall mean an indicative multi-country programme covering more than one third country within the same geographic area as established in Article 4(2);	<i>Provisionally closed</i>
72.	(5) “trans-regional programme” shall mean an indicative multi-country programme covering more than one third country from different areas as	(5) “trans-regional programme” shall mean an indicative multi-country programme covering more than one third country from different areas as	(5) “trans-regional programme” shall mean an indicative multi-country programme covering more than one third country from different	(5) “trans-regional programme” shall mean an indicative multi-country programme covering more than one third country from different areas as	<i>Provisionally closed</i>

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	established in Article 4 (2) of this Regulation;	established in Article 4 (2) of this Regulation;	areas as established in Article 4(2) of this Regulation;	established in Article 4(2) of this Regulation;	
73.	(6) “legal entity” shall mean any natural or legal person created and recognised as such under national law, Union law or international law, which has legal personality and which may, acting in its own name, exercise rights and be subject to obligations, or an entity without a legal personality in accordance with Article 197(2)(c) of the Financial Regulation;	(6) “legal entity” shall mean any natural or legal person created and recognised as such under national law, Union law or international law, which has legal personality and which may, acting in its own name, exercise rights and be subject to obligations, or an entity without a legal personality in accordance with Article 197(2)(c) of the Financial Regulation;	(6) “legal entity” shall mean any natural or legal person created and recognised as such under national law, Union law or international law, which has legal personality and which may, acting in its own name, exercise rights and be subject to obligations, or an entity without a legal personality in accordance with Article 197(2)(c) of the Financial Regulation;	(6) “legal entity” shall mean any natural or legal person created and recognised as such under national law, Union law or international law, which has legal personality and which may, acting in its own name, exercise rights and be subject to obligations, or an entity without a legal personality in accordance with Article 197(2)(c) of the Financial Regulation;	<i>Provisionally closed</i>
73. bis		<i>(6a) “civil society organisations” means all non-State, not-for-profit, and non-violent structures through which people organise to pursue shared objectives and ideals, whether political,</i>			

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		<i>cultural, social, economic, religious, environmental, or holding authorities to account, which operate at local, national, regional or international level, and which may include urban and rural as well as formal and informal organisations; in the context of the thematic programme on human rights and democracy, “civil society” includes individuals or groups that are independent from the State and whose activities help to promote human rights and democracy, including human rights defenders as defined by the UN Declaration on the Right and Responsibility of Individuals;</i>			
73. ter		<i>(6b) “local authorities” means branches of</i>		<i>6b) “local authorities” encompass public</i>	<i>Provisionally closed</i>

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		<i>government or public authorities, which operate at sub-national level (e.g. municipal, community, district, county, provincial or regional level);</i>		<i>institutions with legal personality, component of the State structure, below the level of central government, such as villages, municipalities, districts, counties, provinces or regions, and accountable to citizens, usually composed of a deliberative or policy-making body such as a council or assembly and an executive body such as a mayor or other executive officer, directly or indirectly elected or selected at local level.</i>	
74.	(7) “investment window” shall mean a targeted area for support by the EFSD+ Guarantee to portfolios of investments in specific regions, countries or sectors;	(7) “investment window” shall mean a targeted area for support by the EFSD+ Guarantee to portfolios of investments in specific regions, countries or sectors;	(7) “investment window” shall mean a targeted area for support by the EFSD+ Guarantee to portfolios of investments in specific regions, countries or sectors;	(7) “investment window” shall mean a targeted area for support by the EFSD+ Guarantee to portfolios of investments in specific regions, countries or sectors;	<i>Provisionally closed on 2 October 2020</i>

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74. bis			<p><i>(7 bis) “additionality” shall have the meaning prescribed to it in Article 209(2) of the Financial Regulation and shall ensure that the External Action Guarantee support under the EFSD+ contributes to sustainable development by operations which could not have been carried out without that Guarantee, or which achieve positive results above and beyond what could have been achieved without it. Additionality also means crowding in private sector funding and addressing market failures or sub-optimal investment situations as well as improving the quality,</i></p>	<p><i>(7 bis) “additionality” as referred to in Article 209(2) of the Financial Regulation, shall mean the principle according to which, in the context of this regulation and the IPA regulation, the External Action Guarantee support under the EFSD+ contributes to sustainable development by operations which could not have been carried out without that Guarantee, or which achieve positive results above and beyond what could have been achieved without it. The principle of additionality also means that the operations supported by the External Action Guarantee shall crowd in private sector funding and address</i></p>	<p><i>Provisionally closed on 2 October 2020</i></p>
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			<p><i>sustainability, impact or scale of an investment. The principle also ensures that External Action Guarantee operations do not replace the support of a Member State, private funding or another Union or international financial intervention, and avoid crowding out other public or private investments unless duly justified in accordance with the strategic objectives of this Regulation. Projects supported by the External Action Guarantee typically have a higher risk profile than the portfolio of investments supported by the eligible counterparts under their normal investment policies</i></p>	<p><i>market failures or sub-optimal investment situations as well as improving the quality, sustainability, impact or scale of an investment. The principle also ensures that External Action Guarantee operations do not replace the support of a Member State, private funding or another Union or international financial intervention, and avoid crowding out other public or private investments unless duly justified in accordance with the objectives and principles of this Regulation. Projects supported by the External Action Guarantee typically have a higher risk profile than the portfolio of investments supported by the</i></p>	
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			<i>without the External Action Guarantee;</i>	<i>eligible counterparts under their normal investment policies without the External Action Guarantee;</i>	
74. ter			<i>(7 ter) “operations with sovereign counterparts and non-commercial sub-sovereign counterparts” shall mean any operation where the counterpart is either directly a State or a public entity fully backed by an explicit guarantee of the State because it does not have the legal capacity and/or the financial autonomy/ability to benefit from necessary direct financing;</i>	<i>(7 ter) “operations with sovereign counterparts and non-commercial sub-sovereign counterparts” shall mean any operation where the counterpart is either directly a State or a public entity fully backed by an explicit guarantee of the State because it does not have the legal capacity and/or the financial autonomy/ability to benefit from necessary direct financing;</i>	<i>Provisionally closed on 2 October 2020</i>
74. quater			<i>(7 quater) “operations with commercial sub-sovereign counterparts” shall mean any operation where the counterpart</i>	<i>(7 quater) “operations with commercial sub-sovereign counterparts” shall mean any operation where the counterpart</i>	<i>Provisionally closed</i> <i>Trilogue agreement: Definitions of the type of operations (exclusive</i>

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			<i>is a public entity not backed by an explicit guarantee of a State and that is financially able to borrow at its own risk and has the legal capacity to do so;</i>	<i>is a public entity not backed by an explicit guarantee of a State and that is financially able to borrow at its own risk and has the legal capacity to do so;</i>	<i>window or commercial sub-sovereign window). Operations considered commercial unless justified by the EIB, with confirmation by the Commission.</i>
75.	(8) “contributor” shall mean a Member State, an international finance institution, or a public institution of a Member State, a public agency or other entities contributing in cash or in guarantees to the common provisioning fund.	(8) “contributor” shall mean <i>means</i> a Member State, an international finance institution, or a public institution of a Member State, a public agency or other public or private entities contributing in cash or in guarantees to the common provisioning fund;	(8) “contributor” shall mean a Member State, an international finance institution, or a public institution of a Member State, a public agency, or other entities contributing in cash or in guarantees to the common provisioning fund.;	(8) “contributor” shall mean a Member State, an international finance institution, or a public institution of a Member State, a public agency or other public or private entities contributing in cash or in guarantees to the common provisioning fund.	<i>Provisionally closed on 2 October 2020</i>
75.bis		<i>(8a) ‘additionality’ means the principle which ensures that the External Action Guarantee contributes to sustainable</i>			<i>Provisionally closed on 2 October 2020</i>

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		<i>development by operations which could not have been carried out without it, or which achieve positive results above and beyond what could have been achieved without it, as well as crowding in private sector funding and addressing market failures or sub-optimal investment situations as well as improving the quality, sustainability, impact or scale of an investment. The principle also ensures that investment and financing operations covered by the External Action Guarantee do not replace the support of a Member State, private funding or another Union or international financial intervention, and avoid crowding out other public or private</i>			
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		<i>investments. Projects supported by the External Action Guarantee typically have a higher risk profile than the portfolio of investments supported by the eligible counterparts under their normal investment policies without the External Action Guarantee;</i>			
75. ter		<i>(8b) “industrialised countries” means third countries other than developing countries included in the OECD-Development Assistance Committee’s (‘OECD-DAC’) list of Official Development Assistance (‘ODA’) recipients;</i>			
75. quater		<i>(8c) “poverty” means all the conditions in which people are deprived and perceived as incapacitated in different societies and local contexts; the core</i>			<i>Provisionally closed</i>

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		<i>dimensions of poverty include economic, human, political, socio-cultural and protective capabilities;</i>			
75. quin-quies		<i>(8d) “gender sensitivity” means acting with the aim of understanding and taking account of the societal and cultural factors involved in gender-based exclusion and discrimination in all spheres of public and private life;</i>			<i>Provisionally closed</i>
75. sexies		<i>(8e) “conflict sensitivity” means acting with the aim of understanding that any initiative conducted in a conflict-affected environment will interact with that conflict and that such interaction will have consequences that may have positive or negative effects; conflict sensitivity also means ensuring that, to the</i>			<i>Provisionally closed</i>

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		<i>best of its abilities, Union actions (political, policy, external assistance) avoid having a negative impact and maximise the positive impact on conflict dynamics, thereby contributing to conflict prevention, structural stability and peace building.</i>			
75. septies		<i>Where reference is made to human rights, it shall be understood as to include fundamental freedoms;</i>		<i>Where reference is made to human rights, it shall be understood as to include fundamental freedoms;</i>	<i>Provisionally closed</i>
75. octies			<i>(9) “partner countries” shall mean countries and territories that may benefit from EU support under this Instrument pursuant to Article 4.</i>	<i>(9) “partner countries” shall mean countries and territories that may benefit from EU support under this Instrument pursuant to Article 4.</i>	<i>Provisionally closed</i>
75. nonies		<i>In the context of Article 15, “countries most in need” may also include the countries listed in Annex I.</i>			<i>Provisionally closed</i>

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76.	Article 3 Objectives	Article 3 Objectives	Article 3 Objectives	Article 3 Objectives	<i>Provisionally closed on 5 December 2019</i>
77.	1. The general objective of this Regulation is to uphold and promote the Union’s values and interests worldwide in order to pursue the objectives and principles of the Union’s external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union.	1. The general objective of this Regulation is to lay down the financial framework enabling the Union to uphold and promote the Union’s its values, and principles and fundamental interests worldwide, in order to pursue in accordance with the objectives and principles of the Union’s external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union TEU, as well as Articles 11 and 208 TFEU.	1. The general objective of this Regulation is to uphold and promote the Union’s values and interests worldwide in order to pursue the objectives and principles of the Union’s external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union, thus contributing to the eradication of poverty, consolidating, supporting and promoting democracy, rule of law and respect for human rights, sustainable development and the fight against climate change and addressing irregular migration and forced displacement,	1. The general objective of this Regulation is to uphold and promote the Union’s values, principles and fundamental interests worldwide, in order to pursue the objectives and principles of the Union’s external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union, thus contributing to the reduction and, in the long term, the eradication of poverty, consolidating, supporting and promoting democracy, rule of law and respect for human rights, sustainable development and the fight against climate change {1}.	{1} <i>EP: no text.</i> <i>CL: “and addressing irregular migration and forced displacement, including their root causes”</i>

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			<p><i>including their root causes, towards the achievement of the Sustainable Development Goals. This Regulation shall promote stronger partnerships with third countries, including with the European Neighbourhood based on mutual interests and ownership with a view to fostering stabilisation, good governance and building resilience.</i></p>	<p><i>This Regulation shall contribute to the promotion of multilateralism, the achievement of the international commitments and objectives that the Union has agreed to, in particular the Sustainable Development Goals, the 2030 Agenda and the Paris Agreement. This Regulation shall promote stronger partnerships with third countries, including with the European Neighbourhood based on mutual interests and ownership with a view to fostering stabilisation, good governance and building resilience.</i></p>	
78.	2. In accordance with paragraph 1, the specific objectives of	2. In accordance with paragraph 1, the specific objectives of this	2. In accordance with paragraph 1, the specific objectives of	2. In accordance with paragraph 1, the specific objectives of	<i>Provisionally closed on 5 December 2019</i>

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	this Regulation are the following:	Regulation are the following:	this Regulation are the following:	this Regulation are the following:	
79.	(a) to support and foster dialogue and cooperation with third countries and regions in the Neighbourhood, in Sub-Saharan Africa, in Asia and the Pacific, and in the Americas and the Caribbean;	(a) to support and foster dialogue and cooperation with third countries and regions in the Neighbourhood, in Sub-Saharan Africa, in Asia and the Pacific, and in the Americas and the Caribbean;	(a) to support and foster dialogue and cooperation with third countries and regions in the Neighbourhood , in Sub-Saharan Africa, in Asia and the Pacific, and in the Americas and the Caribbean;	(a) to support and foster dialogue and cooperation with third countries and regions in the Neighbourhood, in Sub-Saharan Africa, in Asia and the Pacific, and in the Americas and the Caribbean;	<i>Provisionally closed on 5 December 2019</i>
79. bis		<i>(aa) to contribute to the achievement of the international commitments and objectives that the Union has agreed to, in particular the 2030 Agenda, the SDGs and the Paris Agreement;</i>			<i>Provisionally closed on 5 December 2019</i>
79. ter		<i>(ab) to develop a special strengthened relationship with the countries in the eastern and southern neighbourhood of the Union, founded on cooperation, peace and security, mutual accountability and</i>	<i>(a bis) Union support under this Regulation shall focus on promoting enhanced political cooperation, deep and sustainable democracy, progressive economic integration and a strengthened</i>	<i>(ab) to develop special strengthened partnerships and enhanced political cooperation with the European Neighbourhood, founded on cooperation, peace and</i>	<i>Provisionally closed</i>

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		<i>shared commitment to the universal values of democracy, rule of law and respect for human rights, socio-economic integration and environmental protection and climate action;</i>	<i>partnership between the Union and the neighbouring partner countries and, in particular, the implementation of partnership and cooperation agreements, association agreements or other existing and future agreements, as well as people-to-people contacts.</i>	<i>stability and a shared commitment to the universal values of democracy, rule of law and respect for human rights, and aiming at deep and sustainable democracy and progressive socio-economic integration as well as people-to-people contacts;</i>	
79. quater		<i>(ac) to pursue the reduction and, in the long term, the eradication of poverty, particularly in least developed countries (LDCs); to enable sustainable social and economic development;</i>			<i>Provisionally closed on 5 December 2019</i>
80.	(b) at global level, to consolidate and support democracy, rule of law and human rights, support civil society organisations, further	(b) at global level, to consolidate and support democracy, rule of law and human rights, support civil society organisations and local	(b) at global level, to consolidate and support advance democracy, good governance , rule of law and human rights,	(b) at global level: - to protect, promote and advance human rights, including	{1} EP: “and local authorities” CL: no text

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	<p>stability and peace and address other global challenges including migration and mobility;</p>	<p><i>authorities</i>, further stability and peace, <i>prevent conflict and promote just and inclusive societies, advance multilateralism, international justice and accountability</i>, and address other global <i>and regional</i> challenges including migration and mobility <i>climate change and environmental degradation as well as foreign policy needs and priorities, as set out in Annex III, including the promotion of confidence building and good neighbourly relations;</i></p>	<p><i>including gender equality and women’s and girls’ empowerment</i>, support civil society organisations, <i>including human rights defenders, protection of civilians</i>, further stability, <i>conflict prevention</i> and peace and address other global challenges including <i>such as climate change, protection of biodiversity and the environment, engagement with local authorities, as well as migration and mobility;</i></p>	<p><i>gender equality and the protection of human rights defenders, including in the most difficult circumstances and urgent situations, democracy, and the rule of law, including accountability mechanisms,</i></p> <p>- to consolidate and support democracy, rule of law and human rights, support civil society organisations {1},</p> <p>- to further stability and peace, <i>prevent conflict, thereby contributing to the protection of civilians,</i></p> <p>- to address other global challenges including <i>such as climate change, protection of biodiversity and the</i></p>	<p>{2} EP: no text. CL: “<i>engagement with local authorities</i>”</p> <p>{3} EP: “- to address foreign policy needs and priorities” CL: no text.</p>
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				<i>environment {2} , as well as migration and mobility . {3}</i>	
80. bis		<i>(ba) to protect, promote and advance human rights, democracy, the rule of law as well as gender and social equality, including in the most difficult circumstances and urgent situations, in partnership with civil society including human rights defenders worldwide;</i>			<i>Provisionally closed on 5 December 2019</i>
81.	(c) to respond rapidly to: situations of crisis, instability and conflict; resilience challenges and linking of humanitarian aid and development action; and foreign policy needs and priorities.	(c) to respond rapidly to: situations of crisis, instability and conflict; resilience challenges and linking of humanitarian aid and development action; and foreign policy needs and priorities.	(c) to respond rapidly to: situations of crisis, instability and conflict, <i>including irregular migratory pressure and forced displacement and hybrid threats;</i> resilience challenges, <i>including natural and man-made disasters,</i> and linking of humanitarian aid and	(c) to respond rapidly to: situations of crisis, instability and conflict, <i>including those which may result from migratory flows and forced displacement and hybrid threats;</i> resilience challenges, <i>including natural and man-made disasters,</i> and linking of humanitarian aid and	<i>See line 105 and Annex IV line 204</i> {1} EP: ; and foreign policy needs and priorities CL: <i>Union</i> foreign policy needs and priorities

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			development action; and Union foreign policy needs and priorities.	development action; and {1}.	
82.	The achievement of these objectives shall be measured using relevant indicators as referred to in Article 31.	The achievement of these objectives shall be measured using relevant indicators as referred to in Article 31.	The achievement of these objectives shall be measured using relevant indicators as referred to in Article 31.	The achievement of these objectives shall be measured using relevant indicators as referred to in Article 31.	<i>Provisionally closed on 5 December 2019</i>
83.	3. At least 92% of the expenditure under this Regulation shall fulfil the criteria for Official Development Assistance, established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development.	3. At least 92% 95 % of the expenditure under this Regulation shall fulfil the criteria for Official Development Assistance, established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development. <i>This Regulation shall contribute to reaching the collective target of achieving 0,2 % of the Union's Gross National Income to Least Developed Countries and 0,7 % of the Union Gross National Income</i>	3. At least 92% of the expenditure under this Regulation shall fulfil the criteria for Official Development Assistance, established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development. <i>The specificity of the expenditure related to the partner countries listed in Annex I shall be taken into account.</i>	3. At least {1} of the expenditure under this Regulation shall fulfil the criteria for Official Development Assistance, established by the Development Assistance Committee of the Organisation for Economic Cooperation and Development {2}. <i>The specificity of the expenditure related to the partner countries listed in Annex I shall be taken into account.</i>	{1} EP: 95 % CL: 92% {2} EP: <i>This Regulation shall contribute to reaching the collective target of achieving 0,2 % of the Union's Gross National Income to Least Developed Countries and 0,7 % of the Union Gross National Income as Official Development Assistance within the</i>

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		<i>as Official Development Assistance within the timeframe of the 2030 Agenda.</i>			<i>timeframe of the 2030 Agenda.</i> CL: no text
83. bis		<i>3a. At least 20 % of the Official Development Assistance funded under this Regulation, across all programmes, geographic and thematic, annually and over the duration of its actions, shall be ring-fenced for social inclusion and human development, in order to support and strengthen the provision of basic social services, such as health, education, nutrition and social protection, particularly to the most marginalised, and with an emphasis on women and children.</i>		{1}	{1} EP: <i>3a. At least 20 % of the Official Development Assistance funded under this Regulation, across all programmes, geographic and thematic, annually and over the duration of its actions, shall be ring-fenced for social inclusion and human development, in order to support and strengthen the provision of basic social services, such as health, education, nutrition and social protection, particularly to the most marginalised, and with an emphasis on women and children.</i>

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					CL: No text
83. ter		<i>3b. At least 85% of the Official Development Assistance funded programmes, geographic and thematic, under this Regulation shall have gender equality and women's and girls' rights and empowerment as a principal or a significant objective, as defined by the OECD DAC. A significant part of these programmes shall have gender equality and women's and girls' rights and empowerment as a principal objective.</i>		{1}	{1} EP: <i>3b. At least 85% of the Official Development Assistance funded programmes, geographic and thematic, under this Regulation shall have gender equality and women's and girls' rights and empowerment as a principal or a significant objective, as defined by the OECD DAC. A significant part of these programmes shall have gender equality and women's and girls' rights and empowerment as a principal objective.</i> CL: no text
84.	Article 4 Scope and structure	Article 4 Scope and structure	Article 4 Scope and structure	Article 4 Scope and structure	<i>Provisionally closed on 5 December 2019</i>

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85.	1. Union funding under this Regulation shall be implemented through:	1. Union funding under this Regulation shall be implemented applied through:	1. Union funding under this Regulation shall be implemented through:	1. Union funding under this Regulation shall be {1} through:	<i>Provisionally closed on 5 December 2019 pending discussions on governance</i> <i>{1}</i> <i>EP: “implemented applied”</i> <i>CL: “implemented”</i>
86.	(a) geographic programmes;	(a) geographic programmes;	(a) geographic programmes;	(a) geographic programmes;	<i>Provisionally closed on 5 December 2019</i>
87.	(b) thematic programmes;	(b) thematic programmes;	(b) thematic programmes;	(b) thematic programmes;	<i>Provisionally closed on 5 December 2019</i>
88.	(c) rapid response actions.	(c) rapid response actions.	(c) rapid response actions.	(c) rapid response actions.	<i>Provisionally closed on 5 December 2019</i>
89.	2. The geographic programmes shall encompass country and multi-country cooperation in the following areas:	2. The geographic programmes shall encompass country and multi-country cooperation in the following areas:	2. The geographic programmes shall encompass country and multi-country cooperation in the following areas:	2. The geographic programmes shall encompass country and multi-country cooperation in the following areas:	<i>Provisionally closed on 5 December 2019</i>
90.	(a) Neighbourhood;	(a) Neighbourhood;	(a) Neighbourhood;	(a) Neighbourhood;	<i>Provisionally closed</i>
91.	(b) Sub-Saharan Africa;	(b) Sub-Saharan Africa;	(b) Sub-Saharan Africa;	(b) Sub-Saharan Africa;	<i>Provisionally closed on 5 December 2019</i>
92.	(c) Asia and the Pacific;	(c) Asia and the Pacific;	(c) Asia and the Pacific;	(c) Asia and the Pacific;	<i>Provisionally closed on 5 December 2019</i>
93.	(d) Americas and the Caribbean.	(d) Americas and the Caribbean.	(d) Americas and the Caribbean.	(d) Americas and the Caribbean.	<i>Provisionally closed on 5 December 2019</i>

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94.	Geographic programmes may cover all third countries, except for candidates and potential candidates as defined in Regulation (EU) No .../.... ⁵⁶ (IPA) and overseas countries and territories as defined in Council Decision .../... (EU).	Geographic programmes may cover all third countries, except for candidates and potential candidates as defined in Regulation (EU) No .../.... ⁵⁶ (IPA) and overseas countries and territories as defined in Council Decision .../... (EU). <i>Geographic programmes of a continental or trans-regional scope may also be established, in particular a pan-African programme covering African countries under points (a) and (b) and a programme covering African, Caribbean and Pacific countries under points (b), (c) and (d).</i>	Geographic programmes may cover all third countries, except for candidates and potential candidates as defined in Regulation (EU) No .../.... ⁵⁶ (IPA). and o Overseas countries and territories as defined in Council Decision .../... (EU); <i>shall equally not be covered by geographic programmes,</i>		
95.	Geographic programmes in the Neighbourhood area	Geographic programmes in the Neighbourhood area may cover any	Geographic programmes in the Neighbourhood area	Geographic programmes in the Neighbourhood area	<i>Provisionally closed</i>

⁵⁶ Regulation of the European Parliament and of the Council establishing the Instrument for Pre-accession Assistance (OJ L...).

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	may cover any country referred to in Annex I.	country referred to in Annex I.	may cover any partner country referred to in Annex I.	may cover any country referred to in Annex I.	
96.	In order to attain the objectives laid down in Article 3, geographic programmes shall be based on the areas of cooperation listed in Annex II.	In order to attain the objectives laid down in Article 3, geographic programmes shall be based on the areas of cooperation listed in Annex II.	In order to attain the objectives laid down in Article 3, geographic programmes shall be based on the areas of cooperation listed in Annex II.	In order to attain the objectives laid down in Article 3, geographic programmes shall be based on the areas of cooperation listed in Annex II.	<i>Provisionally closed on 5 December 2019</i>
97.	3. The thematic programmes shall encompass actions linked to the pursuit of the Sustainable Development Goals at global level, in the following areas:	3. The thematic programmes shall encompass actions linked to the pursuit of the Sustainable Development Goals at global level, in the following areas:	3. The thematic programmes shall encompass actions linked to the pursuit of the Sustainable Development Goals at global level, in the following areas:	3. The thematic programmes shall encompass actions linked to the pursuit of the Sustainable Development Goals at global level, in the following areas:	<i>Provisionally closed on 5 December 2019</i>
98.	(a) Human Rights and Democracy;	(a) Human Rights and Democracy;	(a) Human Rights and Democracy;	(a) Human Rights and Democracy;	<i>Provisionally closed on 5 December 2019</i>
99.	(b) Civil Society Organisations;	(b) Civil Society Organisations and Local Authorities ;	(b) Civil Society Organisations;		
100.	(c) Stability and Peace;	(c) Stability and Peace;	(c) Peace , Stability and Peace-Conflict Prevention ;	(c) Peace , Stability and Conflict Prevention ;	<i>Provisionally closed on 5 December 2019</i>
101.	(d) Global Challenges.	(d) Global Challenges;	(d) Global Challenges.	(d) Global Challenges.	<i>Provisionally closed on 5 December 2019</i>
101. bis		(da) Foreign Policy Needs and Priorities.			

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102.	Thematic programmes may cover all third countries as well as overseas countries and territories as defined in Council Decision .../... (EU).	Thematic programmes may cover all third countries. as well as Overseas countries and territories as defined as defined shall have full access to thematic programmes, as laid down in Council Decision .../... (EU). Their effective participation shall be ensured, with account taken of their specific characteristics and the particular challenges they must address.	Thematic programmes may cover all third countries as well as overseas countries and territories as defined in Council Decision .../... (EU).	Thematic programmes may cover all third countries as well as overseas countries and territories as defined in Council Decision .../... (EU).	<i>Provisionally closed on 5 December 2019</i>
103.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	<i>Provisionally closed on 5 December 2019</i>
104.	4. The rapid response actions shall enable early action to:	4. The rapid response actions shall enable early action to:	4. The rapid response actions shall enable early action to:	4. The rapid response actions shall enable early action to:	<i>Provisionally closed on 5 December 2019</i>
105.	(a) contribute to stability and conflict prevention in situations of urgency, emerging	(a) contribute to peace , stability and conflict prevention in situations of urgency, emerging	(a) contribute to stability and conflict prevention in situations of urgency, emerging		

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	crisis, crisis and post-crisis;	crisis, crisis and post-crisis;	crisis, crisis and post-crisis, including those which may result from migratory pressure and forced displacement;		
106.	(b) contribute to strengthening resilience of states, societies, communities and individuals and to linking humanitarian aid and development action;	(b) contribute to strengthening the resilience of states, including local authorities , societies, communities and individuals and to linking humanitarian aid and development action.	(b) contribute to strengthening resilience of states, societies, communities and individuals and to linking humanitarian aid and , development actions and, where relevant, peacebuilding;	(b) contribute to strengthening the resilience of states, societies, communities and individuals and to linking humanitarian aid and development action and, where relevant, peacebuilding;	<i>Provisionally closed on 5 December 2019</i>
107.	(c) address foreign policy needs and priorities.	(c) address foreign policy needs and priorities.	(c) address Union foreign policy needs and priorities.		
108.	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision .../... (EU).	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision .../... (EU).	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision .../... (EU).	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision .../... (EU).	<i>Provisionally closed on 5 December 2019</i>
109.	In order to attain the objectives laid down in Article 3, rapid response actions shall	In order to attain the objectives laid down in Article 3, rapid response actions shall be based on	In order to attain the objectives laid down in Article 3, rapid response actions shall	In order to attain the objectives laid down in Article 3, rapid response actions shall	<i>Provisionally closed on 5 December 2019</i>

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	be based on the areas of intervention listed in Annex IV.	the areas of intervention listed in Annex IV.	be based on the areas of intervention listed in Annex IV.	be based on the areas of intervention listed in Annex IV.	
110.	5. Actions under this Regulation shall be primarily implemented through geographic programmes.	5. Actions under this Regulation shall be primarily implemented applied through geographic programmes.	5. Actions under this Regulation shall be primarily implemented through geographic programmes.	5. Actions under this Regulation shall be primarily {1} through geographic programmes.	<i>Provisionally closed on 5 December 2019 pending discussions on governance</i> {1} EP: “ implemented applied ” CL: “implemented”
111.	Actions implemented through thematic programmes shall be complementary to actions funded under geographic programmes and shall support global and trans-regional initiatives for achieving internationally agreed goals, in particular the Sustainable Development Goals, protecting global public goods or addressing global challenges. Actions through thematic programmes	Actions implemented applied through thematic programmes shall be complementary to actions funded under geographic programmes and shall support global and trans-regional initiatives for aimed at achieving internationally agreed goals, in particular the Sustainable Development Goals, protecting as referred in point (aa) of Article 3(2), as well as global public goods or	Actions implemented through thematic programmes shall be complementary to actions funded under geographic programmes and shall support global and trans-regional initiatives for achieving internationally agreed goals, in particular the Sustainable Development Goals, protecting global public goods or addressing global	Actions {1} through thematic programmes shall be complementary to actions funded under geographic programmes and shall support global and trans-regional initiatives for aimed at achieving internationally agreed goals, in particular the Sustainable Development Goals and the Paris Agreement, protecting as well as protecting global public goods or addressing global challenges.	<i>Provisionally closed on 5 December 2019 pending discussions on governance</i> {1} EP: “ implemented applied ” CL: “implemented”

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	may also be undertaken where there is no geographic programme, or where it has been suspended, or where there is no agreement on the action with the partner country concerned, or where the action cannot be adequately addressed by geographic programmes.	addressing global challenges. Actions through thematic programmes may also be undertaken independently, including where there is no geographic programme, or where it has been suspended, or where there is no agreement on the action with the partner country concerned, or where the action cannot be adequately addressed by geographic programmes.	challenges-, as referred to in Annex III. Actions through thematic programmes may also be undertaken where there is no geographic programme, or where it has been suspended, or where there is no agreement on the action with the partner country concerned, or where the action cannot be adequately addressed by geographic programmes.	Actions through thematic programmes may also be undertaken where there is no geographic programme, or where it has been suspended, or where there is no agreement on the action with the partner country concerned, or where the action cannot be adequately addressed by geographic programmes.	
112.	Rapid response actions shall be complementary to geographic and thematic programmes. These actions shall be designed and implemented to enable, where relevant, their continuity under geographic or thematic programmes.	Rapid response actions shall be complementary to geographic and thematic programmes- These as well as to actions funded through the Council Regulation (EC) No 1257/96 of 20 June 1996 (the ‘Humanitarian Aid Regulation’). Those actions shall be designed	Rapid response actions shall be complementary to geographic and thematic programmes. These actions shall be designed and implemented to enable, where relevant, their continuity under geographic or thematic programmes.	Rapid response actions shall be complementary to geographic and thematic programmes. These actions shall be designed and {1} to enable, where relevant, their continuity under geographic or thematic programmes.	<i>Provisionally closed on 5 December 2019 pending discussions on governance</i> {1} <i>EP: “implemented applied”</i> <i>CL: “implemented”</i>

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		and implemented <i>applied</i> to enable, where relevant, their continuity under geographic or thematic programmes.			
113.	6. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend Annexes II, III and IV.	6. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend Annexes II, III and IV.	6. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend Annexes II, III and IV.		EP and CL maintain their positions
114.	Article 5 Coherence, consistency and complementarity	Article 5 Coherence, consistency and complementarity	Article 5 Coherence, consistency and complementarity	Article 5 Coherence, consistency and complementarity	<i>Provisionally closed on 5 December 2019</i>
115.	1. In implementing this Regulation, consistency, synergies and complementarity with other areas of Union external action, with other relevant Union policies and Programmes, as well as policy coherence for development shall be ensured.	1. In implementing applying this Regulation, consistency, coherence synergies and complementarity with other all areas of Union external action, including other external financing instruments, the IPA III Regulation in particular, as well as measures adopted under Chapter Two of Title V TEU and Part Five TFEU , with other	1. In implementing this Regulation, consistency, synergies and complementarity with other areas of Union external action, with other relevant Union policies and Programmes, as well as policy coherence for development shall be ensured, thus contributing to the implementation of the 2030 Agenda.	1. In {1} this Regulation, consistency, coherence , synergies and complementarity with all areas of Union external action, including other external financing instruments, and with other relevant Union policies and Programmes, as well as policy coherence for development shall be ensured.	<i>Provisionally closed on 5 December 2019 pending 1) discussions on governance, and 2) language in recitals on IPA III, HA and CFSP.</i> {1} EP: “ implementing applying ” CL: “implementing” <i>References to IPA III and Humanitarian Aid</i>

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		relevant Union policies and Programmes, as well as policy coherence for development shall be ensured. <i>The Union shall take account of the objectives of development cooperation in the policies that it applies which are likely to affect developing countries.</i>			<i>Regulation are already in Recital 21, as well as CFSP.</i>
115. bis			<i>1 bis In this regard, the Union shall seek to promote increased synergies and complementarities in particular with trade policy, economic cooperation and other sectorial cooperation, taking into account the impact of all internal and external policies on sustainable development.</i>	<i>In this regard, the Union shall take into account the impact of all internal and external policies on sustainable development and shall seek to promote increased synergies and complementarities in particular with trade policy, economic cooperation and other sectorial cooperation.</i>	<i>Provisionally closed on 5 December 2019</i>
		<i>1a. The Union and Member States shall coordinate their</i>			<i>Provisionally closed on 5 December 2019</i>

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		<i>respective support programmes with the aim of increasing effectiveness and efficiency of their delivery and preventing overlapping of funding.</i>			<i>Moved to line 146 bis.</i>
115. ter		<i>1b. In applying this Regulation, the Commission and the EEAS shall duly take into consideration the positions of the European Parliament.</i>			<i>EP open to integrating this provision under broader agreement (art.7 or 34b)</i>
116.	2. Actions falling within the scope of Council Regulation (EC) No 1257/96 shall not be funded under this Regulation.	2. Actions falling within the scope of Council Regulation (EC) No 1257/96 shall not be funded under this Regulation.	2. Actions falling within the scope of Council Regulation (EC) No 1257/96 shall not be funded under this Regulation.	2. Actions falling within the scope of Council Regulation (EC) No 1257/96 shall not be funded under this Regulation.	<i>Provisionally closed on 5 December 2019</i>
117.	3. Where appropriate, other Union Programmes may contribute to actions established under this Regulation, provided that the contributions do not cover the same costs. This Regulation may also contribute to	3. Where appropriate, other Union Programmes may contribute to actions established under this Regulation, provided that the contributions do not cover the same costs. This Regulation may also contribute to measures established	3. Where appropriate, other Union Programmes may contribute to actions established under this Regulation, provided that the contributions do not cover the same costs. This Regulation may also contribute to	3. Where appropriate, other Union Programmes may contribute to actions established under this Regulation, provided that the contributions do not cover the same costs. This Regulation may also contribute to	<i>Provisionally closed on 5 December 2019</i> CL: proposed text on the basis of horizontal guidance of 24/09/2020: "3. Where appropriate, other Union Programmes may

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	<p>measures established under other Union Programmes, provided that the contributions do not cover the same costs. In such cases, the work programme covering those actions shall establish which set of rules shall be applicable.</p>	<p>under other Union Programmes, provided that the contributions do not cover the same costs. In such cases, the work programme covering those actions shall establish which set of rules shall be applicable.</p>	<p>measures established under other Union Programmes, provided that the contributions do not cover the same costs. In such cases, the work programme covering those actions shall establish which set of rules shall be applicable.</p>	<p>measures established under other Union Programmes, provided that the contributions do not cover the same costs. In such cases, the work programme covering those actions shall establish which set of rules shall be applicable.</p>	<p>contribute to actions established under this Regulation, provided that the contributions do not cover the same costs. This Regulation may also contribute to measures established under other Union Programmes, provided that the contributions do not cover the same costs. In such cases, the work programme covering those actions shall establish which set of rules shall be applicable <i>the rules of each contributing Union programme shall apply to its respective contribution to the action. The cumulative funding shall not exceed the total eligible costs of the action and the support from the different Union programmes may be</i></p>
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					<i>calculated on a pro-rata basis in accordance with the documents setting out the conditions for support."</i>
118.	Article 6 Budget	Article 6 Budget	Article 6 Budget	Article 6 Budget	<i>Provisionally closed</i>
119.	1. The financial envelope for the implementation of this Regulation for the period 2021 – 2027 shall be EUR 89 200 million in current prices.	1. The financial envelope for the implementation application of this Regulation for the period 2021 – 2027 shall be EUR 89 200 EUR 82 451 million in 2018 prices (EUR 93 154 million in current prices) [100 %] .	1. The financial envelope for the implementation of this Regulation for the period 2021 – 2027 shall be EUR 89 200 79 462 million in current prices.		Both institutions maintain their mandates
120.	2. The financial envelope referred to in paragraph 1 shall be composed of:	2. The financial envelope referred to in paragraph 1 shall be composed of:	2. The financial envelope referred to in paragraph 1 shall be composed of:	2. The financial envelope referred to in paragraph 1 shall be composed of:	<i>Provisionally closed</i>
121.	(a) EUR 68 000 million for geographic programmes:	(a) EUR 68 000 EUR 63 687 million in 2018 prices (EUR 71 954 million in current prices) [77,24 %] for geographic programmes:	(a) EUR 68 000 60 388 million for geographic programmes:		Both institutions maintain their mandates

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122.	– Neighbourhood at least EUR 22 000 million,	– Neighbourhood at least EUR 22 000 20 572 million <i>in 2018 prices (EUR 23 243 million in current prices) [24,95 %]</i> ,	– Neighbourhood at least EUR 22 000 19 323 million,		Both institutions maintain their mandates
123.	– Sub-Saharan Africa at least EUR 32 000 million,	– Sub-Saharan Africa at least EUR 32 000 30 723 million <i>in 2018 prices (EUR 34 711 million in current prices) [37,26 %]</i> ,	– Sub-Saharan Africa at least EUR 32 000 29 181 million,		Both institutions maintain their mandates
124.	– Asia and the Pacific EUR 10 000 million,	– Asia and the Pacific EUR 8 851 million in 2018 prices (EUR 10 000 million in current prices) [10,73 %], including at least EUR 620 million in 2018 prices (EUR 700 million in current prices) for the Pacific,	– Asia and the Pacific EUR 10 000 8 489 million,		Both institutions maintain their mandates

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125.	– Americas and the Caribbean EUR 4 000 million,	– Americas and the Caribbean EUR 3 540 million in 2018 prices (EUR 4 000 million in current prices) [4,29 %], including EUR 1 062 million in 2018 prices (EUR 1 200 million in current prices) for the Caribbean,	– Americas and the Caribbean EUR 4 000 3 395 million,		Both institutions maintain their mandates
126.	(b) EUR 7 000 million for thematic programmes:	(b) EUR 7 000 9 471 million in 2018 prices (EUR 10 700 million in current prices) [11,49 %] for thematic programmes:	(b) EUR 7 000 6 358 million for thematic programmes:		Both institutions maintain their mandates
127.	– Human Rights and Democracy EUR 1 500 million,	– Human Rights and Democracy EUR 1 500 at least EUR 1 770 million in 2018 prices (EUR 2 000 million in current prices) [2,15 %], with up to 25 % of the programme to be devoted to the funding	– Human Rights and Democracy EUR 1 500 1 362 million,		Both institutions maintain their mandates

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		<i>of EU Election Observation Missions,</i>			
128.	– Civil Society Organisations EUR 1 500 million,	– Civil Society Organisations EUR 1 500 (CSOs) and Local Authorities (LAs) EUR 2 390 million in 2018 prices (EUR 2 700 million in current prices) [2,90 %], of which EUR 1 947 million in 2018 prices (EUR 2 200 million in current prices) [2,36 %] for CSOs and EUR 443 million in 2018 prices (EUR 500 million in current prices) [0,54 %] for LAs,	– Civil Society Organisations EUR 1 500-1 362 million,		Both institutions maintain their mandates
129.	– Stability and Peace EUR 1 000 million	– Stability and Peace EUR 885 million in 2018 prices (EUR 1 000 million in current prices) [1,07 %],	– Peace , Stability and Peace -Conflict Prevention EUR 1 000 908 million,		Both institutions maintain their mandates
130.	– Global Challenges EUR 3 000 million,	– Global Challenges EUR 3 000-3 983 million in 2018 prices	– Global Challenges EUR 3 000 2 726 million,		Both institutions maintain their mandates

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		<i>(EUR 4 500 million in current prices) [4,83 %],</i>			
130. bis		<i>– Foreign Policy Needs and Priorities EUR 443 million in 2018 prices (EUR 500 million in current prices) [0,54 %],</i>			Both institutions maintain their mandates
131.	(c) EUR 4 000 million for rapid response actions.	(c) <i>EUR 4 000 098 million in 2018 prices (EUR 3 500 million in current prices) [3,76 %]</i> for rapid response actions:	(c) EUR 4 000 3 182 million for rapid response actions.		Both institutions maintain their mandates
131. bis		<i>– Stability and conflict prevention in situations of urgency, emerging crisis, crisis and post-crisis EUR 1 770 million in 2018 prices (EUR 2 000 million in current prices) [2,15 %],</i>			Both institutions maintain their mandates
131. ter		<i>– Strengthening resilience of states, societies, communities and individuals and linking humanitarian aid and development action EUR 1 328</i>			Both institutions maintain their mandates

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		<i>million in 2018 prices (EUR 1 500 million in current prices) [1,61 %],</i>			
132.	3. The emerging challenges and priorities cushion of an amount of EUR 10 200 million shall increase the amounts referred to in paragraph 2 in accordance with Article 15.	3. The emerging challenges and priorities cushion of an amount of EUR 10 200 196 million <i>in 2018 prices (EUR 7 000 million in current prices) [7,51 %],</i> shall increase the amounts referred to in paragraph 2 in accordance with Article 15.	3. The emerging challenges and priorities cushion of an amount of EUR 10 200 9 534 million shall increase the amounts referred to in paragraph 2 (a), (b) and (c) in accordance with Article 15.		Both institutions maintain their mandates
133.	4. The financial envelope referred to in paragraph 2 (a) shall correspond to at least 75 % of the financial envelope referred to in paragraph 1.	4. The financial envelope referred to in paragraph 2 (a) shall correspond to at least 75 % of the financial envelope referred to in paragraph 1.	4. The financial envelope referred to in paragraph 2 (a) shall correspond to at least 75 % of the financial envelope referred to in paragraph 1.	4. The financial envelope referred to in paragraph 2 (a) shall correspond to at least 75 % of the financial envelope referred to in paragraph 1.	<i>Provisionally closed</i>
133. bis		<i>4a. The actions under Article 9 shall be financed to up to the amount of EUR 270 million.</i>			Both institutions maintain their mandates
133. ter		<i>4b. The annual appropriations shall be authorised by the</i>			Both institutions maintain their mandates

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		<i>European Parliament and by the Council within the limits of the multiannual financial framework during the budgetary procedure, after the priorities have been agreed by the Institutions.</i>			
134.	Article 7 Policy framework	Article 7 Policy framework	Article 7 Policy framework	Article 7 Policy framework	<i>Provisionally closed on 5 December 2019</i>
135.	The association agreements, partnership and cooperation agreements, multilateral agreements, and other agreements that establish a legally binding relationship with partner countries, as well as, European Council conclusions and Council conclusions, summit declarations or conclusions of high-level meetings with partner countries, relevant European Parliament resolutions,	The association agreements, partnership and cooperation agreements, multilateral trade agreements, and other agreements that establish a legally binding relationship with partner countries, recommendations and acts adopted in the bodies set up by those agreements , as well as relevant multilateral agreements, Union legislative acts , European Council conclusions, and Council conclusions, summit	The association agreements, partnership and cooperation agreements, multilateral agreements to which the Union is a party , and other agreements that establish a legally binding relationship with-between the Union and partner countries; as well as; European Council conclusions and Council conclusions, summit declarations or conclusions of high-	The association agreements, partnership and cooperation agreements, multilateral agreements to which the Union is a party , and other agreements that establish a legally binding relationship with-between the Union and partner countries; as well as; {1} European Council conclusions, and Council conclusions, summit declarations or conclusions of high-	{1} EP: Union legislative acts , CL: no text {2} EP: , relevant European Parliament resolutions; communications of the Commission or Joint and positions , communications of the Commission and the High Representative of the Union for Foreign Affairs and Security Policy and United

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	<p>communications of the Commission or Joint communications of the Commission and the High Representative of the Union for Foreign Affairs and Security Policy, shall constitute the overall policy framework for the implementation of this Regulation.</p>	<p>declarations or and other international declarations and conclusions of high-level meetings with partner countries, relevant European Parliament resolutions, communications of the Commission or Joint positions, communications of the Commission and the High Representative of the Union for Foreign Affairs and Security Policy and United Nations Conventions and resolutions, shall constitute the overall policy framework for the implementation application of this Regulation.</p>	<p>level-meetings with partner countries; relevant at the level of heads of states or governments or ministers. Joint statements by the Council and the representatives of the Member States, the European Parliament resolutions, communications of and the Commission as well as Council deliberations, or Joint communications of the Commission and the High Representative of the Union for Foreign Affairs and Security Policy, shall constitute the overall policy framework for the implementation of this Regulation.</p>	<p>partner countries; relevant at the level of heads of states or governments or ministers, {2} shall constitute the overall policy framework for the {3} of this Regulation.</p>	<p>Nations Conventions and resolutions,</p> <p>CL: Joint statements by the Council and the representatives of the governments of the Member States, the European Parliament resolutions, communications of and the Commission as well as Council deliberations, or Joint communications of the Commission and the High Representative of the Union for Foreign Affairs and Security Policy,</p> <p>{3} EP: “implementation application” CL: “implementation”</p>
136.	Article 8 General principles	Article 8 General principles	Article 8 General principles	Article 8 General principles	<i>Provisionally closed on 5 December 2019</i>

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137.	1. The Union shall seek to promote, develop and consolidate the principles of democracy, the rule of law and respect for human rights and fundamental freedoms on which it is founded, through dialogue and cooperation with partner countries and regions.	1. The Union shall seek to promote, develop and consolidate the principles of democracy, the rule of law and respect for human rights and fundamental freedoms on which it is founded, through dialogue and cooperation with partner countries and regions, <i>through action in the United Nations and other international fora and through its cooperation with civil society organisations, local authorities and private actors, the principles on which it is founded, namely democracy, the rule of law, good governance, the universality and indivisibility of human rights and fundamental freedoms, respect for human dignity, the principles of equality</i>	1. The Union shall seek to promote, develop and consolidate the principles of democracy, <i>good governance</i> , the rule of law and respect for human rights and fundamental freedoms on which it is founded, through dialogue and cooperation with partner countries and, regions <i>and civil society</i> .	1. The Union shall seek to promote, develop and consolidate the principles of democracy, <i>good governance</i> , the rule of law, respect for human rights, <i>including their universality and indivisibility</i> , and fundamental freedoms <i>and respect for human dignity, the principles of equality and solidarity</i> on which it is founded, through dialogue and cooperation with partner countries, and regions <i>and civil society, including through action in multilateral fora</i> .	<i>Provisionally closed on 5 December 2019</i>
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Spending targets (except migration)

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		<i>and solidarity, and respect for the principles of the United Nations Charter and international law. Funding under this Regulation shall comply with these principles, as well as with the Union's commitments under international law.</i>			
137. bis			<i>1 bis The Union shall pursue the eradication of poverty and, in compatibility with the 2030 Agenda and the Paris Agreement, the fight against climate change.</i>		<i>Provisionally closed on 5 December 2019</i>
		<i>1a. Consistent with Articles 2 and 21 TEU, the Union's contribution to democracy and the rule of law and to the promotion and protection of human rights and fundamental freedoms shall be rooted in the Universal</i>			

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		<i>Declaration Human Rights, international human rights law and international humanitarian law.</i>			
138.	2. A rights-based approach encompassing all human rights, whether civil and political or economic, social and cultural shall be applied in order to integrate human rights principles, to support the right holders in claiming their rights with a focus on poorer and more vulnerable groups and to assist partner countries in implementing their international human rights obligations. This Regulation shall promote gender equality and women's empowerment.	2. A rights-based approach encompassing all human rights, whether civil and political or economic, social and cultural shall be applied in order to integrate human rights principles, to support the right holders in claiming their rights with a focus on poorer and more marginalised and vulnerable groups, including minorities, women, children and youth, older people, indigenous people, LGBTI persons, and persons with disabilities, on essential labour rights and social inclusion, and to assist partner countries in implementing their	2. A rights-based approach encompassing all human rights, whether civil and political or economic, social and cultural shall be applied in order to integrate human rights principles, to support the right holders in claiming their rights with a focus on poorer, marginalised and more vulnerable people and groups and to assist partner countries in implementing their international human rights obligations. This Regulation shall promote gender equality and women's empowerment This approach shall be	2. A rights-based approach encompassing all human rights, whether civil and political or economic, social and cultural shall be applied in order to integrate human rights principles, to support the right holders in claiming their rights with a focus on poorer, marginalised and more vulnerable people and persons with disabilities, and to assist partner countries in implementing their international human rights obligations. This Regulation shall promote gender equality and women's empowerment This	<i>Provisionally closed on 5 December 2019</i>

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		<p>international human rights obligations. This Regulation shall promote gender equality and women's <i>the empowerment of women, youth and children, including with regard to sexual and reproductive health and rights.</i></p>	<p><i>guided by the principle of leaving no-one behind, wherever people live and regardless of ethnicity, gender, age, disability, religion or beliefs, sexual orientation and gender identity, migration status or other factors, including addressing the multiple discriminations faced by vulnerable people and marginalised groups.</i></p>	<p><i>approach shall be guided by the principle of leaving no-one behind, equality and non-discrimination on any grounds.</i></p>	
138. bis			<p><i>2 bis This Regulation shall promote gender equality, women's and girls' rights and empowerment and non-discrimination on any grounds including gender identity or sexual orientation, through targeted and mainstreamed actions.</i></p>	<p><i>2 bis This Regulation shall promote gender equality, women's and girls' rights and empowerment and non-discrimination on any grounds, through targeted and mainstreamed actions; and shall also give particular attention to the rights of the child</i></p>	<p><i>Provisionally closed on 5 December 2019</i></p>

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				<i>and empowerment of youth.</i>	
138.ter			<i>2 ter This Regulation shall be implemented in full accordance with the EU's commitment to the promotion, protection and fulfilment of all human rights and to the full and effective implementation of the Beijing Platform for Action and the Programme of Action of the International Conference on Population and Development (ICPD) and the outcomes of their review conferences and remains committed to sexual and reproductive health and rights (SRHR), in this context. Having that in mind, the Regulation shall support the EU</i>	<i>2 ter This Regulation shall be implemented in full accordance with the EU's commitment to the promotion, protection and fulfilment of all human rights and to the full and effective implementation of the Beijing Platform for Action and the Programme of Action of the International Conference on Population and Development (ICPD) and the outcomes of their review conferences and remains committed to sexual and reproductive health and rights (SRHR), in this context. Having that in mind, the Regulation shall support the EU</i>	<i>Provisionally closed on 5 December 2019</i>

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			<p><i>commitment to the promotion, protection and fulfilment of the right of every individual to have full control over, and decide freely and responsibly on matters related to their sexuality and sexual and reproductive health, free from discrimination, coercion and violence. It shall also support the need for universal access to quality and affordable comprehensive sexual and reproductive health information, education, including comprehensive sexuality education, and health-care services.</i></p>	<p><i>commitment to the promotion, protection and fulfilment of the right of every individual to have full control over, and decide freely and responsibly on matters related to their sexuality and sexual and reproductive health, free from discrimination, coercion and violence. It shall also support the need for universal access to quality and affordable comprehensive sexual and reproductive health information, education, including comprehensive sexuality education, and health-care services.</i></p>	
138. quater			<p><i>2 quater This Regulation shall give particular attention to the rights of the child</i></p>		<p><i>Provisionally closed on 5 December 2019</i></p> <p><i>Moved to line 138 bis.</i></p>

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			<i>and empowerment of youth.</i>		
139.	3. The Union shall support, as appropriate, the implementation of bilateral, regional and multilateral cooperation and dialogue, partnership agreements and triangular cooperation.	3. The Union shall support, as appropriate, the implementation of bilateral, regional and multilateral cooperation and dialogue, partnership agreements and triangular cooperation.	3. The Union shall support, as appropriate, the implementation of bilateral, regional and multilateral cooperation and dialogue, <i>association and free trade agreements</i> , partnership agreements and triangular cooperation.	3. The Union shall support, as appropriate, the implementation of bilateral, regional and multilateral cooperation and dialogue, <i>association and trade agreements</i> , partnership agreements and triangular cooperation.	<i>Provisionally closed on 11 June 2020</i> <i>Reference to coherence with trade policy inserted in Art.5.</i>
140.	The Union shall promote a multilateral and rules-based approach to global goods and challenges and shall cooperate with Member States, partner countries, international organisations and other donors in that respect.	The Union shall promote a multilateral and rules-based <i>rules- and values-based</i> approach to global <i>public</i> goods and challenges and shall cooperate with Member States, partner countries, international organisations, <i>including international financial institutions and UN agencies, funds and programmes</i> , and other donors in that respect.	The Union shall promote a multilateral and rules-based approach to global goods and challenges and shall cooperate with Member States, partner countries, international organisations and other donors in that respect.	The Union shall promote a multilateral and rules- based <i>and values-based</i> approach to global goods and challenges and shall cooperate with Member States, partner countries, international organisations and other donors in that respect.	<i>Provisionally closed on 5 December 2019</i>

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140. bis			<i>The Union shall foster inclusiveness in the implementation of this Regulation and collaboration with Member States seeking to maximise added value and taking into account experience and capacities, thus reinforcing shared interests, values and common goals. The Union shall encourage the exchange of best practices and knowledge sharing among Member States' bodies and experts.</i>		<i>Provisionally closed on 5 December 2019</i> <i>Moved to line 146 bis</i>
141.	The Union shall foster cooperation with international organisations and other donors.	The Union shall foster cooperation with international and regional organisations and other donors.	The Union shall promote effective multilateralism in fostering cooperation with international organisations and other donors.	The Union shall promote effective multilateralism in fostering cooperation with international organisations and other donors.	<i>Provisionally closed on 5 December 2019</i>
142.	In relations with partner countries, their track	In relations with partner countries, their track	In relations with partner countries, their	In relations with partner countries, their track	<i>Provisionally closed on 5 December 2019</i>

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	record in implementing commitments, international agreements, and contractual relations with the Union shall be taken into account.	record in implementing commitments, international agreements, <i>in particular the Paris Agreement</i> , and contractual relations with the Union, <i>in particular association agreements, partnership and cooperation agreements and trade agreements</i> , shall be taken into account.	track record in implementing <i>obligations and commitments</i> , international <i>human rights conventions, international agreements</i> , and contractual relations with the Union shall be taken into account <i>and shall be taken up in the regular political dialogue with these countries</i> .	record in implementing <i>obligations and commitments, including the 2030 Agenda</i> , international <i>human rights conventions, international agreements, in particular the Paris Agreement</i> , and contractual relations with the Union, <i>in particular association agreements, partnership and cooperation agreements and trade agreements</i> , shall be taken into account <i>and shall be taken up in the regular political dialogue with these countries</i> .	
143.	4. Cooperation between the Union and the Member States, on the one hand, and partner countries, on the other hand, shall be based on	4. Cooperation between the Union and the Member States, on the one hand, and partner countries, on the other hand, shall be based on	4. Cooperation between the Union and the Member States, on the one hand, and partner countries; on the other hand, shall be	4. Cooperation between the Union and the Member States, on the one hand, and partner countries, on the other hand, shall be based on	<i>Provisionally closed on 5 December 2019</i>

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	and shall promote the development effectiveness principles, where applicable, namely: ownership of development priorities by partner countries, a focus on results, inclusive development partnerships, transparency and mutual accountability. The Union shall promote effective and efficient resource mobilisation and use.	and shall promote the development effectiveness principles; where applicable , across all modalities namely: ownership of development priorities by partner countries, a focus on results, inclusive development partnerships, transparency and mutual accountability, and alignment to the priorities of partner countries . The Union shall promote effective and efficient resource mobilisation and use.	based on and shall promote the development effectiveness principles, where applicable, namely: ownership of development priorities by partner countries, a focus on results, inclusive development partnerships, transparency and mutual accountability. The Union shall promote effective and efficient resource mobilisation and use.	and shall promote the development effectiveness principles, where applicable, across all modalities , namely: ownership of development priorities by partner countries, a focus on results, inclusive development partnerships, transparency and mutual accountability. The Union shall promote effective and efficient resource mobilisation and use.	
144.	In line with the principle of inclusive partnership, where appropriate, the Commission shall ensure that relevant stakeholders of partner countries, including civil society organisations and local authorities, are duly	In line with the principle of inclusive partnership; where appropriate , the Commission shall ensure that relevant stakeholders of partner countries, including civil society organisations and local authorities, are duly consulted and have timely access to relevant	In line with the principles of inclusive partnership and transparency , where appropriate and possible , the Commission shall ensure that relevant stakeholders of partner countries, including civil society	In line with the principle of inclusive partnership and transparency , where appropriate, the Commission shall ensure that relevant stakeholders of partner countries, including civil society organisations, and local	<i>Provisionally closed on 5 December 2019 pending agreement on governance</i> {1} EP: “implementation application” CL: “implementation”

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	consulted and have timely access to relevant information allowing them to play a meaningful role during the design, implementation and associated monitoring processes of programmes.	information allowing them to play a meaningful role during the design, implementation application and associated monitoring processes of programmes.	organisations, the private sector and local authorities, are duly consulted and have timely access to relevant information allowing them to be adequately involved and play a meaningful role during the design, implementation and associated monitoring processes of programmes.	authorities, are duly consulted and have timely access to relevant information allowing them to be adequately involved and play a meaningful role during the design, {1} and associated monitoring processes of programmes. Where appropriate, the Commission shall also ensure that an enhanced dialogue is pursued with the private sector.	
145.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' systems for the implementation of programmes.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' systems for the implementation application of programmes.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' institutions and systems for the implementation of programmes.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' institutions and systems for the {1} of programmes.	<i>Provisionally closed on 5 December 2019 pending agreement on governance</i> {1} EP: “ implementation application ” CL: “implementation”
146.	5. In order to promote the complementarity and efficiency of their	5. In order to promote the complementarity and efficiency of their action,	5. In order to promote the complementarity and efficiency of their	5. In order to promote the complementarity and efficiency of their	<i>Provisionally closed on 5 December 2019</i>

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	action, the Union and the Member States shall coordinate their policies and shall consult each other on their assistance programmes, including in international organisations and during international conferences.	the Union and the Member States shall coordinate their policies and shall consult each other on their assistance programmes, including in international organisations and during international conferences.	action <i>and initiatives</i> , the Union and the Member States shall coordinate strengthen the coordination of their policies and shall regularly consult each other on their assistance programmes, including in international organisations and during international conferences.	action <i>and initiatives</i> , the Union and the Member States shall coordinate ensure the coordination of their policies and shall regularly consult each other on their assistance programmes, including in international organisations and during international conferences.	
146. bis (new line)				<i>The Union and the Member States shall coordinate their respective support programmes with the aim of increasing effectiveness and efficiency.</i>	<i>Provisionally closed on 5 December 2019</i> <i>Based on EP text line 115 bis.</i>
146. ter (new line)				<i>The Union shall foster inclusiveness in the implementation of this Regulation and collaboration with Member States seeking to maximise added value and taking into</i>	<i>Provisionally closed on 5 December 2019</i> <i>Based on CL text line 140 bis.</i>

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				<i>account experience and capacities, thus reinforcing shared interests, values and common goals. The Union shall encourage the exchange of best practices and knowledge sharing among Member States' bodies and experts.</i>	
147.	6. Programmes and actions under this Regulation shall mainstream climate change, environmental protection and gender equality and shall address interlinkages between Sustainable Development Goals, to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way. These programmes and actions shall be based on an analysis of risks and vulnerabilities, integrate	6. Programmes and actions under this Regulation shall mainstream climate change, environmental protection and <i>in accordance with Art. 11 TFEU, disaster risk reduction and preparedness, human development, conflict prevention and peace building, gender equality and the empowerment of women, children and youth, non-discrimination, education and culture, and digitalisation</i> and	6. Programmes and actions under this Regulation shall mainstream climate change <i>human rights, democracy, gender equality,</i> environmental protection and gender equality <i>the fight against climate change</i> and shall address interlinkages between Sustainable Development Goals, to promote integrated actions that can create co-benefits and meet multiple objectives in a	6. Programmes and actions under this Regulation shall mainstream <i>the fight against</i> climate change, environmental protection, <i>human rights, democracy, gender equality and, where relevant, disaster risk reduction</i> and shall address interlinkages between Sustainable Development Goals, to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way. These	<i>Provisionally closed on 5 December 2019</i>

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	a resilience approach and be conflict sensitive. They shall be guided by the principle of leaving no one behind.	shall address interlinkages between Sustainable Development Goals, to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way. These programmes and actions shall be based on an analysis of <i>capacities</i> , risks and vulnerabilities, integrate a <i>people and community centred</i> resilience approach and be conflict sensitive. They shall be guided by the principle <i>principles</i> of leaving no one behind and “do no harm”.	coherent way. These programmes and actions shall be based on ana <i>comprehensive multi-disciplinary</i> analysis of <i>context</i> , risks and vulnerabilities, integrate a resilience approach and be conflict sensitive. They shall be guided by the principles of “do no harm” and of “leaving no one behind”.	programmes and actions shall be based on ana <i>comprehensive multi-disciplinary</i> analysis of <i>context, capacities</i> , risks and vulnerabilities, integrate a resilience approach and be conflict sensitive <i>taking into account conflict prevention and peacebuilding</i> . They shall be guided by the principles of “do no harm” and of “leaving no one behind”.	
147. bis			<i>6 bis This Regulation shall promote the use of digitalisation as a powerful enabler for sustainable development and inclusive growth.</i>	<i>6 bis This Regulation shall promote the use of digitalisation as a powerful enabler for sustainable development and inclusive growth.</i>	<i>Provisionally closed on 5 December 2019</i>
148.	7. A more coordinated, holistic and structured	<i>7. Without prejudice to the other objectives of</i>	7. A more coordinated, holistic and structured	7. A more coordinated, holistic and structured	{1}

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	<p>approach to migration shall be pursued with partners and its effectiveness be regularly assessed.</p>	<p><i>Union external action</i>, a more coordinated, holistic and structured approach to migration shall be pursued, with partners and its effectiveness be regularly assessed, <i>without conditioning the allocation of development aid to third countries to cooperation on migration management and in full respect of human rights, including the right of every individual to leave his or her country of origin.</i></p>	<p>approach to migration shall be pursued with partners, <i>taking into account the importance of addressing the root causes of irregular migration and its forced displacement. It shall maximise synergies and build comprehensive partnerships, while paying specific attention to countries of origin and transit. This approach shall combine all appropriate tools and the necessary leverage through a flexible incitative approach with, as appropriate within this context, possible changes in allocation of funding. It shall take into account effective cooperation and implementation of EU</i></p>	<p>approach to migration shall be pursued with partners {1}.</p>	<p>EP: and its effectiveness be regularly assessed, <i>without conditioning the allocation of development aid to third countries to cooperation on migration management and in full respect of human rights, including the right of every individual to leave his or her country of origin.</i></p> <p>CL: , <i>taking into account the importance of addressing the root causes of irregular migration and its forced displacement. It shall maximise synergies and build comprehensive partnerships, while paying specific attention to countries of origin and transit. This approach shall</i></p>
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			<p><i>agreements, including in the field of readmission, return and reintegration, and dialogues on migration. These actions shall be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. It The effectiveness of this approach shall be regularly assessed annually or as necessary. Migration-related actions of this Regulation shall be implemented in support of EU migration policy objectives through a coordinated mechanism based on the use of its appropriate</i></p>		<p><i>combine all appropriate tools and the necessary leverage through a flexible incitative approach with, as appropriate within this context, possible changes in allocation of funding. It shall take into account effective cooperation and implementation of EU agreements, including in the field of readmission, return and reintegration, and dialogues on migration. These actions shall be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. It The effectiveness of this approach shall be regularly assessed</i></p>
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			<i>components through flexible funding.</i>		<i>annually or as necessary. Migration-related actions of this Regulation shall be implemented in support of EU migration policy objectives through a coordinated mechanism based on the use of its appropriate components through flexible funding.</i>
148. bis		<i>7a. The Commission shall ensure that actions adopted under this Regulation in relation to security, stability and peace, in particular with regard to capacity building of military actors in support of development and security for development, fight against terrorism and organised crime, and cyber-security, are carried out in accordance with</i>		<i>7a. The Commission shall ensure that actions adopted under this Regulation in relation to the fight against terrorism and organised crime, cyber security and the fight against cybercrime, and capacity building of military actors in support of development and security for development are carried out in accordance with international law,</i>	<i>Provisionally closed</i>

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		<p><i>international law, including international human rights and humanitarian law.</i></p> <p><i>The Commission may develop roadmaps jointly with the beneficiary partners to improve the institutional and operational compliance of military actors with transparency and human rights standards.</i></p> <p><i>The Commission shall carefully monitor, evaluate and report on the application of such actions for each relevant objective pursuant to Article 31 in order to ensure compliance with human rights obligations.</i></p> <p><i>For such actions, the Commission shall pursue a conflict</i></p>		<p><i>including international human rights and humanitarian law. To this end the Commission shall establish an appropriate risk assessment and monitoring framework. In this framework, the Commission shall develop operational guidance to ensure that human rights are taken into consideration in the design and implementation of these actions.</i></p>	
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		<p><i>sensitive approach, including a rigorous and systematic ex ante conflict analysis which fully integrates gender analysis, in addition to the provisions on risk management under Article 8(8)b.</i></p> <p><i>The Commission shall adopt a delegated act in accordance with Article 34 supplementing this Regulation by establishing an operational framework, based on the existing guidance to ensure that human rights are taken into consideration in the design and application of the measures referred to in this Article, in particular as regards the prevention of torture and other cruel, inhuman or degrading treatment and respect for due process,</i></p>			
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		<i>including the presumption of innocence, the right to a fair trial and rights of defence.</i>			
				7b. <i>Security related actions in point 7a shall be based on regular and robust conflict analysis to ensure conflict sensitivity and implement a security sector reform approach that contributes to democratic governance, accountability and human security including benefits for the local population. These measures shall be embedded, where relevant, in the context of a longer term assistance aimed at reforming the security sector.</i>	
149.	8. The Commission shall inform and have	8. The Commission shall regularly inform and	8. The Commission shall inform and have		

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	regular exchanges of views with the European Parliament.	have regular exchanges of views <i>meaningful policy dialogues</i> with the European Parliament, <i>at its own initiative and when requested by the European Parliament.</i>	regular exchanges of views with the European Parliament <i>and the Council.</i>		
149. bis		<i>8a. The Commission shall have regular exchanges of information with civil society and local authorities.</i>		<i>8a. The Commission shall have regular exchanges of information with civil society.</i>	<i>Provisionally closed on 5 December 2019</i>
149. ter		<i>8b. The Commission shall adopt a delegated act in accordance with Article 34 supplementing this Regulation by establishing an appropriate risk management framework, including an assessment and mitigations measures for each relevant objective of the Regulation.</i>		<i>8b. Where appropriate, the Commission shall develop and follow risk management frameworks, including assessment and mitigations measures.</i>	<i>Provisionally closed</i>
149. quater		<i>8c. Transparency and accountability, with a</i>			<i>Provisionally closed</i>

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		<i>strong focus on reporting and scrutiny shall underpin the entire instrument. That shall comprise a transparent control system, including the reporting of information on the recipients of funds and whether payments have been made on time.</i>			
149. quin-quies			<i>Article 8 bis</i>		<i>Provisionally closed on 11 June 2020</i>
149. sexies			<i>Union funding under this Regulation shall not be used to finance the procurement of arms or ammunition, or operations having military or defence implications.</i>	8c. <i>Union funding under this Regulation shall not be used to finance the procurement of arms or ammunition, or operations having military or defence implications.</i>	<i>Provisionally closed on 11 June 2020</i>
150.	Article 9 Capacity building of military actors in support of development and security for development	Article 9 Capacity building of military actors in support of development and security for development	Article 9 Capacity building of military actors in support of development and security for development	Article 9 Capacity building of military actors in support of development and security for development	<i>Provisionally closed on 11 June 2020</i>

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151.	1. In accordance with Article 41(2) of the Treaty on European Union, Union funding under this Regulation shall not be used to finance the procurement of arms or ammunition, or operations having military or defence implications.	1. In accordance with Article 41(2) of the Treaty on European Union , Union funding under this Regulation shall not be used to finance the procurement of arms or ammunition, or operations having military or defence implications. <i>Any equipment, service or technology supplied under this Regulation shall be subject to strict transfer controls as set out in the Common Position 944/2008/CFSP, the Dual-Use Regulation and any other Union restrictive measures in force. In accordance with Regulation (EU) .../... [EU Regulation on Products used for Capital Punishment and Torture], this Regulation shall not be used to finance the</i>	1. In accordance with Article 41(2) of the Treaty on European Union , Union funding under this Regulation shall not be used to finance the procurement of arms or ammunition, or operations having military or defence implications.		<i>Provisionally closed on 11 June 2020</i>
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		<i>provision of any type of equipment that may be used for torture, mistreatment or other human rights violations.</i>			
152.	2. In order to contribute to sustainable development, which requires the achievement of stable, peaceful and inclusive societies, Union assistance under this Regulation may be used in the context of a wider security sector reform or to build the capacity of military actors in partner countries, under the exceptional circumstances set out in paragraph 4, to deliver development activities and security for development activities.	2. In order to contribute to sustainable development, which requires the achievement of stable, peaceful and inclusive societies, Union assistance under this Regulation may be used in the context of a wider security sector reform or to build the capacity of military actors in partner countries, under the exceptional circumstances set out in paragraph 4, to deliver development activities and security for development activities, <i>in line with the overarching objective of achieving sustainable development.</i>	2. In order to contribute to sustainable development, which requires the achievement of stable, peaceful and inclusive societies, Union assistance under this Regulation may be used in the context of a wider security sector reform or to build the capacity of military actors in partner countries; under the exceptional circumstances set out in paragraph 4 to deliver development activities and security for development activities.	1. In order to contribute to sustainable development, which requires the achievement of stable, peaceful and inclusive societies, Union assistance under this Regulation may be used in the context of a wider security sector reform or to build the capacity of military actors in partner countries, under the exceptional circumstances set out in paragraph 4, to deliver development activities and security for development activities.	<i>Provisionally closed on 11 June 2020</i>

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153.	3. Assistance pursuant to this Article may cover in particular the provision of capacity building programmes in support of development and security for development, including training, mentoring and advice, as well as the provision of equipment, infrastructure improvements and services directly related to that assistance.	3. Assistance pursuant to this Article may cover in particular the provision of capacity building programmes in support of development and security for development, including training, mentoring and advice, as well as the provision of equipment, infrastructure improvements and services directly related to that assistance.	3. Assistance pursuant to this Article may cover in particular the provision of capacity building programmes in support of development and security for development, including training, mentoring and advice, as well as the provision of equipment, infrastructure improvements and services directly related to that assistance.	2. Assistance pursuant to this Article may cover in particular the provision of capacity building programmes in support of development and security for development, including training, mentoring and advice, as well as the provision of equipment, infrastructure improvements and services directly related to that assistance.	<i>Provisionally closed on 11 June 2020</i>
154.	4. Assistance pursuant to this Article shall be provided only:	4. Assistance pursuant to this Article shall be provided only:	4. Assistance pursuant to this Article shall be provided only:	3. Assistance pursuant to this Article shall be provided only:	<i>Provisionally closed on 11 June 2020</i>
155.	(a) where requirements cannot be met by recourse to non-military actors to adequately reach Union objectives under this Regulation and there is a threat to the existence of functioning State	(a) where requirements cannot be met by recourse to non-military actors to adequately reach Union objectives under this Regulation and there is a threat to the existence of functioning State	(a) where requirements cannot be met by recourse to non-military actors to adequately reach Union objectives under this Regulation and there is a threat to the existence of	(a) where requirements cannot be met by recourse to non-military actors to adequately reach Union objectives under this Regulation and there is a threat to the existence of functioning State	<i>Provisionally closed on 11 June 2020</i>

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	institutions or to the protection of human rights and fundamental freedoms and State institutions cannot cope with that threat; and	institutions or to the protection of human rights and fundamental freedoms and State institutions cannot cope with that threat; and	functioning State institutions or to the protection of human rights and fundamental freedoms and State institutions cannot cope with that threat; and	institutions or to the protection of human rights and fundamental freedoms and State institutions cannot cope with that threat; and	
156.	(b) where a consensus exists between the partner country concerned and the Union that military actors are key for preserving, establishing or re-establishing the conditions essential for sustainable development, including in crises and fragile or destabilised contexts and situations.	(b) where a consensus exists between the partner country concerned and the Union that military actors are key for preserving, establishing or re-establishing the conditions essential for sustainable development <i>and that those military actors are not implicated in human rights violations or pose a threat to the functioning of State institutions</i> , including in crises and fragile or destabilised contexts and situations.	(b) where a consensus exists between the partner country concerned and the Union that military actors are key for preserving, establishing or re-establishing the conditions essential for sustainable development, including in crises and fragile or destabilised contexts and situations.	(b) where a consensus exists between the partner country concerned and the Union that military actors are key for preserving, establishing or re-establishing the conditions essential for sustainable development, including in crises and fragile or destabilised contexts and situations.	<i>Provisionally closed on 11 June 2020</i>
157.	5. Union assistance pursuant to this Article	5. Union assistance pursuant to this Article	5. Union assistance pursuant to this Article	4. Union assistance pursuant to this Article	<i>Provisionally closed on 11 June 2020</i>

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	shall not be used to finance capacity building of military actors for purposes other than the delivery of development activities and security for development activities. In particular, it shall not be used to finance:	shall not be used to finance capacity building of military actors for purposes other than the delivery of development activities and security for development activities. In particular, it shall not be used to finance:	shall not be used to finance capacity building of military actors for purposes other than the delivery of development activities and security for development activities. In particular, it shall not be used to finance:	shall not be used to finance capacity building of military actors for purposes other than the delivery of development activities and security for development activities. In particular, it shall not be used to finance:	
158.	(a) recurrent military expenditure;	(a) recurrent military expenditure;	(a) recurrent military expenditure;	(a) recurrent military expenditure;	<i>Provisionally closed on 11 June 2020</i>
159.	(b) the procurement of arms and ammunition, or any other equipment designed to deliver lethal force;	(b) the procurement of arms and ammunition, or any other equipment designed to deliver lethal force;	(b) the procurement of arms and ammunition, or any other equipment designed to deliver lethal force;	(b) the procurement of arms and ammunition, or any other equipment designed to deliver lethal force;	<i>Provisionally closed on 11 June 2020</i>
160.	(c) training which is designed to contribute specifically to the fighting capacity of the armed forces.	(c) training which is designed to contribute specifically to the fighting capacity of the armed forces.	(c) training which is designed to contribute specifically to the fighting capacity of the armed forces.	(c) training which is designed to contribute specifically to the fighting capacity of the armed forces.	<i>Provisionally closed on 11 June 2020</i>
161.	6. When designing and implementing measures pursuant to this Article, the Commission shall promote ownership by the partner country. It shall also develop the	6. When designing and implementing applying measures pursuant to this Article, the Commission shall promote ownership by the partner country. It shall also develop the	6. When designing and implementing measures pursuant to this Article, the Commission shall promote ownership by the partner country. It	5. When designing and {1} measures pursuant to this Article, the Commission shall promote ownership by the partner country. It shall also develop the	<i>Provisionally closed on 11 June 2020 pending agreement on governance</i> {1} EP: applying

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	<p>necessary elements and the good practices required to ensure sustainability in the medium and long term and shall promote the rule of law and established international law principles.</p>	<p>necessary elements and the good practices required to ensure sustainability <i>and accountability</i> in the medium and long term and shall promote the rule of law and established international law principles. <i>The Commission shall ensure that those measures generate direct human security benefits for the population, are integrated into a broader security sector reform policy comprising strong democratic and parliamentary oversight and accountability elements, including in terms of improved security service provision, and fit into long-term peace and development strategies designed to address the</i></p>	<p>shall also develop the necessary elements and the good practices required to ensure sustainability in the medium and long term and shall promote the rule of law and established international law principles.</p>	<p>necessary elements and the good practices required to ensure sustainability <i>and accountability</i> in the medium and long term and shall promote the rule of law and established international law principles.</p>	<p>CL: implementing</p>
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		<p><i>root causes of conflict. The Commission shall also ensure that actions aimed at reforming military forces contribute to making them more transparent, accountable and compliant with the human rights of those coming under their jurisdiction. For measures aimed at providing partner military forces with equipment, the Commission shall specify the type of equipment to be provided in the context of each measure. The Commission shall apply the provisions specified under Article 8 – paragraph 8 b (new) in order to ensure that this equipment will be used only by its intended beneficiaries.</i></p>			
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162.	7. The Commission shall establish appropriate risk assessment, monitoring and evaluation procedures for measures pursuant to this Article.	7. The Commission shall establish appropriate risk assessment, monitoring and undertake, within the evaluation procedures for measures pursuant to this Article 32, and in particular with regard to a mid-term evaluation, joint evaluations with Member States. The results shall inform programme design and resource allocation, and further enhance the consistency and complementarity of the Union's external action.	7. The Commission shall establish appropriate risk assessment, monitoring and evaluation procedures for measures pursuant to this Article.		<i>Provisionally closed on 11 June 2020</i> Covered under Art.8(7a)
163.	TITLE II IMPLEMENTATION OF THIS REGULATION	TITLE II IMPLEMENTATION APPLICATION OF THIS REGULATION	TITLE II IMPLEMENTATION OF THIS REGULATION	TITLE II {1} OF THIS REGULATION	<i>Provisionally closed on 20 February 2020 pending discussions on governance</i> {1} EP: application CL: implementation
164.	Chapter I Programming	Chapter I Programming	Chapter I Programming		<i>Provisionally closed on 20 February 2020</i>

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164. bis		Article 9a Scope of the Geographic programmes			EP and CL maintain their mandates
164. ter		1. Union cooperation activities under this Article shall be applied for activities of a local, national, regional, trans-regional and continental nature.			EP and CL maintain their mandates
164. quater		2. In order to attain the objectives laid down in Article 3, geographic programmes shall be drawn up from the following areas of cooperation:			EP and CL maintain their mandates
164. quin-ques		(a) good governance, democracy, rule of law, human rights, fundamental freedoms and civil society;			EP and CL maintain their mandates
164. sexies		(b) poverty eradication, fight against inequalities and human development;			EP and CL maintain their mandates
164. septies		(c) migration and mobility;			EP and CL maintain their mandates
164. octies		(d) environment and climate change;			EP and CL maintain their mandates

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164. nonies		<i>(e) inclusive and sustainable economic growth and decent employment;</i>			EP and CL maintain their mandates
164. decies		<i>(f) security, stability and peace;</i>			EP and CL maintain their mandates
164. un-decies		<i>(g) partnership;</i>			EP and CL maintain their mandates
164. duo-decies		<i>3. Further details of the areas of cooperation referred to in paragraph 2 are set out in Annex II.</i>			EP and CL maintain their mandates
164. ter-decies		<i>Article9b Scope of the thematic programmes</i>			EP and CL maintain their mandates
164. quater-decies		<i>1. Thematic programmes shall cover the following areas of intervention:</i>			EP and CL maintain their mandates
164. quin-decies		<i>(a) Human Rights, Fundamental Freedoms and Democracy: – protecting and promoting human rights and human rights defenders in countries and urgency situations where human rights</i>			EP and CL maintain their mandates

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		<p><i>and fundamental freedoms are most at risk, including through addressing urgent protection needs of human rights defenders in a flexible and comprehensive manner.</i></p> <ul style="list-style-type: none"><i>– upholding human rights and fundamental freedoms for all, contributing to forging societies in which participation, non-discrimination, equality, social justice and accountability prevails.</i><i>– consolidating and supporting democracy, addressing all aspects of democratic governance, including</i>			
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		<p><i>reinforcing democratic pluralism, enhancing citizen participation, including through supporting citizen election observation organisations and their regional networks worldwide, creating an enabling environment for civil society and supporting credible, inclusive and transparent electoral processes throughout the entire electoral cycle, in particular by means of EU Election Observation Missions (EU EOMs).</i></p> <p>– <i>promoting effective multilateralism and strategic partnerships</i></p>			
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		<p><i>contributing to reinforcing capacities of international, regional and national frameworks and empowering local actors in promoting and protecting human rights, democracy and the rule of law.</i></p> <ul style="list-style-type: none"><i>– fostering new cross-regional synergies and networking among local civil societies and between civil society and other relevant human rights bodies and mechanisms so as to maximise the sharing of best practices on human rights and democracy, and create positive dynamics.</i>			
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164. sex-decies		<p><i>(b) Civil Society Organisations and Local Authorities:</i></p> <ul style="list-style-type: none"> <i>– supporting inclusive, participatory, empowered and independent civil society in partner countries;</i> <i>– promoting dialogue with and between civil society organisations;</i> <i>– supporting capacity building of local authorities and mobilising their expertise to promote a territorial approach to development;</i> <i>– increasing awareness, knowledge and engagement of Union citizens about objectives specified in Article 3 of this Regulation;</i> 			EP and CL maintain their mandates
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		<ul style="list-style-type: none"> – <i>supporting civil society to participate in public policy advocacy and dialogue with governments and international institutions;</i> – <i>supporting civil society to sensitise consumers and citizens and raise their awareness about environmental friendly and fair trade production and consumption, to encourage them to adopt more sustainable behaviour;</i> 			
164. sept-decies		<p><i>(c) Stability and Peace</i></p> <ul style="list-style-type: none"> – <i>assistance for conflict prevention, peace-building and crisis preparedness;</i> – <i>assistance in addressing global and trans-regional</i> 			EP and CL maintain their mandates

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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		<i>threats and emerging threats;</i>			
164. octo-decies		<p><i>(d) Global challenges</i></p> <ul style="list-style-type: none"> <i>– health,</i> <i>– education,</i> <i>– gender equality,</i> <i>– children and youth,</i> <i>– migration and forced displacement,</i> <i>– decent work, social protection and inequality,</i> <i>– culture,</i> <i>– ensuring a healthy environment and tackling climate change,</i> <i>– sustainable energy,</i> <i>– sustainable and inclusive growth, decent jobs and private sector engagement,</i> <i>– food and nutrition,</i> <i>– promoting inclusive societies, good economic governance, and transparent public</i> 			EP and CL maintain their mandates

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		<p><i>finance management,</i></p> <ul style="list-style-type: none"> - <i>access to safe water, sanitation and hygiene,</i> 			
164. novo-decies		<p><i>(e) Foreign Policy Needs and Priorities</i></p> <ul style="list-style-type: none"> - <i>providing support for the Union's bilateral, regional and inter-regional cooperation strategies, promoting policy dialogue and developing collective approaches and responses to challenges of global concern;</i> - <i>providing support for Union trade policy;</i> - <i>contributing to the implementation of the international dimension of internal Union policies and promoting the</i> 			EP and CL maintain their mandates

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

		<i>widespread understanding and visibility of the Union and of its role on the world scene;</i>			
164. viciis		2. Further details of the areas of cooperation referred to in paragraph I are set out in Annex III.			EP and CL maintain their mandates
165.	Article 10 General programming approach	Article 10 General programming approach	Article 10 General programming approach	Article 10 General programming approach	<i>Provisionally closed on 20 February 2020</i>
166.	1. Cooperation and interventions under this Regulation shall be programmed, except for rapid response actions referred to in Article 4 (4).	1. Cooperation and interventions under this Regulation shall be programmed, except for rapid response actions referred to in Article 4 (4).	1. Cooperation and interventions under this Regulation shall be programmed, except for rapid response actions referred to in Article 4 (4).	1. Cooperation and interventions under this Regulation shall be programmed, except for rapid response actions referred to in Article 4 (4).	<i>Provisionally closed on 20 February 2020</i>
167.	2. On the basis or Article 7, programming under this Regulation shall be based on the following:	2. On the basis or Article 7, programming under this Regulation shall be based on the following:	2. On the basis or of Article 7, programming under this Regulation shall be based on the following:	2. On the basis or of Article 7, programming under this Regulation shall be based on the following:	<i>Provisionally closed on 20 February 2020</i>
168.	(a) programming documents shall provide a coherent framework for cooperation between the Union and partner	(a) programming documents shall provide a coherent framework for cooperation between the Union and partner	(a) programming documents shall provide a coherent framework for cooperation between	(a) programming documents shall provide a coherent framework for cooperation between the Union and partner	<i>Provisionally closed on 20 February 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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	countries or regions, consistent with the overall purpose and scope, objectives and principles set out in this Regulation;	countries or regions, consistent with the overall purpose and scope, objectives and principles set out in this Regulation, and based on Union strategy towards a partner country or region or based on Union thematic strategies;	the Union and partner countries or regions, consistent with the overall purpose and scope, objectives and principles set out in this Regulation;	countries or regions, consistent with the overall purpose and scope, objectives and principles set out in this Regulation;	
168. bis			<i>(a bis) when drawing up the programming documents for countries and regions in crisis, or post-crisis, and for fragile and vulnerable situations, a conflict analysis shall be conducted to ensure conflict sensitivity, and due account shall be taken of the special needs and circumstances of the countries or regions concerned;</i>	<i>(a bis) when drawing up the programming documents for countries and regions in crisis, or post-crisis, and for fragile and vulnerable situations, a conflict analysis shall be conducted to ensure conflict sensitivity, and due account shall be taken of the special needs and circumstances of the countries or regions concerned and their population.</i>	<i>Provisionally closed on 20 February 2020</i>
168. ter			<i>Where partner countries, or regions</i>	<i>Where partner countries, or regions</i>	<i>Provisionally closed on 20 February 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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			<i>are directly involved in, or affected by, a crisis, post-crisis or situation of fragility, special emphasis shall be placed on stepping up coordination amongst all relevant actors to help the prevention of violence and the transition from an emergency situation to stable peace and sustainable development. Special attention shall be given to ensure that actions financed under this Regulation do no harm and do not unintentionally exacerbate conflicts;</i>	<i>are directly involved in, or affected by, a crisis, post-crisis or situation of fragility, special emphasis shall be placed on stepping up coordination amongst all relevant actors to help with the transition from an emergency situation to sustainable development and stable peace, including the prevention of violence;</i>	
169.	(b) the Union and the Member States shall consult each other at an early stage of and throughout the programming process in order to promote coherence,	(b) the Union and the Member States shall consult each other at an early stage of and throughout the programming process in order to promote coherence,	(b) the Union and the Member States shall consult ensure inclusive consultations with each other at an early stage of and throughout the programming process	(b) the Union and the Member States shall consult ensure inclusive consultations with each other at an early stage of and throughout the programming process in order to promote	<i>Provisionally closed on 20 February 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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	<p>complementarity and consistency among their cooperation activities. Joint programming shall be the preferred approach for country programming. Joint programming shall be open to other donors where relevant;</p>	<p>complementarity and consistency among their cooperation activities. Joint programming shall be the preferred approach for country programming. Joint programming shall be open to other donors where relevant;</p>	<p>in order to promote coherence, complementarity and consistency among their cooperation activities. Joint programming shall be the preferred approach for country programming. Joint programming shall be open to other donors where relevant and its implementation shall be flexible, inclusive and driven at country level. Joint programming shall be open to other relevant donors and actors when this is assessed to be relevant by the Union and the Member States. In addition, the Union and the Member States shall, whenever appropriate, seek to support partner</p>	<p>coherence, complementarity and consistency among their cooperation activities. Joint programming shall be the preferred approach for country programming. Joint programming shall be open to other donors where relevant and its implementation shall be flexible, inclusive and driven at country level. Joint programming shall be open to other relevant donors and actors when this is assessed to be relevant by the Union and the Member States. In addition, the Union and the Member States shall, whenever appropriate, seek to support partner countries through joint implementation;</p>	
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Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance

			<i>countries through joint implementation;</i>		
170.	(c) the Union shall also consult other donors and actors, including representatives of civil society and local authorities, where relevant;	(c) the Union shall also consult <i>at an early stage and throughout the programming process encourage a regular multi-stakeholder and inclusive dialogue with other Union and non-Union donors and actors, including representatives of civil society and local authorities, where relevant; and private and political foundations. The European Parliament shall be informed about the outcome of those consultations.</i>	(c) the Union shall also consult other donors and actors, including <i>local authorities,</i> representatives of civil society and local authorities, where relevant; <i>the private sector, in order to facilitate their respective contributions, as appropriate, and to ensure they play a meaningful role in programming;</i>	(c) the Union shall also consult <i>at an early stage and throughout the programming process encourage a regular multi-stakeholder and inclusive dialogue with other donors and actors, including local authorities,</i> representatives of civil society, <i>foundations and local authorities the private sector,</i> where relevant, <i>in order to facilitate their respective contributions, as appropriate, and to ensure they play a meaningful role in programming;</i>	<i>Provisionally closed on 20 February 2020</i>
171.	(d) the Human Rights and Democracy and Civil Society thematic programmes referred to in Article 4(3)(a) and	(d) the Human Rights and Democracy, and Civil Society <i>Organisations and Local Authorities, and</i>	(d) the Human Rights and Democracy and Civil Society thematic programmes referred to in Article 4(3)(a) and	(d) the Human Rights and Democracy and Civil Society {1} thematic programmes referred to in Article	<i>Provisionally closed on 20 February 2020 pending discussions on local authorities</i>

Spending targets (except migration)

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	<p>(b) shall provide assistance independently of the consent of governments and other public authorities of the third countries concerned. These thematic programmes shall mainly support civil society organisations.</p>	<p><i>Stability and Peace</i> thematic programmes referred to in Article 4(3)(a) and (b) <i>and (c)</i> shall provide assistance independently of the consent of governments and other public authorities of the third countries concerned. These <i>The Human Rights and Democracy, and the Civil Society Organisations and Local Authorities</i> thematic programmes shall mainly support civil society, organisations <i>including human rights defenders and journalists under pressure.</i></p>	<p>(b) shall provide assistance independently of the consent of governments and other public authorities of the third countries concerned. These thematic programmes shall mainly support civil society organisations <i>actors at global, regional, national and local levels, as well as where relevant, human rights defenders and victims of oppression and abuse, with a high degree of flexibility in terms of implementation modalities, including administrative procedures and funding mechanisms.</i></p>	<p>4(3)(a) and (b) shall provide assistance independently of the consent of governments and other public authorities of the third countries concerned. These thematic programmes shall mainly support civil society organisations <i>actors at all levels taking into account, forms and methods of implementation, as referred to in Article 23 (3).</i></p>	<p>{1}</p> <p>EP: <i>"and Local Authorities"</i></p> <p>CL: no text</p>
				<p><i>The European Parliament and the Council shall be informed about the</i></p>	<p><i>Provisionally closed</i></p>

Spending targets (except migration)

Migration spending target and related provisions

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				<i>outcome of the consultations foreseen under points (b) and (c).</i>	
				Programming documents for geographic programmes shall be results-based and shall include, wherever possible, clear targets and indicators. take into account Indicators shall be based , where appropriate, on internationally agreed targets and indicators, in particular those set out for the Sustainable Development Goals, as well as country-level result frameworks, to assess and communicate the Union contribution to results, at the level of outputs, outcomes and impact.	<i>Provisionally closed on 20 February 2020</i> (Former line 185, moved as per agreement)
171. bis			3. Programming documents may be reviewed at their mid-		<i>Provisionally closed</i> <i>To be covered under lines 205 and 206</i>

Spending targets (except migration)

Migration spending target and related provisions

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			<i>term or on an ad-hoc basis, as necessary.</i>		
172.	Article 11 Programming principles for geographic programmes	Article 11 Programming principles for geographic programmes	Article 11 Programming principles for geographic programmes	Article 11 Programming principles for geographic programmes	<i>Provisonally closed on 20 February 2020</i>
172. bis		<i>-1. Programming under this Regulation shall have due regard to human rights, fundamental freedoms, good governance and democracy in partner countries.</i>			<i>Provisonally closed on 20 February 2020</i>
172. ter		<i>-1a. The preparation, application and review of all programming documents under this Article shall comply with the principles of policy coherence for development and those of aid effectiveness.</i>			<i>Provisonally closed on 20 February 2020</i>
172. quater		<i>-1b. Geographic and thematic programmes shall be complementary and coherent with each</i>			<i>Provisonally closed on 20 February 2020</i>

Spending targets (except migration)

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		<i>other, and create added value.</i>			
173.	1. Programming of geographic programmes shall be based on the following principles:	1. Programming of geographic programmes shall be based on the following principles:	1. Programming of geographic programmes shall be based on the following principles:	1. Programming of geographic programmes shall be based on the following principles:	<i>Provisionally closed on 20 February 2020</i>
174.	(a) without prejudice to paragraph 4, actions shall be based, to the extent possible, on a dialogue between the Union, the Member States and the partner countries concerned, including national and local authorities, involving civil society, national and local parliaments and other stakeholders, in order to enhance ownership of the process and to encourage support for national and regional strategies;	(a) without prejudice to paragraph 4, actions shall be based, to the extent possible, on an an inclusive dialogue between the the institutions of the Union, the Member States and the partner countries concerned, including national and local and regional authorities, involving civil society organisations, regional, national and local parliaments, communities and other stakeholders, in order to enhance democratic ownership of the process and to encourage support for national and regional strategies;	(a) without prejudice to paragraph 4, actions shall be based, to the extent possible, on an early and continuous a dialogue between the Union, the Member States and the partner countries concerned, including national and local authorities, involving civil society, national and local parliaments and other stakeholders, in order to enhance ownership of the process and to encourage support for national and regional strategies;	(a) without prejudice to paragraph 4, actions shall be based, to the extent possible, on an early, continuous and inclusive dialogue between the Union, the Member States and the partner countries concerned, including national, and local and regional authorities, involving civil society organisations, regional, national and local parliaments and other stakeholders, in order to enhance democratic ownership of the process and to encourage support for national and regional strategies;	<i>Provisionally closed on 20 February 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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175.	(b) where appropriate, the programming period shall be synchronised with the strategy cycles of partner countries;	(b) where appropriate whenever possible , the programming period shall be synchronised with the strategy cycles of partner countries;	(b) where appropriate, the programming period shall be synchronised with the strategy cycles of partner countries. The programming should be aligned as much as possible to the national government's strategies, plans and cycles;	(b) where appropriate, the programming period shall be synchronised and aligned with the strategy cycles of partner countries;	<i>Provisionally closed on 20 February 2020</i>
176.	(c) programming may envisage cooperation activities funded from different allocations listed in Article 6(2) and from other Union Programmes according to their basic acts.	(c) programming may envisage cooperation activities funded from different allocations listed in Article 6(2) and from other Union Programmes according to their basic acts.	(c) programming may envisage cooperation activities funded from different allocations listed in Article 6(2) and from other Union Programmes according to their basic acts.	(c) programming may envisage cooperation activities funded from different allocations listed in Article 6(2) and from other Union Programmes according to their basic acts.	<i>Provisionally closed on 20 February 2020</i>
177.	2. Programming of geographic programmes shall provide a specific, tailor-made framework for cooperation based on:	2. Without prejudice to paragraph 1 , programming of geographic programmes shall provide a specific, tailor-made framework for cooperation based on:	2. Programming of geographic programmes shall provide a specific, tailor-made framework for cooperation based on:	2. Programming of geographic programmes shall provide a specific, tailor-made framework for cooperation based on:	<i>Provisionally closed on 20 February 2020</i>
178.	(a) the partners' needs, established on the basis	(a) the partners' needs, established on the basis	(a) the partners' needs, established on the basis	(a) the partners' needs, established on the basis	<i>Provisionally agreed on 20 February 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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	of specific criteria, taking into account the population, poverty, inequality, human development, economic and environmental vulnerability, and state and societal resilience;	of specific criteria and in-depth analysis , taking into account the population, poverty, inequality, human development, the state of human rights, fundamental freedoms, democracy and gender equality, civic space , economic and environmental vulnerability, and state and societal resilience;	of specific criteria, taking into account the population, poverty, inequality inequalities , human development, economic and environmental vulnerability, and state and societal resilience and the impact of protracted and recurrent crises ;	of specific criteria, taking into account the population, poverty, inequality, human development, economic and environmental vulnerability, and state and societal resilience and the impact of protracted and recurrent crises ;	
179.	(b) the partners' capacities to generate and access financial resources and on their absorption capacities;	(b) the partners' capacities to generate and access financial of mobilisation and effective use of domestic resources to support national development priorities and on their absorption capacities;	(b) the partners' capacities to generate and access financial resources, and on their absorption capacities the partners' capacity and commitment to promote shared interests and values, including rule of law and human rights, and to support common goals and multilateral alliances and cooperation, a rules-based international	(b) the partners' capacities to generate and access financial resources and on their absorption capacities the partners' capacity and commitment to promote shared values, principles and interests, including human rights, fundamental freedoms, democracy, rule of law, good governance, fight against corruption, open civic space and	<i>Provisionally closed on 20 February 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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			<i>system, as well as the advancement of Union priorities;</i>	<i>gender equality and to support common goals and multilateral alliances and cooperation, a rules-based international system, as well as the advancement of Union priorities;</i>	
180.	(c) the partners' commitments and performance, established on the basis of criteria such as political reform and economic and social development;	(c) the partners' commitments, and performance <i>including those jointly agreed with the Union, and efforts,</i> established on the basis of criteria such as political reform, and <i>progress in the rule of law, good governance, human rights and the fight against corruption,</i> economic and social development, <i>environmental sustainability, and the effective use of aid;</i>	(c) the partners' commitments and performance including concrete reform progress, established on the basis of criteria such as political reform, sustainable economic and social development, <i>taking into account the specificities and development level of partner countries;</i>	(c) the partners' commitments, <i>including those jointly agreed with the Union,</i> and performance established on the basis of criteria such as political reform; and economic and social development, <i>environmental sustainability, and the effective use of aid, taking into account the specificities and development level of partner countries;</i>	<i>Provisionally closed on 20 February 2020</i>
181.	(d) the potential impact of Union funding in partner countries and regions;	(d) the potential impact of Union funding in partner countries and regions;	(d) the potential impact of Union funding in partner countries and regions;	(d) the potential impact of Union funding in partner countries and regions;	<i>Provisionally closed on 20 February 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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182.	(e) the partner's capacity and commitment to promote shared interests and values, and to support common goals and multilateral alliances, as well as the advancement of Union priorities.	(e) the partner's capacity and commitment to promote shared values, principles and fundamental interests and values, and to support common goals and multilateral alliances, as well as the advancement of Union priorities.	(e) the partners' capacity and commitment to promote shared interests and values, including rule of law and human rights, and to support common goals and multilateral alliances, as well as the advancement of Union priorities. the partners' capacities to generate and access financial resources, their absorption capacities and their ability to manage resources transparently.	(e) the partners' capacity and commitment to promote shared interests and values, and to support common goals and multilateral alliances, as well as the advancement of Union priorities. the partners' capacities to mobilise and make effective use of domestic resources as well as to access financial resources, to manage resources transparently in support of national development priorities and their absorption capacities;	<i>Provisionally closed on 20 February 2020</i>
183.	3. The countries most in need, in particular the Least Developed Countries, low income countries, countries in crisis, post-crisis, fragile and vulnerable situations, including small islands	3. The countries most in need, in particular the Least Developed Countries, low income countries, countries in crisis, post-crisis, fragile and vulnerable situations, including small islands developing	3. The countries most in need, in particular the Least Developed Countries, low-income countries, countries in crisis, post-crisis, fragile and vulnerable situations, including small islands	3. The countries most in need, in particular the Least Developed Countries, low income countries, countries in crisis, post-crisis, fragile and vulnerable situations, including small islands	<i>Provisionally closed on 20 February 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

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	developing states, shall be given priority in the resource allocation process.	states, shall be given priority in the resource allocation process.	developing states <i>and landlocked developing countries</i> , shall be given priority in the resource allocation process ⁵⁷ .	developing states <i>and landlocked developing countries</i> , shall be given priority in the resource allocation process.	
183. bis			<i>3bis In addition, the Union shall address the specific challenges of Middle Income Countries and in particular countries that graduate from lower income status.</i>	<i>3 bis In addition, the Union shall address the specific challenges of Middle Income Countries and in particular countries that graduate from lower income status.</i>	<i>Provisionally closed on 20 February 2020</i>
184.	4. Cooperation with industrialised countries shall focus on the promotion of Union and mutual interests.	4. Cooperation with industrialised countries shall focus on the promotion of Union and mutual interests, <i>as well as shared fundamental interests and values, commonly agreed objectives and multilateralism. Such cooperation shall be, where relevant, based</i>	4. Cooperation with industrialised countries shall focus on the promotion of Union and mutual interests.	4. Cooperation with industrialised countries shall focus on the promotion of Union and mutual interests <i>and values, as well as commonly agreed objectives and multilateralism.</i>	<i>Provisionally closed on 20 February 2020</i>

⁵⁷ ~~Rules for allocation to countries in the Neighbourhood are covered in article 16 and a derogation from this article 11 has been inserted in article 16.2.~~

Spending targets (except migration)

Migration spending target and related provisions

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		<i>on a dialogue between the Union, including the European Parliament, and the Member States, involving civil society.</i>			
185.	5. Programming documents for geographic programmes shall be results-based and shall take into account, where appropriate, internationally agreed targets and indicators, in particular those set out for the Sustainable Development Goals, as well as country-level result frameworks, to assess and communicate the Union contribution to results, at the level of outputs, outcomes and impact.	5. Programming documents for geographic programmes shall be results-based and shall take into account results oriented and include, wherever possible, clear targets and indicators to measure progress and impact of Union assistance. Indicators may be based, where appropriate, on internationally agreed targets and indicators, standards in particular those set out for the Sustainable Development Goals, as well as country-level result frameworks, to assess and communicate the Union contribution to results, at the level of	5. Programming documents for geographic programmes shall be results-based and shall take into account, where appropriate, internationally agreed targets and indicators, in particular those set out for the Sustainable Development Goals, as well as country-level result frameworks, to assess and communicate the Union contribution to results, at the level of outputs, outcomes and impact.		<i>Provisionally closed on 20 February 2020</i> Compromise moved after line 171 in article 10

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		outputs, outcomes and impact.			
186.	6. When drawing up the programming documents for countries and regions in crisis, or post-crisis, fragile and vulnerable situations, due account shall be taken of the special needs and circumstances of the countries or regions concerned.	6. When drawing up the programming documents for countries and regions in crisis, or post-crisis, fragile and vulnerable situations, due account shall be taken of the special needs and circumstances of the countries or regions concerned, as well as vulnerabilities, risks and capacities in order to increase resilience. Attention shall also be paid to conflict prevention, State and peace-building, post-conflict reconciliation and reconstruction, disaster preparedness as well as to the role of women and the rights of children in those processes. A human-rights based and people-centred approach shall be applied.	6. When drawing up the programming documents for countries and regions in crisis, or post-crisis, fragile and vulnerable situations, due account shall be taken of the special needs and circumstances of the countries or regions concerned.		<i>Provisionally closed on 20 February 2020</i> Text moved into line 168 bis

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187.	Where partner countries or regions are directly involved in, or affected by, a crisis, post-crisis or situation of fragility, special emphasis shall be placed on stepping up coordination amongst all relevant actors to help the transition from an emergency situation to the development phase.	Where partner countries or regions are directly involved in, or affected by, a crisis, post-crisis or situation of fragility, special emphasis shall be placed on stepping up coordination amongst all relevant actors to help <i>the prevention of violence and</i> the transition from an emergency situation to the development phase.	Where partner countries or regions are directly involved in, or affected by, a crisis, post-crisis or situation of fragility, special emphasis shall be placed on stepping up coordination amongst all relevant actors to help the transition from an emergency situation to the development phase.		<i>Provisionally closed on 20 February 2020</i> Text moved into line 168 ter
188.	7. This Regulation shall contribute to actions established under Regulation (EU) No. .../... (Erasmus). A single programming document shall be drawn up from this Regulation for seven years, including funds from Regulation (EU) No .../... (IPA III). Regulation (EU) No. .../... (Erasmus) shall apply to the use of these funds.	7. This Regulation shall contribute <i>from the programmes established under Article 4(2) of this Regulation</i> to actions established under the Erasmus Regulation. <i>An indicative amount of EUR 2 000 000 000 from the geographical programmes should be allocated to actions dedicated to mobility, cooperation and political dialogue with the authorities,</i>	7. This Regulation shall contribute to actions established under Regulation (EU) No. .../... (Erasmus). A single programming document shall be drawn up from this Regulation for seven years, including funds from Regulation (EU) No .../... (IPA III). Regulation (EU) No. .../... (Erasmus) shall apply to the use of these funds.	7. This Regulation shall contribute to actions established under Regulation (EU) No. .../... (Erasmus). {1} A single programming document shall be drawn up from this Regulation for seven years, including funds from Regulation (EU) No .../... (IPA III). Regulation (EU) No. .../... (Erasmus) shall apply to the use of these funds.	{1} <i>EP: An indicative amount of EUR 2 000 000 000 from the geographical programmes should be allocated to actions dedicated to mobility, cooperation and political dialogue with the authorities, institutions and organisations of the partner countries.</i> CL: no text

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		<p><i>institutions and organisations of the partner countries. A single programming document shall be drawn up from this Regulation for seven years, including funds from the IPA III Regulation. The Erasmus Regulation shall apply to the use of these funds, while ensuring conformity with the IPA III Regulation.</i></p>			
188. bis		<p><i>7a. This Regulation shall contribute to actions established under the Creative Europe Regulation. A single programming document shall be drawn up from this Regulation for seven years, including funds from the IPA III Regulation. The Creative Europe Regulation shall apply</i></p>			<p><i>Provisionally closed on 20 February 2020</i></p> <p><i>See recital 22 bisr</i></p>

Spending targets (except migration)

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		<i>to the use of these funds.</i>			
189.	Article 12 Programming documents for geographic programmes	Article 12 Programming documents for geographic programmes	Article 12 Programming documents for geographic programmes	Article 12 Programming documents for geographic programmes	<i>Provisionally closed</i>
189. bis		<i>-1. The Commission is empowered to adopt delegated acts in accordance with Article 34 in order to supplement non-essential elements of this Regulation by establishing frameworks for each specific country and multi-country multiannual programme. Those framework provisions shall:</i>		{1}	{1} <i>EP:-1. The Commission is empowered to adopt delegated acts in accordance with Article 34 in order to supplement non-essential elements of this Regulation by establishing frameworks for each specific country and multi-country multiannual programme. Those framework provisions shall:</i> CL: no text
189. ter		<i>(a) specify the priority areas among the ones</i>		{1}	{1}

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		<i>defined in Articles 9a and 15b;</i>			EP: (a) <i>specify the priority areas among the ones defined in Articles 9a and 15b;</i> CL: no text
189. quater		<i>(b) lay down the specific detailed and measurable objectives of each programme;</i>		{1}	{1} EP: <i>(b) lay down the specific detailed and measurable objectives of each programme;</i> CL: no text
189. quin-ques		<i>(c) set expected results with measurable targets, and clear and specific performance indicators linked to the objectives;</i>		{1}	{1} EP: <i>(c) set expected results with measurable targets, and clear and specific performance indicators linked to the objectives;</i> CL: no text
189. sexies		<i>(d) set out the indicative financial allocation both overall and per priority area;</i>		{1}	{1} EP: <i>(d) set out the indicative financial allocation both overall and per priority area;</i>

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					CL: no text
189. septies		<i>(e) establish cooperation modalities, including contributions to the External Action Guarantee.</i>		{1}	{1} EP: <i>(e) establish cooperation modalities, including contributions to the External Action Guarantee.</i> CL: no text
190.	1. The implementation of this Regulation shall be carried out for geographic programmes through multiannual country and multi-country indicative programmes.	1. The implementation of this Regulation shall be carried out for geographic programmes through multiannual country and multi-country indicative programmes.	1. The implementation of this Regulation shall be carried out for geographic programmes through multiannual country and multi-country indicative programmes.	{1}	{1} EP: no text CL: 1. The implementation of this Regulation shall be carried out for geographic programmes through multiannual country and multi-country indicative programmes.
191.	2. Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected	2. Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected	2. Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives,	{1}	{1} EP: no text CL: 2. Multiannual indicative programmes

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	results, clear and specific performance indicators, and the indicative financial allocations, both overall and per priority area.	results, clear and specific performance indicators, and the indicative financial allocations, both overall and per priority area.	the expected results, clear and specific performance indicators, and the indicative financial allocations, both overall and per priority area <i>and, where applicable, the methods of implementation.</i>		shall set out the priority areas selected for Union financing, the specific objectives, the expected results, clear and specific performance indicators, and the indicative financial allocations, both overall and per priority area <i>and, where applicable, the methods of implementation.</i>
192.	3. The multiannual indicative programmes shall be built on:	3. The multiannual indicative programmes shall be built on:	3. The multiannual indicative programmes shall be built on:	3. The multiannual {1} programmes shall be built on:	{1} EP: no text CL: indicative
192. bis		<i>(-a) a report containing an analysis in accordance with Article 11(2) of the needs, capacities, commitments and performance of partner country or countries concerned and the potential impact of Union funding, as well as one or more of the following:</i>		{1}	{1} EP: <i>(-a) a report containing an analysis in accordance with Article 11(2) of the needs, capacities, commitments and performance of partner country or countries concerned and the potential impact of Union funding, as well</i>

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					<i>as one or more of the following:</i> CL: no text
193.	(a) a national or regional strategy in the form of a development plan or a similar document accepted by the Commission as a basis for the corresponding multiannual indicative programme, at the time of adoption of the latter document;	(a) a national or regional strategy in the form of a development plan or a similar document based on a meaningful consultation with the local population and civil society and accepted by the Commission as a basis for the corresponding multiannual indicative programme, at the time of adoption of the latter document;	(a) a national or regional strategy in the form of a development plan or a similar document accepted by the Commission as a basis for the corresponding multiannual indicative programme, at the time of adoption of the latter document;	(a) a national or regional strategy in the form of a development plan or a similar document accepted by the Commission as a basis for the corresponding multiannual {1} programme, at the time of adoption of the latter document;	<i>Provisionally closed pending alignment</i> {1} EP: no text CL: indicative
194.	(b) a framework document laying down the Union policy towards the concerned partner or partners, including a joint document between the Union and Member States;	(b) a framework document laying down the Union policy towards the concerned partner or partners, including a joint document between the Union and Member States;	(b) a framework document laying down the Union policy towards the concerned partner or partners, including a joint document between the Union and Member States;	(b) a {1} document laying down the Union policy towards the concerned partner or partners, including a joint document between the Union and Member States;	{1} EP: no text CL: framework
195.	(c) a joint document between the Union and	(c) a joint document between the Union and	(c) a joint document between the Union and	(c) a joint document between the Union and	<i>Provisionally closed</i>

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	the concerned partner or partners setting out common priorities.	the concerned partner or partners setting out common priorities.	the concerned partner or partners setting out common priorities <i>and mutual commitments</i> .	the concerned partner or partners setting out common priorities <i>and mutual commitments</i> .	
196.	4. To increase the impact of collective cooperation of the Union, where possible, a joint programming document shall replace the Union's and Member States programming documents. A joint programming document may replace the Union's multiannual indicative programme, provided it complies with Articles 10 and 11, contains the elements listed in paragraph 2 of this Article and sets out the division of labour between the Union and Member States.	4. To increase the impact of collective cooperation of the Union, where possible, a joint programming document shall replace the Union's and Member States programming documents. A joint programming document may replace the Union's multiannual indicative programme, provided it <i>is approved in an act adopted in accordance with Article 14 and</i> complies with Articles 10 and 11, contains the elements listed in paragraph 2 of this Article and sets out the division of labour between the Union and Member States.	4. To increase the impact of collective cooperation of the Union, where possible <i>and appropriate</i> , a joint programming document shall replace the Union's and Member States' programming documents. A joint programming document may replace the Union's multiannual indicative programme, provided it complies with Articles 10 and 11, contains the elements listed in paragraph 2 of this Article and sets out the division of labour between the Union and Member States.	4. To increase the impact of collective cooperation of the Union, where possible <i>and appropriate</i> , a joint programming document shall replace the Union's and Member States programming documents. A joint programming document may replace the Union's multiannual {1} programme, provided it <i>is approved in an act adopted in accordance with Article 14 and</i> complies with Articles 10 and 11, contains the elements listed in paragraph {2} of this Article and sets out the division of labour between the Union and Member States.	{1} EP: no text CL: indicative {2} EP: -1 CL: 2

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196. bis		<i>4a. Multiannual programmes may provide for an amount of funds, not exceeding 5 % of the total amount, that is not allocated to a priority area or partner country or group of countries. Those funds shall be committed in accordance with Article 21.</i>		{1}	{1} EP: <i>4a. Multiannual programmes may provide for an amount of funds, not exceeding 5 % of the total amount, that is not allocated to a priority area or partner country or group of countries. Those funds shall be committed in accordance with Article 21.</i> CL: no text
197.	Article 13 Programming documents for thematic programmes	Article 13 Programming documents for thematic programmes	Article 13 Programming documents for thematic programmes	Article 13 Programming documents for thematic programmes	<i>Provisionally closed</i>
197. bis		<i>-1. The Commission is empowered to adopt delegated acts in accordance with Article 34 in order to supplement non-essential elements of this Regulation by establishing frameworks</i>		{1}	{1} EP: <i>-1. The Commission is empowered to adopt delegated acts in accordance with Article 34 in order to supplement non-</i>

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		<i>for each specific thematic multiannual programme. Those framework provisions shall:</i>			<i>essential elements of this Regulation by establishing frameworks for each specific thematic multiannual programme. Those framework provisions shall:</i> CL: no text
197. ter		<i>(a) specify the priority areas among the ones defined in Article 9b;</i>		{1}	{1} EP: <i>(a) specify the priority areas among the ones defined in Article 9b;</i> CL: no text
197. quater		<i>(b) lay down the specific detailed and measurable objectives of each programme;</i>		{1}	{1} EP: <i>(b) lay down the specific detailed and measurable objectives of each programme;</i> CL: no text
197. quin-quies		<i>(c) set expected results with measurable targets, and clear and specific</i>		{1}	{1}

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		<i>performance indicators linked to the objectives;</i>			EP: <i>(c) set expected results with measurable targets, and clear and specific performance indicators linked to the objectives;</i> CL: no text
197. sexies		<i>(d) set out the indicative financial allocation both overall and per priority area;</i>		{1}	{1} EP: <i>(d) set out the indicative financial allocation both overall and per priority area;</i> CL: no text
197. septies		<i>(e) establish cooperation modalities.</i>		{1}	{1} EP: <i>(e) establish cooperation modalities.</i> CL: no text
198.	1. The implementation of this Regulation shall be carried out for thematic programmes through multiannual indicative programmes.	1. The implementation of this Regulation shall be carried out for thematic programmes through multiannual indicative programmes.	1. The implementation of this Regulation shall be carried out for thematic programmes through multiannual indicative programmes.	{1}	{1} EP: no text CL: 1. The implementation of this Regulation shall be carried out for thematic programmes through

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					multiannual indicative programmes.
199.	2. Multiannual indicative programmes for thematic programmes shall set out the Union's strategy, the priorities selected for financing by the Union, the specific objectives, the expected results, clear and specific performance indicators, and the international situation and the activities of the main partners for the theme concerned.	2. Multiannual indicative programmes for thematic programmes shall set out the Union's strategy, the priorities selected for financing by the Union, the specific objectives, the expected results, clear and specific performance indicators, and the international situation and the activities of the main partners for the theme concerned.	2. Multiannual indicative programmes for thematic programmes shall set out the Union's strategy, the priorities selected for financing by the Union, the specific objectives, the expected results, clear and specific performance indicators, <i>where possible disaggregated by sex and age</i> , and the international situation and the activities of the main partners for the theme concerned.	{1}	{1} EP: no text CL: 2. Multiannual indicative programmes for thematic programmes shall set out the Union's strategy, the priorities selected for financing by the Union, the specific objectives, the expected results, clear and specific performance indicators, <i>where possible disaggregated by gender and age</i> , and the international situation and the activities of the main partners for the theme concerned.
200.	Where applicable, resources and intervention priorities shall be laid down for participation in global initiatives.	Where applicable, resources and intervention priorities shall be laid down for participation in global initiatives.	Where applicable, resources and intervention priorities shall be laid down for participation in global initiatives.	Where applicable, resources and intervention priorities shall be laid down for participation in global initiatives.	<i>Provisionally closed</i>

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201.	Multiannual indicative programmes for thematic programmes shall set out the indicative financial allocation, overall, by area of cooperation and by priority. The indicative financial allocation may be given in the form of a range.	Multiannual indicative programmes for thematic programmes shall set out the indicative financial allocation, overall, by area of cooperation and by priority. The indicative financial allocation may be given in the form of a range.	Multiannual indicative programmes for thematic programmes shall set out the indicative financial allocation, overall, by area of cooperation and by priority. The indicative financial allocation may be given in the form of a range.	{1}	{1} EP: no text CL: Multiannual indicative programmes for thematic programmes shall set out the indicative financial allocation, overall, by area of cooperation and by priority. The indicative financial allocation may be given in the form of a range.
201. bis		<i>The framework provisions referred to in Articles 12 and 13 shall be built on a report containing an analysis of the international situation and of the activities of the main partners for the theme concerned and indicating the results expected from the programme.</i>		{1}	{1} EP: <i>The framework provisions referred to in Articles 12 and 13 shall be built on a report containing an analysis of the international situation and of the activities of the main partners for the theme concerned and indicating the results expected from the programme.</i>

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					CL: no text
201. ter		<i>2a. Multiannual programmes may provide for an amount of funds, not exceeding 5 % of the total amount, that is not allocated to a priority area or partner country or group of countries. Those funds shall be committed in accordance with Article 21.</i>		{1}	{1} EP: <i>2a. Multiannual programmes may provide for an amount of funds, not exceeding 5 % of the total amount, that is not allocated to a priority area or partner country or group of countries. Those funds shall be committed in accordance with Article 21.</i> CL: no text
202.	Article 14 Adoption and amendment of multiannual indicative programmes	Article 14 Adoption and amendment of multiannual indicative programmes	Article 14 Adoption and amendment of multiannual indicative programmes	Article 14 Adoption and amendment of multiannual {1} programmes	{1} EP: no text CL: indicative
203.	1. The Commission shall adopt multiannual indicative programmes referred to in Articles 12 and 13 by means of implementing acts. Those implementing acts shall be adopted in	1. The Commission shall <i>adopt is empowered to adopt delegated acts in accordance with Article 34 in order to supplement non-essential elements of this Regulation by</i>	1. The Commission shall adopt multiannual indicative programmes referred to in Articles 12 and 13 by means of implementing acts. Those implementing acts shall be adopted in	1. The Commission shall adopt multiannual indicative programmes referred to in Articles 12 and 13 by means of implementing acts. Those implementing acts shall be adopted in	EP and CL maintain their mandates

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	accordance with the examination procedure referred to in Article 35(2). This procedure shall also apply to reviews referred to in paragraphs 3, 4 and 5 of this Article, which have the effect of significantly modifying the content of the multiannual indicative programme.	indicative establishing frameworks for multiannual programmes referred to in Articles 12 and 13 by means of implementing delegated acts. Those implementing delegated acts shall be adopted in accordance with the examination procedure referred to in Article 35(2) 34 . This procedure shall also apply to reviews referred to in paragraphs 3, 4 and 5 of this Article, which have the effect of significantly modifying the content of the multiannual indicative programme.	accordance with the examination procedure referred to in Article 35(2). This procedure shall also apply to reviews referred to in paragraphs 3, 4 and 5 of this Article, which have the effect of significantly modifying the content of the multiannual indicative programme.	accordance with the examination procedure referred to in Article 35(2). This procedure shall also apply to reviews referred to in paragraphs 3, 4 and 5 of this Article, which have the effect of significantly modifying the content of the multiannual indicative programme.	
204.	2. When adopting joint multi-annual programming documents referred to in Article 12, the Commission decision shall only apply to the Union's contribution to the joint multiannual	2. When adopting joint multi-annual programming documents referred to in Article 12, the Commission decision delegated act shall only apply to the Union's contribution to the joint	2. When adopting joint multi-annual multiannual programming documents referred to in Article 12, the Commission decision shall only apply to the Union's contribution to	2. When adopting joint multi-annual multiannual programming documents referred to in Article 12, the {1} shall only apply to the Union's contribution to the joint multiannual	{1} EP: Commission decision delegated act CL: Commission decision

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	programming document.	multiannual programming document.	the joint multiannual programming document.	programming document.	
205.	3. Multiannual indicative programmes for geographic programmes may be reviewed where necessary for effective implementation, in particular where there are substantive changes in the policy framework referred to in Article 7 or following a crisis or post-crisis situation.	3. Multiannual indicative programmes for and thematic programmes may be reviewed where necessary for effective implementation, in particular where there are substantive changes in the policy framework shall expire on 30 June 2025 at the latest. The Commission shall adopt new multiannual programmes by 30 June 2025, based on the results, findings and conclusions of the mid-term evaluation referred to in Article 7 or following a crisis or post-crisis situation 32.	3. Multiannual indicative programmes for geographic programmes may be reviewed where necessary for effective implementation, in particular where there are substantive changes in the policy framework referred to in Article 7 or following reviews referred to in Article 10 or following a crisis or post-crisis situation. Programming documents may be reviewed at their mid-term or on an ad-hoc basis, as necessary.	3. Multiannual {1} programmes for geographic programmes may be reviewed {2} for effective {3}, in particular where there are substantive changes in the policy framework referred to in Article 7 or following a crisis or post-crisis situation. {4}	{1} EP: no text CL: indicative {2} EP: where necessary CL: at their mid-term or on an ad-hoc basis, as necessary {3} EP: implementation application CL: implementation {4} EP: Multiannual programmes shall expire on 30 June 2025 at the latest. The Commission shall adopt new multiannual programmes by 30 June 2025, based on the results, findings

					<p><i>and conclusions of the mid-term evaluation</i> CL: no text</p> <p>CL text on mid-term review from 171 bis.</p>
206.	<p>4. Multiannual indicative programmes for thematic programmes may be reviewed where necessary for effective implementation, in particular where there are substantive changes in the policy framework referred to in Article 7.</p>	<p>4. Multiannual indicative programmes for thematic programmes may be reviewed where necessary for effective implementation modified application, in particular where there are substantive changes in the policy framework referred to in Article 7. Multiannual programmes shall be modified in cases where the mobilisation of the emerging challenges and priorities cushion requires a change of the framework provisions of the relevant programme.</p>	<p>4. Multiannual indicative programmes for thematic programmes may be reviewed where necessary for effective implementation, in particular where there are substantive changes in the policy framework referred to in Article 7: or following reviews referred to in Article 10. Programming documents may be reviewed at their mid-term or on an ad-hoc basis, as necessary.</p>	<p>4. Multiannual {1} programmes for thematic programmes may be reviewed {2} necessary for effective {3}, in particular where there are substantive changes in the policy framework referred to in Article 7. {4}</p>	<p>{1} EP: no text CL: indicative</p> <p>{2} EP: where CL: at their mid-term or on an ad-hoc basis, as</p> <p>{3} EP: implementation application CL: implementation</p> <p>{4} EP: Multiannual programmes shall be modified in cases where the mobilisation of the emerging</p>

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					<p><i>challenges and priorities cushion requires a change of the framework provisions of the relevant programme.</i> CL: no text</p> <p>CL text on mid-term review from 171 bis.</p>
207.	<p>5. On duly justified imperative grounds of urgency, such as crises or immediate threats to democracy, the rule of law, human rights or fundamental freedoms, the Commission may amend multiannual indicative programmes referred to in Articles 12 and 13 of this Regulation by implementing acts adopted in accordance with the urgency procedure referred to in Article 35(4).</p>	<p>5. On duly justified imperative grounds of urgency, such as crises or immediate threats to democracy, the rule of law, human rights or fundamental freedoms, the Commission may amend multiannual indicative programmes referred to in Articles 12 and 13 of this Regulation by implementing delegated acts adopted in accordance with the urgency procedure referred to in Article 35(4) 34a.</p>	<p>5. On duly justified imperative grounds of urgency, such as crises or immediate threats to peace, democracy, the rule of law, human rights or fundamental freedoms, the Commission may amend multiannual indicative programmes referred to in Articles 12 and 13 of this Regulation by implementing acts adopted in accordance with the urgency procedure referred to in Article 35(4).</p>	<p>5. On duly justified imperative grounds of urgency, such as crises or immediate threats to peace, democracy, the rule of law, human rights or fundamental freedoms, the Commission may amend multiannual {1} programmes referred to in Articles 12 and 13 of this Regulation by {2} acts adopted in accordance with the urgency procedure referred to in Article {3}.</p>	<p>{1} EP: no text CL: indicative</p> <p>{2} EP: implementing delegated CL: implementing</p> <p>{3} EP: 35(4) 34a CL: 35(4)</p>

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208.	Article 15 Emerging challenges and priorities cushion	Article 15 Emerging challenges and priorities cushion	Article 15 Emerging challenges and priorities cushion	Article 15 Emerging challenges and priorities cushion	<i>Provisionally closed on 5 December 2019</i>
209.	1. The amount referred to in Article 6(3) shall be used <i>inter alia</i> :	1. The amount referred to in Article 6(3) shall be used inter alia <i>in duly justified cases, with priority given to the countries most in need, and in full complementarity and consistency with acts adopted under this Regulation:</i>	1. The amount referred to in Article 6(3)(d) shall be used <i>where most needed inter alia:</i>	1. The amount referred to in Article 6(3) shall be used <i>where most needed and duly justified, inter alia:</i>	<i>Provisionally closed on 5 December 2019</i>
210.	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances;	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances <i>needs not covered by programmes and programming documents;</i>	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances;	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances;	<i>Provisionally closed on 5 December 2019</i>
211.	(b) to address new needs or emerging challenges, such as those at the Union's or its neighbours' borders linked to crisis and post-crisis situations or migratory pressure;	(b) to address new needs or emerging challenges, such as those at the Union's or its neighbours' borders <i>or those in third countries</i> linked to crisis, <i>either natural or man-made,</i>	(b) to address new needs, or emerging challenges, such as those at the Union's or its neighbours' borders linked to crisis, <i>violent conflict</i> and post-crisis situations or <i>irregular</i>	(b) to address new needs or emerging challenges, such as those at the Union's or its neighbours' borders linked to crisis, <i>either natural or man-made, violent conflict</i> and	{1} EP: <i>migration</i> CL: <i>irregular</i> migratory pressure

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		and post-crisis situations or migratory pressure <i>to migration phenomena, in particular forced displacement;</i>	migratory pressure <i>and forced displacement;</i>	post-crisis situations or <i>{1} and forced displacement;</i>	
212.	(c) to promote new Union led or international initiatives or priorities.	(c) to promote <i>or respond to</i> new Union led or international initiatives or priorities.	(c) to promote new Union led or international initiatives or priorities.	(c) to promote new Union led or international initiatives or priorities.	<i>Provisionally closed</i>
213.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	<i>Provisionally closed on 5 December 2019, pending discussions on governance.</i>
213. bis		<i>Article 15a Suspension of assistance</i>			EP and CL maintain their positions
213. ter		<i>1. Without prejudice to the provisions on the suspension of aid in agreements with partner countries and regions, where a partner country persistently fails to observe the principles of democracy, the rule of law, good governance, respect for human rights and fundamental freedoms, or nuclear</i>			EP and CL maintain their positions Latest EP proposal: <i>Observance of the principles of democracy, the rule of law, good governance, respect for human rights and fundamental freedoms, or internationally recognised nuclear</i>

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		<p><i>safety standards, the Commission shall be empowered, in accordance with Article 34, to adopt delegated acts amending Annex VII-a, by adding a partner country to the list of partner countries for which Union assistance is suspended or partly suspended. In the case of a partial suspension, the programmes for which the suspension applies shall be indicated.</i></p>			<p><i>safety standards, shall be a condition for continued payment of the Union assistance under this Regulation. Where a partner country persistently fails to observe the principles the Commission shall be empowered, in accordance with Article 34, to adopt delegated acts amending Annex VII-a, by adding a partner country to the list of partner countries for which Union assistance is suspended or partly suspended. In the case of a partial suspension, the programmes for which the suspension applies shall be indicated.</i></p>
213. quater		<p><i>2. Where the Commission finds that the reasons justifying the suspension of assistance no longer</i></p>			<p>EP and CL maintain their positions</p>

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		<i>apply, it shall be empowered to adopt delegated acts, in accordance with Article 34 to amend Annex VII-a in order to reinstate Union assistance.</i>			
213. quin-quies		<i>3. In cases of partial suspension, Union assistance shall primarily be used to support civil society organisations and non-state actors for measures aimed at promoting human rights and fundamental freedoms and supporting democratisation and dialogue processes in partner countries.</i>			EP and CL maintain their positions
213. sexies		<i>4. The Commission shall take due account of relevant European Parliament resolutions in its decision-making.</i>			EP and CL maintain their positions
214.	CHAPTER II – Specific Provisions for the Neighbourhood	Chapter II Specific provisions for the Neighbourhood	Chapter II Specific Provisions for the Neighbourhood	CHAPTER II – Specific Provisions for the Neighbourhood	<i>Provisionally closed</i>

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214. bis		Article 15b Specific objectives for the neighbourhood area			<i>Placing to be discussed with governance issues; Content of lines 214bis to 214. quindecies discussed with Annex IIB Neighbourhood</i>
214. ter		1. In accordance with Articles 3 and 4, Union support under this Regulation in the Neighbourhood area shall have as objectives:			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis
214. quater		(a) enhancing political cooperation and ownership of the European Neighbourhood Policy by the Union and its partner countries;			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis
214. quin-ques		(b) supporting the implementation of association agreements, or other existing and future agreements, and jointly agreed association agendas and partnership priorities or equivalent documents;			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis
214. sexies		(c) strengthening and consolidating			Text provisionally closed in annex IIB,

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		<i>democracy, state-building, good governance, rule of law and human rights as well as promoting a more effective way of implementing reforms agreed in mutual formats;</i>			placement to be aligned after agreement on 214. bis
214. septies		<i>(d) stabilising the neighbourhood in political, economic and security terms;</i>			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis
214. octies		<i>(e) enhancing regional cooperation, in particular in the framework of the Eastern Partnership, the Union for the Mediterranean, and European Neighbourhood-wide collaboration as well as cross-border cooperation;</i>			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis
214. nonies		<i>(f) promoting confidence-building, good neighbourly relations and other</i>			Text provisionally closed in annex IIB, placement to be aligned

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		<i>measures contributing to security in all its forms and the prevention and settlement of conflicts, including protracted conflicts, support to affected populations and reconstruction, and respect for multilateralism and international law;</i>			after agreement on 214. bis
214. decies		<i>(g) promoting a strengthened partnership with societies between the Union and the partner countries, including through enhanced mobility and people-to-people contacts, in particular in relation to cultural, educational, professional and sporting activities;</i>			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis
214. unde-cies		<i>(h) intensifying cooperation on both regular and irregular migration;</i>			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis

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214. duo-decies		<i>(i) achieving progressive integration into the Union internal market and enhanced sectoral and cross-sectoral cooperation, including through legislative approximation and regulatory convergence towards Union and other relevant international standards, and improved market access including through deep and comprehensive free trade areas, related institution building and investment;</i>			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis
214. ter-decies		<i>(j) supporting sustainable, inclusive and socially beneficial economic and social development for all by promoting job creation and employability, in particular for young people;</i>			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis
214. quater-decies		<i>(k) contributing to the implementation of the</i>			Text provisionally closed in annex IIB,

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		<i>Paris Agreement by strengthening cooperation on energy security and promoting renewable energy, sustainable energy and energy efficiency objectives;</i>			placement to be aligned after agreement on 214. bis
214. quin-decies		<i>(l) encouraging the establishment of thematic frameworks with the neighbouring countries of neighbourhood partner countries to address common challenges such as migration, energy, security and health.</i>			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis
215.	Article 16 Programming documents and allocation criteria	Article 16 Programming documents and allocation criteria	Article 16 Programming documents and allocation criteria	Article 16 Programming documents and allocation criteria	<i>Provisionally closed</i>
216.	1. For partner countries listed in Annex I, priority areas for Union financing shall be mainly selected from those included in documents referred to in	1. For partner countries listed in Annex I, priority areas for Union financing shall be mainly selected from those included in documents referred to in Article	1. For partner countries listed in Annex I, priority areas for Union financing shall be mainly selected from those included in <i>the association agendas,</i>	1. For partner countries listed in Annex I, priority areas for Union financing shall be mainly selected from those included in <i>the association,</i>	{1} EP: <i>and the specific objectives set out in Article 15 b.</i>

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	<p>Article 12(3)(c), in accordance with the areas of cooperation of the Neighbourhood area set out in Annex II.</p>	<p>12(3)(c), in accordance with the areas of cooperation of the Neighbourhood area set out in Annex II.</p>	<p><i>partnership priorities or other equivalent jointly agreed</i> documents as referred to in Article 12(3)(c), <i>between the Union and the partner countries in bilateral and multilateral formats, including, as relevant, within the Eastern Partnership and the southern dimension of the European Neighbourhood Policy</i>, in accordance with the areas of cooperation of the Neighbourhood area set out in Annex II.</p>	<p><i>partnership and cooperation agreements, jointly agreed association agendas and partnership priorities or other relevant, existing and future, jointly agreed</i></p> <p>documents referred to in Article 12(3)(c), <i>between the Union and the partner countries in bilateral and multilateral formats, including, as relevant, within the Eastern Partnership and the southern dimension of the European Neighbourhood Policy</i>, in accordance with the areas of cooperation of the Neighbourhood area set out in Annex II {1}.</p>	<p>CL: , <i>in particular Annex II B.</i></p>
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Spending targets (except migration)

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217.	2. By way of derogation from Article 11(2), Union support under geographic programmes in the Neighbourhood area shall be differentiated in form and amounts, taking into account the following elements, reflecting the partner country's:	2. By way of derogation from Article 11(2), Union support under geographic programmes in the Neighbourhood area shall be differentiated in form and amounts, taking into account the following elements, reflecting the partner country's:	2. By way of derogation from Article 11(2) and II(3) , Union support under geographic programmes in the Neighbourhood area shall be differentiated in form and amounts, taking into account the following elements, reflecting the partner country's:	2. By way of derogation from Article 11(2) and II(3) , Union support under geographic programmes in the Neighbourhood area shall be differentiated in form and amounts, taking into account the following elements, reflecting the partner country's:	<i>Provisionally closed</i>
218.	(a) needs, using indicators such as population and level of development;	(a) needs, using indicators such as population and level of development;	(a) needs, using indicators such as population, inequalities and level of development;	(a) needs, using indicators such as population, inequalities and level of development;	<i>Provisionally closed</i>
219.	(b) commitment to and progress in implementing jointly agreed political, economic and social reform objectives;	(b) commitment to and progress in implementing jointly agreed political, economic, environmental and social reform objectives;	(b) commitment to and concrete progress in implementing jointly agreed political, economic and , social and environmental benchmarks ;	(b) commitment to and progress in implementing jointly agreed political, economic, environmental and social reform objectives;	<i>Provisionally closed</i>
220.	(c) commitment to and progress in building deep and sustainable democracy;	(c) commitment to and progress in building deep and sustainable democracy, including	(c) commitment to and progress in building deep and sustainable	(c) commitment to and progress in building deep and sustainable democracy, rule of law ,	<i>Provisionally closed</i>

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		<i>the promotion of human rights, good governance, the upholding of the rule of law and the fight against corruption;</i>	democracy, <i>rule of law and human rights;</i>	<i>good governance, human rights, and the fight against corruption;</i>	
220. bis		<i>(ca) commitment to multilateralism;</i>			<i>Provisionally closed</i>
221.	(d) partnership with the Union, including the level of ambition for that partnership;	(d) partnership with the Union, including the level of ambition for that partnership;	(d) partnership with the Union, including the level of ambition for that partnership;	(d) partnership with the Union, including the level of ambition for that partnership;	<i>Provisionally closed</i>
222.	(e) absorption capacity and potential impact of Union support under this Regulation.	(e) absorption capacity and potential impact of Union support under this Regulation.	(e) absorption capacity and potential impact of Union support under this Regulation.	(e) absorption capacity and potential impact of Union support under this Regulation.	<i>Provisionally closed</i>
223.	3. The support referred to in paragraph 2 shall be reflected in the programming documents referred to in Article 12.	3. The support referred to in paragraph 2 shall be reflected in the programming documents referred to in Article 12.	3. The support referred to in paragraph 2 shall be reflected in the programming documents referred to in Article 12.	3. The support referred to in paragraph 2 shall be reflected in the programming documents referred to in Article 12.	<i>Provisionally closed</i>
223. bis		<i>3a. Union support to partner countries listed in Annex I shall be applied in compliance with the co-financing principle set out in Article 190 of the Financial Regulation.</i>			<i>Provisionally closed</i>

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224.	Article 17 Performance-based approach	Article 17 Performance-based approach	Article 17 Performance Incentive-based approach	Article 17 {1} -based approach	{1} EP: Performance CL: <i>Incentive</i>
225.	1. Indicatively 10 % of the financial envelope set out in Article 4(2)(a) to supplement the country financial allocations referred to in Article 12 shall be allocated to partner countries listed in Annex I in order to implement the performance-based approach. The performance-based allocations shall be decided on the basis of their progress towards democracy, human rights, rule of law, cooperation on migration, economic governance and reforms. The progress	1. Indicatively At least 10 % of the financial envelope set out in Article 4(2)(a) 6(2)(a) first indent , to supplement the country financial allocations referred to in Article 12 shall be allocated to partner countries listed in Annex I in order to implement apply the performance-based approach. The performance-based allocations shall be decided on the basis of their progress towards democracy, human rights, rule of law, good governance , cooperation on safe, orderly and regular migration,	1. Indicatively 10 % of the financial envelope set out in the first indent of Article 46(2)(a) to supplement the country financial allocations referred to in Article 12 shall be allocated to partner countries listed in Annex I in order to implement the performance-based performance-incentive-based and differentiated approach. The performance-based Such allocations shall be decided on the basis of their progress towards building or consolidating deep and sustainable	1. Indicatively 10 % of the financial envelope set out in the first indent of Article 46(2)(a) to supplement the country financial allocations referred to in Article 12 shall be allocated to partner countries listed in Annex I as an incentive towards reforms in order to implement the performance-based approach. Such allocations shall be decided on the basis of their performance and progress towards democracy, good governance and rule of law including	<i>Provisionally closed</i>

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	of partner countries shall be assessed annually.	economic governance and implementing agreed reforms. The progress of partner countries shall be assessed annually with the active involvement of civil society, in particular by means of country progress reports which include trends as compared to previous years.	democracy, human rights, rule of law, cooperation with civil society, gender equality , cooperation on migration, economic governance and reforms. jointly agreed, country-specific political, economic and social reform objectives. The progress of partner countries shall be assessed annually.	cooperation with civil society , human rights including gender equality , cooperation on migration, economic governance and reforms, in particular those reforms jointly agreed. The progress of partner countries shall be regularly assessed, in particular by means of progress reports which include trends as compared to previous years.	
225. bis		1a. The application of the performance-based approach under this Regulation shall be the subject of a regular exchange of views in the European Parliament and in the Council.			<i>Provisionally closed</i> See line 149
226.	2. The performance-based approach shall not apply to support to civil society, people-to-people contacts, including cooperation	2. The performance-based approach shall not apply to support to civil society, people-to-people contacts, including cooperation between	2. The performance incentive -based approach shall not apply to support to civil society, conflict prevention and	2. The {1} -based approach shall not apply to support to civil society, conflict prevention and peacebuilding , people-	<i>Provisionally closed pending alignment on performance/incentive</i> {1}

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	<p>between local authorities, support for the improvement of human rights, or crisis-related support measures. In the event of serious or persistent degradation of democracy, human rights or rule of law, support to these actions may be increased.</p>	<p>local authorities, support for the improvement of human rights, or crisis-related support measures. In the event of serious or persistent degradation of democracy, human rights or rule of law, support to these actions may shall be increased, where appropriate.</p>	<p>peacebuilding, people-to-people contacts, including cooperation between local authorities, support for the improvement of human rights, or crisis-related support measures. In the event of serious or persistent degradation of democracy, human rights or rule of law, or an increased risk of conflict, support to these actions may be increased.</p>	<p>to-people contacts, including cooperation between local authorities, support for the improvement of human rights, or crisis-related support measures. In the event of serious or persistent degradation of democracy, human rights or rule of law, or an increased risk of conflict, support to these actions may shall be increased, where possible and appropriate.</p>	<p>EP: performance CL: performance incentive</p>
<p>226. bis</p>		<p>2a. The Commission and EEAS shall review the performance-based support in the event of serious or persistent degradation of democracy, human rights or rule of law.</p>		<p>{1}</p>	<p>{1}</p> <p>EP: The Commission and EEAS shall review the performance-based support in the event of serious or persistent degradation of democracy, human rights or rule of law.</p> <p>CL: No text</p>

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226. ter		<i>2b. The Commission shall adopt a delegated act in accordance with Article 34 to supplement this Regulation establishing the methodological framework of the performance-based approach.</i>			EP and CL maintain their mandates
226. quater			<i>Article 17 bis Multi-country programmes</i>	{1}	{1} EP: No text. CL: <i>Article 17 bis Multi-country programmes</i>
226. quin-quies			<i>Multi-country programmes in the Neighbourhood area shall address challenges common to all or a number of partner countries, based on priorities of the Eastern Partnership and the southern dimension of the reviewed European Neighbourhood Policy</i>	{1}	{1} EP: No text. CL: <i>Multi-country programmes in the Neighbourhood area shall address challenges common to all or a number of partner countries, based on priorities of the Eastern Partnership and the southern dimension of</i>

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			<i>and taking into account the work carried out in the context of the Union for the Mediterranean, and regional, trans-regional and sub-regional cooperation, primarily between two or more partner countries, including also within the framework of the Northern Dimension and Black Sea Synergy.</i>		<i>the reviewed European Neighbourhood Policy and taking into account the work carried out in the context of the Union for the Mediterranean, and regional, trans-regional and sub-regional cooperation, primarily between two or more partner countries, including also within the framework of the Northern Dimension and Black Sea Synergy.</i>
227.	Article 18 Cross-Border Cooperation	Article 18 Cross-Border Cooperation	Article 18 Cross-Border Cooperation	Article 18 Cross-Border Cooperation	<i>Provisionally closed</i>
228.	1. Cross-border cooperation, as defined in Article 2(3), shall cover cooperation on adjacent land borders, transnational cooperation over larger transnational territories, maritime cooperation around sea-basins, as	1. Cross-border cooperation, as defined in Article 2(3), shall cover cooperation on adjacent land and maritime borders, transnational cooperation over larger transnational territories, maritime cooperation around sea-	1. Cross-border cooperation, as defined in Article 2(3), shall cover cooperation on adjacent land and maritime borders, transnational cooperation over larger transnational territories, maritime	1. Cross-border cooperation, as defined in Article 2(3), shall cover cooperation on adjacent land and maritime borders, transnational cooperation over larger transnational territories, maritime cooperation or	<i>Provisionally closed</i>

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	well as interregional cooperation.	basins, as well as interregional cooperation. <i>Cross-border cooperation shall aim to be coherent with the objectives of existing and future macro-regional strategies and regional integration processes.</i>	cooperation or around sea-basins, as well as interregional cooperation.	around sea-basins, as well as interregional cooperation.	
229.	2. The Neighbourhood area shall contribute to cross-border cooperation programmes referred to in paragraph 1 co-financed by the European Regional Development Fund in the framework of [ETC Regulation ⁵⁸]. Up to	2. The Neighbourhood area shall contribute to cross-border cooperation programmes referred to in paragraph 1 co-financed by the European Regional Development Fund in the framework of Regulation (EU) .../... of the European Parliament	2. The Neighbourhood area shall contribute to cross-border cooperation programmes referred to in paragraph 1 co-financed by the European Regional Development Fund in the framework of [ETC Regulation ⁵⁸]. Up to	2. The Neighbourhood area shall contribute to cross-border cooperation programmes referred to in paragraph 1 co-financed by the European Regional Development Fund in the framework of [ETC Regulation ⁵⁹]. {1} % of	<p>Debate related to budget - Article 6.</p> <p>{1}</p> <p>EP: Up to 4 %.</p> <p>CL: Subject to final envelope.</p>

⁵⁸ COM(2018) 374 final Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments.
EP position: COM(2018)0374 Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments.

⁵⁹ COM(2018) 374 final Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments.

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	4 % of the financial envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.	and of the Council ⁵⁸ ('ETC Regulation'). Up to 4 % of the financial envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.	4 % of the financial envelope for the Neighbourhood area envelope shall be indicatively allocated to support those programmes.	the financial envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.	
230.	3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of [ETC Regulation].	3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of the ETC Regulation.	3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of [ETC Regulation].	3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of [ETC Regulation].	<i>Provisionally closed</i>
231.	4. The Union co-financing rate shall not be higher than 90 % of the eligible expenditure of a cross-border cooperation programme. For technical assistance the co-financing rate shall be 100 %.	4. The Union co-financing rate shall not be higher than 90 % of the eligible expenditure of a cross-border cooperation programme. For technical assistance the co-financing rate shall be 100 %.	4. The Union co-financing rate shall not be higher than 90 % of the eligible expenditure of a cross-border cooperation programme. For technical assistance the Union co-financing	4. The Union co-financing rate shall not be higher than 90 % of the eligible expenditure of a cross-border cooperation programme.	<i>Provisionally closed</i>

EP position: COM(2018)0374 Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments.

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			rate shall may be <i>up to</i> 100 %.		
232.	5. Pre-financing for cross-border cooperation programmes shall be determined in the work programme in accordance with needs of the participating third countries and territories and may exceed the percentage referred to in Article 49 of [ETC Regulation].	5. Pre-financing for cross-border cooperation programmes shall be determined in the work programme in accordance with needs of the participating third countries and territories and may exceed the percentage referred to in Article 49 of the ETC Regulation.	5. Pre-financing for cross-border cooperation programmes <i>shall be in accordance with Article 115 of the Financial Regulation</i> shall be determined in the work programme in accordance with needs of the participating third countries and territories and may exceed the percentage referred to in Article 49 of [ETC Regulation]. <i>Each financial year, the pre-financing rate may be up to 80% of annual commitments to the programme.</i>	5. Pre-financing for cross-border cooperation programmes shall be determined in the work programme in accordance with needs of the participating third countries and territories and may exceed the percentage referred to in Article 49 of [ETC Regulation]. <i>At the request of the managing authority, each financial year, the pre-financing rate may be up to 80% of annual commitments to the programme.</i>	<i>Provisionally closed</i>
233.	6. A multiannual indicative strategy document for cross border cooperation, setting out the elements referred to in Article	6. A multiannual indicative strategy document for cross border cooperation, setting out the elements referred to in Article	6. A multiannual indicative strategy document for cross border cooperation, setting out the elements referred to in Article	6. A multiannual indicative strategy document for cross border cooperation, setting out the elements referred to in Article	<i>Provisionally closed</i>

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	12(2) of this Regulation, shall be adopted in accordance with Article 10(1) of [ETC Regulation].	12(2) of this Regulation, shall be adopted in accordance with Article 10(1) of the ETC Regulation.	12(2) of this Regulation, shall be adopted in accordance with Article 10(1) of [ETC Regulation].	12(2) of this Regulation, shall be adopted in accordance with Article 10(1) of [ETC Regulation].	
234.	7. Where cross-border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from the Neighbourhood area to the discontinued programme that remains available may be used to finance any other activity under the Neighbourhood area.	7. Where cross-border cooperation programmes are discontinued in accordance with Article 12 of the ETC Regulation, support from the Neighbourhood area to the discontinued programme that remains available may be used to finance any other activity under the Neighbourhood area.	7. Where cross-border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from the Neighbourhood area envelope to the discontinued programme that remains available may primarily be used to finance other cross-border cooperation programmes or any other activity under the Neighbourhood area envelope as appropriate.	7. Where cross-border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from the Neighbourhood area envelope to the discontinued programme that remains available may primarily be used to finance other cross-border cooperation programmes or any other activity under the Neighbourhood area envelope as appropriate.	<i>Provisionally closed</i>
235.	Chapter III	Chapter III Action plans, measures	Chapter III Action plans, measures		EP and CL maintain their mandates

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	Action plans, measures and implementing methods	and implementing methods Execution	and implementing methods		
236.	Article 19 Action plans and measures	Article 19 Action plans and measures	Article 19 Action plans and measures	Article 19 Action plans and measures	<i>Provisionally closed</i>
237.	1. The Commission shall adopt annual or multiannual action plans or measures. The measures may take the form of individual measures, special measures, support measures or exceptional assistance measures. Action plans and measures shall specify for each action the objectives pursued, the expected results and main activities, the methods of implementation, the budget and any associated support expenditures.	1. The Commission shall adopt annual or multiannual action plans or measures. The measures may take the form of individual measures, special measures, support measures or exceptional assistance measures. Action plans and measures shall specify for each action the objectives pursued, the expected results and main activities, the methods of implementation application , the budget and any associated support expenditures.	1. The Commission shall adopt annual or multiannual action plans or measures. The measures may take the form of individual measures, special measures, support measures or exceptional assistance measures. Action plans and measures shall take into account the specific context and shall specify for each action the objectives pursued, the expected results and main activities, the methods of implementation, monitoring and evaluation as well as the budget and any	1. The Commission shall adopt annual or multiannual action plans or measures. The measures may take the form of individual measures, special measures, support measures or exceptional assistance measures. Action plans and measures shall take into account the specific context and shall specify for each action the objectives pursued, the expected results and main activities, the methods of 1 , monitoring and evaluation as well as the budget and any	<i>Provisionally closed pending alignment</i> {1} EP: implementation application CL: implementation

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			associated support expenditures.	associated support expenditures.	
238.	2. Action plans shall be based on programming documents, except for cases referred to in paragraphs 3 and 4.	2. Action plans shall be based on programming documents, except for cases referred to in paragraphs 3 and 4.	2. Action plans shall be based on programming documents, except for cases referred to in paragraphs 3 and 4. Action plans shall be prepared in an inclusive, transparent and timely manner. Whenever appropriate, action plans shall be discussed jointly with Member States in the context of working better together.	2. Action plans shall be based on programming documents, except for cases referred to in paragraphs 3 and 4. Action plans shall be prepared in an inclusive, transparent and timely manner. Whenever appropriate, action plans shall be discussed jointly with Member States in the context of working better together.	<i>Provisionally closed</i>
239.	When necessary, an action may be adopted as an individual measure before or after the adoption of action plans. Individual measures shall be based on programming documents, except for cases referred to in paragraph 3 and in other duly justified cases.	When necessary, an action may be adopted as an individual measure before or after the adoption of action plans. Individual measures shall be based on programming documents, except for cases referred to in paragraph 3 and in other duly justified cases.	When necessary, an action may be adopted as an individual measure before or after the adoption of action plans. Individual measures shall be based on programming documents, except for cases referred to in paragraph 3 and in other duly justified cases.	When necessary, an action may be adopted as an individual measure before or after the adoption of action plans. Individual measures shall be based on programming documents, except for cases referred to in paragraph 3 and in other duly justified cases.	<i>Provisionally closed</i>

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240.	In the event of unforeseen needs or circumstances, and when funding is not possible from more appropriate sources, the Commission may adopt special measures not provided for in the programming documents.	In the event of unforeseen needs or circumstances, and when funding is not possible from more appropriate sources, the Commission may adopt special measures not provided for in based on the programming documents.	In the event of unforeseen needs or circumstances, and when funding is not possible from more appropriate sources, the Commission may adopt special measures not provided for in the programming documents.	In the event of unforeseen needs or circumstances, and when funding is not possible from more appropriate sources, the Commission may adopt special measures not provided for in the programming documents.	<i>Provisionally closed</i>
241.	3. Annual or multiannual action plans and individual measures may be used to implement rapid response actions referred to in Article 4(4)(b) and (c).	3. Annual or multiannual action plans and individual measures may be used to implement execute rapid response actions referred to in Article 4(4)(b) and (c).	3. Annual or multiannual action plans and individual measures may be used to implement rapid response actions referred to in Article 4(4)(b) and (c).	3. Annual or multiannual action plans and individual measures may be used to {1} rapid response actions referred to in Article 4(4)(b) {2} .	{1} EP: implement execute CL: implement {2} EP: no text CL: and (c)
242.	4. The Commission may adopt exceptional assistance measures for rapid response actions as referred to in Article 4(4)(a).	4. The Commission may adopt exceptional assistance measures for rapid response actions as referred to in Article 4(4)(a).	4. The Commission may adopt exceptional assistance measures for rapid response actions as referred to in Article 4(4)(a).	4. The Commission may adopt exceptional assistance measures for rapid response actions as referred to in Article 4(4)(a).	<i>Provisionally closed</i>
243.	An exceptional assistance measure may have a duration of up to 18 months, which may be extended twice by a	An exceptional assistance measure may have a duration of up to 18 months, which may be extended twice by a	An exceptional assistance measure may have a duration of up to 18 months, which may be extended twice	An exceptional assistance measure may have a duration of up to 18 months, which may be extended twice by a	<i>Provisionally closed</i>

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	further period of up to six months, up to a total maximum duration of 30 months, in the event of objective and unforeseen obstacles to its implementation, provided that there is no increase in the financial amount of the measure.	further period of up to six months, up to a total maximum duration of 30 months, in the event of objective and unforeseen obstacles to its implementation, provided that there is no increase in the financial amount of the measure.	by a further period of up to six months, up to a total maximum duration of 30 months, in the event of objective and unforeseen obstacles to its implementation, provided that there is no increase in the financial amount of the measure.	further period of up to six months, up to a total maximum duration of 30 months, in the event of objective and unforeseen obstacles to its implementation, provided that there is no increase in the financial amount of the measure.	
244.	In cases of protracted crisis and conflict, the Commission may adopt a second exceptional assistance measure of a duration of up to 18 months. In duly justified cases further measures may be adopted where the continuity of the Union's action is essential and cannot be ensured by other means.	In cases of protracted crisis and conflict, the Commission may adopt a second exceptional assistance measure of a duration of up to 18 months. In duly justified cases further measures may be adopted where the continuity of the Union's action is essential and cannot be ensured by other means.	In cases of protracted crisis and conflict, the Commission may adopt a second exceptional assistance measure of a duration of up to 18 months. In duly justified cases further measures may be adopted where the continuity of the Union's action is essential and cannot be ensured by other means.	In cases of protracted crisis and conflict, the Commission may adopt a second exceptional assistance measure of a duration of up to 18 months. In duly justified cases further measures may be adopted where the continuity of the Union's action is essential and cannot be ensured by other means.	<i>Provisionally closed</i>
244. bis		<i>4a. Measures taken under Article 19 (3) and (4) may have a duration</i>			<i>Provisionally closed</i>

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		<i>of up to 18 months, which may be extended twice by a further period of up to six months, up to a total maximum duration of 30 months, in the event of objective and unforeseen obstacles to execution, provided that there is no increase in the financial amount of the measure.</i>			
244. ter		<i>In cases of protracted crisis and conflict, the Commission may adopt a second exceptional assistance measure of a duration of up to 18 months. In duly justified cases, further measures may be adopted where the continuity of the Union's action under this paragraph is essential and cannot be ensured by other means.</i>			<i>Provisionally closed</i>
245.	Article 20 Support measures	Article 20 Support measures	Article 20 Support measures	Article 20 Support measures	<i>Provisionally closed</i>
246.	1. Union financing may cover support	1. Union financing may cover support	1. Union financing may cover support	1. Union financing may cover support	<i>Provisionally closed pending alignment</i>

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	<p>expenditure for the implementation of the Instrument and for the achievement of its objectives, including administrative support associated with the preparation, follow-up, monitoring, control, audit and evaluation activities necessary for such implementation, as well as expenditure at headquarters and Union delegations for the administrative support needed for the programme, and to manage operations financed under this Regulation, including information and communication actions, and corporate information technology systems.</p>	<p>expenditure for the implementation execution of the Instrument and for the achievement of its objectives, including administrative support associated with the preparation, follow-up, monitoring, control, audit and evaluation activities necessary for such implementation execution, as well as expenditure at headquarters and Union delegations for the administrative support needed for the programme, and to manage operations financed under this Regulation, including information and communication actions, and corporate information technology systems.</p>	<p>expenditure for the implementation of the Instrument and for the achievement of its objectives, including administrative support associated with the preparation, follow-up, monitoring, control, audit and evaluation activities necessary for such implementation, as well as expenditure at headquarters and Union delegations for the administrative and coordination support needed for the programme, and to manage operations financed under this Regulation, including information and communication actions, and corporate information technology systems.</p>	<p>expenditure for the {1} of the Instrument and for the achievement of its objectives, including administrative support associated with the preparation, follow-up, monitoring, control, audit and evaluation activities necessary for such {2}, as well as expenditure at headquarters and Union delegations for the administrative and coordination support needed for the programme, and to manage operations financed under this Regulation, including information and communication actions, and corporate information technology systems.</p>	<p>{1} EP: implementation execution CL: implementation {2} EP: implementation execution CL: implementation</p>
247.	2. When support expenditure is not	2. When support expenditure is not	2. When support expenditure is not	2. When support expenditure is not	<i>Provisionally closed</i>

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	included in the action plans or measures referred to in Article 21, the Commission shall adopt, where applicable, support measures. Union financing under support measures may cover:	included in the action plans or measures referred to in Article 21, the Commission shall adopt, where applicable, support measures. Union financing under support measures may cover:	included in the action plans or measures referred to in Article 21, the Commission shall adopt, where applicable, support measures. Union financing under support measures may cover:	included in the action plans or measures referred to in Article 21, the Commission shall adopt, where applicable, support measures. Union financing under support measures may cover:	
248.	(a) studies, meetings, information, awareness-raising, training, preparation and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions, including remunerated external experts;	(a) studies, meetings, information, awareness-raising, training, preparation and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions, including remunerated external experts;	(a) studies, meetings, information, awareness-raising, training, preparation and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions, including remunerated external experts;	(a) studies, meetings, information, awareness-raising, training, preparation and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions, including remunerated external experts;	<i>Provisionally closed</i>
249.	(b) research and innovation activities and studies on relevant	(b) research and innovation activities and studies on relevant issues	(b) research and innovation activities and studies on relevant	(b) research and innovation activities and studies on relevant	<i>Provisionally closed</i>

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	issues and the dissemination thereof;	and the dissemination thereof;	issues and the dissemination thereof;	issues and the dissemination thereof;	
250.	(c) expenditures related to the provision of information and communication actions, including the development of communication strategies and corporate communication and visibility of the political priorities of the Union.	(c) expenditures related to the provision of information and communication actions, including the development of communication strategies and corporate communication and visibility of the political priorities of the Union.	(c) expenditures related to the provision of information and communication actions, including the development of communication strategies and corporate communication and visibility of the political priorities of the Union.	(c) expenditures related to the provision of information and communication actions, including the development of communication strategies and corporate communication and visibility of the political priorities of the Union.	<i>Provisionally closed</i>
251.	Article 21 Adoption of action plans and measures	Article 21 Adoption of action plans and measures	Article 21 Adoption of action plans and measures	Article 21 Adoption of action plans and measures	<i>Provisionally closed</i>
252.	1. Action plans and measures shall be adopted by means of implementing acts adopted in accordance with the examination procedure referred to in Article 35(2).	1. Action plans and measures shall be adopted by means of implementing acts adopted a Commission decision in accordance with the examination procedure referred to in Article 35(2) Financial Regulation.	1. Action plans and measures shall be adopted by means of implementing acts adopted in accordance with the examination procedure referred to in Article 35(2).		EP and CL maintain their mandates
253.	2. The procedure referred to in paragraph	2. The procedure referred to in paragraph	2. The procedure referred to in paragraph		EP and CL maintain their mandates

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	I shall not be required for:	I shall not be required for:	I shall not be required for:		
254.	(a) action plans, individual measures and support measures, for which the Union's funding does not exceed EUR 10 million;	(a) action plans, individual measures and support measures, for which the Union's funding does not exceed EUR 10 million;	(a) action plans and individual measures and support measures, for which the Union's funding does not exceed EUR 105 million;		EP and CL maintain their mandates
255.	(b) special measures as well as action plans and measures adopted in order to implement rapid response actions for which the Union's funding does not exceed EUR 20 million;	(b) special measures as well as action plans and measures adopted in order to implement rapid response actions for which the Union's funding does not exceed EUR 20 million;	(b) special and support measures as well as action plans and measures adopted in order to implement rapid response actions for which the Union's funding does not exceed EUR 2010 million;		EP and CL maintain their mandates
255. bis			<i>(b bis) exceptional assistance measures as referred to in Article 4(4)(a) for which the Union's funding does not exceed EUR 20 million;</i>		EP and CL maintain their mandates
256.	(c) technical amendments, provided such amendments do not substantially affect	(e) technical amendments, provided such amendments do not substantially affect the	(c) technical amendments to action plans and measures, provided such		EP and CL maintain their mandates

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	the objectives of the action plan or measure concerned, such as:	objectives of the action plan or measure concerned, such as:	amendments do not substantially affect the objectives of the action plan or measure concerned, such as:		
257.	(i) change of method of implementation;	(i) change of method of implementation;	(i) change of method of implementation;		EP and CL maintain their mandates
257. bis			(i bis) <i>extensions of the implementation period;</i>		EP and CL maintain their mandates
258.	(ii) reassignments of funds between actions contained in an action plan;	(ii) reassignments of funds between actions contained in an action plan;	(ii) reassignments of funds between actions contained in an action plan;		EP and CL maintain their mandates
259.	(iii) increases or reductions of the budget of action plans and measures by not more than 20 % of the initial budget and not exceeding EUR 10 million;	(iii) increases or reductions of the budget of action plans and measures by not more than 20 % of the initial budget and not exceeding EUR 10 million;	(iii) increases or reductions of the budget of action plans and measures by not more than 20 % of the initial budget and not exceeding EUR 10 million;		EP and CL maintain their mandates
260.	In case of multiannual action plans and measures, the thresholds referred to in paragraph (2)(a), (b) and (c) (iii) shall be applicable on a yearly basis.	In case of multiannual action plans and measures, the thresholds referred to in paragraph (2)(a), (b) and (c) (iii) shall be applicable on a yearly basis.	In case of multiannual action plans and measures, the thresholds referred to in paragraph (2)(a), (b) and (c) (iii) shall be applicable on a yearly basis.		EP and CL maintain their mandates

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261.	When adopted in accordance with this paragraph, action plans and measures, except exceptional assistance measures, and technical amendments shall be communicated to the European Parliament and to the Member States through the relevant committee referred to in Article 35 within one month of their adoption.	When adopted in accordance with this paragraph, action plans and measures, except exceptional assistance measures, and technical amendments shall be communicated to the European Parliament and to the Member States through the relevant committee referred to in Article 35 within one month of their adoption.	When adopted in accordance with this paragraph, action plans and measures, except exceptional assistance measures, and technical amendments shall be communicated to the European Parliament and to the Member States through the relevant committee referred to in Article 35 within one month of their adoption.	When adopted in accordance with this paragraph, action plans and measures, except exceptional assistance measures, and technical amendments shall be communicated to the European Parliament and to the Member States {1} through the relevant committee referred to in Article 35 within one month of their adoption.	{1} EP: no text CL: through the relevant committee referred to in Article 35 within one month of their adoption.
262.	3. Before the adoption or extension of exceptional assistance measures not exceeding EUR 20 million, the Commission shall inform the Council of their nature and objectives and of the financial amounts envisaged. The Commission shall inform the Council before making significant substantive	3. Before the adoption or extension of exceptional assistance measures not exceeding EUR 20 million, the Commission shall inform the Council of their nature and objectives and of the financial amounts envisaged. The Commission shall inform the Council before making significant substantive	3. Before the adoption or extension of exceptional assistance measures not exceeding EUR 20 million, the Commission shall inform the Council of their nature and objectives and of the financial amounts envisaged. The Commission shall inform the Council before making	3. Before the adoption or extension of exceptional assistance measures not exceeding EUR 20 million, the Commission shall inform the Council and the European Parliament of their nature and objectives and of the financial amounts envisaged. The Commission shall inform the Council and the European	{1} EP: no text CL: of the Council {2} EP: implementation application CL: implementation

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	<p>changes to exceptional assistance measures already adopted. The Commission shall take account of the relevant policy approach of the Council for the planning and subsequent implementation of such measures, in the interests of consistency of the Union's external action.</p>	<p>changes to exceptional assistance measures already adopted. The Commission shall take account of the relevant policy approach of the Council and the European Parliament for the planning and subsequent implementation application of such measures, in the interests of consistency of the Union's external action.</p>	<p>significant substantive changes to exceptional assistance measures already adopted. The Commission shall take account of the relevant policy approach of the Council for the planning and subsequent implementation of such measures, in the interests of consistency of the Union's external action.</p>	<p>Parliament before making significant substantive changes to exceptional assistance measures already adopted. The Commission shall take account of the relevant policy approach {1} for the planning and subsequent {2} of such measures, in the interests of consistency of the Union's external action.</p>	
<p>263.</p>	<p>The Commission shall keep the European Parliament duly informed, in a timely manner, about the planning and the implementation of exceptional assistance measures pursuant to this Article, including the financial amounts envisaged, and shall also inform the European Parliament when making</p>	<p>The Commission shall keep immediately inform the European Parliament duly informed, in a timely manner, about the planning and the implementation of exceptional assistance of measures pursuant to this Article, including the financial amounts envisaged, and shall also inform the European Parliament when making</p>	<p>The Commission shall keep the European Parliament duly informed, in a timely manner, about the planning and the implementation of exceptional assistance measures pursuant to this Article, including the financial amounts envisaged, and shall also inform the European Parliament when making</p>		

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	<p>substantial changes or extensions to that assistance.</p>	<p>substantial changes or extensions to that assistance. <i>As soon as possible following the adoption or substantial modification of a measure, and in any case within one month thereof, the Commission shall report to the European Parliament and to the Council and give an overview of the nature and the rationale of the measure adopted, its duration, budget and its context, including the complementarity of that measure with other ongoing and planned Union assistance. For exceptional assistance measures, the Commission shall also indicate whether, to what extent and how it will ensure the continuity of the policy executed through the exceptional assistance</i></p>	<p>substantial changes or extensions to that assistance.</p>		
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		<i>by medium- and long-term assistance under this Regulation.</i>			
263. bis		<i>3a. Before adopting action plans and measures not based on programming documents pursuant to Article 19(2), except for cases referred to in Article 19 (3) and (4), the Commission shall adopt a delegated act in accordance with Article 34 in order to supplement this Regulation by setting out the specific objectives to be pursued, the results expected, the instruments to be used, the main activities and the indicative financial allocations of these action plans and measures.</i>			EP and CL maintain their mandates
264.	4. In the event of duly justified imperative grounds of urgency, such as crises including	4. In the event of duly justified imperative grounds of urgency, such as crises including	4. In the event of duly justified imperative grounds of urgency, such as crises including		EP and CL maintain their mandates

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	natural or man-made disasters, immediate threats to democracy, the rule of law, human rights or fundamental freedoms, the Commission may adopt action plans and measures or amendments to existing action plans and measures, as immediately applicable implementing acts, in accordance with the procedure referred to in Article 35(4).	natural or man-made disasters, immediate threats to democracy, the rule of law, human rights or fundamental freedoms, the Commission may adopt action plans and measures or amendments to existing action plans and measures, as immediately applicable implementing acts, in accordance with the procedure referred to in Article 35(4).	natural or man-made disasters, immediate threats to democracy, the rule of law, human rights or fundamental freedoms, the Commission may adopt action plans and measures or amendments to existing action plans and measures, as immediately applicable implementing acts, in accordance with the procedure referred to in Article 35(4).		
265.	5. Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive	5. Appropriate human rights, social and environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU ⁶⁰ of the European	5. Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU ⁶⁰ of the European Parliament	5. Appropriate environmental screening, including for climate change and biodiversity impacts, shall be undertaken at the level of actions, in accordance with the applicable legislative acts of the Union, including Directive 2011/92/EU ⁶⁰ of the European Parliament	<i>Provisionally closed</i>

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	2011/92/EU ⁶⁰ of the European Parliament and of the Council and Council Directive 85/337/EEC ⁶¹ , comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure.	Parliament and of the Council and Council Directive 85/337/EEC ⁶¹ , comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure.	and of the Council and Council Directive 85/337/EEC ⁶¹ , comprising, where applicable, an environmental impact assessment, <i>including the impact on climate change, ecosystems and biodiversity</i> , for environmentally sensitive actions, in particular for major new infrastructure, <i>as well as an assessment of the compatibility of the actions with the recipient country's Nationally Determined Contribution under the Paris Agreement.</i>	and of the Council and Council Directive 85/337/EEC ⁶¹ , comprising, where applicable, an environmental impact assessment, <i>including the impact on climate change, ecosystems and biodiversity</i> , for environmentally sensitive actions, in particular for major new infrastructure.	
265. bis		<i>Additionally, ex-ante human rights, gender, social and labour</i>			

⁶⁰ Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁶¹ Council Directive of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 05.07.1985. p. 0040 – 0048).

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		<i>impact assessments, as well as conflict analysis and risk assessment shall be conducted.</i>			
266.	Where relevant, strategic environmental assessments shall be used in the implementation of sectoral programmes. The involvement of interested stakeholders in environmental assessments and public access to the results of such assessments shall be ensured.	Where relevant, human rights, social and strategic environmental assessments shall be used in the implementation execution of sectoral programmes. The involvement of interested stakeholders in environmental these assessments and public access to the results of such assessments shall be ensured.	Where relevant, strategic environmental assessments, including the impact on climate change , shall be used in the implementation of sectoral programmes. The involvement of interested stakeholders in environmental assessments and public access to the results of such assessments shall be ensured.	Where relevant, strategic environmental assessments, including the impact on climate change , shall be used in the {1} of sectoral programmes. The involvement of interested stakeholders in environmental assessments and public access to the results of such assessments shall be ensured.	<i>Provisionally closed</i> {1} EP: implementation execution CL: implementation
266. bis			<i>This Regulation shall not support actions incompatible with the recipient country's Nationally Determined Contribution under the Paris Agreement or investments in fossil fuels or that, according to the environmental</i>		<i>Provisionally closed – covered under 24 bis</i>

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			<i>screening and impact assessment, cause significant adverse effects on the environment or the climate, without proposing appropriate measures to avoid, prevent or reduce and, if possible, off-set these effects, including support to phase out environmentally harmful fossil fuel subsidies.</i>		
266. ter			<i>6. In the design and implementation of programmes and projects, criteria regarding the accessibility for persons with disabilities shall be duly taken into account.</i>		<i>Provisionally closed Reference in line 138.</i>
266. quater		<i>Article 21a European Parliament's assistance programmes</i>			<i>Provisionally closed</i>
266. quin-ques		<i>The Commission shall hold a dialogue with the</i>			<i>Provisionally closed</i>

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		<i>European Parliament, and take into account the European Parliament's views on areas in which the latter is running its own assistance programmes, such as capacity-building and election observation.</i>			
267.	Article 22 Methods of cooperation	Article 22 Methods of cooperation	Article 22 Methods of cooperation	Article 22 Methods of cooperation	<i>Provisionally closed on 2 October 2020</i>
268.	1. Financing under this Instrument shall be implemented by the Commission, as provided for by the Financial Regulation, either directly by the Commission itself, by Union delegations and by executive agencies, or indirectly through any of the entities listed in Article 62 (1) c) of the Financial Regulation.	1. Financing under this Instrument shall be implemented by the Commission, as provided for by the Financial Regulation, either directly by the Commission itself, by Union delegations and by executive agencies, or indirectly through any of the entities listed in Article 62 (1) c) of the Financial Regulation.	1. As provided for by the Financial Regulation and while fostering enhanced cooperation, including by making full use of Member States actors' expertise and competences, Financing under this Instrument shall be implemented by the Commission, as provided for by the Financial Regulation, either directly by the Commission itself, by	1. Financing under this Instrument shall be implemented by the Commission, as provided for by the Financial Regulation, either directly by the Commission itself, by Union delegations and by executive agencies, or indirectly through any of the entities listed in Article 62 (1) c) of the Financial Regulation.	<i>Provisionally closed on 2 October 2020</i>

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			Union delegations and by executive agencies, or indirectly through any of the entities listed in Article 62(1)(c) of the Financial Regulation.		
269.	2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.	2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.	2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.	2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.	<i>Provisionally closed on 2 October 2020</i>
270.	3. The entities listed in Article 62(1)(c) of the Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the Financial Regulation.	3. The entities listed in Article 62(1)(c) of the Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the Financial Regulation.	3. The entities listed in Article 62(1)(c) of the Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the Financial	3. The entities listed in Article 62(1)(c) of the Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the Financial Regulation.	<i>Provisionally closed on 2 October 2020</i>

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	The reporting requirements for any of these entities are laid down in the framework partnership agreement, the contribution agreement, the agreement on budgetary guarantees or the financing agreement.	The reporting requirements for any of these entities are laid down in the framework partnership agreement, the contribution agreement, the agreement on budgetary guarantees or the financing agreement.	Regulation. The reporting requirements for any of these entities are laid down in the financial framework partnership agreement, the contribution agreement, the agreement on budgetary guarantees or the financing agreement.	The reporting requirements for any of these entities are laid down in the financial framework partnership agreement, the contribution agreement, the agreement on budgetary guarantees or the financing agreement.	
271.	4. Actions financed under the Instrument may be implemented by means of parallel or joint co-financing.	4. Actions financed under the Instrument may be implemented by means of parallel or joint co-financing.	4. Actions financed under the Instrument may be implemented by means of parallel or joint co-financing.	4. Actions financed under the Instrument may be implemented by means of parallel or joint co-financing.	<i>Provisionally closed on 2 October 2020</i>
272.	5. In the case of parallel co-financing, an action is split into a number of clearly identifiable components which are each financed by the different partners providing co-financing in such a way that the end-use of the financing can always be identified.	5. In the case of parallel co-financing, an action is split into a number of clearly identifiable components which are each financed by the different partners providing co-financing in such a way that the end-use of the financing can always be identified.	5. In the case of parallel co-financing, an action is split into a number of clearly identifiable components which are each financed by the different partners providing co-financing in such a way that the end-use of the financing can always be identified and	5. In the case of parallel co-financing, an action is split into a number of clearly identifiable components which are each financed by the different partners providing co-financing in such a way that the end-use of the financing can always be identified and duplication of financing is avoided.	<i>Provisionally closed on 2 October 2020</i>

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			<i>duplication of financing is avoided.</i>		
273.	6. In the case of joint co-financing, the total cost of an action is shared between the partners providing the co-financing and the resources are pooled in such a way that it is no longer possible to identify the source of financing for any given activity undertaken as part of the action.	6. In the case of joint co-financing, the total cost of an action is shared between the partners providing the co-financing and the resources are pooled in such a way that it is no longer possible to identify the source of financing for any given activity undertaken as part of the action.	6. In the case of joint co-financing, the total cost of an action is shared between the partners providing the co-financing and the resources are pooled in such a way that it is no longer possible to identify the source of financing for any given activity undertaken as part of the action.	6. In the case of joint co-financing, the total cost of an action is shared between the partners providing the co-financing and the resources are pooled in such a way that it is no longer possible to identify the source of financing for any given activity undertaken as part of the action.	<i>Provisionally closed on 2 October 2020</i>
274.	7. Cooperation between the Union and its partners may take the form, inter alia, of:	7. Cooperation between the Union and its partners may take the form, inter alia, of:	7. Cooperation between the Union and its partners may take the form, inter alia, of:	7. Cooperation between the Union and its partners may take the form, inter alia, of:	<i>Provisionally closed on 2 October 2020</i>
275.	(a) triangular arrangements whereby the Union coordinates with third countries its assistance funding to a partner country or region;	(a) triangular arrangements whereby the Union coordinates with third countries its assistance funding to a partner country or region;	(a) triangular arrangements whereby the Union coordinates with third countries its assistance funding to a partner country or region;	(a) triangular arrangements whereby the Union coordinates with third countries its assistance funding to a partner country or region;	<i>Provisionally closed on 2 October 2020</i>
276.	(b) administrative cooperation measures such as twinning between public	(b) administrative cooperation measures such as twinning between public	(b) administrative <i>and technical</i> cooperation measures, <i>as well as capacity building,</i>	(b) administrative <i>and technical</i> cooperation measures, <i>as well as building capacity,</i>	<i>Provisionally closed on 2 October 2020</i>

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	institutions, local authorities, national public bodies or private law entities entrusted with public service tasks of a Member State and those of a partner country or region, as well as cooperation measures involving public sector experts dispatched from the Member States and their regional and local authorities;	institutions, local authorities, national public bodies or private law entities entrusted with public service tasks of a Member State and those of a partner country or region, as well as cooperation measures involving public sector experts dispatched from the Member States and their regional and local authorities;	<i>including to share transitional or reform implementation experiences of Member States</i> , such as twinning between public institutions, local authorities, national public law bodies or private law entities entrusted with public service tasks of a Member State and those of a partner country or region, as well as cooperation measures involving public sector experts dispatched from the Member States and their regional and local authorities;	<i>including to share transitional or reform implementation experiences of Member States</i> , such as <i>decentralised cooperation through partnerships or</i> twinning, between public institutions, <i>including</i> local authorities, national public law bodies or private law entities entrusted with public service tasks of a Member State and those of a partner country or region, as well as cooperation measures involving public sector experts dispatched from the Member States and their regional and local authorities;	
277.	(c) contributions to the necessary costs of setting up and administering a public-private partnership;	(c) contributions to the necessary costs of setting up and administering a public-private partnership <i>including</i>	(c) contributions to the necessary costs of setting up and administering a public-private partnership;	(c) contributions to the necessary costs of setting up and administering a public-private partnership,	<i>Provisionally closed on 2 October 2020</i>

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		<i>support of broad participation by setting up independent third party CSO body to assess and monitor public-private partnership set-ups;</i>		<i>including those for their independent assessment and monitoring, whenever possible by civil society organisations;</i>	
277. bis			<i>(c bis) decentralised cooperation through partnerships between local or regional authorities from Member States and partner countries;</i>		<i>Provisionally closed on 2 October 2020 Content moved to 276</i>
278.	(d) sector policy support programmes whereby the Union provides support to a partner country's sector programme	(d) sector policy support programmes whereby the Union provides support to a partner country's sector programme	(d) sector policy support programmes whereby the Union provides support to a partner country's sector programme;	(d) sector policy support programmes whereby the Union provides support to a partner country's sector programme;	<i>Provisionally closed on 2 October 2020</i>
279.	(e) contributions to the cost of the countries' participation in Union programmes and actions implemented by Union agencies and bodies, as well as bodies or persons entrusted with implementation of specific actions in the	(e) contributions to the cost of the countries' participation in Union programmes and actions implemented by Union agencies and bodies, as well as bodies or persons entrusted with implementation of specific actions in the	(e) contributions to the cost of the countries' participation in Union programmes, and to actions implemented by Union agencies and bodies, as well as to bodies or persons entrusted with the implementation of	(e) contributions to the cost of the countries' participation in Union programmes, and to actions implemented by Union agencies and bodies, as well as to bodies or persons entrusted with the implementation of	<i>Provisionally closed on 2 October 2020</i>

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	Common Foreign and Security Policy pursuant to Title V of the Treaty on European Union;	Common Foreign and Security Policy pursuant to Title V of the Treaty on European Union TEU ;	specific actions in the Common Foreign and Security Policy pursuant to Title V of the Treaty on European Union;	specific actions in the Common Foreign and Security Policy pursuant to Title V of the TEU Treaty on European Union European Union ;	
280.	(f) interest rate subsidies.	(f) interest rate subsidies.	(f) interest rate subsidies.		<i>Provisionally closed on 2 October 2020</i>
281.	Article 23 Forms of EU funding and methods of implementation	Article 23 Forms of EU Union funding and methods of implementation application	Article 23 Forms of EU funding and methods of implementation	Article 23 Forms of Union funding	<i>Provisionally closed on 2 October 2020</i>
282.	1. The Union funding may be provided through the types of financing envisaged by the Financial Regulation and in particular:	1. The Union funding may be provided through the types of financing envisaged by the Financial Regulation and in particular:	1. The Union funding may be provided through the types of financing and procedures envisaged by the Financial Regulation and in particular:	1. The Union funding may be provided through the types of financing envisaged by the Financial Regulation and in particular:	<i>Provisionally closed on 2 October 2020</i>
283.	(a) grants;	(a) grants;	(a) grants;	(a) grants;	<i>Provisionally closed on 2 October 2020</i>
284.	(b) procurement contracts for services, supplies or works;	(b) procurement contracts for services, supplies or works;	(b) procurement contracts for services, supplies or works;	(b) procurement contracts for services, supplies or works;	<i>Provisionally closed on 2 October 2020</i>
285.	(c) budget support;	(c) budget support;	(c) budget support;	(c) budget support;	<i>Provisionally closed on 2 October 2020</i>
286.	(d) contributions to trust funds set up by the	(d) contributions to trust funds set up by the	(d) contributions to trust funds set up by	(d) contributions to trust funds set up by the	<i>Provisionally closed on 2 October 2020</i>

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	Commission, in accordance with Article 234 of the Financial Regulation;	Commission, in accordance with Article 234 of the Financial Regulation;	the Commission, in accordance with Article 234 of the Financial Regulation;	Commission, in accordance with Article 234 of the Financial Regulation;	
287.	(e) financial instruments;	(e) financial instruments;	(e) financial instruments;	(e) financial instruments;	<i>Provisionally closed on 2 October 2020</i>
288.	(f) budgetary guarantees;	(f) budgetary guarantees;	(f) budgetary guarantees;	(f) budgetary guarantees;	<i>Provisionally closed on 2 October 2020</i>
289.	(g) blending;	(g) blending;	(g) blending operations ;	(g) blending operations ;	<i>Provisionally closed on 2 October 2020</i>
290.	(h) debt relief in the context of internationally agreed debt relief programme;	(h) debt relief in the context of internationally agreed debt relief programme;	(h) debt relief in the context of internationally agreed debt relief programme;	(h) debt relief in the context of internationally agreed debt relief programme;	<i>Provisionally closed on 2 October 2020</i>
291.	(i) financial assistance;	(i) financial assistance;	(i) financial assistance;	(i) financial assistance;	<i>Provisionally closed on 2 October 2020</i>
292.	(j) remunerated external experts.	(j) remunerated external experts.	(j) remunerated external experts.	(j) remunerated external experts.	<i>Provisionally closed on 2 October 2020</i>
293.	2. When working with stakeholders of partner countries, the Commission shall take into account their specificities, including their needs and the relevant context, when defining the financing modalities, the type of contribution, the award modalities and the	2. When working with stakeholders of partner countries, the Commission shall take into account their specificities, including their needs and the relevant context, when defining the financing modalities, the type of contribution, the award modalities and the	2. When working with stakeholders of partner countries, the Commission shall take into account their specificities, including their needs and the relevant context, when defining the financing modalities, the type of contribution, the award modalities and the	2. When working with stakeholders of partner countries such as those mentioned in Article 8 (4) , the Commission shall take into account their specificities, including their needs and the relevant context, when defining the financing modalities, the type of	<i>Provisionally closed on 2 October 2020</i>

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	<p>administrative provisions for the management of grants, with a view to reaching and best responding to the widest possible range of such stakeholders. Specific modalities shall be encouraged in accordance with the Financial Regulation, such as partnership agreements, authorisations of financial support to third parties, direct award or eligibility-restricted calls for proposals, or lump sums, unit costs and flat-rate financing as well as financing not linked to costs as envisaged in Article 125(1) of the Financial Regulation.</p>	<p>administrative provisions for the management of grants, with a view to reaching and best responding to the widest possible range of such stakeholders. <i>That assessment shall take into account the conditions for a meaningful participation and involvement of all stakeholders, in particular local civil society.</i> Specific modalities shall be encouraged in accordance with the Financial Regulation, such as partnership agreements, authorisations of financial support to third parties, direct award or eligibility-restricted calls for proposals, or lump sums, unit costs and flat-rate financing as well as financing not linked to</p>	<p>administrative provisions for the management of grants, with a view to reaching and best responding to the widest possible range of such stakeholders. Specific modalities shall be encouraged in accordance with the Financial Regulation, such as partnership agreements, authorisations of financial support to third parties, direct award or eligibility-restricted calls for proposals, or lump sums, unit costs and flat-rate financing as well as financing not linked to costs as envisaged in Article 125(1) of the Financial Regulation.</p>	<p>contribution, the award modalities and the administrative provisions for the management of grants, with a view to reaching and best responding to the widest possible range of such stakeholders. Specific modalities shall be encouraged in accordance with the Financial Regulation, such as partnership agreements, authorisations of financial support to third parties, direct award or eligibility-restricted calls for proposals, or lump sums, unit costs and flat-rate financing as well as financing not linked to costs as envisaged in Article 125(1) of the Financial Regulation.</p>	
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Spending targets (except migration)

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		costs as envisaged in Article 125(1) of the Financial Regulation. <i>Those different modalities shall ensure transparency, traceability and innovation. Cooperation between local and international NGOs shall be encouraged in order to bolster local civil society's capacities with a view to achieving its full participation in development programmes.</i>			
293. bis			<i>When working with Member States, in cases in which only public administrations are involved, such as twinning, simplified implementing and contractual provisions shall be discussed with Member States and applied in compliance with the Financial Regulation.</i>		<i>Provisionally closed on 2 October 2020</i> Content in recital.

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294.	3. In addition of the cases referred to in Article 195 of the Financial Regulation, the direct award procedure may be used for;	3. In addition of the cases referred to in Article 195 of the Financial Regulation, the direct award procedure may be used for;	3. In addition of to the cases referred to in Article 195 of the Financial Regulation, the direct award procedure may be used for;	3. In addition of to the cases referred to in Article 195 of the Financial Regulation, the direct award procedure may be used for;	<i>Provisionally closed on 2 October 2020</i>
295.	(a) low-value grants to human rights defenders to finance urgent protection actions, where appropriate without the need for co-financing;	(a) low-value grants to human rights defenders and to mechanisms for the protection of human rights defenders at risk , to finance urgent protection actions, where appropriate without the need for co-financing, as well as to mediators and other civil society actors involved in crisis and armed conflict related dialogue, conflict resolution, reconciliation and peace-building ;	(a) low-value grants to human rights defenders to finance urgent protection actions and needs , where appropriate without the need for co-financing;	(a) low-value grants to human rights defenders to finance urgent protection actions and needs, including through mechanisms for the protection of human rights defenders at risk, as well as to mediators and other civil society actors involved in crisis and armed conflict related dialogue, conflict resolution, reconciliation and peace-building , where appropriate without the need for co-financing;	<i>Provisionally closed on 2 October 2020</i>
296.	(b) grants, where appropriate without the need for co-financing, to finance actions in the	(b) grants, where appropriate without the need for co-financing, to finance actions in the	(b) grants, where appropriate without the need for co-financing, to finance actions in	(b) grants, where appropriate without the need for co-financing, to finance actions in the	<i>Provisionally closed on 2 October 2020 pending governance discussion</i>

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	<p>most difficult conditions where the publication of a call for proposals would be inappropriate including situations where there is a serious lack of fundamental freedoms, where human security is most at risk or where human rights organisations and defenders operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and unforeseen obstacles to their implementation;</p>	<p>most difficult conditions where the publication of a call for proposals would be inappropriate including situations where there is a serious lack of fundamental freedoms, threats to democratic institutions, escalation of crisis, armed conflict where human security is most at risk or where human rights organisations and defenders, mediators and other civil society actors involved in crisis and armed conflict related dialogue, reconciliation and peace-building operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and</p>	<p>the most difficult conditions where the publication of a call for proposals would be inappropriate, including situations where there is a serious violation of human rights, a lack of fundamental freedoms, where human security is most at risk or where human rights organisations and defenders operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and unforeseen obstacles to their implementation;</p>	<p>most difficult conditions where the publication of a call for proposals would be inappropriate, including situations where there is a serious lack of fundamental freedoms, including violation of human rights, threats to democratic institutions, escalation of crisis or armed conflict, where human security is most at risk or where human rights organisations and defenders, mediators and other civil society actors involved in crisis and armed conflict related dialogue, reconciliation and peace-building operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may</p>	<p>{1} EP: implementation application CL: implementation</p>
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Spending targets (except migration)

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		unforeseen obstacles to their implementation application ;		be extended by a further 12 months in the event of objective and unforeseen obstacles to their{1};	
297.	(c) grants to the Office of the UN High Commissioner for Human Rights as well as to Global Campus, the European Inter-University Centre for Human Rights and Democratisation, providing a European Master's Degree in Human Rights and Democratisation, and its associated network of universities delivering human rights postgraduate diplomas, including scholarships to students and human rights defenders from third countries.	(c) grants to the Office of the UN High Commissioner for Human Rights as well as to Global Campus, the European Inter-University Centre for Human Rights and Democratisation, providing a European Master's Degree in Human Rights and Democratisation, and its associated network of universities delivering human rights postgraduate diplomas, including scholarships to students, researchers , teachers , and human rights defenders from third countries.	(c) grants to the Office of the UN High Commissioner for Human Rights as well as to Global Campus, the European Inter-University Centre for Human Rights and Democratisation, providing a European Master's Degree in Human Rights and Democratisation, and its associated network of universities delivering human rights postgraduate diplomas, including scholarships to students and human rights defenders from third countries.	(c) grants to the Office of the UN High Commissioner for Human Rights as well as to Global Campus, the European Inter-University Centre for Human Rights and Democratisation, providing a European Master's Degree in Human Rights and Democratisation, and its associated network of universities delivering human rights postgraduate diplomas, including scholarships to students and human rights defenders from third countries;	<i>Provisionally closed</i>
297. bis		(ca) Small projects as described in article 23a		(ca) low value grants to civil society organisations using to	<i>Provisionally closed on 2 October 2020</i>

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				<i>the extent possible, simplified forms of financing in accordance with article 125 of the Financial Regulation.</i>	
298.	Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing	Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, gender equality, social inclusion and human development and the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing partners'	Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development building , and improved governance,	Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and the commitment of partner countries, taking into account their record and progress, with regard to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development	<i>Provisionally closed on 2 October 2020</i>

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	partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs and poverty eradication.	efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs socio-economic development which benefits all, decent job creation and poverty eradication with due regard to local economies, environmental and social rights.	complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs and poverty eradication. Budget support shall also contribute to the realisation of gender equality.	building , and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable, and inclusive economic growth and jobs and decent job creation, including for young people, and poverty eradication, inequality reduction, and to build and consolidate democracies and peaceful societies. Budget support shall also contribute to gender equality.	
299.	Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits.	Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits. One of the key determinants of that decision shall be	Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits.	Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits.	<i>Provisionally closed on 2 October 2020</i> EP amendment integrated into line 298

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		<i>an assessment of the commitment, record and progress of partner countries with regard to democracy, human rights and the rule of law.</i>			
300.	4. Budget support shall be differentiated in such a way as to respond better to the political, economic and social context of the partner country, taking into account situations of fragility.	4. Budget support shall be differentiated in such a way as to respond better to the political, economic and social context of the partner country, taking into account situations of fragility.	4. Budget support shall be differentiated in such a way as to respond better to the political, economic and social context of the partner country, taking into account situations of fragility and environmental considerations.	4. Budget support shall be differentiated in such a way as to respond better to the political, economic and , social and environmental context of the partner country, taking into account situations of fragility.	<i>Provisionally closed on 2 October 2020</i>
301.	When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall	When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall support the development	When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and	When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall	<i>Provisionally closed on 2 October 2020</i>

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	support the development of parliamentary control, national audit capacities and increased transparency and public access to information.	of parliamentary control, national audit capacities, <i>CSO participation in monitoring</i> and increased transparency and public access to information <i>and development of strong public procurement systems that support local economic development and local businesses.</i>	transparency, and shall support the development of parliamentary control, national audit capacities and increased transparency and public access to information.	support the development of parliamentary control, national audit capacities and increased transparency and public access to information.	
302.	5. Disbursement of the budget support shall be based on indicators demonstrating satisfactory progress being made towards achieving the objectives agreed with the partner country.	5. Disbursement of the budget support shall be based on indicators demonstrating satisfactory progress being made towards achieving the objectives agreed with the partner country.	5. Disbursement of the budget support shall be based on indicators demonstrating satisfactory progress being made towards achieving the objectives agreed with the partner country.	5. Disbursement of the budget support shall be based on indicators demonstrating satisfactory progress being made towards achieving the objectives agreed with the partner country.	<i>Provisionally closed on 2 October 2020</i>
303.	6. Financial instruments under this Regulation may take forms such as loans, guarantees, equity or quasi-equity, investments or participations, and risk-sharing instruments,	6. Financial instruments under this Regulation may take forms such as loans, guarantees, equity or quasi-equity, investments or participations, and risk-sharing instruments,	6. Financial instruments <i>and blending operations</i> under this Regulation may take forms such as	6. Financial instruments <i>and budgetary guarantees</i> under this Regulation may take forms such as loans, guarantees, equity or quasi-equity, investments or	<i>Provisionally closed on 2 October 2020</i>

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	whenever possible and in accordance with the principles laid down in Article 209(1) of the Financial Regulation under the lead of the EIB, a multilateral European finance institution, such as the European Bank for Reconstruction and Development, or a bilateral European finance institution, such as bilateral development banks, possibly pooled with additional other forms of financial support, both from Member States and third parties.	whenever possible and in accordance with the principles laid down in Article 209(1) of the Financial Regulation under the lead of the EIB, a multilateral European finance institution, such as the European Bank for Reconstruction and Development, or a bilateral European finance institution, such as bilateral development banks, possibly pooled with additional other forms of financial support, both from Member States and third parties.	participations, and risk-sharing instruments, whenever possible and shall be implemented in accordance with the principles laid down in Article 209(1) of the Financial Regulation and whenever possible under the lead of the EIB, a multilateral European finance institution, such as the European Bank for Reconstruction and Development, or a bilateral European finance institution, such as bilateral development banks, possibly pooled with additional other forms of financial support, both from Member States and third parties.	participations, and risk-sharing instruments, whenever possible and shall be implemented in accordance with the principles laid down in Article 209(1) of the Financial Regulation and whenever possible under the lead of the EIB, a multilateral European finance institution, such as the European Bank for Reconstruction and Development, or a bilateral European finance institution, such as bilateral development banks, possibly pooled with additional other forms of financial support, both from Member States and third parties.	
304.	Contributions to Union financial instruments under this Regulation may be made by Member States as well	Contributions to Union financial instruments under this Regulation may be made by Member States as well	Contributions to Union financial instruments under this Regulation may be made by Member States as well	Contributions to Union financial instruments under this Regulation may be made by Member States as well	<i>Provisionally closed on 2 October 2020</i>

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	as any entity referred to in Article 62(1)(c) of the Financial Regulation.	as any entity referred to in Article 62(1)(c) of the Financial Regulation.	as any entity referred to in Article 62(1)(c) of the Financial Regulation.	as any entity referred to in Article 62(1)(c) of the Financial Regulation.	
305.	7. Those financial instruments may be grouped into facilities for implementation and reporting purposes.	7. Those financial instruments may be grouped into facilities for implementation application and reporting purposes.	7. Those financial instruments may be grouped into facilities for implementation and reporting purposes.	7. Those financial instruments may be grouped into facilities for {1} and reporting purposes.	<i>Provisionally closed on 2 October 2020 pending governance discussion</i> {1} EP: implementation application CL: implementation
305. bis		<i>7a. The Commission and the EEAS shall not enter into new or renewed operations with entities incorporated or established in jurisdictions defined under the relevant Union policy as non-cooperative, or that are identified as high risk third countries pursuant to Article 9(2) of Directive(EU) 2015/849 of the European</i>			<i>Provisionally closed on 2 October 2020</i> EP amendment moved into debate on excluded activities.

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		<i>Parliament and of the Council, or that do not effectively comply with Union or internationally agreed tax standards on transparency and exchange of information.</i>			
306.	8. The Union's funding shall not generate or activate the collection of specific taxes, duties or charges.	8. The Union's funding shall not generate or activate the collection of specific taxes, duties or charges.	8. The Union's funding shall not generate or activate the collection of specific taxes, duties or charges.	8. The Union's funding shall not generate or activate the collection of specific taxes, duties or charges.	<i>Provisionally closed on 2 October 2020</i>
307.	9. Taxes, duties and charges imposed by partner countries may be eligible for financing under this Regulation.	9. Taxes, duties and charges imposed by partner countries may be eligible for financing under this Regulation.	9. Taxes, duties and charges imposed by partner countries may be eligible for financing under this Regulation.	9. Taxes, duties and charges imposed by partner countries may be eligible for financing under this Regulation.	<i>Provisionally closed on 2 October 2020</i>
307. bis		<i>Article 23a Small projects funds</i>			<i>Provisionally closed on 2 October 2020 Inserted in line 297bis.</i>
307. ter		<i>1. Financing under this Regulation may be provided to small projects funds, aimed at the selection and implementation of projects of limited financial volume.</i>			<i>Provisionally closed on 2 October 2020</i>

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307. quater		2. The beneficiaries of a small project fund shall be civil society organisations.			<i>Provisionally closed on 2 October 2020</i>
307. quinquies		3. The final recipients within a small project fund shall receive support under this Regulation, through the beneficiary, and implement the small projects within that small project fund ('small project').			<i>Provisionally closed on 2 October 2020</i>
307. sexies		4. Where the public contribution to a small project does not exceed EUR 50 000, it shall take the form of unit costs or lump sums or include flat rates.			<i>Provisionally closed on 2 October 2020</i>
308.	Article 24 Eligible persons and entities	Article 24 Eligible persons and entities	Article 24 Eligible persons and entities	Article 24 Eligible persons and entities	<i>Provisionally closed on 2 October 2020</i>
309.	1. Participation in procurement, grant and prize award procedures for actions financed under geographic programmes and under	1. Participation in procurement, grant and prize award procedures for actions financed under geographic programmes and under	1. Participation in procurement, grant and prize award procedures for actions financed under geographic programmes and under	1. Participation in procurement, grant and prize award procedures for actions financed under geographic programmes and under	<i>Provisionally closed on 2 October 2020</i> <i>Linked to discussion on Art.2.9</i>

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	the Civil Society Organisations and Global Challenges programmes shall be open to international organisations and to all other legal entities who are nationals of and, in the case of legal persons, who are also effectively established in, the following countries or territories:	the Civil Society Organisations and Global Challenges programmes shall be open to international organisations and to all other legal entities who are nationals of and, in the case of legal persons, who are also effectively established in, the following countries or territories:	the Civil Society Organisations and Global Challenges programmes shall be open to international organisations and to all other legal entities, including civil society organisations , who are nationals of and, in the case of legal persons, who are also effectively established in, the following countries or territories:	the Civil Society Organisations and Global Challenges programmes shall be open to international organisations and to all other legal entities, including civil society organisations , who are nationals of and, in the case of legal persons, who are also effectively established in, the following countries or territories:	
310.	(a) Member States, beneficiaries of the Regulation (EU) .../...(IPA III), and contracting parties to the Agreement on the European Economic Area;	(a) Member States, beneficiaries of the IPA III Regulation, and contracting parties to the Agreement on the European Economic Area;	(a) Member States, beneficiaries of the Regulation (EU) .../...(IPA III), and contracting parties to the Agreement on the European Economic Area;	(a) Member States, beneficiaries of the IPA III Regulation, and contracting parties to the Agreement on the European Economic Area;	<i>Provisionally closed on 2 October 2020</i>
311.	(b) Neighbourhood partner countries and the Russian Federation when the relevant procedure takes place in the context of the programmes referred to	(b) Neighbourhood partner countries and the Russian Federation when the relevant procedure takes place in the context of the programmes	(b) Neighbourhood partner countries and the Russian Federation when the relevant procedure takes place in the context of the programmes referred to	(b) Neighbourhood partner countries and the Russian Federation when the relevant procedure takes place in the context of the programmes referred to	<i>Provisionally closed on 2 October 2020</i>

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	in Annex I in which it participates;	referred to in Annex I in which it participates;	in Annex I in which it participates;	in Annex I in which it participates;	
312.	(c) developing countries and territories, as included in the list of Official Development Assistance recipients published by the Development Assistance Committee of the Organisation for Economic Cooperation and Development, which are not members of the G-20 group, and overseas countries and territories as defined in Council Decision .../... (EU);	(c) developing countries and territories, as included in the list of Official Development Assistance recipients published by the Development Assistance Committee of the Organisation for Economic Cooperation and Development, which are not members of the G-20 group, and overseas countries and territories as defined in Council Decision .../... (EU);	(c) developing countries and territories, as included in the list of Official Development Assistance recipients published by the Development Assistance Committee of the Organisation for Economic Cooperation and Development, which are not members of the G-20 group, and overseas countries and territories as defined in Council Decision .../... (EU);	(c) developing countries and territories, as included in the list of Official Development Assistance recipients published by the Development Assistance Committee of the Organisation for Economic Cooperation and Development, which are not members of the G-20 group, and overseas countries and territories as defined in Council Decision .../... (EU);	<i>Provisionally closed on 2 October 2020</i>
313.	(d) developing countries, as included in the list of Official Development Assistance recipients, which are members of the G-20 group, and other countries and territories, when the relevant procedure takes	(d) developing countries, as included in the list of Official Development Assistance recipients, which are members of the G-20 group, and other countries and territories, when the relevant procedure takes place in the context of an	(d) developing countries, as included in the list of Official Development Assistance recipients, which are members of the G-20 group, and other countries and territories, when the relevant procedure	(d) developing countries, as included in the list of Official Development Assistance recipients, which are members of the G-20 group, and other countries and territories, when the relevant procedure takes	<i>Provisionally closed on 2 October 2020</i>

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	place in the context of an action financed by the Union under this Regulation in which they participate;	action financed by the Union under this Regulation in which they participate;	takes place in the context of an action financed by the Union under this Regulation in which they participate;	place in the context of an action financed by the Union under this Regulation in which they participate;	
314.	(e) countries for which reciprocal access to external funding is established by the Commission; that access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation; the Commission shall decide on the reciprocal access and on its duration after consultation of the recipient country or countries concerned;	(e) countries for which reciprocal access to external funding is established by the Commission; that access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation; the Commission shall decide on the reciprocal access and on its duration after consultation of the recipient country or countries concerned;	(e) countries for which reciprocal access to external funding is established by the Commission; that access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation; the Commission shall decide on the reciprocal access and on its duration after consultation of the recipient country or countries concerned;	(e) countries for which reciprocal access to external funding is established by the Commission; that access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation; the Commission shall decide on the reciprocal access and on its duration after consultation of the recipient country or countries concerned;	<i>Provisionally closed on 2 October 2020</i>
315.	(f) member countries of the Organisation for Economic Cooperation	(f) member countries of the Organisation for Economic Cooperation	(f) member countries of the Organisation for Economic Cooperation	(f) member countries of the Organisation for Economic Cooperation	<i>Provisionally closed on 2 October 2020 pending governance discussion</i>

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	and Development, in the case of contracts implemented in a Least Developed Country or a Highly Indebted Poor Country, as included in the list of Official Development Assistance recipients.	and Development, in the case of contracts implemented applied in a Least Developed Country or a Highly Indebted Poor Country, as included in the list of Official Development Assistance recipients.	and Development, in the case of contracts implemented in a Least Developed Country or a Highly Indebted Poor Country, as included in the list of Official Development Assistance recipients.	and Development, in the case of contracts {1} in a Least Developed Country or a Highly Indebted Poor Country, as included in the list of Official Development Assistance recipients.	{1} EP: implemented applied CL: implemented
316.	2. Without prejudice to the limitations inherent to the nature and objectives of the action, participation in procurement, grant and prize award procedures for actions financed under the Human Rights and Democracy and Stability and Peace programmes as well as rapid response actions, shall be open without limitations.	2. Without prejudice to the limitations inherent to the nature and objectives of the action, participation in procurement, grant and prize award procedures for actions financed under the Human Rights and Democracy and Stability and Peace programmes as well as rapid response actions, shall be open without limitations.	2. Without prejudice to the limitations inherent to the nature and objectives of the action, participation in procurement, grant and prize award procedures for actions financed under the Human Rights and Democracy and the Peace, Stability and Peace Conflict Prevention programmes as well as rapid response actions, shall be open without limitations.	2. Without prejudice to the limitations inherent to the nature and objectives of the action, participation in procurement, grant and prize award procedures for actions financed under the Human Rights and Democracy and the Peace, Stability and Peace Conflict Prevention programmes as well as rapid response actions, shall be open without limitations.	<i>Provisionally closed on 2 October 2020</i>
317.	3. All supplies and materials financed under this Regulation	3. All supplies and materials financed under this Regulation may	3. All supplies and materials financed under this Regulation	3. All supplies and materials financed under this Regulation	<i>Provisionally closed on 2 October 2020</i>

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	may originate from any country.	originate from any country.	may originate from any country.	may originate from any country.	
318.	4. The rules laid down in this Article shall not apply to, and shall not create, nationality restrictions for natural persons employed or otherwise legally contracted by an eligible contractor or, where applicable, subcontractor.	4. The rules laid down in this Article shall not apply to, and shall not create, nationality restrictions for natural persons employed or otherwise legally contracted by an eligible contractor or, where applicable, subcontractor.	4. The rules laid down in this Article shall not apply to, and shall not create, nationality restrictions for natural persons employed or otherwise legally contracted by an eligible contractor or, where applicable, subcontractor.	4. The rules laid down in this Article shall not apply to, and shall not create, nationality restrictions for natural persons employed or otherwise legally contracted by an eligible contractor or, where applicable, subcontractor.	<i>Provisionally closed on 2 October 2020</i>
319.	5. For actions jointly co-financed by an entity, or implemented in direct or indirect management with entities as referred to point (c) (ii) to (viii) of Article 62(1) of the Financial Regulation, the eligibility rules of those entities shall also apply.	5. For actions jointly co-financed by an entity, or implemented applied in direct or indirect management with entities as referred to point (c) (ii) to (viii) of Article 62(1) of the Financial Regulation, the eligibility rules of those entities shall also apply.	5. For actions jointly co-financed by an entity, or implemented in direct or indirect management with entities as referred to point (c) (ii) to (viii) of Article 62(1) of the Financial Regulation, the eligibility rules of those entities shall also apply.	5. For actions jointly co-financed by an entity, or {1} in direct or indirect management with entities as referred to point (c) (ii) to (viii) of Article 62(1) of the Financial Regulation, the eligibility rules of those entities shall also apply.	<i>Provisionally closed on 2 October 2020 pending governance discussion</i> {1} EP: implemented applied CL: implemented
320.	6. Where donors provide financing to a trust fund established by the Commission or through external	6. Where donors provide financing to a trust fund established by the Commission or through external assigned	6. Where donors provide financing to a trust fund established by the Commission or through external	6. Where donors provide financing to a trust fund established by the Commission or through external	<i>Provisionally closed on 2 October 2020</i>

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	assigned revenues, the eligibility rules in the constitutive act of the trust fund or in the agreement with the donor in case of external assigned revenues shall apply.	revenues, the eligibility rules in the constitutive act of the trust fund or in the agreement with the donor in case of external assigned revenues shall apply.	assigned revenues, the eligibility rules in the constitutive act of the trust fund or in the agreement with the donor in case of external assigned revenues shall apply.	assigned revenues, the eligibility rules in the constitutive act of the trust fund or in the agreement with the donor in case of external assigned revenues shall apply.	
321.	7. In the case of actions financed under this Regulation and by another Union Programme, eligible entities under any of those Programmes shall be considered eligible.	7. In the case of actions financed under this Regulation and by another Union Programme, eligible entities under any of those Programmes shall be considered eligible.	7. In the case of actions financed under this Regulation and by another Union Programme, eligible entities under any of those Programmes shall be considered eligible.	7. In the case of actions financed under this Regulation and by another Union Programme, eligible entities under any of those Programmes shall be considered eligible.	<i>Provisionally closed on 2 October 2020</i>
322.	8. In the case of multi-country actions legal entities who are nationals of and, in the case of legal entities who are also effectively established in, the countries and territories covered by the action may be considered eligible.	8. In the case of multi-country actions legal entities who are nationals of and, in the case of legal entities who are also effectively established in, the countries and territories covered by the action may be considered eligible.	8. In the case of multi-country actions legal entities who are nationals of and, in the case of legal entities who are also effectively established in, the countries and territories covered by the action may be considered eligible.	8. In the case of multi-country actions legal entities who are nationals of and, in the case of legal entities who are also effectively established in, the countries and territories covered by the action may be considered eligible.	<i>Provisionally closed on 2 October 2020</i>

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323.	9. The eligibility rules of this Article may be restricted with regard to the nationality, geographical location or nature of applicants, where such restrictions are required on account of the specific nature and the objectives of the action and where they are necessary for its effective implementation.	9. The eligibility rules of this Article may be restricted with regard to the nationality, geographical location or nature of applicants, where such restrictions are required on account of the specific nature and the objectives of the action and where they are necessary for its effective implementation application. Nationality restrictions shall not apply to international organisations.	9. The eligibility rules of this Article may be restricted with regard to the nationality, geographical location or nature of applicants, where such restrictions are required on account of the specific nature and the objectives of the action and where they are necessary for its effective implementation.	9. The eligibility rules of this Article may be restricted with regard to the nationality, geographical location or nature of applicants, where such restrictions are required on account of the specific nature and the objectives of the action and where they are necessary for its effective implementation {1} .	<i>Provisionally closed on 2 October 2020 pending governance discussion</i> {1} EP: implementation application CL: implementation
324.	10. Tenderers, applicants and candidates from non-eligible countries may be accepted as eligible in the case of urgency or the unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the	10. Tenderers, applicants and candidates from non-eligible countries may be accepted as eligible in the case of urgency or the unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the eligibility rules would	10. Tenderers, applicants and candidates from non-eligible countries may be accepted as eligible in the case of urgency or the unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of	10. Tenderers, applicants and candidates from non-eligible countries may be accepted as eligible in the case of urgency or the unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the	<i>Provisionally closed on 2 October 2020</i>

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	eligibility rules would make the realisation of an action impossible or exceedingly difficult.	make the realisation of an action impossible or exceedingly difficult.	the eligibility rules would make the realisation of an action impossible or exceedingly difficult.	eligibility rules would make the realisation of an action impossible or exceedingly difficult.	
325.	11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation.	11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors, while paying attention to their track record in environmental sustainability or fair trade when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation. In all cases sustainability and due diligence criteria shall be applied.	11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation.	11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation. Sustainability and due diligence criteria shall be promoted.	<i>Provisionally closed on 2 October 2020</i>
326.	12. Under the Democracy and Human	12. Under the Democracy and Human	12. Under the Democracy and	12. Under the Democracy and Human	<i>Provisionally closed on 2 October 2020</i>

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	Rights programme, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.	Rights programme, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.	Human Rights programme, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.	Rights programme, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.	
326. bis		<i>12a. The Neighbourhood, Development and International Cooperation Instrument shall not support actions that, according to the environmental screening referred to in Article 21, cause harm to the environment or climate. Allocations shall be fully compatible with the Paris Agreement and overall, European financing dedicated to external action shall contribute to the Paris agreement's long term objectives. In</i>			<i>Provisionally closed</i>

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		<i>particular, the instrument shall not support:</i>			
326. ter		<i>(a) Actions incompatible with recipient countries' Nationally Determined Contributions under the Paris Agreement;</i>			<i>Provisionally closed</i>
326. qua-ter		<i>(b) investment in upstream, midstream and downstream fossil fuels.</i>			<i>Provisionally closed</i>
326. quinquies				<i>Art.24 bis Excluded activities</i>	<i>Provisionally closed</i> Open to new placement
326. sexies				<i>Union funding under this Regulation shall not support actions and measures that:</i>	<i>Provisionally closed</i>
326. septies					
326. octies					
326. nonies				{1}	<i>To be discussed again after agreement on nuclear safety mention.</i> {1}

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					<p>EP: <i>c) support the development of nuclear energy, except for loans provided in accordance with [the European Instrument for Nuclear Safety] Regulation, and cooperation with third countries in the peaceful use of nuclear energy in the areas of health, agriculture and food safety, ensuring full compliance with the highest international standards;</i></p> <p>CL: no text</p>
327.	Article 25 Carry-overs, annual instalments, commitment appropriations, re-payments and revenue generated by financial instruments	Article 25 Carry-overs, annual instalments, commitment appropriations, re-payments and revenue generated by financial instruments	Article 25 Carry-overs, annual instalments, commitment appropriations, re-payments and revenue generated by financial instruments	Article 25 Carry-overs, annual instalments, commitment appropriations, re-payments and revenue generated by financial instruments and budgetary guarantees	<i>Provisionally closed</i>

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328.	1. In addition to Article 12(2) of the Financial Regulation, unused commitment and payment appropriations under this Regulation shall be automatically carried over and may be committed up to 31 December of the following financial year. The carried-over amount shall be used first in the following financial year.	1. In addition to Article 12(2) of the Financial Regulation, unused commitment and payment appropriations under this Regulation shall be automatically carried over and may be committed up to 31 December of the following financial year. The carried-over amount shall be used first in the following financial year.	1. In addition to Article 12(2) of the Financial Regulation, unused commitment and payment appropriations under this Regulation shall be automatically carried over and may be respectively committed and used up to 31 December of the following financial year. The carried-over amount shall be used first in the following financial year.	1. In addition to Article 12(2) of the Financial Regulation, unused commitment and payment appropriations under this Regulation shall be automatically carried over and may be respectively committed and used up to 31 December of the following financial year. The carried-over amount shall be used first in the following financial year.	<i>Provisionally closed</i>
329.	The Commission shall inform the European Parliament and the Council of carried over commitment appropriations in line with Article 12(6) of the Financial Regulation.	The Commission shall inform submit to the European Parliament and to the Council of carried over commitment information on appropriations which were automatically carried over, including the amounts involved, in line with Article 12(6) of the Financial Regulation.	The Commission shall inform the European Parliament and the Council of carried over commitment appropriations in line with Article 12(6) of the Financial Regulation.	The Commission shall inform the European Parliament and the Council of carried over commitment appropriations in line with Article 12(6) of the Financial Regulation.	<i>Provisionally closed</i>
330.	2. In addition to the rules laid down in	2. In addition to the rules laid down in Article 15	2. In addition to the rules laid down in	2. In addition to the rules laid down in	

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	<p>Article 15 of the Financial Regulation on making appropriations available again, commitment appropriations corresponding to the amount of decommitments made as a result of total or partial non implementation of an action under this Regulation shall be made available again to the benefit of the budget line of origin.</p>	<p>of the Financial Regulation on making appropriations available again, commitment appropriations corresponding to the amount of decommitments made as a result of total or partial non implementation of an action under this Regulation shall be made available again to the benefit of the budget line of origin.</p>	<p>Article 15 of the Financial Regulation on making appropriations available again, commitment appropriations corresponding to the amount of decommitments made as a result of total or partial non implementation of an action under this Regulation shall be made available again to the benefit of the budget line of origin.</p>	<p>Article 15 of the Financial Regulation on making appropriations available again, commitment appropriations corresponding to the amount of decommitments made as a result of total or partial non implementation of an action under this Regulation shall be made available again to the benefit of the budget line of origin.</p>	
331.	<p>References to Article 15 of the Financial Regulation in Article 12(1)(b) of Regulation laying down the multi annual financial framework shall be understood as including a reference to this paragraph for the purpose of this Regulation.</p>	<p>References to Article 15 of the Financial Regulation in Article 12(1)(b) of Regulation laying down the multi annual financial framework shall be understood as including a reference to this paragraph for the purpose of this Regulation.</p>	<p>References to Article 15 of the Financial Regulation in Article 12(1)(b) of Regulation laying down the multi annual financial framework shall be understood as including a reference to this paragraph for the purpose of this Regulation.</p>		<p><i>Provisionally closed</i></p>

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332.	3. Budgetary commitments for actions extending over more than one financial year may be broken down over several years into annual instalments, in line with Article 112(2) of the Financial Regulation.	3. Budgetary commitments for actions extending over more than one financial year may be broken down over several years into annual instalments, in line with Article 112(2) of the Financial Regulation.	3. Budgetary commitments for actions extending over more than one financial year may be broken down over several years into annual instalments, in line with Article 112(2) of the Financial Regulation.	3. Budgetary commitments for actions extending over more than one financial year may be broken down over several years into annual instalments, in line with Article 112(2) of the Financial Regulation.	<i>Provisionally closed</i>
333.	The third subparagraph of Article 114(2) of the Financial Regulation shall not apply to these multiannual actions. The Commission shall automatically de-commit any portion of a budgetary commitment for an action that by 31 December of the fifth year following that of the budgetary commitment has not been used for the purpose of pre-financing or making interim payments or for which no certified	The third subparagraph of Article 114(2) of the Financial Regulation shall not apply to these multiannual actions. The Commission shall automatically de-commit any portion of a budgetary commitment for an action that by 31 December of the fifth year following that of the budgetary commitment has not been used for the purpose of pre-financing or making interim payments or for which no certified statement of	The third subparagraph of Article 114(2) of the Financial Regulation shall not apply to these multiannual actions. The Commission shall automatically de-commit any portion of a budgetary commitment for an action that by 31 December of the fifth year following that of the budgetary commitment has not been used for the purpose of pre-financing or making interim payments or for	The third subparagraph of Article 114(2) of the Financial Regulation shall not apply to these multiannual actions. The Commission shall automatically de-commit any portion of a budgetary commitment for an action that by 31 December of the fifth year following that of the budgetary commitment has not been used for the purpose of pre-financing or making interim payments or for	<i>Provisionally closed</i>

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	statement of expenditure or any payment request has been submitted.	expenditure or any payment request has been submitted.	which no certified statement of expenditure or any payment request has been submitted.	statement of expenditure or any payment request has been submitted.	
334.	Paragraph 2 of this Article shall also apply to annual instalments.	Paragraph 2 of this Article shall also apply to annual instalments.	Paragraph 2 of this Article shall also apply to annual instalments.	Paragraph 2 of this Article shall also apply to annual instalments.	<i>Provisionally closed</i>
335.	4. By way of derogation from Article 209(3) of the Financial Regulation repayments and revenues generated by a financial instrument shall be assigned to the budget line of origin as internal assigned revenue after deduction of management costs and fees. Every five years, the Commission shall examine the contribution made to the achievement of Union objectives, and the effectiveness, of existing financial instruments.	4. By way of derogation from Article 209(3) of the Financial Regulation repayments and revenues generated by a financial instrument shall be assigned to the budget line of origin as internal assigned revenue after deduction of management costs and fees. Every five years, the Commission shall examine the contribution made to the achievement of Union objectives, and the effectiveness, of existing financial instruments.	4. By way of derogation from Article 209(3) of the Financial Regulation repayments and revenues generated by a financial instrument and budgetary guarantees shall be assigned to the budget line of origin as internal assigned revenue after deduction of management costs and fees. Every five years, the Commission shall examine the contribution made to the achievement of Union objectives, and the effectiveness, of	4. By way of derogation from Article 209(3) of the Financial Regulation repayments and revenues generated by a financial instrument and budgetary guarantees shall be assigned to the budget line of origin as internal assigned revenue after deduction of management costs and fees. Every five years, the Commission shall examine the contribution made to the achievement of Union objectives, and the effectiveness, of existing financial instruments.	<i>Provisionally closed</i>

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			existing financial instruments.		
336.	Chapter IV EFSD+, budgetary guarantees and financial assistance to third countries	Chapter IV EFSD+, budgetary guarantees and financial assistance to third countries	Chapter IV EFSD+, budgetary guarantees and financial assistance to third countries	Chapter IV EFSD+, budgetary guarantees and financial assistance to third countries	<i>Provisionally closed on 2 October 2020</i>
337.	Article 26 Scope and financing	Article 26 Scope and financing	Article 26 Scope and financing	Article 26 Scope and financing	<i>Provisionally closed on 2 October 2020</i>
338.	1. The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee.	1. The financial envelope referred to in Article (6)(2)(a) shall finance The European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee <i>shall be financed through the financial envelopes for geographic programmes referred to in point (a) of Article 6(2), whilst ensuring that this financing is not to the detriment of other actions supported by geographic programmes.</i>	1. The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee.	1. The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee.	<i>Provisionally closed on 2 October 2020</i>

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<p>339.</p>	<p>The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio-economic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as</p>	<p>The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in the form of grants, guarantees and other financial instruments as set out in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, while maximising additionality, delivering innovative products and crowding in private sector, in order to foster sustainable and inclusive economic, environmental and social development, and industrialisation and a stable investment environment, in order to promote the socio-economic and environmental resilience</p>	<p>The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in the form of grants, technical assistance, financial instruments, budgetary guarantees and blending operations referred to in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to as a means of contributing to the achievement of the objectives and principles referred to in Articles 3 and 8 of this Regulation, and, where relevant, with the objectives of [IPA III]. The EFSD+ shall in particular</p>	<p>The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in the form of grants, technical assistance, financial instruments, budgetary guarantees and blending operations referred to in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, as a means of contributing to the achievement of the objectives and principles referred to in Articles 3 and 8 of this Regulation, and, where relevant, the objectives of [IPA III] while maximising additionality and development impact and delivering</p>	<p><i>Provisionally closed pending climate discussions</i></p> <p>{1} Part of the targets discussion</p> <p>EP: 45 % of the financing shall be allocated to investments that contribute to climate objectives, environmental</p>
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	<p>addressing specific socioeconomic root causes of irregular migration, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.</p>	<p>in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, <i>climate change adaptation and mitigation, environmental protection and management</i>, the creation of decent jobs <i>in compliance with relevant ILO standards, in particular for vulnerable groups, including women and young people</i>, economic opportunities, skills and entrepreneurship, socioeconomic sectors, <i>with a focus on social enterprises and cooperatives in view of their potential to reduce poverty, inequalities, and promote human rights and livelihoods, supporting</i> micro, small and medium-sized enterprises as well as</p>	<p>foster sustainable and inclusive economic and social development and promote the socio-economic resilience in partner countries with a particular focus on the; eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises, <i>sustainable connectivity, the fight against climate change and the management and protection of the environment, gender equality and the empowerment of women and young people</i>, as well as addressing specific</p>	<p><i>innovative products, including to micro, small and medium-sized enterprises. The EFSD+ shall in particular</i> foster sustainable and inclusive economic, <i>environmental and social development, transition into sustainable value-added economy, a stable investment environment, in order to</i> and promote the socio-economic <i>and environmental</i> resilience in partner countries with a particular focus on the; eradication of poverty, <i>contributing to the reduction of socio-economic inequalities,</i> sustainable and inclusive growth, <i>climate change adaptation and</i></p>	<p><i>management and protection, biodiversity and combatting desertification, of which 30 % of the overall financial envelope shall be dedicated to climate change mitigation and adaptation.</i></p> <p>CL: No text</p>
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		<p>addressing specific socioeconomic root causes of irregular migration and forced displacement, and contributing to the sustainable reintegration of returned migrants in their countries of origin, in accordance with the relevant indicative programming documents. 45 % of the financing shall be allocated to investments that contribute to climate objectives, environmental management and protection, biodiversity and combatting desertification, of which 30 % of the overall financial envelope shall be dedicated to climate change mitigation and adaptation. Special attention, and additional support for institutional</p>	<p>socioeconomic root causes of irregular migration and root causes of forced displacement, in accordance with the relevant indicative programming documents and while maximising additionality and development impact, delivering innovative products and crowding in private sector funds, including micro, small and medium-sized enterprises. Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries.</p>	<p>mitigation, environmental protection and management, the creation of decent jobs on the basis of the core ILO labour standards, economic opportunities, skills and entrepreneurship, socioeconomic sectors, including social enterprises and cooperatives, micro, small and medium-sized enterprises, sustainable connectivity, the support to vulnerable groups, the promotion of human rights, gender equality and the empowerment of women and young people, as well as addressing specific socioeconomic root causes of irregular migration and root causes of forced displacement, in</p>	
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		<p><i>capacity building, economic governance, and technical assistance</i>, shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries. <i>The External Action Guarantee shall be used in addition to the government's investment in essential public services, which remain a governmental responsibility.</i></p>		<p>accordance with the <i>priority areas outlined in Annex V</i> and relevant indicative programming documents. {1} Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries, <i>including by providing support for institutional capacity building, economic governance and technical assistance.</i></p>	
340.	<p>2. The External Action Guarantee shall support the EFSD+ operations covered by budgetary guarantees in accordance with Articles 27, 28 and 29</p>	<p>2. The External Action Guarantee shall support the EFSD+ operations covered by budgetary guarantees in accordance with Articles 27, 28 and 29 of this Regulation,</p>	<p>2. The External Action Guarantee shall support the EFSD+ operations covered by budgetary guarantees in accordance with Articles 27, 28 and 29</p>	<p>2. The External Action Guarantee shall support the EFSD+ operations covered by budgetary guarantees in accordance with Articles 27, 28 and 29</p>	<p><i>Provisionally closed on 2 October 2020</i></p>

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	of this Regulation, macro-financial assistance and loans to third countries referred to in Article 10(2) of Regulation EINS.	macro-financial assistance and loans to third countries referred to in Article 10(2) of Regulation EINS.	of this Regulation, <i>The External Action Guarantee shall also support</i> macro-financial assistance and loans to third countries referred to in Article 10(2) of Regulation EINS.	of this Regulation. <i>The External Action Guarantee shall also support</i> macro-financial assistance and loans to third countries referred to in Article 10(2) of Regulation EINS.	
341.	3. Under the External Action Guarantee, the Union may guarantee operations, signed between 1 January 2021 and 31 December 2027, up to [EUR 60 000 000 000].	3. Under the External Action Guarantee, the Union may guarantee operations, signed between 1 January 2021 and 31 December 2027, up to EUR 60 000 000 000. <i>That ceiling shall be reviewed in the context of the mid-term evaluation report pursuant to Article 32.</i>	3. Under the External Action Guarantee, the Union may guarantee operations, signed <i>under Guarantee Agreements approved</i> between 1 January 2021 and 31 December 2027, up to EUR 60 000 53 449 000 000 <i>million.</i>	3. Under the External Action Guarantee, the Union may guarantee operations, signed <i>under Guarantee Agreements signed</i> between 1 January 2021 and 31 December 2027, up to {1}.	<i>Provisionally closed pending overall agreement on the budget</i> Reference to mid-term review included in Article 32 {1} CL: EUR 53 449 million EP: EUR 60 000 million
342.	4. The provisioning rate shall range between 9%	4. The provisioning rate shall range between 9 % and 50 % depending on	4. The provisioning rate shall range between 9 % and 50 %	4. The provisioning rate shall range between 9 % and 50 % depending on	<i>Trilogue agreement: Amount limiting the provisioning,</i>

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	and 50% depending on the type of operations.	the type of operations. <i>A maximum amount of EUR 10 billion shall be provisioned from the Union budget through a specific budget line in the framework of the annual budgetary procedure or through a budget transfer. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend this maximum amount if the need arises.</i>	depending on the type of operations.	the type of operations. {1}	<i>modifiable by a flexible procedure, to be finalised in the governance cluster.</i> {1} <i>EP: A maximum amount of EUR 10 billion shall be provisioned from the Union budget. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend this maximum amount if the need arises.</i> CL: no text
343.	The provisioning rate for the External Action Guarantee shall be 9% for the Union's macro-financial assistance and for budgetary guarantees covering sovereign risks	The provisioning rate for the External Action Guarantee shall be 9 % for the Union's macro-financial assistance and for budgetary guarantees covering sovereign risks	The provisioning rate for the External Action Guarantee shall be 9 % for the Union's macro-financial assistance and for budgetary guarantees covering sovereign risks	The provisioning rate for the External Action Guarantee shall be 9 % for the Union's macro-financial assistance and for budgetary guarantees covering sovereign risks	<i>Provisionally closed on 2 October 2020</i>

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	associated with lending operations.	associated with lending operations.	associated with lending operations.	associated with lending operations.	
344.	The provisioning rates shall be reviewed every three years from the date of application of this Regulation laid down in Article 40. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend these rates.	The provisioning rates shall be reviewed every three two years starting from the date of application of this Regulation laid down in Article 40. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend these rates, and the financial amounts involved.	The provisioning rates shall be reviewed every three years from the date of application of this Regulation laid down in Article 40. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend these rates.	The provisioning rates shall be reviewed at least every three years from the date of application of this Regulation laid down in Article 40. {1}	<i>Provisionally closed on 2 October 2020 pending governance discussion (cluster 8)</i> {1} EP: The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend these rates. CL: no text
345.	5. The External Action Guarantee shall be considered as a single guarantee in the common provisioning fund established by Article 212 of the Financial Regulation.	5. The External Action Guarantee shall be considered as a single guarantee in the common provisioning fund established by Article 212 of the Financial Regulation.	5. The External Action Guarantee shall be considered as a single guarantee in the common provisioning fund established by Article 212 of the Financial Regulation.	5. The External Action Guarantee shall be considered as a single guarantee in the common provisioning fund established by Article 212 of the Financial Regulation.	<i>Provisionally closed on 2 October 2020</i>

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346.	6. The EFSD+ and the External Action Guarantee may support financing and investment operations in partner countries in the geographical areas referred to in Article 4(2). The provisioning of the External Action Guarantee shall be financed from the budget of the relevant geographic programmes established by Article 6(2)(a) and shall be transferred into the common provisioning fund. The EFSD+ and the External Action Guarantee may also support operations in beneficiaries listed in Annex I of Regulation IPA III. The funding for these operations under the EFSD+ and for the provisioning of the External Action Guarantee shall be	6. The EFSD+ and the External Action Guarantee may support financing and investment operations in partner countries in the geographical areas referred to in Article 4(2). The provisioning of the External Action Guarantee shall be financed from the budget of the relevant geographic programmes established by Article 6(2)(a) and shall be transferred into the common provisioning fund. <i>The geographic distribution of EFSD+ operations shall, to the maximum extent possible, also reflect the relative weight of the financial allocations for the different regions as outlined in point (a) of Article 6(2).</i> The EFSD+ and the External Action Guarantee may also	6. The EFSD+ and the External Action Guarantee may support financing and investment operations in partner countries in the geographical areas referred to in Article 4(2). The provisioning of the External Action Guarantee shall be financed from the budget of the relevant geographic programmes established by Article 6(2)(a) and shall be transferred into the common provisioning fund. The EFSD+ and the External Action Guarantee may also support operations in beneficiaries listed in Annex I of Regulation [IPA III]. The funding for these operations under the EFSD+ and for the provisioning of the External Action	6. The EFSD+ and the External Action Guarantee may support financing and investment operations in partner countries in the geographical areas referred to in Article 4(2). The provisioning of the External Action Guarantee shall be financed from the budget of the relevant geographic programmes established by Article 6(2)(a) and shall be transferred into the common provisioning fund. The EFSD+ and the External Action Guarantee may also support operations in beneficiaries listed in Annex I of Regulation [IPA III]. The funding for these operations under the EFSD+ and for the provisioning of the External Action Guarantee shall be	<i>Provisionally closed on 2 October 2020</i>
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	financed from the Regulation IPA. The provisioning of the External Action Guarantee for loans to third countries referred to in Article 10 (2) of Regulation EINS shall be financed from Regulation EINS.	support operations in beneficiaries listed in Annex I of the IPA III Regulation. The funding for these operations under the EFSD+ and for the provisioning of the External Action Guarantee shall be financed from the Regulation IPA. The provisioning of the External Action Guarantee for loans to third countries referred to in Article 10 (2) of Regulation EINS shall be financed from Regulation EINS.	Guarantee shall be financed from the Regulation IPA. The provisioning of the External Action Guarantee for loans to third countries referred to in Article 10 (2) of Regulation EINS shall be financed from Regulation EINS.	financed from the Regulation IPA. The provisioning of the External Action Guarantee for loans to third countries referred to in Article 10 (2) of Regulation EINS shall be financed from Regulation EINS.	
347.	7. The provisioning referred to in Article 211(2) of the Financial Regulation shall be constituted on the basis of the Union's total outstanding liabilities arising from each operation, including operations signed before 2021 and	7. The provisioning referred to in Article 211(2) of the Financial Regulation shall be constituted on the basis of the Union's total outstanding liabilities arising from each operation, including operations signed before 2021 and guaranteed by	7. The provisioning referred to in Article 211(2) of the Financial Regulation shall be constituted on the basis of the Union's total outstanding liabilities arising from each operation, including operations signed before 2021 and	7. The provisioning referred to in Article 211(2) of the Financial Regulation shall be constituted on the basis of the Union's total <i>outstanding</i> liabilities <i>authorised under the present Regulation arising from each</i>	<i>Provisionally closed on 2 October 2020</i>

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	guaranteed by the Union. The annual amount of provisioning required may be constituted during a period of up to seven years.	the Union. The annual amount of provisioning required may be constituted during a period of up to seven years.	guaranteed by the Union. The annual amount of provisioning required may be constituted during a period of up to seven years.	<i>operation, including operations signed before 2021 and guaranteed by the Union.</i> The annual amount of provisioning required may be constituted during a period of up to seven years. <i>The provisioning of guarantees authorised under Regulation (EU) 2017/1601 and of guarantees, financial assistance and Euratom loans to third countries authorised under basic acts whose provisioning is governed by Regulation (EC, Euratom) No 480/2009 shall continue to follow the provisions of those Regulations.</i>	
348.	8. The balance of assets by 31 December 2020	8. The balance of assets by 31 December 2020 in	8. The balance of assets by 31 December	8. The balance of assets by 31 December 2020	<i>Provisionally closed on 2 October 2020</i>

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	in the EFSD Guarantee Fund and in the Guarantee fund for external actions established respectively by Regulation EU 2017/1601 of the European Parliament and the Council and Council Regulation (EC, Euratom) No 480/2009 shall be transferred into the common provisioning fund for the purpose of provisioning its respective operations under the same single guarantee provided for in paragraph 4 of this Article.	the EFSD Guarantee Fund and in the Guarantee fund for external actions established respectively by Regulation EU 2017/1601 of the European Parliament and the Council and Council Regulation (EC, Euratom) No 480/2009 shall be transferred into the common provisioning fund for the purpose of provisioning its respective operations under the same single guarantee provided for in paragraph 4 of this Article.	2020 in the EFSD Guarantee Fund and in the Guarantee fund for external actions established respectively by Regulation EU 2017/1601 of the European Parliament and the Council and Council Regulation (EC, Euratom) No 480/2009 shall be transferred into the common provisioning fund for the purpose of provisioning its respective operations under the same single guarantee provided for in paragraph 4 of this Article.	in the EFSD Guarantee Fund and in the Guarantee fund for external actions established respectively by Regulation EU 2017/1601 of the European Parliament and the Council and Council Regulation (EC, Euratom) No 480/2009 shall be transferred into the common provisioning fund for the purpose of provisioning its respective operations under the same single guarantee provided for in paragraph 4 of this Article.	
348. bis					<i>Provisionally closed, linked to line 339</i>
348. bis bis		Article 26a <i>Objectives for the EFSD+</i>	Article 26 bis <i>Structure of the EFSD+</i>	Article 26 bis <i>Structure of the EFSD+</i>	<i>Provisionally closed on 2 October 2020</i>
348. ter		1. The EFSD+ operations eligible for support through the	1. The EFSD+ shall be composed of regional investment	1. The EFSD+ shall be composed of regional investment platforms	<i>Provisionally closed on 2 October 2020</i>

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		<p><i>External Action Guarantee shall contribute to the following priority areas:</i></p>	<p><i>platforms within the regional areas laid down in Article 4(2) and established on the basis of the working methods, procedures and structures of the existing external blending facilities of the Union, which may combine their blending operations and External Action Guarantee operations under the EFSD+.</i></p>	<p><i>within the regional areas laid down in Article 4(2) and the IPA Regulation and established on the basis of the working methods, procedures and structures of the existing external blending facilities of the Union, which may combine their blending operations and External Action Guarantee operations under the EFSD+.</i></p>	
348. quater		<p><i>(a) providing finance and support to private, cooperative and social enterprise sector development to contribute to sustainable development in its economic, social and environmental dimensions with a particular focus on the eradication of poverty and, where appropriate, the European</i></p>			<p><i>Provisionally closed on 2 October 2020</i></p>

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		<i>Neighbourhood Policy and the objectives set out in Article 3 of the of Regulation EU.../... [IPA III];</i>			
348. quin-ques		<i>(b) addressing bottlenecks to private investments, in particular by ensuring the legal security of investments;</i>			<i>Provisionally closed on 2 October 2020</i>
348. sexies		<i>(c) leveraging private sector financing, with a particular focus on micro, small and medium-sized enterprises;</i>			<i>Provisionally closed on 2 October 2020</i>
348. septies		<i>(d) strengthening socio-economic sectors and areas and related public and private infrastructure and sustainable connectivity and sustainable production, with the objective of promoting an inclusive and sustainable socio-economic development that respects human</i>			<i>Provisionally closed on 2 October 2020</i>

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		<i>rights and the environment;</i>			
348. octies		<i>(e) contributing to climate action and environmental protection and management;</i>			<i>Provisionally closed on 2 October 2020</i>
348. nonies		<i>(f) contributing, by promoting sustainable development, to addressing specific root causes of migration, including irregular migration and forced displacement, and contribute to safe, orderly and regular migration and mobility.</i>			<i>Provisionally closed on 2 October 2020</i>
348. decies			<i>2. The management of the EFSD+ shall be ensured by the Commission.</i>	<i>2. The management of the EFSD+ shall be ensured by the Commission.</i>	<i>Provisionally closed on 2 October 2020</i>
348. un-decies			<i>Article 26 ter Strategic board of the EFSD+</i>	<i>Article 26 ter Strategic board of the EFSD+</i>	<i>Provisionally closed on 2 October 2020</i>
348. duo-decies			<i>1. In the management of the EFSD+ the Commission shall be advised by a strategic board, except in the</i>	<i>1. In the management of the EFSD+ the Commission shall be advised by a strategic board, except in the</i>	<i>Provisionally closed pending IPA agreement</i>

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			<i>case of the operations covering the Western Balkans' beneficiaries of [IPA III], which shall have its specific strategic board.</i>	<i>case of the operations covering the Western Balkans' beneficiaries of [IPA III], which shall have its specific strategic board.</i>	
348. ter-decies			<i>2. The strategic board shall advise the Commission on the strategic orientation and priorities of External Action Guarantee investments under the EFSD+, including for the windows defined in article 27bis(1) and contribute to their alignment with the guiding principles and objectives of the Union's external action, development policy, European Neighbourhood Policy, as well as with the objectives set out in Articles 3 of this Regulation and the purpose of the EFSD+</i>	<i>2. The EFSD+ strategic board shall advise the Commission on the strategic orientation and priorities of External Action Guarantee investments under the EFSD+, including for the windows referred to in Article 27bis, and contribute to their alignment with the guiding principles and objectives of the Union's external action, development policy, European Neighbourhood policy, as well as with the objectives set out in Articles 3 of this Regulation and the purpose of the EFSD+</i>	<i>Provisionally closed</i>

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			<i>as set out in Article 26. It shall also support the Commission in setting overall investment goals as regards the use of the External Action Guarantee to support EFSD+ operations and monitor an appropriate and diversified geographical and thematic coverage for investment windows.</i>	<i>as set out in Article 26. It shall also support the Commission in setting overall investment goals as regards the use of the External Action Guarantee to support EFSD+ operations and monitor an appropriate and diversified geographical and thematic coverage for investment windows.</i>	
348. quater-decies			<i>3. The strategic board shall also support overall coordination, complementarity and coherence between the regional investment platforms, between the three pillars of the External Investment Plan, between the External Investment Plan and the Union's other efforts on migration and on the implementation of the</i>	<i>3. The EFSD+ strategic board shall also support overall coordination, complementarity and coherence between the regional investment platforms, between the three pillars of the External Investment Plan, between the External Investment Plan and the Union's other efforts on migration and on the</i>	<i>Provisionally closed on 2 October 2020</i>

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			<i>2030 Agenda, including the fight against climate change, as well as with other programmes set out in this Regulation.</i>	<i>implementation of the 2030 Agenda, including the fight against climate change, as well as with Union external programmes and financing instruments.</i>	
348. quindecies			<i>4. The strategic board shall be composed of representatives of the Commission and of the High Representative, of all Member States and of the EIB. The European Parliament shall have observer status. Contributors, eligible counterparts, partner countries, relevant regional organisations and other stakeholders may be given observer status, where appropriate. The strategic board shall be consulted prior to</i>	<i>4. The EFSD+ strategic board shall be composed of representatives of the Commission and of the High Representative, of all Member States and of the European Investment Bank. The European Parliament shall have observer status. Contributors, eligible counterparts, partner countries, relevant regional organisations and other stakeholders may be given observer status, where appropriate. The EFSD+ strategic board</i>	<i>Provisionally closed on 2 October 2020</i>

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			<i>the inclusion of any new observer. The strategic board shall be co-chaired by the Commission and the High Representative.</i>	<i>shall be consulted prior to the inclusion of any new observer. The EFSD+ strategic board shall be co-chaired by the Commission and the High Representative.</i>	
348. sex-decies			<i>5. The strategic board shall meet at least twice a year and, when possible, adopt opinions by consensus. Additional meetings may be organised at any time by the chair or at the request of one third of its members. Where consensus cannot be reached, the voting rights as agreed during the first meeting of the strategic board and laid down in its rules of procedure shall apply. The rules of procedure shall set out the framework</i>	<i>5. The EFSD+ strategic board shall meet at least twice a year and, when possible, adopt opinions by consensus. Additional meetings may be organised at any time by the chair or at the request of one third of its members. Where consensus cannot be reached, the voting rights as agreed during the first meeting of the EFSD+ strategic board and laid down in its rules of procedure shall apply. The rules of procedure shall set out the framework regarding the role of observers. The minutes</i>	<i>Provisionally closed on 2 October 2020</i>

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			<i>regarding the role of observers. The minutes and agendas of the meetings of the strategic board shall, following their adoption, be made public.</i>	<i>and agendas of the meetings of the EFSD+ strategic board shall, following their adoption, be made public.</i>	
348. sept-decies			<i>6. The strategic board shall examine the annual report submitted by the Commission on progress made in respect of the implementation of the EFSD+. The strategic board covering the Western Balkans shall inform on progress made on the implementation of the guarantee instrument for the Western Balkans region to complement the above-mentioned reporting. The strategic board shall examine the</i>	<i>6. The Commission shall report annually to the EFSD+ strategic board about the progress made in respect of the implementation of the EFSD+. The strategic board covering the Western Balkans shall inform on progress made on the implementation of the guarantee instrument for that region to complement the above mentioned reporting. The strategic board shall examine the evaluations referred to in Article 31(5) and</i>	<i>Provisionally closed pending IPA agreement</i>

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			<i>evaluations referred to in Article 31(5) and shall take them into account.</i>	<i>shall take them into account.</i>	
348. octo-decies			<i>The strategic board shall regularly organise a consultation of relevant stakeholders on the strategic orientation and implementation of the EFSD+.</i>	<i>The EFSD+ strategic board shall regularly organise a consultation of relevant stakeholders on the strategic orientation and implementation of the EFSD+.</i>	<i>Provisionally closed on 2 October 2020</i>
348. novo-decies			<i>7. The existence of the two strategic boards does not bear influence on the need to have a single, unified EFSD+ risk management framework.</i>	<i>7. The existence of the EFSD+ strategic board and the strategic board covering the Western Balkans does not bear influence on the need to have a single, unified EFSD+ risk management framework.</i>	<i>Provisionally closed pending IPA agreement</i>
348. vicies			<i>8. The risk management function for guarantees under EFSD+ shall take into account the objectives and principles of this Regulation. Risk</i>	<i>8. The risk management function for guarantees under EFSD+ shall take into account the objectives and principles of this Regulation. Risk</i>	<i>Provisionally closed</i>

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			<p><i>assessment and remuneration methodologies under EFSD+ shall be consistently applied to all investment windows, including those defined in Article 27bis(1). The Commission shall ensure an independent, impartial and inclusive high-quality function of the technical assessment group and that information and analysis are shared in a timely, transparent and inclusive manner with all Member States, with due regard to confidentiality issues. The composition, rules of procedure and working methods of the technical assessment group shall be inclusive,</i></p>	<p><i>assessment and remuneration methodologies under EFSD+ shall be consistently applied to all investment windows, including those referred to in Article 27bis. A technical assessment group shall be established. The Commission shall ensure an independent, impartial and inclusive high-quality function of the technical assessment group and that information and analysis are shared in a timely, transparent and inclusive manner with all Member States, with due regard to confidentiality issues. The composition, rules of procedure and working methods of the technical assessment group shall be inclusive, open to</i></p>	
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			<p><i>open to experts from the EIB, other eligible counterparts and interested Member States, and shall be presented to the strategic board. The Commission shall disclose to the Council and the European Parliament the composition, terms of reference and rules of procedure of the technical assessment group and ensure the impartiality and absence of conflict of interest of its members.</i></p>	<p><i>experts from the EIB, other eligible counterparts and interested Member States, and shall be presented to the EFSD+ strategic board. The Commission shall disclose to the Council and the European Parliament the composition, terms of reference and rules of procedure of the technical assessment group and ensure the impartiality and absence of conflict of interest of its members.</i></p>	
348. vicies bis				<p><i>9. During the application period of the EFSD+, the strategic board shall, as soon as possible, adopt and publish guidelines setting out how conformity of EFSD+ operations with the purpose, objectives and</i></p>	<p><i>Provisionally closed on 2 October 2020</i></p>

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				<i>eligibility criteria set out in Articles 26 and 27 is to be ensured.</i>	
348. un-vicies			<i>Article 26 quater Regional operational boards</i>	<i>Article 26 quater Regional operational boards</i>	<i>Provisionally closed on 2 October 2020</i>
348. duo-vicies			<i>The operational boards of regional investment platforms, taking into account the advice of the strategic board and relevant risk assessments, shall support the Commission at the implementation level in defining regional and sectoral investment goals and regional, sectoral and thematic investment windows and shall formulate opinions on the blending operations and on the use of the External Action Guarantee</i>	<i>The operational boards of regional investment platforms, taking into account the advice of the strategic board and relevant risk assessments, shall support the Commission at the implementation level in defining regional and sectoral investment goals and regional, sectoral and thematic investment windows and shall formulate opinions on blending operations and on the use of the External Action Guarantee covering EFSD+</i>	<i>Provisionally closed on 2 October 2020</i>

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			<i>covering EFSD+ operations.</i>	<i>proposed investment programmes.</i>	
349.	Article 27 Eligibility and selection of operations and counterparts	Article 27 Eligibility and selection of operations and counterparts	Article 27 Eligibility and selection of operations and counterparts <i>for the External Action Guarantee under the EFSD+</i>	Article 27 Eligibility and selection of operations and counterparts <i>for the External Action Guarantee under the EFSD+</i>	<i>Provisionally closed on 2 October 2020</i>
350.	1. The financing and investment operations eligible for support through the External Action Guarantee shall be consistent and aligned with Union policies, as well as with the partner countries' strategies and policies. They shall in particular support the objectives, general principles and policy framework of this Regulation and the relevant indicative programming documents, with due regard to the priority areas laid down in Annex V.	1. The financing and investment operations eligible for support through the External Action Guarantee shall be consistent and aligned with Union policies, <i>in particular its development policy and the European Neighbourhood Policy</i> , as well as with the partner countries' strategies and policies <i>and address local market failures or sub-optimal investment operations and without unfairly competing with local economic actors.</i> They shall in particular	1. The financing and investment operations eligible for support through the External Action Guarantee shall be consistent and aligned with Union policies, <i>the relevant programming documents</i> , as well as with the partner countries' strategies and policies. They shall in particular support the objectives, general principles and policy framework of this Regulation and, <i>where relevant, Regulation [IPA III],</i> the relevant indicative	1. The financing and investment operations eligible for support through the External Action Guarantee shall be consistent and aligned with Union policies, <i>the relevant programming documents</i> , as well as with the partner countries' strategies and policies. They shall in particular support the objectives, general principles and policy framework of this Regulation <i>and, where relevant, Regulation [IPA III]</i> and the relevant indicative	<i>Provisionally closed</i>

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		support the objectives, general principles and policy framework of this Regulation and the relevant indicative programming documents, with due regard to the priority areas laid down in Article 26 a and further described in Annex V.	programming documents , with due regard to the priority areas laid down in Annex V.	programming documents , with due regard to the priority areas laid down in Annex V.	
350. bis		<i>1a. The granting of the External Action Guarantee shall be subject to the conclusion of the respective EFSD guarantee agreements between the Commission on behalf of the Union and the eligible counterpart.</i>			<i>Provisionally closed on 2 October 2020</i>
351.	2. The External Action Guarantee shall support financing and investment operations compliant with the conditions set out in points (a) to (c) of Article 209(2) of the	2. The External Action Guarantee shall support financing and investment operations <i>which address market failures or sub-optimal investment situations. Operations shall also be</i>	2. The External Action Guarantee shall support financing and investment operations compliant with the conditions set out in points (a) to (ee) of Article 209(2) of the	2. The External Action Guarantee shall support financing and investment operations compliant with the conditions set out in points (a) to (ee) of Article 209(2) of the	<i>Provisionally closed on 2 October 2020</i>

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	Financial Regulation and that:	compliant with the conditions set out in points (a) to (e) (d) of Article 209(2) of the Financial Regulation and that:	Financial Regulation <i>concerning notably the need to address market failures or sub-optimal investment situations, achieve additionality, avoid distorting competition, alignment of interest of the eligible counterparts and, where appropriate, maximise private investment,</i> and that:	Financial Regulation <i>concerning notably the need to achieve additionality, including by addressing market failures or sub-optimal investment situations, avoid distorting competition, alignment of interest of the eligible counterparts and, where appropriate, maximise private investment,</i> and that:	
351. bis		<i>(-aa) provide financial and development additionality;</i>			<i>Provisionally closed on 2 October 2020</i>
351. ter		<i>(-ab) undergo a publicly available participatory ex ante human rights, social, labour and environmental impact assessment identifying and addressing risks in those fields and taking due account of the principle of free and prior informed consent (FPIC) of affected</i>		<i>(ab) undergo, in line with article 34 of the Financial Regulation, ex ante evaluations which shall be proportionate to the objectives and amounts of the envisaged operations to determine the possible implications and risks of these operations with</i>	<i>Provisionally closed on 2 October 2020</i>

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		<i>communities in land related investments;</i>		<i>regard to human rights, environmental, labour and social standards including in the form of impact assessments for major programmes that are expected to have a significant impact on these areas, in line with the purpose of the EFSD+ laid down in article 26(1) and taking due account of the principle of free and prior informed consent (FPIC) of affected communities in land related investments;</i>	
352.	(a) ensure complementarity with other initiatives;	(a) ensure complementarity with other initiatives;	(a) ensure complementarity <i>within the different pillars of the External Investment Plan as well as</i> with other initiatives;	(a) ensure complementarity <i>within the different pillars of the External Investment Plan as well as</i> with other initiatives;	<i>Provisionally closed on 2 October 2020</i>
353.	(b) are economically and financially viable, with due regard to the possible support from,	(b) are economically and financially viable, with due regard to the possible support from,	(b) are economically and financially viable, with due regard to the possible support from,	(b) are economically and financially viable, with due regard to the possible support from,	<i>Provisionally closed on 2 October 2020</i>

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	and co-financing by, private and public partners to the project, while taking into account the specific operating environment and capacities of countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries which may benefit from concessional terms;	and co-financing by, private and public partners to the project, while taking into account the specific operating environment and capacities of countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries which may benefit from concessional terms;	and co-financing by, private and public partners to the project, while taking into account the specific operating environment and capacities of countries identified as experiencing fragility or conflict, Least Developed Countries, <i>small island developing states, landlocked developing countries</i> and heavily indebted poor countries which may benefit from <i>more</i> concessional terms;	and co-financing by, private and public partners to the project, while taking into account the specific operating environment and capacities of countries identified as experiencing fragility or conflict, Least Developed Countries, <i>small island developing states, landlocked developing countries</i> and heavily indebted poor countries which may benefit from <i>more</i> concessional terms;	
354.	(c) are technically viable and are sustainable from an environmental and social point of view.	(c) are technically viable and are sustainable from an environmental and socio <i>socio-economic</i> point of view;	(c) are technically viable and are sustainable from an environmental and social point of view <i>and maximise development impact.</i>	(c) are technically viable and are sustainable from an environmental and social point of view <i>and maximise development impact.</i>	<i>Provisionally closed on 2 October 2020</i>
354. bis		<i>(ca) target sectors and issues where there are clear market or institutional failures</i>			<i>Provisionally closed on 2 October 2020</i>

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		<i>inhibiting private sector financing;</i>			
354. ter		<i>(cb) are structured in a manner which contributes to catalysing market development and to mobilising private sector resources towards investment gaps;</i>			<i>Provisionally closed on 2 October 2020</i>
354. quater		<i>(cc) focus on projects involving greater risks than private lenders are prepared to undertake on a commercial basis alone;</i>			<i>Provisionally closed on 2 October 2020</i>
354. quin-ques		<i>(cd) do not distort markets in partner countries and regions.</i>		<i>(d) do not distort markets in partner countries and regions and without unfairly competing with local actors.</i>	<i>Provisionally closed on 2 October 2020</i>
354. sexes		<i>(ce) maximise, where possible, the mobilisation of local private sector capital;</i>			<i>Provisionally closed on 2 October 2020</i>
354. septies		<i>(cf) respect the development effectiveness principles as set out in the Busan Partnership for</i>			<i>Provisionally closed on 2 October 2020</i>

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		<i>Effective Development Cooperation and reaffirmed in Nairobi in 2016, including ownership, alignment, focus on results, transparency and mutual accountability, as well as the objective of untying aid;</i>			
354. octies		<i>(cg) are designed to fulfil the criteria for ODA established by the OECD-DAC, taking into account the specificities of private sector development, except for operations in industrialised countries non eligible for ODA;</i>			<i>Provisionally closed</i>
354. nonies		<i>(ch) are applied with full respect for international human rights law as well as internationally agreed guidelines, principles and conventions, including the Principles for Responsible Investment, UN Guiding</i>			<i>Provisionally closed on 2 October 2020</i>

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		<p><i>Principles on Business and Human Rights, OECD Guidelines for Multinational Enterprises, the UN Food and Agriculture Organization's (FAO) Principles for Responsible Investment in Agriculture and Food Systems and International Labour Organization conventions and standards, the UN Convention on the Elimination of All Forms of Discrimination Against Women, the Maastricht Principles on the Extraterritorial Obligations of States in the Area of Economic, Social and Cultural Rights and the FAO Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and</i></p>			
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		<i>Forests in the Context of National Food Security.</i>			
354. decies			<i>(d) are implemented in accordance with the policy framework referred to in Article 7, applicable environmental, social and labour law obligations and standards, agreed guidelines, principles and conventions on investments, as well as in accordance with the objectives and general principles laid down in Articles 3 and 8.</i>	(d) are implemented in accordance with the policy framework referred to in Article 7, applicable environmental, social and labour law obligations and standards and with full respect for international human rights law as well-internationally agreed guidelines, principles and conventions on investments, <i>in particular those adopted by the UN and the OECD, with full respect for international human rights law</i> as well as in accordance with the objectives and general principles laid down in Articles 3 and 8.	<i>Provisionally closed on 2 October 2020</i> Longer reference to the agreements to be incorporated in recitals

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355.	3. The External Action Guarantee shall be used to cover the risks for the following instruments:	3. The External Action Guarantee shall be used to cover the risks for the following instruments:	3. The External Action Guarantee shall be used to cover the risks for the following instruments:	3. The External Action Guarantee shall be used to cover the risks for the following instruments:	<i>Provisionally closed on 2 October 2020</i>
356.	(a) loans, including local currency loans and macro-financial assistance loans;	(a) loans, including local currency loans and macro-financial assistance loans;	(a) loans, including local currency loans and macro-financial assistance loans;	(a) loans, including local currency loans and macro-financial assistance loans;	<i>Provisionally closed on 2 October 2020</i>
357.	(b) guarantees;	(b) guarantees;	(b) guarantees;	(b) guarantees;	<i>Provisionally closed on 2 October 2020</i>
358.	(c) counter-guarantees;	(c) counter-guarantees;	(c) counter-guarantees;	(c) counter-guarantees;	<i>Provisionally closed on 2 October 2020</i>
359.	(d) capital market instruments;	(d) capital market instruments;	(d) capital market instruments;	(d) capital market instruments;	<i>Provisionally closed on 2 October 2020</i>
360.	(e) any other form of funding or credit enhancement, insurance, and equity or quasi-equity participations.	(e) any other form of funding or credit enhancement, insurance, and equity or quasi-equity participations.	(e) any other form of funding or credit enhancement, insurance, and equity or quasi-equity participations.	(e) any other form of funding or credit enhancement, insurance, and equity or quasi-equity participations.	<i>Provisionally closed on 2 October 2020</i>
361.	4. The eligible counterparts for the purposes of the External Action Guarantee shall be the ones identified in Article 208(4) of the Financial Regulation, including those from third countries	4. The eligible counterparts for the purposes of the External Action Guarantee shall be the ones identified in Article 208(4) of the Financial Regulation, including those from third countries	4. The eligible counterparts for the purposes of the External Action Guarantee shall be the ones identified in Article 208(4) of the Financial Regulation, including those from	4. The eligible counterparts for the purposes of the External Action Guarantee shall be the ones identified in Article 208(4) of the Financial Regulation, including those from <i>partner countries and</i>	<i>Provisionally closed on 2 October 2020</i>

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	<p>contributing to the External Action Guarantee, subject to approval by the Commission in accordance with Article 28 of this Regulation. In addition, and by derogation to Article 62(2)(c) of the Financial Regulation, bodies governed by the private law of a Member State or a third country which has contributed to the External Action Guarantee in accordance with Article 28, and which provide adequate assurance of their financial capacity shall be eligible for the purpose of the Guarantee.</p>	<p>contributing to the External Action Guarantee, subject to approval by the Commission in accordance with Article 28 of this Regulation, and the opinion of the strategic board. In addition, and by derogation to Article 62(2)(c) of the Financial Regulation, bodies governed by the private law of a Member State or a third country which has contributed to the External Action Guarantee in accordance with Article 28, and which provide adequate assurance of their financial capacity shall be eligible for the purpose of the Guarantee.</p>	<p>partner countries and third countries contributing to the External Action Guarantee, subject to approval by the Commission in accordance with Article 28 of this Regulation. In addition, and by derogation to Article 62(21)(c) of the Financial Regulation, bodies governed by the private law of a Member State, a partner country or a third country which has contributed to the External Action Guarantee in accordance with Article 28, and which provide adequate assurance of their financial capacity shall be eligible for the purpose of the Guarantee.</p>	<p>third countries contributing to the External Action Guarantee, subject to approval by the Commission in accordance with Article 28 of this Regulation. In addition, and by derogation to Article 62(21)(c) of the Financial Regulation, bodies governed by the private law of a Member State, a partner country or a third country which has contributed to the External Action Guarantee in accordance with Article 28, and which provide adequate assurance of their financial capacity shall be eligible for the purpose of the Guarantee.</p>	
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361. bis		<i>4a. The European Investment Bank group, shall, inter alia:</i>			<i>Provisionally closed on 2 October 2020</i>
361. ter		<i>(a) participate, together with other European financial institutions, in the risk management of the EFSD+, having due regard to the need to avoid possible conflict of interest;</i>			<i>Provisionally closed on 2 October 2020</i>
361. quater		<i>(b) exclusively implement part of an investment window covering sovereign lending to be provisioned with at least EUR 1 000 000 000 from the financial envelopes of the geographic programmes, in accordance with the procedures laid down in chapters 1 and 3 of this title;</i>			<i>Provisionally closed on 2 October 2020</i>
361. quin-quies		<i>(c) be an eligible counterpart of implementing activities</i>			<i>Provisionally closed on 2 October 2020</i>

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		<i>under other investment windows.</i>			
362.	5. Eligible counterparts shall comply with the rules and conditions provided for in Article 62(2)(c) of the Financial Regulation. In the case of bodies governed by the private law of a Member State or a third country which have contributed to the External Action Guarantee in accordance with Article 28 of this Regulation, preference shall be given to those bodies that disclose information related to environment, social and corporate governance criteria.	5. Eligible counterparts shall comply with the rules and conditions provided for in Article 62(2)(c) of the Financial Regulation. In the case of bodies governed by the private law of a Member State or a third country which have contributed to the External Action Guarantee in accordance with Article 28 of this Regulation, preference shall be given to those bodies that disclose information related to environment, social, tax and corporate governance criteria.	5. Eligible counterparts shall comply with the rules and conditions provided for in Article 62(21)(c) of the Financial Regulation. In the case of bodies governed by the private law of a Member State, a partner country or a third country which have contributed to the External Action Guarantee in accordance with Article 28 of this Regulation, preference shall be given to those bodies that disclose information related to environment, social and corporate governance criteria.	5. Eligible counterparts shall comply with the rules and conditions provided for in Article 62(21)(c) of the Financial Regulation. In the case of bodies governed by the private law of a Member State, a partner country or a third country which have contributed to the External Action Guarantee in accordance with Article 28 of this Regulation, preference shall be given to those bodies that disclose information related to environment, social, tax and corporate governance criteria.	<i>Provisionally closed on 2 October 2020</i>
363.	The Commission shall ensure an effective, efficient and fair use of available resources among eligible	The Commission shall ensure an effective, efficient and fair use of available resources among eligible	The Commission shall ensure an effective, efficient and fair use of available resources among eligible	The Commission shall ensure an effective, efficient and fair use of available resources among eligible	<i>Provisionally closed on 2 October 2020</i>

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	counterparts, while promoting cooperation between them.	counterparts, while promoting cooperation between them.	counterparts, <i>including small and medium-sized counterparts</i> , while promoting cooperation between them <i>and taking due account of their capacities, added value and experience</i> .	counterparts, <i>including small and medium-sized counterparts</i> , while promoting cooperation between them <i>and taking due account of their capacities, added value and experience</i> .	
364.	The Commission shall ensure fair treatment for all eligible counterparts and shall ensure that conflicts of interest are avoided throughout the implementation period of the EFSD+. In order to ensure complementarity, the Commission may request any relevant information from eligible counterparts about their non-EFSD+ operations.	The Commission shall ensure fair treatment <i>and equal access to funding</i> for all eligible counterparts and shall ensure that conflicts of interest are avoided throughout the implementation <i>application</i> period of the EFSD+. In order to ensure complementarity, the Commission may request any relevant information from eligible counterparts about their non-EFSD+ operations.	The Commission shall ensure fair treatment for all eligible counterparts, <i>in accordance with Article 23(6)</i> , and shall ensure that conflicts of interest are avoided throughout the implementation period of the EFSD+. In order to ensure complementarity, the Commission may request any relevant information from eligible counterparts about their non-EFSD+ operations.	The Commission shall ensure fair treatment for all eligible counterparts, <i>in accordance with Article 23(6)</i> , and shall ensure that conflicts of interest are avoided throughout the implementation period of the EFSD+. In order to ensure complementarity, the Commission may request any relevant information from eligible counterparts about their non-EFSD+ operations.	<i>Provisionally closed on 2 October 2020</i>
364. bis		<i>5a. The European Parliament or the</i>			

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		<i>Council may invite eligible counterparts, CSOs and local communities to an exchange of views concerning the financing and investment operations covered by this Regulation.</i>			<i>Provisionally closed on 2 October 2020</i> Content to be put in recital
364. bis bis			<i>5 bis The condition set out in Article 219(4) of the Financial Regulation on contributions with own resources shall apply to each eligible counterpart allocated a budgetary guarantee under this Regulation on a portfolio basis.</i>	<i>5 bis The condition set out in Article 219(4) of the Financial Regulation on contributions with own resources shall apply to each eligible counterpart allocated a budgetary guarantee under this Regulation on a portfolio basis.</i>	<i>Provisionally closed on 2 October 2020</i>
364. ter			<i>5 ter In respect of the EIB exclusive dedicated window, the own resources contribution shall be understood as the assumption of residual risk.</i>		<i>Provisionally closed</i>

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365.	6. The Commission shall select the eligible counterparts in accordance with Article 154 of the Financial Regulation, taking due account of:	6. The Commission shall select the eligible counterparts in accordance with Article 154 of the Financial Regulation, taking due account of:	6. The Commission shall select the eligible counterparts in accordance with Article 154 of the Financial Regulation, taking due account of:	6. The Commission shall select the eligible counterparts in accordance with Article 154 of the Financial Regulation, taking due account of:	<i>Provisionally closed on 2 October 2020</i>
366.	(a) the advice of the strategic and regional operation boards, in accordance with Annex VI;	(a) the advice of the strategic and regional operation boards, in accordance with Annex VI;	(a) the advice of the strategic and regional operational boards, in accordance with Annex VI;	(a) the advice of the strategic and regional operational boards, in accordance with Annex VI;	<i>Provisionally closed on 2 October 2020</i>
367.	(b) the objectives of the investment window;	(b) the objectives of the investment window;	(b) the objectives of the investment window;	(b) the objectives of the investment window;	<i>Provisionally closed on 2 October 2020</i>
368.	(c) the experience and risk management capacity of the eligible counterpart;	(c) the experience and risk management capacity of the eligible counterpart;	(c) the experience and risk management capacity of the eligible counterpart;	(c) the experience and risk management capacity of the eligible counterpart;	<i>Provisionally closed on 2 October 2020</i>
369.	(d) the amount of own resources, as well as private sector co-financing, that the eligible counterpart is ready to mobilise for the investment window.	(d) the amount of own resources, as well as private sector co-financing, that the eligible counterpart is ready to mobilise for the investment window.	(d) the amount of own and additional resources, as well as private sector co-financing, that the eligible counterpart is ready to mobilise for the investment window taking in due account the size of the investment.	(d) the amount of own and additional resources, as well as private sector co-financing, that the eligible counterpart is ready to mobilise for the investment window taking in due account the size of the investment;	<i>Provisionally closed on 2 October 2020</i>

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369. bis		<i>(da) the principles of fair and open tender procedures.</i>			<i>Provisionally closed on 2 October 2020</i>
369. ter			<i>(e) The sectoral or geographic expertise of the eligible counterparts.</i>	<i>(e) The sectoral or geographic expertise of the eligible counterparts;</i>	<i>Provisionally closed on 2 October 2020</i>
369. quater			<i>(f) The benefits of promoting collaboration between eligible counterparts.</i>	<i>(f) The benefits of promoting collaboration between eligible counterparts.</i>	<i>Provisionally closed on 2 October 2020</i>
370.	7. The Commission shall set up investment windows for regions, specific partner countries or both, for specific sectors, or for specific projects, specific categories of final beneficiaries or both, which are to be funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount. The Commission shall inform the European Parliament and the Council on how the	7. The Commission shall set up investment windows for regions, specific partner countries or both, for specific sectors, or for specific projects, specific categories of final beneficiaries or both, which are to be funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount. The Commission shall inform the European Parliament and the Council on how the investment windows	<i>7. On the basis of the multi-annual indicative programmes adopted by the Commission pursuant to Article 14 after the favourable opinion of the Committee laid down in Article 35 and of the advice provided by the strategic board, the Commission shall, after consulting the operational boards and informing the European Parliament and the Council, set up investment windows for regions, specific</i>	<i>7. On the basis of the multi-annual indicative programmes {1} and of the advice provided by the EFSD+ strategic board and the strategic board covering the Western Balkans, the Commission shall, after consulting the operational boards and informing the European Parliament and the Council, set up investment windows for regions, specific partner countries or both, for specific projects,</i>	Part of the governance discussion {1} CL: <i>adopted by the Commission after the favourable opinion of the Committee laid down in Article 35</i> EP: No text

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	investment windows comply with this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made to the Commission.	comply with this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made to the Commission.	partner countries or both, for specific sectors, or for specific projects, specific categories of final beneficiaries or both, which are to be funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount. The Commission shall inform the European Parliament and the Council on how the investment windows comply with this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made to the Commission.	specific categories of final beneficiaries or both, which are to be funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount. The Commission shall inform the European Parliament and the Council on how the investment windows comply with this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made to the Commission.	
371.	The choice of investment windows shall be duly justified by an analysis of the market failure or sub-	The choice of investment windows shall be duly justified by an analysis of the market failure or sub-optimal investment	The choice of investment windows shall be duly justified by an analysis of the market failure or sub-	The choice of investment windows shall be duly justified by an analysis of the market failure or sub-	<i>Provisionally closed on 2 October 2020</i>

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	optimal investment situations. That analysis shall be carried out by the Commission in cooperation with potentially eligible counterparts and stakeholders.	situations. That analysis shall be carried out by the Commission in cooperation with potentially eligible counterparts and stakeholders.	optimal investment situations and an assessment of its alignment with the priorities of this Regulation and, where relevant, [IPA III] . That analysis shall be carried out by the Commission in cooperation with potentially eligible counterparts and stakeholders.	optimal investment situations and an assessment of its alignment with the priorities of this Regulation and, where relevant, [IPA III] . That analysis shall be carried out by the Commission in cooperation with potentially eligible counterparts and stakeholders.	
372.	Eligible counterparts may provide the instruments referred to in paragraph 3 under an investment window or individual project administered by an eligible counterpart. The instruments may be provided for the benefit of partner countries, including countries experiencing fragility or conflict or countries facing challenges in reconstruction and post-	Eligible counterparts may provide the instruments referred to in paragraph 3 under an investment window or individual project administered by an eligible counterpart. The instruments may be provided for the benefit of partner countries, including countries experiencing fragility or conflict or countries facing challenges in reconstruction and post-	Eligible counterparts may provide the instruments referred to in paragraph 3 under an investment window or individual project administered by an eligible counterpart. The instruments may be provided for the benefit of partner countries, including countries experiencing fragility or conflict or countries facing challenges in	Eligible counterparts may provide the instruments referred to in paragraph 3 under an investment window or individual project administered by an eligible counterpart. The instruments may be provided for the benefit of partner countries, including countries experiencing fragility or conflict or countries facing challenges in reconstruction and post-	<i>Provisionally closed</i>

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	<p>conflict recovery, for the benefit of those partner countries' institutions, including their public national and private local banks and finance institutions, as well as for the benefit of private sector entities of those partner countries.</p>	<p>conflict recovery, for the benefit of those partner countries' institutions, including their public national and private local banks and finance institutions, as well as for the benefit of private sector entities of those partner countries.</p>	<p>reconstruction and post-conflict recovery, for the benefit of those partner countries' institutions, including their public national and private local banks and finance institutions, as well as for the benefit of private sector entities, including micro, small and medium-sized enterprises, of those partner countries.</p>	<p>conflict recovery, for the benefit of those partner countries' institutions, including their public national and private local banks and finance institutions, as well as for the benefit of private sector entities, including micro, small and medium-sized enterprises, of those partner countries. The instrument shall not benefit companies controlled by the military or state security sector, except for duly justified cases.</p>	
373.	<p>8. The Commission shall assess the operations supported by the External Action Guarantee against the eligibility criteria set out in paragraphs 2 and 3, where possible drawing on the existing result measurement systems of eligible</p>	<p>8. The Commission shall assess the operations supported by the External Action Guarantee against the eligibility criteria set out in paragraphs 2 and 3, where possible drawing The Commission shall establish a scoreboard of indicators to guide</p>	<p>8. The Commission shall assess the operations supported by the External Action Guarantee against the eligibility criteria set out in paragraphs 1, 2 and 3, where possible drawing on the existing result measurement systems of the EU and</p>	<p>8. The Commission shall assess the operations supported by the External Action Guarantee against the eligibility criteria set out in paragraphs 1, 2 and 3, where possible drawing on the existing result measurement</p>	<p><i>Provisionally closed on 2 October 2020</i></p>

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	<p>counterparts. The Commission shall publish the result of its assessment for each investment window on an annual basis.</p>	<p><i>project selection. Implementing partners shall fill in the scoreboard for all operations under EFSD+. The Commission shall assess all operations supported by the Guarantee against eligibility criteria listed in Article 27 and shall use the scoreboard to perform an independent quality check on the existing result measurement systems of eligible counterparts. due diligence and assessment made by implementing partners at project level. If necessary, the Commission shall ask for clarification and modifications to the implementing partners. The Commission shall publish the scoreboard for all projects after</i></p>	<p><i>of eligible counterparts. The Commission shall publish the result of its assessment for each investment window broken down by country and sector on an annual basis.</i></p>	<p><i>systems of the EU and of eligible counterparts. The Commission shall establish a checklist of the eligibility criteria listed in Articles 26, 27 (1) and 27 (2) and shall assess and select all proposals to be supported by the Guarantee against this checklist, on the basis of the information provided by the eligible counterparts. If necessary, the Commission shall ask for clarification and modifications to the eligible counterparts. The Commission shall publish these checklists and the results of its assessment for each investment window broken down by country and sector on an annual basis.</i></p>	
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		<i>approval for the use of the guarantee by the Commission and implementing partners, and the result of all guarantee tools and individual projects under</i> its assessment for each investment window on an annual basis.			
374.	9. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend the priority areas in Annex V and the governance of the EFSD+ in Annex VI.	9. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend the priority areas and investment windows indicated in Annex V and the governance of the EFSD+ in Annex VI. When supplementing or amending investment windows for specific regions, specific partner countries or both, for specific sectors, or for specific projects, specific categories of final beneficiaries or both, which are to be	9. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend the priority areas in Annex V and the governance of the EFSD+ in Annex VI. The European Parliament or the Council may invite eligible counterparts to an exchange of views concerning financing and investment operations covered by this Regulation.	{1}	{1} EP: 9. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend the priority areas in Annex V and to supplement this regulation with the creation of investment windows. and the governance of the EFSD+ in Annex VI. CL: no text

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		<i>funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount, the Commission shall take due account of the advice provided by the strategic board, and consult the operational boards.</i>			CL Amendment addressed in line 364 bis/ recital
374. bis		<i>The Commission shall inform the European Parliament and the Council on how the investment windows comply with the requirements set out in Article 26a and this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made to the Commission.</i>			<i>Provisionally closed on 2 October 2020</i>
374. ter		<i>The choice of investment windows shall be duly justified by an analysis of the</i>			<i>Provisionally closed on 2 October 2020</i>

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		<i>market failure or sub-optimal investment situations. That analysis shall be carried out by the Commission in cooperation with potentially eligible counterparts and stakeholders.</i>			
374. quater		<i>Eligible counterparts may provide the instruments referred to in paragraph 3 under an investment window or individual project administered by an eligible counterpart. The instruments may be provided for the benefit of partner countries, including countries experiencing fragility or conflict or countries facing challenges in reconstruction and post-conflict recovery, for the benefit of those partner countries' institutions, including their public national and private</i>			<i>Provisionally closed on 2 October 2020</i>

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		<i>local banks and finance institutions, as well as for the benefit of private sector entities of those partner countries. In countries experiencing fragility or conflict, and other countries, where justified, support may be provided to public sector investments that have relevant effects on private sector development.</i>			
374. quin-quies		<i>Article 27a Governance and structure of the EFSD +</i>	<i>Article 27 bis Role of the European Investment Bank</i>	<i>Article 27 bis Role of the European Investment Bank</i>	<i>Provisionally closed on 2 October 2020</i>
374. sexies		<i>1. The EFSD+ shall be composed of regional investment platforms established on the basis of the working methods, procedures and structures of the existing external blending facilities of the Union, which may combine their blending operations and External Action Guarantee</i>	<i>1. The EIB shall be entrusted with the implementation of dedicated investment windows for an indicative volume of EUR 26 725 million and covering: (a) an exclusive comprehensive risk cover for operations with sovereign and non-commercial sub-</i>	<i>1. The EIB shall be entrusted with the implementation of an exclusive investment window covering a comprehensive risk cover for sovereign and non-commercial sub-sovereign operations with an indicative minimum amount of [EUR 11</i>	<i>Provisionally closed</i> <i>Trilogue agreement:</i> <i>Three EIB windows (sovereign and non-commercial sub-sovereign; commercial sub-sovereign; and private) in an article.</i> <i>Exclusive sovereign and non-commercial sub-</i>

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		<p><i>operations under the EFSD+.</i></p>	<p><i>sovereign counterparts; (b) a non-exclusive comprehensive risk cover for operations with commercial sub-sovereign counterparts; and, (c) non-exclusive operations for the promotion of foreign direct investment, trade and the internationalisation of partner countries' economies, providing a political risk cover for private sector operations.</i></p>	<p><i>000 million] which shall be programmed in accordance with the procedures laid down in chapters 1 and 3 of this title.</i></p> <p><i>The EIB shall have the exclusivity for operations with sovereign and non-commercial sub-sovereign counterparts under this window . Under this exclusive dedicated window, the own resources contribution shall be understood as the assumption of residual risk and the EU guarantee shall cover 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all related amounts.</i></p>	<p><i>sovereign window for the EIB, with exceptions for operations that the EIB decides not to or cannot carry out, and with reference to the residual risk and risk cover of 65%.</i></p>
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				<p><i>By way of derogation from the second subparagraph, if the EIB cannot carry out or decides not to carry out operations under this exclusive dedicated window, the implementation of these operations shall be open to other eligible counterparts, under the conditions which shall be laid down in the relevant External Guarantee Agreements, which shall take into account the conditions offered to the EIB for the same type of operations and the specific needs, circumstances and nature of the eligible counterpart implementing these operations.</i></p>	
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				<p><i>Ibis. The EIB shall be eligible for implementing operations with sub-sovereign counterparts not covered under the window referred to in paragraph 1 and operations with the private sector. The procedure defined in Article 27 shall be used to entrust the EIB, if appropriate, with two additional dedicated windows covering:</i></p> <p><i>(a) a non-exclusive comprehensive risk cover for operations with commercial sub-sovereign counterparts; and,</i></p> <p><i>(b) non-exclusive operations for the promotion of foreign direct investment, trade and the internationalisation of partner countries' economies, providing a</i></p>	<p><i>Possible two dedicated windows for the EIB for commercial sub-sovereign and private, without reference to risk cover or to a limited duration.</i></p> <p><i>To be further discussed</i></p>
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				<i>political risk cover for private sector operations.</i>	
374. septies			<i>In implementing the dedicated investment windows referred to in Article 27bis(1) the EIB shall contribute to and comply with the relevant programming documents, the objectives of the EFSD+ and the overall objectives of this Regulation and, where relevant, of [IPA III]. The reporting of these dedicated investment windows shall comply with the reporting obligations of this Regulation.</i>	<p><i>2. The indicative volume for the dedicated windows referred to in paragraphs 1 and 1bis shall be of {1}.</i></p> <p><i>In implementing the windows referred to in paragraphs 1 and 1bis, the EIB shall comply with this Regulation, including its overall objectives and those of the EFSD+ and, where relevant, of [IPA III], as well as with the relevant programming documents and the reporting obligations.</i></p>	<p><i>Trilogue agreement: Overall indicative amount for the EIB in an article.</i></p> <p>{1}</p> <p>EP:</p> <p>[EUR 30 000 million]</p> <p>to be discussed with budget</p> <p>CL</p> <p>[EUR 26 725 million]</p>
374. octies		<i>2. The Commission shall be responsible for the overall management of the EFSD+ and the</i>	<i>2. Due to the nature and policy objective, the operations under the dedicated window</i>	<i>3. In line with the provisions of Article 209(2)(f) of the Financial Regulation,</i>	<i>Provisionally closed</i>

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		<p><i>External Action Guarantee. Beyond that, the Commission shall not seek to carry out general banking operations. The Commission shall inform the European Parliament regularly to ensure the highest standards of transparency and financial accountability.</i></p>	<p><i>referred to in paragraph 1(a), in line with the provisions of Article 209(2)f of the Financial Regulation, may be non-remunerated. The EIB shall have the exclusivity for operations with sovereign and non-commercial sub-sovereign counterparts under this dedicated window, except where the EIB decides not to or cannot operate in a given country. In such cases, the implementation of this type of operations under this dedicated window in this country shall be open to other eligible counterparts.</i></p>	<p><i>due to the nature and policy objective of the window referred to in paragraph 1 the relevant External Action Guarantee agreement may provide that the Union shall not be remunerated for operations under that window.</i></p>	
374. nonies			<p><i>For the purpose of this Article, sub-sovereign operations shall be considered commercial unless</i></p>	<p><i>4. For the purpose of this Article, sub-sovereign operations shall be considered commercial unless</i></p>	<p><i>Provisionally closed</i></p> <p><i>Trilogue agreement:</i></p>

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			<i>otherwise duly justified by the EIB.</i>	<i>otherwise duly justified by the EIB and confirmed by the Commission.</i>	<i>Definitions of the type of operations (exclusive window or commercial sub-sovereign window). Operations considered commercial unless justified by the EIB, with confirmation by the Commission.</i>
374. decies			<i>The operations under the investment window defined in paragraph 1(c) shall be coherent with those of the export credit agencies of Member States.</i>	<i>The operations under the investment window defined in paragraph 1bis(b) shall be coherent with those of the export credit agencies of Member States.</i>	<i>Provisionally closed</i>
374. un-decies		<i>3. In the management of the EFSD+ the Commission shall be advised by a strategic board, except in the case of the operations covering the Union's Enlargement policy and financed by IPA III, where the Commission</i>	<i>3. The dedicated windows implemented by the EIB under paragraph 1 may cover any of the countries eligible for the External Action Guarantee in particular where most needed and in</i>		<i>Provisionally closed</i>

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		<p><i>shall be advised by a strategic board of the Western Balkans Investment Framework (WBIF). The Commission shall also work in close cooperation with all eligible counterparts as regards the operational management of the External Action Guarantee. To that end, a technical working group, composed of experts from the Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.</i></p>	<p><i>accordance with the geographical priorities of this Regulation and where relevant [IPA III]. The EU guarantee under the exclusive dedicated window referred to in paragraph 1(a) shall cover 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all related amounts. The EU guarantee under the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all related amounts.</i></p>		
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<p>374. duo-decies</p>		<p>4. The strategic board shall advise the Commission on the strategic orientation and priorities of External Action Guarantee investments under the EFSD+ and contribute to their alignment with the guiding principles and objectives of the Union’s external action, development policy, European Neighbourhood policy, as well as with the objectives set out in Article 3 and the purpose of the EFSD+ as set out in Article 26. It shall also support the Commission in setting overall investment goals as regards the use of the External Action Guarantee to support EFSD+ operations and monitor an appropriate and diversified geographical and</p>	<p>4. In implementing these windows, the EIB shall be subject to the advice of the boards laid down in Article 26ter. It shall inform the relevant operational boards of planned operations, but the EIB shall not be bound by the opinions of the operational boards referred to in Article 26quater for their adoption. The eligibility assessment referred to in Article 27(8) of EIB financing operations falling under these windows shall be satisfied within the framework of the procedure provided for in Article 19 of Protocol No 5. The EIB shall timely provide to the Commission all information necessary</p>	<p>5. The EIB shall be subject to the opinion of the boards laid down in Articles 26ter and 26quater.</p> <p>For EIB operations falling under the windows referred to in Article 27 bis, , the eligibility assessment laid down in Article 27(8), shall be satisfied within the framework of the procedure provided for in Article 19 of Protocol No 5 of the Treaty of the Functioning of the EU. The EIB shall timely provide all information required by the Commission for this purpose. The EIB financing operations falling under these windows shall not be covered by the EU guarantee where the</p>	<p><i>Provisionally closed</i></p> <p><i>Trilogue agreement: Governance: the EIB is aligned to the EFSD+ governance, including the operational boards.</i></p>
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		<p><i>thematic coverage for investment windows, while giving special attention to countries identified as experiencing fragility or conflict, Least Developed Countries ('LDCs') and heavily indebted poor countries.</i></p>	<p><i>for this purpose. The EIB financing operations falling under these windows shall not be covered by the EU guarantee where the Commission delivers an unfavourable opinion under the procedure provided for in Article 19 of Protocol No 5 of the Treaty on the Functioning of the EU. All further modalities applicable to the EIB dedicated windows shall be established in the relevant External Action Guarantee agreements.</i></p>	<p><i>Commission delivers an unfavourable opinion under the procedure provided for in Article 19 of Protocol No 5 of the Treaty on the Functioning of the EU. All further modalities applicable to the EIB shall be established in the relevant External Action Guarantee agreements.</i></p>	
374. ter-decies		<p><i>5. The strategic board shall also support overall coordination, complementarity and coherence between the regional investment platforms, between the three pillars of the</i></p>	<p><i>5. The EIB shall be eligible for implementing activities under other investment windows established under Article 27(7).</i></p>	<p><i>6. The EIB shall be eligible for implementing activities under other investment windows established under Article 27(7).</i></p>	<p><i>Provisionally closed on 2 October 2020</i></p>

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		<i>European Investment Plan, between the European Investment Plan and the Union's other efforts on migration and on the implementation of the 2030 Agenda, as well as with other programmes set out in this Regulation, other Union funding instruments and Trust Funds.</i>			
374. quarter-decies		<i>6. The strategic board shall be composed of representatives of the Commission and of the High Representative, of all Member States and of the European Investment Bank. The European Parliament shall have observer status. Contributors, eligible counterparts, partner countries, relevant regional organisations and other stakeholders may be given observer status,</i>	<i>6. In compliance with the objectives and principles of this Regulation as well as Article 29(3), the relevant programming documents as well as, where relevant, Regulation [IPA III], the Commission and the EIB shall conclude dedicated guarantee agreements for the investment windows referred to in paragraph 1.</i>	<i>7. In compliance with this Regulation, including its objectives and principles, the relevant programming documents as well as, where relevant, Regulation [IPA III], the Commission and the EIB shall conclude dedicated External Action Guarantee agreements for the investment windows referred to in paragraphs 1 and Ibis.</i>	<i>Provisionally closed</i>

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		<p><i>where appropriate. The strategic board shall be consulted prior to the inclusion of any new observer. The strategic board shall be co-chaired by the Commission and the High Representative.</i></p>			
<p>374. quindecies</p>		<p><i>7. The strategic board shall meet at least twice a year and, when possible, adopt opinions by consensus. Additional meetings may be organised at any time by the chair or at the request of one third of its members. Where consensus cannot be reached, the voting rights as agreed during the first meeting of the strategic board and laid down in its rules of procedure shall apply. Those voting rights shall take due account of the source of financing. The rules of</i></p>			<p><i>Provisionally closed on 2 October 2020</i></p>

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		<i>procedure shall set out the framework regarding the role of observers. The minutes and agendas of the meetings of the strategic board shall, following their adoption, be made public.</i>			
374. sex-decies		<i>8. The Commission shall report annually to the strategic board about the progress made in respect of the application of the EFSD+. The strategic board of the WBIF shall provide progress made on the application of the guarantee instrument for the Enlargement region to complement that reporting. The strategic board shall regularly organise a consultation of relevant stakeholders on the strategic orientation and application of the EFSD+.</i>			<i>Provisionally closed on 2 October 2020</i>

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374. sept-decies		9. The existence of the two strategic boards does not bear influence on the need to have a single, unified EFSD+ risk management framework.			<i>Provisionally closed on 2 October 2020</i>
374. octo-decies		10. During the application period of the EFSD+, the strategic board shall, as soon as possible, adopt and publish guidelines setting out how conformity of EFSD+ operations with the objectives and eligibility criteria set out in Articles 26 a and 27 is to be ensured.			<i>Provisionally closed on 2 October 2020</i>
374. novo-decies		11. In its strategic guidance, the strategic board shall take due account of relevant European Parliament resolutions and Council decisions and conclusions.			<i>Provisionally closed on 2 October 2020</i>
374. vicies		12. The operational boards of regional			<i>Provisionally closed on 2 October 2020</i>

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		<i>investment platforms shall support the Commission at the application level in defining regional and sectoral investment goals and regional, sectoral and thematic investment windows and shall formulate opinions on blending operations and on the use of the External Action Guarantee covering EFSD+ operations.</i>			
375.	Article 28 Contribution from other donors to the External Action Guarantee	Article 28 Contribution from other donors to the External Action Guarantee	Article 28 Contribution from other donors to the External Action Guarantee	Article 28 Contribution from other donors to the External Action Guarantee	<i>Provisionally closed on 2 October 2020</i>
376.	1. Member States, third countries and other third parties may contribute to the External Action Guarantee.	1. Member States, third countries and other third parties may contribute to the External Action Guarantee.	1. Member States, third countries and other third parties may contribute to the External Action Guarantee.	1. Member States, third countries and other third parties may contribute to the External Action Guarantee.	<i>Provisionally closed on 2 October 2020</i>
377.	By derogation from the second sub-paragraph of Article 218(2) of the Financial Regulation,	By derogation from the second sub-paragraph of Article 218(2) of the Financial Regulation, the	By derogation from the second sub-paragraph of Article 218(2) of the Financial Regulation,	By derogation from the second sub-paragraph of Article 218(2) of the Financial Regulation,	<i>Provisionally closed on 2 October 2020</i>

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	the contracting parties to the Agreement on the European Economic Area may contribute in the form of guarantees or cash.	contracting parties to the Agreement on the European Economic Area may contribute in the form of guarantees or cash.	the contracting parties to the Agreement on the European Economic Area may contribute in the form of guarantees or cash.	the contracting parties to the Agreement on the European Economic Area may contribute in the form of guarantees or cash.	
378.	Contribution from third countries other than the contracting parties to the Agreement on the European Economic Area and from other third parties shall be in the form of cash and subject to approval by the Commission.	Contribution from third countries other than the contracting parties to the Agreement on the European Economic Area and from other third parties shall be in the form of cash and subject to the opinion of the Strategic Board and approval by the Commission.	Contribution from third countries other than the contracting parties to the Agreement on the European Economic Area and from other third parties shall be in the form of cash and subject to the opinion of the strategic board and approval by the Commission.	Contribution from third countries other than the contracting parties to the Agreement on the European Economic Area and from other third parties shall be in the form of cash and subject to the opinion of the EFSD+ Strategic Board and approval by the Commission.	<i>Provisionally closed on 2 October 2020</i>
379.	The Commission shall inform the European Parliament and the Council without delay of the contributions confirmed.	The Commission shall inform the European Parliament and the Council without delay of the contributions confirmed.	The Commission shall inform the European Parliament and the Council without delay of the contributions confirmed.	The Commission shall inform the European Parliament and the Council without delay of the contributions confirmed.	<i>Provisionally closed on 2 October 2020</i>
380.	At the request of the Member States, their contributions may be earmarked for the initiation of actions in specific regions,	At the request of the Member States, their contributions may be earmarked for the initiation of actions in specific regions,	At the request of the Member States, their contributions may be earmarked for the initiation of actions in specific regions,	At the request of the Member States, their contributions may be earmarked for the initiation of actions in specific regions,	<i>Provisionally closed</i> <i>Trilogue agreement:</i>

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	countries, sectors or existing investment windows.	countries, sectors or existing investment windows.	countries, sectors or existing investment windows.	countries, sectors or existing investment windows.	<i>Possibility to earmark contributions from Member states.</i>
381.	2. Contributions in the form of a guarantee shall not exceed 50% of the amount referred to in Article 26(2) of this Regulation.	2. Contributions in the form of a guarantee shall not exceed 50 % of the amount referred to in Article 26(2) of this Regulation.	2. Contributions in the form of a guarantee shall not exceed 50 % of the amount referred to in Article 26(2) of this Regulation.	2. Contributions in the form of a guarantee shall not exceed 50 % of the amount referred to in Article 26(3) of this Regulation.	<i>Provisionally closed closed on 2 October 2020</i>
382.	The contributions made by the Member States and the contracting parties to the Agreement on the European Economic Area in the form of a guarantee may only be called for payments of guarantee calls after the funding from the general budget of the Union increased by any other cash contributions has been used on payments of guarantee calls.	The contributions made by the Member States and the contracting parties to the Agreement on the European Economic Area in the form of a guarantee may only be called for payments of guarantee calls after the funding from the general budget of the Union increased by any other cash contributions has been used on payments of guarantee calls.	The contributions made by the Member States and the contracting parties to the Agreement on the European Economic Area in the form of a guarantee may only be called for payments of guarantee calls after the funding from the general budget of the Union increased by any other cash contributions has been used on payments of guarantee calls.	The contributions made by the Member States and the contracting parties to the Agreement on the European Economic Area in the form of a guarantee may only be called for payments of guarantee calls after the funding from the general budget of the Union increased by any other cash contributions has been used on payments of guarantee calls.	<i>Provisionally closed on 2 October 2020</i>

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383.	Any contribution may be used to cover guarantee calls regardless of earmarking.	Any contribution may be used to cover guarantee calls regardless of earmarking .	Any contribution may be used to cover guarantee calls regardless of earmarking.	Any contribution may be used to cover guarantee calls regardless of earmarking.	<i>Provisionally closed</i> <i>Trilogue agreement: Possibility to earmark contributions from Member states.</i>
384.	A contribution agreement shall be concluded between the Commission, on behalf of the Union, and the contributor, and shall contain, in particular, provisions concerning the payment conditions.	A contribution agreement shall be concluded between the Commission, on behalf of the Union, and the contributor, and shall contain, in particular, provisions concerning the payment conditions.	A contribution agreement shall be concluded between the Commission, on behalf of the Union, and the contributor, and shall contain, in particular, provisions concerning the payment conditions.	A contribution agreement shall be concluded between the Commission, on behalf of the Union, and the contributor, and shall contain, in particular, provisions concerning the payment conditions.	<i>Provisionally closed on 2 October 2020</i>
385.	Article 29 Implementation of External Action Guarantee agreements	Article 29 Implementation Application of External Action Guarantee agreements	Article 29 Implementation of External Action Guarantee agreements	Article 29 Implementation of External Action Guarantee agreements	<i>Provisionally closed on 2 October 2020</i>
386.	1. The Commission, on behalf of the Union, shall conclude External Action Guarantee agreements with the eligible counterparts selected pursuant to	1. The Commission, on behalf of the Union, shall conclude External Action Guarantee agreements with the eligible counterparts selected pursuant to	1. The Commission, on behalf of the Union, shall conclude External Action Guarantee agreements with the eligible counterparts selected pursuant to	1. The Commission, on behalf of the Union, shall conclude External Action Guarantee agreements with the eligible counterparts selected pursuant to	<i>Provisionally closed on 2 October 2020</i>

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	<p>Article 27. Agreements may be concluded with a consortium of two or more eligible counterparts.</p>	<p>Article 27. <i>Those agreements shall be unconditional, irrevocable, at first demand, and in favour of selected counterparts.</i> Agreements may be concluded with a consortium of two or more eligible counterparts.</p>	<p>Article 27. Agreements may be concluded with a consortium of two or more eligible counterparts. <i>When concluding guarantee agreements, the Commission shall take due account of the advice and guidance of the boards and of the technical assessment group. In compliance with Article 219(1) of the Financial Regulation, budgetary guarantees shall be irrevocable, unconditional and on demand for the types of operations covered under the External Action Guarantee.</i></p>	<p>Article 27. Agreements may be concluded with a consortium of two or more eligible counterparts. <i>In compliance with Article 219(1) of the Financial Regulation, budgetary guarantees shall be irrevocable, unconditional and on demand for the types of operations covered under the External Action Guarantee. When concluding guarantee agreements, the Commission shall take due account of the advice and guidance of the boards and of the technical assessment group.</i></p>	
387.	<p>2. One or more External Action Guarantee agreements shall be concluded for each investment window between the Commission and the</p>	<p>2. One or more External Action Guarantee agreements shall be concluded for each investment window between the Commission and the eligible</p>	<p>2. One or more External Action Guarantee agreements shall be concluded for each investment window between the Commission and the</p>	<p>2. One or more External Action Guarantee agreements shall be concluded for each investment window between the Commission and the</p>	<p><i>Provisionally closed on 2 October 2020</i></p>

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	eligible counterpart or eligible counterparts selected. In addition, in order to address specific needs, the External Action Guarantee may be granted for individual financing or investment operations.	counterpart or eligible counterparts selected. In addition, in order to address specific needs, the External Action Guarantee may be granted for individual financing or investment operations.	eligible counterpart or eligible counterparts selected. In addition, in order to address specific needs, the External Action Guarantee may be granted for individual financing or investment operations.	eligible counterpart or eligible counterparts selected. In addition, in order to address specific needs, the External Action Guarantee may be granted for individual financing or investment operations.	
388.	All External Action Guarantee agreements shall, upon request, be made available to the European Parliament and to the Council, taking into account the protection of confidential and commercially sensitive information.	All External Action Guarantee agreements shall, upon request , be made available to the European Parliament and to the Council, taking into account the protection of confidential and commercially sensitive information.	All External Action Guarantee agreements shall, upon request, be made available to the European Parliament and to the Council, taking into account the protection of confidential and commercially sensitive information.	All External Action Guarantee agreements shall be notified to the European Parliament and to the Council and, upon request, be made available , taking into account the protection of confidential and commercially sensitive information.	<i>Provisionally closed on 2 October 2020</i>
389.	3. External Action Guarantee agreements shall contain, in particular:	3. External Action Guarantee agreements shall contain, in particular:	3. External Action Guarantee agreements shall contain, in particular:	3. External Action Guarantee agreements shall contain, in particular:	<i>Provisionally closed on 2 October 2020</i>
390.	(a) detailed rules on the coverage, requirements, eligibility, eligible counterparts, and procedures;	(a) detailed rules on the coverage, requirements, eligibility, eligible counterparts, and procedures;	(a) detailed rules on the coverage, requirements, eligibility, eligible	(a) detailed rules on the coverage, requirements, eligibility, eligible counterparts, and procedures;	<i>Provisionally closed on 2 October 2020</i>

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			counterparts, and procedures;		
391.	(b) detailed rules on the provision of the External Action Guarantee, including its arrangements on the coverage and its defined coverage of portfolios and of projects of specific types of instruments, as well as a risk analysis of projects and project portfolios, including at sectoral, regional and national levels;	(b) detailed rules on the provision of the External Action Guarantee, including its arrangements on the coverage and its defined coverage of portfolios and of projects of specific types of instruments, as well as a risk analysis of projects and project portfolios, including at sectoral, regional and national levels;	(b) detailed rules on the provision of the External Action Guarantee, including its arrangements on the coverage and its defined coverage of portfolios and of projects of specific types of instruments, as well as a risk analysis of projects and project portfolios, including at sectoral, regional and national levels;	(b) detailed rules on the provision of the External Action Guarantee, including its arrangements on the coverage and its defined coverage of portfolios and of projects of specific types of instruments, as well as a risk analysis of projects and project portfolios, including at sectoral, regional and national levels;	<i>Provisionally closed on 2 October 2020</i>
392.	(c) a mention of the objectives and purpose of this Regulation, a needs assessment and an indication of the expected results, taking into account the promotion of corporate social responsibility and responsible business conduct;	(c) a mention of the objectives and purpose of this Regulation, a needs assessment and an indication of the expected results, taking into account the promotion of corporate social responsibility and <i>the need to ensure a responsible business conduct, including, in particular, by respect</i>	(c) a mention of the objectives and purpose of this Regulation, a needs assessment and an indication of the expected results, taking into account the promotion of corporate social responsibility and responsible business conduct, <i>including in particular by respect of</i>	(c) a mention of the objectives and purpose of this Regulation, a needs assessment and an indication of the expected results, taking into account the promotion of corporate social responsibility and responsible business conduct, <i>including in particular by respect of internationally agreed</i>	<i>Provisionally closed on 2 October 2020</i>

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		<i>for the internationally agreed guidelines, principles and legal instruments referred to in point (c h) of Article 27(2);</i>	<i>guidelines, principles and conventions on investment referred to in Article 27(2)(d);</i>	<i>guidelines, principles and conventions on investment referred to in Article 27(2)(d);</i>	
393.	(d) the remuneration of the guarantee, which is to reflect the risk level, and the possibility for the remuneration to be partly subsidised in order to give concessional terms in duly justified cases;	(d) the remuneration of the guarantee, which is to reflect the risk level, and the possibility for the remuneration to be partly subsidised in order to give concessional terms in duly justified cases, and in particular countries experiencing fragility or conflict, LDCs and heavily indebted countries;	(d) the remuneration of the guarantee, which is to reflect the risk level, and the possibility for the remuneration to be partly subsidised in order to give more concessional terms in duly justified cases, in particular in the countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries;	(d) the remuneration of the guarantee, which is to reflect the risk level, and the possibility for the remuneration to be partly subsidised in order to give more concessional terms in duly justified cases; and in particular countries experiencing fragility or conflict, LDCs and heavily indebted poor countries;	<i>Provisionally closed on 2 October 2020</i>
394.	(e) requirements for the use of the External Action Guarantee, including payment conditions, such as specific time frames, interest to be paid on due amounts, expenses	(e) requirements for the use of the External Action Guarantee, including payment conditions, such as specific time frames, interest to be paid on due amounts, expenses and	(e) requirements for the use of the External Action Guarantee, including payment conditions, such as specific time frames, interest to be paid on due amounts, expenses	(e) requirements for the use of the External Action Guarantee, including payment conditions, such as specific time frames, interest to be paid on due amounts, expenses	<i>Provisionally closed on 2 October 2020</i>

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	and recovery costs and possibly necessary liquidity arrangements;	recovery costs and possibly necessary liquidity arrangements;	and recovery costs and possibly necessary liquidity arrangements;	and recovery costs and possibly necessary liquidity arrangements;	
395.	(f) claims procedures, including, but not limited to, triggering events and waiting periods, and procedures regarding the recovery of claims;	(f) claims procedures, including, but not limited to, triggering events and waiting periods, and procedures regarding the recovery of claims;	(f) claims procedures, including, but not limited to, triggering events and waiting periods, and procedures regarding the recovery of claims;	(f) claims procedures, including, but not limited to, triggering events and waiting periods, and procedures regarding the recovery of claims;	<i>Provisionally closed on 2 October 2020</i>
396.	(g) monitoring, reporting and evaluation obligations;	(g) transparent monitoring, reporting and evaluation obligations;	(g) monitoring, reporting, transparency and evaluation obligations;	(g) monitoring, reporting, transparency and evaluation obligations;	<i>Provisionally closed on 2 October 2020</i>
397.	(h) clear and accessible complaints procedures for third parties that could be affected by the implementation of projects supported by the External Action Guarantee.	(h) clear and accessible complaints procedures for third parties that could be affected by the implementation application of projects supported by the External Action Guarantee.	(h) clear, transparent and accessible complaints procedures for third parties that could be affected by the implementation of projects supported by the External Action Guarantee.	(h) clear and accessible complaints procedures for third parties that could be affected by the implementation of projects supported by the External Action Guarantee.	<i>Provisionally closed on 2 October 2020</i>
398.	4. The eligible counterpart shall approve financing and investment operations following its own rules and procedures and in compliance with the	4. The eligible counterpart shall approve financing and investment operations following its own rules and procedures and in compliance with the	4. The eligible counterpart shall approve financing and investment operations following its own rules and procedures and in compliance with the	4. The eligible counterpart shall approve financing and investment operations following its own rules and procedures and in compliance with the	<i>Provisionally closed on 2 October 2020</i>

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	terms of the External Action Guarantee agreement.	terms of the External Action Guarantee agreement.	terms of the External Action Guarantee agreement.	terms of the External Action Guarantee agreement.	
399.	5. The External Action Guarantee may cover:	5. The External Action Guarantee may cover:	5. The External Action Guarantee may cover:	5. The External Action Guarantee may cover:	<i>Provisionally closed on 2 October 2020</i>
400.	(a) for debt instruments, the principal and all interests and amounts due to the selected eligible counterpart, but not received by it in accordance with the terms of the financing operations after an event of default has occurred;	(a) for debt instruments, the principal and all interests and amounts due to the selected eligible counterpart, but not received by it in accordance with the terms of the financing operations after an event of default has occurred;	(a) for debt instruments, the principal and all interests and amounts due to the selected eligible counterpart, but not received by it in accordance with the terms of the financing operations after an event of default has occurred;	(a) for debt instruments, the principal and all interests and amounts due to the selected eligible counterpart, but not received by it in accordance with the terms of the financing operations after an event of default has occurred;	<i>Provisionally closed on 2 October 2020</i>
401.	(a) for equity investments, the amounts invested and their associated financing costs;	(a) for equity investments, the amounts invested and their associated financing costs;	(a) for equity investments, the amounts invested and their associated financing costs;	(a) for equity investments, the amounts invested and their associated financing costs;	<i>Provisionally closed on 2 October 2020</i>
402.	(b) for other financing and investment operations referred to in Article 27(2), the amounts used and their associated funding costs;	(b) for other financing and investment operations referred to in Article 27(2), the amounts used and their associated funding costs;	(b) for other financing and investment operations referred to in Article 27(2), the amounts used and their associated funding costs;	(b) for other financing and investment operations referred to in Article 27(2), the amounts used and their associated funding costs;	<i>Provisionally closed on 2 October 2020</i>

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403.	(c) all relevant expenses and recovery costs related to an event of default, unless deducted from recovery proceeds.	(c) all relevant expenses and recovery costs related to an event of default, unless deducted from recovery proceeds.	(ed) all relevant expenses and recovery costs related to an event of default, unless deducted from recovery proceeds.	(ed) all relevant expenses and recovery costs related to an event of default, unless deducted from recovery proceeds.	<i>Provisionally closed on 2 October 2020</i>
403. bis		<i>5a. The Commission, when concluding External Action Guarantee agreements with eligible counterparts, shall take due account of:</i>			<i>Provisionally closed on 2 October 2020</i>
403. ter		<i>(a) the advice and guidance of the strategic and regional operational boards;</i>			<i>Provisionally closed on 2 October 2020</i>
403. quater		<i>(b) the objectives of the investment window;</i>			<i>Provisionally closed on 2 October 2020</i>
403. quin-quies		<i>(c) the experience and operational, financial and risk management capacity of the eligible counterpart;</i>			<i>Provisionally closed on 2 October 2020</i>
403. sexies		<i>(d) the amount of own resources, as well as private sector co-financing, that the eligible counterpart is</i>			<i>Provisionally closed on 2 October 2020</i>

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		<i>ready to mobilise for the investment window.</i>			
404.	6. For the purposes of the Commission's accounting, its reporting of the risks covered by the External Action Guarantee and in line with Article 209(4) of the Financial Regulation, eligible counterparts with which a guarantee agreement has been concluded shall provide the Commission and the Court of Auditors annually with the financial reports on financing and investment operations covered by this Regulation, audited by an independent external auditor, containing, inter alia, information on:	6. For the purposes of the Commission's accounting, its reporting of the risks covered by the External Action Guarantee and in line with Article 209(4) of the Financial Regulation, eligible counterparts with which a guarantee agreement has been concluded shall provide the Commission and the Court of Auditors annually with the financial reports on financing and investment operations covered by this Regulation, audited by an independent external auditor, containing, inter alia, information on:	6. For the purposes of the Commission's accounting, its reporting of the risks covered by the External Action Guarantee and in line with Article 209(4) of the Financial Regulation, eligible counterparts with which a guarantee agreement has been concluded shall provide the Commission and the Court of Auditors annually with the financial reports on financing and investment operations covered by this Regulation, audited by an independent external auditor, containing, inter alia, information on:	6. For the purposes of the Commission's accounting, its reporting of the risks covered by the External Action Guarantee and in line with Article 209(4) of the Financial Regulation, eligible counterparts with which a guarantee agreement has been concluded shall provide the Commission and the Court of Auditors annually with the financial reports on financing and investment operations covered by this Regulation, audited by an independent external auditor, containing, inter alia, information on:	<i>Provisionally closed on 2 October 2020</i>

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405.	(a) the risk assessment of financing and investment operations of the eligible counterparts, including information on Union liabilities measured in compliance with the accounting rules referred to in Article 80 of the Financial Regulation and IPSAS;	(a) the risk assessment of financing and investment operations of the eligible counterparts, including information on Union liabilities measured in compliance with the accounting rules referred to in Article 80 of the Financial Regulation and IPSAS;	(a) the risk assessment of financing and investment operations of the eligible counterparts, including information on Union liabilities measured in compliance with the accounting rules referred to in Article 80 of the Financial Regulation and IPSAS;	(a) the risk assessment of financing and investment operations of the eligible counterparts, including information on Union liabilities measured in compliance with the accounting rules referred to in Article 80 of the Financial Regulation and IPSAS;	<i>Provisionally closed on 2 October 2020</i>
406.	(b) the outstanding financial obligation for the Union arising from the EFSD+ operations provided to the eligible counterparts and their financing and investment operations, broken down by individual operations.	(b) the outstanding financial obligation for the Union arising from the EFSD+ operations provided to the eligible counterparts and their financing and investment operations, broken down by individual operations.	(b) the outstanding financial obligation for the Union arising from the EFSD+ operations provided to the eligible counterparts and their financing and investment operations, broken down by individual operations.	(b) the outstanding financial obligation for the Union arising from the EFSD+ operations provided to the eligible counterparts and their financing and investment operations, broken down by individual operations.	<i>Provisionally closed on 2 October 2020</i>
407.	7. The eligible counterparts shall, upon request, provide the Commission with any additional information necessary to fulfil the Commission's	7. The eligible counterparts shall, upon request, provide the Commission with any additional information necessary to fulfil the Commission's obligations in relation to	7. The eligible counterparts shall, upon request, provide the Commission with any additional information necessary to fulfil the Commission's	7. The eligible counterparts shall, upon request, provide the Commission with any additional information necessary to fulfil the Commission's obligations in relation to	<i>Provisionally closed on 2 October 2020</i>

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	obligations in relation to this Regulation.	this Regulation, <i>in particular with regard to the implementation of recommendations from the ex-ante human rights, social, labour and environment impact assessment and other selection criteria listed in Article 27.</i>	obligations in relation to this Regulation.	this Regulation, <i>in particular with regard to the selection criteria listed in Article 27 including compliance with human rights, social, labour and environment standards.</i>	
408.	8. The Commission shall report on financial instruments, budgetary guarantees, financial assistance in accordance with Article 241 and 250 of the Financial Regulation. To this purpose, the eligible counterparts shall provide annually the information necessary to allow the Commission to comply with the reporting obligations.	8. The Commission shall report on financial instruments, budgetary guarantees, financial assistance in accordance with Article 241 and 250 of the Financial Regulation. To this purpose, the eligible counterparts shall provide annually the information necessary to allow the Commission to comply with the reporting obligations. <i>In addition, the Commission shall submit an annual report to the European Parliament and to the</i>	8. The Commission shall <i>submit an annual report to the strategic board, to the operational boards, the European Parliament and the Council</i> on financial instruments, budgetary guarantees, <i>including those implemented by the EIB</i> , financial assistance in accordance with Articles <i>41 (4 and 5)</i> , 241 and 250 of the Financial Regulation- <i>which shall include, in addition:</i>	8. The Commission shall <i>submit an annual report to the strategic board, to the operational boards, to the European Parliament and to the Council</i> on financial instruments, budgetary guarantees, <i>including those implemented by the EIB</i> , financial assistance in accordance with Articles <i>41 (4 and 5)</i> , 241 and 250 of the Financial Regulation. To this purpose, the eligible counterparts shall provide annually the information	<i>Provisionally closed on 2 October 2020</i>

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		<i>Council as set out in Article 31(6a).</i>	<i>(a) an assessment of the results contributing to the purpose and objectives of this Regulation and, where relevant, Regulation [IPA III]; (b) an assessment, on the basis of indicators in line with Articles 26(1) and 27(2), of the additionality and added value, the mobilisation of private sector resources including micro, small and medium-size enterprises, the estimated and actual outputs and the outcomes and impact of the financing and investment operations covered by the External Action Guarantee under the EFSD+ on an aggregated basis, including the impact on decent job creation,</i>	necessary to allow the Commission to comply with the reporting obligations.	
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			<p><i>the eradication of poverty and on the way in which the root causes of migration, including irregular migration and forced displacement, are addressed; that assessment shall include a gender analysis of the operations covered based on evidence and data broken down by gender, country and sector where possible;</i></p> <p><i>(c) an assessment of the synergies and complementarity between operations covered by the External Action Guarantee under the EFSD+ including those referred to in Article 27bis and other pillars of the External Investment Plan based on relevant existing reports;</i></p>		
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			<p><i>(d) an assessment of the compliance of the operations supported by the External Action Guarantee under the EFSD+ with the internationally agreed development effectiveness principles;</i></p> <p><i>(e) an assessment of the remuneration of the guarantees and of the implementation of Article 155(2) and 155(3) of the Financial Regulation;</i></p> <p><i>(f) an assessment of the leverage effect achieved by the operations covered;</i></p> <p><i>(g) an assessment of the additionality and added value of financing and investment operations;</i></p> <p><i>(h) an assessment of the contribution of the results on the implementation of the</i></p>		
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			<p><i>policy framework referred to in Article 7.</i></p> <p>To this purpose, the eligible counterparts shall provide annually the information necessary to allow the Commission to comply with the reporting obligations.</p>	
408. bis		<p><i>8a. The Commission or the eligible counterparts shall immediately notify OLAF when, at any stage of the preparation, implementation or closure of financing and investment operations covered by this Regulation, there are grounds for suspecting fraud, corruption, money laundering or any other illegal activity that may affect the financial interests of the Union. The Commission or the eligible counterparts shall provide OLAF with all</i></p>		<p><i>Provisionally closed on 2 October 2020</i></p> <p>Include missing elements on notification to OLAF in recital 44</p>

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		<i>necessary information to enable it to carry out a full and thorough investigation.</i>			
408. ter		<i>Article 29a Grievance and redress mechanism</i>		<i>Article 29 bis Grievance and redress mechanism</i>	<i>Provisionally closed on 2 October 2020</i>
408. quater		<i>In view of possible grievances of third parties in partner countries, including communities and individuals affected by projects supported by the EFSD+ and the External Action Guarantee, the Commission and European Union Delegations shall publish on their websites direct references to the complaints mechanisms of the relevant counterparts that have concluded agreements with the Commission. The Commission shall</i>		<i>In view of possible grievances of third parties in partner countries, including communities and individuals affected by projects supported by the EFSD+ and the External Action Guarantee, the Commission and European Union Delegations shall publish on their websites direct references to the complaints mechanisms of the relevant counterparts that have concluded agreements with the</i>	<i>Provisionally closed on 2 October 2020</i>

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		<i>also establish an EU centralised grievance mechanism for all projects pursuant to Chapter IV of this Regulation to provide the possibility of directly receiving complaints related to the treatment of grievances by eligible counterparts. The Commission shall take that information into account in view of future cooperation with those counterparts.</i>		<i>Commission. The Commission shall also provide the possibility of directly receiving complaints related to the treatment of grievances by eligible counterparts. The Commission shall take that information into account in view of future cooperation with those counterparts.</i>	
408. quin-ques		<i>Article 29b Excluded activities and non-cooperative jurisdictions</i>			<i>Provisionally closed</i>
408. sexies		<i>1. The External Action Guarantee shall not support financing and investment operations which:</i>			<i>Provisionally closed</i>
408. septies		<i>(a) are linked to the military or state security sector.</i>			<i>Provisionally closed</i>
408. octies		<i>(b) support the development of nuclear</i>			Linked to discussion on exclusion

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		<i>energy, except for loans provided in accordance with Regulation EINS, and fossil fuels and promote further carbon lock-in of economies and societies.</i>			
408. nonies		<i>(c) have significant environmental external costs, such as those that involve degradation of protected areas, Critical Habitats and Heritage sites for which no sustainable development and management plan is carried out.</i>			<i>Provisionally closed</i>
408. decies		<i>(d) result in violation of human rights in partner countries, such as depriving communities from their right to access and control natural resources such as land, contribute to forced displacement of populations, or involve forced labour or child labour.</i>			

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408. un-decies		<p><i>2. In their financing and investment operations, the eligible counterparts shall comply with applicable Union law and agreed international and Union standards and, therefore, shall not support projects under this Regulation that contribute to money laundering, terrorism financing, tax avoidance, tax fraud and tax evasion. In addition, the eligible counterparts shall not enter into new or renewed operations with entities incorporated or established in jurisdictions listed under the relevant Union policy on non-cooperative jurisdictions, or that are identified as high risk third countries pursuant to Article 9(2) of</i></p>		<p><i>Persons and entities implementing financial instruments and budgetary guarantees shall comply with applicable Union law and principles and agreed international and Union standards as laid down in Article 155 (2) and (3) of the Financial Regulation. The Commission shall assess whether the systems, rules and procedures of those persons and entities ensure a protection of the financial interests of the Union equivalent to the one that is provided for when the Commission implements the EU budget, with due regard to the principle of proportionality taking into account the nature of the action and the</i></p>	<p><i>Provisionally closed on 2 October 2020</i></p>
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		<i>Directive (EU) 2015/849 of the European Parliament and of the Council, or that do not effectively comply with Union or internationally agreed tax standards on transparency and exchange of information. The eligible counterparts may derogate from this principle only if the project is physically implemented in one of those jurisdictions, and does not present any indication that the relevant operation falls under any of the categories listed in the first subparagraph of this paragraph. When concluding agreements with financial intermediaries, the eligible counterparts shall transpose the requirements referred to in this Article into the</i>		<i>conditions under which it is implemented.</i>	
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		<i>relevant agreements and shall request the financial intermediaries to report on their observance.</i>			
408. duodecies		<i>3. In its financing and investment operations, the eligible counterpart shall apply the principles and standards set out in Union law on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing and in particular Regulation (EU) 2015/847 of the European Parliament and of the Council (2) and Directive (EU) 2015/849. The eligible counterparts shall make both direct funding and funding via intermediaries under this Regulation contingent upon the disclosure of beneficial</i>			

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		<i>ownership information in accordance with Directive (EU) 2015/849 and publish country-by-country reporting data in accordance with Article 89(1) of Directive 2013/36/EU of the European Parliament and of the Council.</i>			
409.	Article 30 Capital participation in a development bank	Article 30 Capital participation in a development bank	Article 30 Capital participation in a development bank	Article 30 Capital participation in a development bank	<i>Provisionally closed on 2 October 2020</i>
410.	The envelope for geographic programmes, referred to in Article 6(2)(a), may be used to contribute to the capital endowment of European and other development finance institutions.	The envelope for geographic programmes, referred to in Article 6(2)(a), may be used to contribute to the capital endowment of European and other development finance institutions.	The envelope for geographic programmes, referred to in Article 6(2)(a), may be used to contribute to the capital endowment of European and other development finance institutions.	The envelope for geographic programmes, referred to in Article 6(2)(a), may be used to contribute to the capital endowment of European and other development finance institutions.	<i>Provisionally closed on 2 October 2020</i>
411.	Chapter V Monitoring, reporting and evaluation	Chapter V Monitoring, reporting and evaluation	Chapter V Monitoring, reporting and evaluation	Chapter V Monitoring, reporting and evaluation	<i>Provisionally closed on 11 June 2020</i>
412.	Article 31 Monitoring and reporting	Article 31 Monitoring and reporting	Article 31 Monitoring and reporting	Article 31 Monitoring and reporting	<i>Provisionally closed on 11 June 2020</i>

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412. bis		<p><i>-1. The achievement of the objectives of this Regulation shall be measured through an adequate, transparent and accountable monitoring, reporting and evaluation system, ensuring the proper involvement of the European Parliament and the Council, as well as enhancing the participation of all Union partners, including civil society, in the application of the programmes.</i></p>			<p><i>Provisionally closed on 11 June 2020</i></p>
413.	<p>1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out in Article 3 are set in Annex VII, in line with the Sustainable Development Goals indicators. The values of the indicators on 1 January 2021 shall be</p>	<p>1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out in Article 3 (2) are set in Annex VII, in line with the Sustainable Development Goals indicators. The values of the indicators on 1 January 2021 shall be</p>	<p>1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out in Article 3 are set in Annex VII, in line with the Sustainable Development Goals indicators. The values of the indicators on 1 January 2021 and shall</p>	<p>1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out in Article 3 (2) are set in Annex VII, in line with the Sustainable Development Goals indicators. The values of the indicators on 1 January 2021 and shall</p>	<p><i>Provisionally closed on 11 June 2020</i></p>

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	used as a basis for assessing the extent to which the objectives have been achieved.	used as a basis for assessing the extent to which the objectives have been achieved.	be used <i>together with data from evaluations and other results reporting</i> , as a basis for assessing the extent to which the objectives have been achieved.	be used <i>together with data from evaluations and other existing results reporting</i> , as a basis for assessing the extent to which the objectives have been achieved.	
414.	2. The Commission shall regularly monitor its actions and review progress made towards delivering expected results, covering outputs and outcomes.	2. The Commission shall regularly monitor its actions and review progress made towards delivering <i>the targets established in Article 3, as well as</i> expected results, covering outputs and outcomes.	2. The Commission shall <i>regularly continuously</i> monitor, <i>and at least on an annual basis</i> , its actions and review progress made towards delivering expected results, covering outputs and outcomes.	2. The Commission shall regularly <i>continuously</i> monitor its actions, <i>at least on an annual basis</i> , and review progress made towards delivering <i>the targets established by in Article 3</i> this Regulation , as well as expected results, covering outputs and outcomes.	<i>Provisionally closed on 11 June 2020</i>
415.	Progress with respect to expected results should be monitored on the basis of clear, transparent and, where appropriate, measurable indicators. Indicators shall be kept at a limited	Progress with respect to expected results should <i>shall</i> be monitored on the basis of clear, transparent and, where appropriate, measurable indicators <i>set in Annex VII and in the monitoring and</i>	Progress with respect to expected results should <i>shall</i> be monitored, <i>in a transparent manner</i> , on the basis of clear, <i>transparent-relevant</i> and, where appropriate, measurable indicators:-	Progress with respect to expected results should <i>shall</i> be monitored, <i>in a transparent, and timely manner</i> , on the basis of clear, transparent <i>relevant</i> and, where appropriate, measurable <i>qualitative and</i>	<i>Provisionally closed on 11 June 2020 pending agreement on governance</i> {1} EP: <i>and in the monitoring and</i>

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	number to facilitate timely reporting.	<i>evaluation framework adopted pursuant to paragraph 9, as well as in accordance with the provisions on Union budgetary execution.</i> Indicators shall be kept at a limited number to facilitate timely reporting <i>and, as a minimum, shall be disaggregated by sex and age.</i>	Indicators, <i>as well as qualitative and timely data.</i> Whenever possible <i>this data</i> shall be <i>disaggregated by sex, age and other factors</i> kept at a limited number to facilitate timely reporting.	quantitative data - Indicators <i>including, but not limited to those set out in Annex VII {1}, as well as on qualitative data.</i> Whenever possible, <i>this data</i> indicators shall be <i>disaggregated by sex, age and other relevant factors</i> kept at a limited number to facilitate timely reporting.	<i>evaluation framework adopted pursuant to paragraph 9</i> CL: no text
416.	3. Joint results frameworks included within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide the basis for the joint monitoring by the Union and the Member States of the implementation of their collective support to a partner country.	3. Joint results frameworks included within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide the basis for the joint monitoring by the Union and the Member States of the implementation <i>application</i> of their collective support to a partner country.	3. <i>Where feasible,</i> Joint results frameworks included within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide the basis for the joint monitoring by the Union and the Member States of the implementation of their collective support to a partner country <i>as agreed within the respective joint</i>	3. Joint results frameworks included <i>and agreed</i> within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide, <i>where feasible,</i> the basis for the joint monitoring by the Union and the Member States of the implementation of their collective support to a partner country.	<i>Provisionally closed on 11 June 2020</i>

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			<i>programming document.</i>		
417.	The performance reporting system shall ensure that data for monitoring programme implementation and results are collected efficiently, effectively, and in a timely manner. To that end, proportionate reporting requirements shall be imposed on recipients of Union funds.	The performance reporting system shall ensure that data for monitoring programme implementation application and results are collected efficiently, effectively, and in a timely manner. To that end, proportionate reporting requirements shall be imposed on recipients of Union funds.	The performance reporting system shall ensure that data for monitoring programme implementation and results are collected efficiently, effectively, and in a timely manner. To that end, proportionate reporting requirements shall be imposed on recipients of Union funds.	The performance reporting system shall ensure that data for monitoring programme {1}- implementation and results are collected efficiently, effectively, and in a timely manner. To that end, proportionate reporting requirements shall be imposed on recipients of Union funds.	<i>Provisionally closed on 11 June 2020 pending discussions on governance</i> {1} EP: application CL: implementation
418.	4. The Commission shall examine the progress made in implementing this Regulation. From 2022 onwards, the Commission shall submit to the European Parliament and to the Council an annual report on the achievement of the objectives of this Regulation by means of	4. The Commission shall examine the progress made in implementing applying this Regulation. From 2022 onwards, the Commission shall submit to the European Parliament and to the Council an annual report on the achievement of the objectives of this Regulation by means of indicators, including, but not limited to, those	4. The Commission shall examine the progress made in implementing this Regulation. From 2022 onwards, the Commission shall, in a timely manner and no later than the 31st of October each year, submit to the European Parliament and to the Council an annual report on progress	4. The Commission shall examine the progress made in {1} implementing this Regulation. Starting From 2022 onwards, the Commission shall, in a timely manner by the end of November each year, submit to the European Parliament and to the Council an annual report on	<i>Provisionally closed on 11 June 2020 pending agreement on governance</i> {1} EP: applying CL: implementing

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	indicators, measuring the results delivered and the efficiency of the Regulation. That report shall also be submitted to the European Economic and Social Committee and to the Committee of the Regions.	<i>set in Annex VII as well as Union budgetary execution</i> , measuring the results delivered and the efficiency of the Regulation. That report shall also be submitted to the European Economic and Social Committee and to the Committee of the Regions.	<i>towards</i> the achievement of the objectives of this Regulation by means of indicators, measuring the <i>reporting on the ongoing activities</i> , results delivered and the efficiency <i>effectiveness</i> of the Regulation. That report shall also be submitted to the European Economic and Social Committee and to the Committee of the Regions.	<i>progress towards</i> the achievement of the objectives of this Regulation by means of indicators, <i>including, but not limited to, those set in Annex VII</i> , measuring the <i>reporting on the ongoing activities</i> , results delivered and the efficiency <i>effectiveness</i> of the Regulation. That report shall also be submitted to the European Economic and Social Committee and to the Committee of the Regions.	
419.	5. The annual report shall contain information relating to the previous year on the measures financed, the results of monitoring and evaluation exercises, the involvement of the relevant partners, and	5. The annual report shall contain information relating to the previous year on the measures financed, the results of monitoring and evaluation exercises, the involvement <i>and level of cooperation</i> of the relevant partners, and the	5. The annual report shall contain information, relating to the previous year on the measures financed, the results of monitoring and evaluation exercises, the involvement of the relevant partners, and	5. The annual report shall contain information relating to the previous year on the measures financed, the results of monitoring and evaluation exercises, the involvement <i>and level of cooperation</i> of the	<i>Provisionally closed on 11 June 2020 pending agreement on Neighbourhood</i> {1} EP: performance (related also to

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	<p>the implementation of budgetary commitments and of payment appropriations broken down by country, region and cooperation sector. It shall assess the results of the Union funding using, as far as possible, specific and measurable indicators of its role in meeting the objectives of this Regulation. In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to development effectiveness principles, including for innovative financial instruments.</p>	<p>implementation application of budgetary commitments and of payment appropriations broken down by country, region and cooperation sector. It shall include an assessment of progress made towards expected results and regarding the incorporation of cross-cutting issues as mentioned in Article 8(6). It shall assess the results of the Union funding using, as far as possible, specific and measurable indicators of its role in meeting the objectives of this Regulation. In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to development effectiveness principles,</p>	<p>broken down by type of entity as referred to in Article 62 of the Financial Regulation for both direct and indirect management, the implementation of budgetary commitments and of payment appropriations broken down by programming document when applicable, country, region and cooperation sector. It shall assess the results of the Union funding using, as far as possible, specific and measurable indicators of its role in meeting to show progress towards the targets and objectives of this Regulation. It shall also present a breakdown on the forms of EU funding as defined in Article 23 of this Regulation.</p>	<p>relevant partners, and broken down by type of entity as referred to in Article 62 of the Financial Regulation for both direct and indirect management, as well as the implementation of budgetary commitments, including contracted amounts, and of payment appropriations, broken down by country, region and cooperation sector. It shall assess the results of the Union funding using, as far as possible, specific and measurable indicators of its role in meeting to show progress towards the targets and objectives of this Regulation, as well as the progress made towards mainstreaming issues referred to in Article</p>	<p>discussions on progress reports in line 225) CL: <i>incentive</i></p>
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		including for innovative financial instruments.	<p><i>The report shall contain qualitative and quantitative information on the emerging challenges and priorities cushion referred to in Article 15 and on the use of funds dedicated to the incentive-based approach referred to in Article 17. In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to development effectiveness principles, including for innovative financial instruments.</i></p>	<p><i>8(6). It shall also present a breakdown on the forms of EU funding as defined in Article 23 of this Regulation. The report shall contain qualitative and quantitative information including on measures taken pursuant to Article 9 and on the use of the emerging challenges and priorities cushion referred to in Article 15 and on the use of funds dedicated to the {1}-based approach referred to in Article 17. In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to development effectiveness principles, including for innovative financial instruments.</i></p>	
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420.	6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article 40(2), including external assigned revenues and contributions to trust funds, and offering a breakdown of spending by country, use of financial instruments, commitments and payments. The report shall reflect the main lessons learnt and the follow-up to the recommendations of the external evaluative exercises carried out in previous years.	6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article 40(2) 39(2) , including external assigned revenues and contributions to trust funds, and offering a breakdown of spending by country, use of financial instruments, commitments and payments. The report shall reflect the main lessons learnt and the follow-up to the recommendations of the external evaluative exercises carried out in previous years. <i>It shall include an assessment of the level of staff capacity at headquarters and Union delegations level for the delivery of</i>	6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article 40(2) 39 (2), including external assigned revenues and contributions to trust funds, <i>guarantees</i> and offering a breakdown of spending by country, use <i>forms</i> of financial instruments <i>EU funding as defined in Article 23 of this Regulation, type of entity as listed in Article 62 of the Financial Regulation for both direct and indirect management,</i> commitments and payments. The report shall reflect the main lessons learnt and the	6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article 40(2) 39(2) , including external assigned revenues and contributions to trust funds, <i>guarantees</i> and offering a breakdown of spending by country, use <i>forms</i> use of financial instruments <i>EU funding, as defined in Article 23 of this Regulation, type of entity as listed in Article 62 of the Financial Regulation for both direct and indirect management,</i> commitments and payments. The report shall reflect the main lessons learnt and the	<i>Provisionally closed on 11 June 2020</i>
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		<i>all objectives covered in this Regulation.</i>	follow-up to the recommendations of the external evaluative exercises carried out in previous years.	follow-up to the recommendations of the external evaluative exercises carried out in previous years.	
420. bis		<i>6a. The Commission shall submit as part of the annual report detailed reporting on the financing and investment operations covered by the External Action Guarantee, and the functioning of the EFSD+, its management and its effective contribution to its objectives. That part of the annual report shall be accompanied by an opinion of the Court of Auditors. It shall include the following elements:</i>		<i>6a. The Commission shall submit as part of the annual report detailed reporting on the financing and investment operations covered by the External Action Guarantee, and the functioning of the EFSD+, its management and its effective contribution to its objectives. It shall include the following elements:</i>	<i>Provisionally closed on 2 October 2020</i>
420. ter		<i>(a) an assessment of the results contributing to the purpose and objectives of the EFSD+ as set out in this Regulation;</i>		<i>(a) an assessment of the results contributing to the purpose and objectives of this Regulation and, where relevant, other funding</i>	<i>Provisionally closed on 2 October 2020</i>

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				<i>instruments as laid down in Art 26 (6)</i>	
420. quater		<i>(b) an assessment of current financing and investment operations and covered by the External Action Guarantee at sector, country and regional levels and their compliance with this Regulation, including the risk measures and their impact on the financial and economic stability of the partners;</i>			<i>Provisionally closed on 2 October 2020</i> AM integrated into line 420 quinquies
420. quin-ques		<i>(c) an assessment of the additionality and added value, the mobilisation of private sector resources, the estimated and actual outputs and the outcomes and impact of the financing and investment operations covered by the External Action Guarantee on an aggregated basis,</i>		<i>(b) an assessment, on the basis of indicators in line with Articles 26(1) and 27(2), of the additionality and added value, the mobilisation of private sector resources including micro, small and medium-size enterprises, the type of private sector entities supported , the</i>	<i>Provisionally closed on 2 October 2020 pending migration discussion</i> {T} EP: No text CL:

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		<p><i>including the impact on decent job creation and the ability to provide a living wage, the eradication of poverty and the reduction of inequality; that assessment shall include a gender analysis of the operations covered based on evidence and data broken down by gender, where possible, and an analysis of the type of private sector supported, including cooperatives and social enterprises;</i></p>		<p><i>estimated and actual outputs and the outcomes and impact of the financing and investment operations covered by the External Action Guarantee under the EFSD+ on an aggregated basis, including the impact on decent job creation, and the eradication of poverty {1} ; the assessment shall include an analysis of the risk measures and their impact on the financial and economic stability of the partners and a gender analysis of the operations covered based on evidence and data broken down by gender, country and sector where possible;</i></p>	<p><i>and on the way in which the root causes of migration including irregular migration and forced displacement are addressed</i></p>
420. sexes		<p><i>(d) an assessment of the compliance with the requirements concerning the use of</i></p>		<p><i>(c) an assessment of the compliance of the operations supported by the External Action</i></p>	<p><i>Provisionally closed on 2 October 2020</i></p>

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		<i>the External Action Guarantee and of the achievement of key performance indicators established for each proposal submitted;</i>		<i>Guarantee under the EFSD+ with the internationally agreed development effectiveness principles;</i>	<i>Possible to extend to other eligibility criteria in Article 27 (2)?</i>
420. sept-ies		<i>(e) an assessment of the leverage effect achieved by the operations covered by the External Action Guarantee and the EFSD+;</i>		<i>(d) an assessment of the leverage effect achieved by the operations covered;</i>	<i>Provisionally closed on 2 October 2020</i>
420. octies		<i>(f) the financial amount transferred to beneficiaries and an assessment of financing and investment operations by each eligible counterpart on an aggregated basis;</i>			<i>Provisionally closed on 2 October 2020</i>
420. non-ies		<i>(g) an assessment of the additionality and added value of financing and investment operations of the eligible counterparts, and of the aggregate risk associated with those operations;</i>			<i>Provisionally closed on 2 October 2020</i>

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420. decies		<i>(h) detailed information on calls on the External Action Guarantee, losses, returns, amounts recovered and any other payments received, as well as overall risk exposure;</i>			<i>Provisionally closed on 2 October 2020</i>
420. un-decies		<i>(i) the financial reports on financing and investment operations of the eligible counterparts covered by this Regulation, audited by an independent external auditor;</i>			<i>Provisionally closed on 2 October 2020</i>
420. duo-decies		<i>(j) an assessment of the synergies and complementarity between operations covered by the External Action Guarantee and the second and third pillars of the EIP based on relevant existing reports, with particular regard to progress made on good governance, including in the fight against corruption and</i>		<i>(e) an assessment of the synergies and complementarity between operations covered by the External Action Guarantee under the EFSD+ including those referred to in Article 27 bis and other pillars of the External Investment Plan based on relevant existing reports, with particular</i>	<i>Provisionally closed on 2 October 2020</i>

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		<i>illicit financial flows, respect for human rights, the rule of law and gender-responsive policies, as well as the boosting of entrepreneurship, the local business environment and local financial markets;</i>		<i>regard to progress made on good governance, including in the fight against corruption and illicit financial flows, respect for human rights, the rule of law and gender-responsive policies, as well as the boosting of entrepreneurship, the local business environment and local financial markets;</i>	
420. ter-decies		<i>(k) an assessment of the compliance of the External Action Guarantee operations with the internationally agreed development effectiveness principles;</i>			<i>Provisionally closed on 2 October 2020</i>
420. quater-decies		<i>(l) an assessment of the remuneration of the guarantees;</i>		<i>(f) an assessment of the remuneration of the guarantees and of the implementation of Article 155(2) and 155(3) of the Financial Regulation;</i>	<i>Provisionally closed on 2 October 2020</i>

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420. quin-decies		<i>(m) an assessment of the implementation of provisions related to excluded activities and non-cooperative jurisdictions.</i>			<i>Provisionally closed on 2 October 2020 pending agreement on excluded activities, including reporting</i>
421.	7. An annual estimate of the overall spending related to climate action and biodiversity shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development ('Rio markers'), without excluding the use of more precise methodologies where these are available, integrated into the	7. An annual estimate of the overall spending related to climate action and biodiversity <i>the targets set by this Regulation</i> shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development <i>including</i> ('Rio markers'), without excluding the use of more precise	7. An annual estimate of the overall spending related to climate action, <i>desertification</i> and biodiversity shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development (' <i>environmental and</i> Rio markers'), without excluding the use of	7. An annual estimate of the overall spending related to climate action, <i>desertification</i> and biodiversity shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development (' <i>environmental and</i> Rio markers'), without excluding the use of more precise methodologies where	<i>Provisionally closed on 11 June 2020</i>

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	<p>existing methodology for performance management of Union programmes, to quantify the expenditure related to climate action and biodiversity at the level of the action plans and measures referred to in Article 19 and recorded within evaluations and the annual report.</p>	<p>methodologies where these are available, integrated into the existing methodology for performance management of Union programmes, to quantify the expenditure related to climate action, and biodiversity and environment, human development and social inclusion, gender equality, and Official Development Assistance, at the level of the action plans and measures referred to in Article 19 and recorded within evaluations and the annual report. The Commission shall transmit the estimate to the European Parliament as part of the annual report.</p>	<p>more precise methodologies where these are available, integrated into the existing methodology for performance management of Union programmes, to quantify the expenditure related to environmental management and protection, climate action, desertification and biodiversity at the level of the action plans and measures referred to in Article 19 and recorded within evaluations and the annual report.</p>	<p>these are available, integrated into the existing methodology for performance management of Union programmes, to quantify the expenditure related to environmental management and protection, climate action, desertification and biodiversity at the level of the action plans and measures referred to in Article 19 and recorded within evaluations and the annual report.</p>	
422.	8. The Commission shall make available information on development co-	8. The Commission shall make available information on development co-	8. The Commission shall make available information on development co-	The Commission shall make available information on development co-	<i>Provisionally closed on 11 June 2020</i>

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	operation through recognised international standards.	operation cooperation through recognised international standards, including those of the International Labour Organisation, and using the framework for a common standard developed by the International Aid Transparency Initiative.	operation through cooperation in accordance with recognised international standards- such as those from the OECD and the International Aid Transparency Initiative (IATI).	operation through cooperation in accordance with recognised international standards- such as those from the International Labour Organisation and the OECD and by using the framework for a common standard developed by the International Aid Transparency Initiative (IATI).	
423.	9. To ensure effective assessment of progress of this Regulation towards the achievement of its objectives, the Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend Annex VII to review or complement the indicators where considered necessary and to supplement this	9. To ensure effective assessment of progress of this Regulation towards the achievement of its objectives, the Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend Annex VII to review or complement the indicators where considered necessary, including in the context of the mid-term review pursuant to Article 32,	9. To ensure effective assessment of progress of this Regulation towards the achievement of its objectives, the Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend Annex VII to review or complement the indicators where considered necessary and to supplement this		EP and CL maintain their mandates

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	Regulation with provisions on the establishment of a monitoring and evaluation framework.	and to supplement this Regulation with provisions on the establishment of a monitoring and evaluation framework, which may include additional performance indicators applicable for each of the specific objectives of this Regulation.	Regulation with provisions on the establishment of a monitoring and evaluation framework.		
424.	Article 32 Evaluation	Article 32 Mid-term review and evaluation	Article 32 Evaluation of this Regulation	Article 32 Evaluation	<i>Provisionally closed on 11 June 2020</i>
425.	1. An interim evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument.	1. An interim No later than 30 June 2024, the Commission shall submit a mid-term evaluation report on the application of this Regulation. The mid-term evaluation report shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the	1. An interim The mid-term and final evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument. make use of the good practice principles of the Development	1. The Commission shall evaluate the impact and effectiveness of its actions per area of intervention, and the effectiveness of programming, where appropriate by means of independent external evaluations. Proposals by the European Parliament or the Council for independent external	<i>Provisionally closed on 11 June 2020 pending discussions on governance</i> {1} CL: through the relevant committee referred to in Article 35. Specific evaluations may be discussed in that committee at the request of Member States.

		<p><i>implementation cover the period from 1 January 2021 to 31 December 2023 and shall examine the Union contribution to the achievement of the instrument objectives of this Regulation, by means of indicators measuring the results delivered, and any findings and conclusions concerning the impact of this Regulation, including of the European Fund for Sustainable Development Plus and the External Action Guarantee.</i></p>	<p><i>Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain the relevance, effectiveness, efficiency, sustainability and impact of this Regulation and whether the objectives of this Regulation have been met, and to formulate recommendations with a view to improving future actions.</i></p>	<p><i>evaluations shall be taken into due account. Where applicable, evaluations shall make use of the good practice principles of the Development Assistance Committee of the OECD, seeking to ascertain whether the specific objectives, have been met and to formulate recommendations with a view to improving future actions.</i></p> <p><i>The Commission shall communicate the findings and conclusions of the evaluations accompanied by its observations and follow-up, to the European Parliament, to the Council and to the Member States {1}. The results shall feed into action design and</i></p>	<p>EP: no text</p>
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				<p><i>resource allocation. Evaluations and follow-up shall be made publicly available.</i></p> <p><i>The Commission shall, to an appropriate extent, associate all relevant stakeholders, including beneficiaries, civil society actors and local authorities in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and other partners with close involvement of the partner countries.</i></p> <p>An interim evaluation of this Regulation shall be performed once there is sufficient information available about its</p>	
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				implementation, but no later than four years after the start of the implementation of the instrument.	
426.	Where appropriate evaluations shall make use of the good practise principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the objectives have been met and to formulate recommendations with a view to improving future actions.		Where appropriate evaluations shall make use of the good practise principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the objectives have been met and to formulate recommendations with a view to improving future actions.	Where appropriate evaluations shall make use of the good practise principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the objectives have been met and to formulate recommendations with a view to improving future actions.	<i>Provisionally closed on 11 June 2020</i> Moved to line 425.
426. bis		<i>The European Parliament may provide input to this evaluation. The Commission and the EEAS shall organise a consultation with key stakeholders and beneficiaries, including civil society</i>	<i>1 bis The mid-term evaluation of the implementation of this Regulation shall be submitted by the Commission no later than 31 December 2024. It shall cover the period from 1 January</i>	<i>1 bis A mid-term evaluation of this Regulation shall be submitted by the Commission no later than 31 December 2024. It shall cover the period from 1 January</i>	<i>Provisionally closed on 11 June 2020</i>

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		<i>organisations. The Commission and EEAS shall give particular attention to ensure that the most marginalised are represented.</i>	<i>2021 until the launch of the evaluation.</i>	<i>2021 until the launch of the evaluation.</i>	
426.ter		<i>The Commission shall also evaluate the impact and effectiveness of its actions per area of intervention, and the effectiveness of programming, by means of external evaluations. The Commission and the EEAS shall take into account proposals and views of the European Parliament and the Council on independent external evaluations. Where appropriate applicable evaluations shall make use of the good practice principles of the Development Assistance Committee of the Organisation for Economic Cooperation</i>			<i>Provisionally closed on 11 June 2020</i> Covered under 425

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		and Development, seeking to ascertain whether the objectives have been met and to formulate recommendations with a view to improving future actions. <i>The interim evaluation shall assess how the Union performed on targets established by this Regulation.</i>			
427.	2. At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, a final evaluation of the Regulation shall be carried out by the Commission. This evaluation shall look at the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results	2. At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, a final <i>The mid-term evaluation report of the Regulation shall also address efficiency, the added value, the functioning of the simplified and streamlined external financing architecture, internal and external coherence, and the</i>	2. At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, <i>31 December 2030</i> a final evaluation of the Regulation shall be carried out <i>submitted</i> by the Commission. This evaluation shall look at <i>analyse and assess</i> the Union contribution to the achievement of the objectives of this	2. four years after the end of the period specified in Article 1 <i>A final evaluation of the Regulation shall be carried out by the Commission within the mid-term review of the next financial period.</i> This evaluation shall look at <i>analyse and assess</i> the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators	<i>Provisionally closed on 11 June 2020</i>

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	<p>delivered and any findings and conclusions concerning the impact of this Regulation.</p>	<p>continued relevance be carried out by the Commission. This evaluation shall look at the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results delivered and any <i>the complementarity and synergies between the actions funded, the contribution of the measures to consistent Union external action, and the degree to which the public in recipient countries are aware of Union financial support, where appropriate, and include the findings and conclusions concerning the impact of this Regulation. of the reports referred to in article 31(4).</i></p>	<p>Regulation, taking into account indicators measuring the results delivered and any <i>as well as</i> findings and conclusions concerning the impact of this Regulation.</p>	<p>measuring the results delivered and any findings and conclusions concerning the impact of this Regulation.</p>	
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428.	The final evaluation report shall also address efficiency, the added value, the scope for simplification, internal and external coherence, and the continued relevance of the objectives of this Regulation.	The final evaluation report shall also address efficiency, the added value, the scope for simplification, internal and external coherence, and the continued relevance of the objectives of this Regulation.	The <i>mid-term and the final evaluation report</i> shall also address efficiency, the added value, the scope for simplification, internal and external coherence, <i>lessons learnt</i> , and the continued relevance of the objectives of this Regulation. <i>The evaluations shall also include information on the added value of integrating previously separate instruments into one streamlined instrument.</i>	The <i>mid-term and the final evaluations report</i> shall address efficiency, <i>effectiveness, impact, sustainability</i> , the added value, the scope for simplification, internal and external coherence, <i>including complementarity and synergies</i> , and the continued relevance of the objectives of this Regulation. <i>Evaluations shall identify lessons learned. The evaluations shall assess the maximum amount of the External Action Guarantee laid down in article 26(3) and include information on the added value of integrating previously separate instruments into one streamlined instrument.</i>	<i>Provisionally closed on 11 June 2020</i>
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429.	The final evaluation report shall be undertaken for the specific purpose of improving the implementation of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation.	The final <i>mid-term</i> evaluation report shall be undertaken for the specific purpose of improving the implementation <i>application</i> of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under this Regulation.	The final evaluation report shall be undertaken for the specific purpose of improving the implementation of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation.	The final evaluation report shall be undertaken for the specific purpose of improving the implementation of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation.	<i>Provisionally closed on 11 June 2020</i> Moved to line 430 bis.
429. bis			<i>The mid-term and final evaluation reports shall also contain consolidated information from annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds, offering a breakdown of spending by beneficiary country, forms of EU funding, and involvement of</i>	<i>The mid-term and final evaluations shall also contain consolidated information from annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds, offering a breakdown of spending by beneficiary country, forms of EU funding, and involvement of EU Member States and relevant partners,</i>	<i>Provisionally closed on 11 June 2020</i>

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			<i>EU Member States and relevant partners, commitments and payments.</i>	<i>commitments and payments, as well as a breakdown per geographic programmes, thematic programmes and rapid response actions, including the use of funds mobilised from the emerging challenges and priorities cushion, as referred to in Article 6.</i>	
430.	The final evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments, commitments and payments	The final <i>mid-term</i> evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by, beneficiary country, use of financial instruments, commitments and payments, <i>as well as by</i>	The final evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments,	The final evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments,	<i>Provisionally closed on 11 June 2020</i> Moved to line 429bis.

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		<i>geographic and thematic programme and rapid response action, including funds mobilised from the emerging challenges and priorities cushion.</i>	commitments and payments.		
430. bis			<i>The mid-term and final evaluation shall be undertaken for the specific purpose of improving the implementation of the Union funding and its contribution to promoting development outcomes in partner countries. They shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation.</i>	The <i>mid-term and final</i> evaluations report shall be undertaken for the specific purpose of improving the implementation Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation. <i>The mid-term evaluation shall be accompanied, if appropriate, by legislative proposals setting out necessary amendments to this Regulation.</i>	<i>Provisionally closed on 11 June 2020</i>

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431.	The Commission shall communicate the conclusions of the evaluations accompanied by its observations, to the European Parliament, to the Council and to the Member States through the relevant committee referred to in Article 35. Specific evaluations may be discussed in that committee at the request of Member States. The results shall feed into programme design and resource allocation.	The Commission shall communicate the conclusions of the evaluations accompanied by its observations, to the European Parliament, to the Council and to the Member States through the relevant committee referred to in Article 35. Specific evaluations may be discussed in that committee at the request of Member States. The results shall feed into programme design and resource allocation.	The Commission shall communicate the findings and conclusions of the all evaluations accompanied by its observations and management response , to the European Parliament, to the Council and to the Member States through the relevant committee referred to in Article 35. Specific evaluations may be discussed in that committee at the request of Member States. The respective results shall feed into programme action design and resource allocation. All evaluation reports and management response shall be made publicly available.		<i>Provisionally closed on 11 June 2020</i> Moved to line 425
432.	The Commission shall, to an appropriate extent,	The Commission shall, to an appropriate extent,	The Commission shall, to an appropriate		<i>Provisionally closed on 11 June 2020</i>

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	associate all relevant stakeholders in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and development partners with close involvement of the partner countries.	associate all relevant stakeholders <i>and beneficiaries, including CSOs</i> in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and development partners with close involvement of the partner countries.	extent, associate all relevant stakeholders in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and development <i>other</i> partners with close involvement of the partner countries.		Moved to end of line 425
432. bis		<i>2a. The Commission shall submit the mid-term evaluation report referred to in paragraph 2 to the European Parliament and to the Council. The report shall be accompanied, if appropriate, by legislative proposals setting out necessary amendments to this Regulation.</i>			<i>Provisionally closed on 11 June 2020</i> Moved to line 430bis.
432. ter		<i>2b. At the end of the period of application of</i>			<i>Provisionally closed on 11 June 2020</i>

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		<i>this Regulation, but no later than three years after the end of the period specified in Article 1, the Commission shall carry out a final evaluation of the Regulation on the same terms as the mid-term evaluation referred to in paragraph 2 of this Article.</i>			
433.	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December 2025 and every three years thereafter, the Commission shall evaluate the use and the functioning of the External Action Guarantee. The Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December 2025 and every three years thereafter, the Commission shall evaluate the use and the functioning of the External Action Guarantee. The Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall be	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December 2025 2023 and every three years thereafter, the Commission shall evaluate the use and the functioning of the External Action Guarantee. The Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December 2024 and every three years thereafter, the Commission shall evaluate, <i>on the basis of an external evaluation</i> , the use and the functioning of the External Action Guarantee, <i>in particular its contribution to the overall objectives, the achieved results and additionality</i> . The	<i>Provisionally closed on 2 October 2020</i>

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	be accompanied by an opinion of the Court of Auditors.	accompanied by an opinion of the Court of Auditors.	be accompanied by an opinion of the Court of Auditors. <i>The evaluation report and the opinion of the Court of Auditors shall be made publicly available. The Commission shall conduct an independent evaluation every three years containing, inter alia, information on the contribution to the overall objectives, the achieved results and financial additionality.</i>	Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall be accompanied by an opinion of the Court of Auditors. <i>The evaluation report and the opinion of the Court of Auditors shall be made publicly available.</i>	
434.	TITLE III FINAL PROVISIONS	TITLE III FINAL PROVISIONS	TITLE III FINAL PROVISIONS	TITLE III FINAL PROVISIONS	<i>Provisionally closed on 11 June 2020</i>
435.	Article 33 Participation by a country or territory not covered by this Regulation	Article 33 Participation by a country or territory not covered by this Regulation	Article 33 Participation by a country or territory not covered by this Regulation	Article 33 <i>Extension of geographic scope</i>	<i>Provisionally closed on 11 June 2020</i>
436.	1. In duly justified cases and where the action to be implemented is of a global, trans-regional or regional nature, the	1. In duly justified cases and where the action to be implemented <i>applied</i> is of a global, trans-regional or regional	1. In duly justified cases and where the action to be implemented is of a global, trans-regional	1. In duly justified cases and where the action to be {1} is of a global, trans-regional or regional nature, the	<i>Provisionally closed</i> {1} EP: implemented <i>applied</i>

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	<p>Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation.</p>	<p>nature, the Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to shall be empowered to adopt a delegated act in accordance with Article 34 in order to supplement this Regulation by adding countries and territories not to those covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation for the purpose of those actions.</p>	<p>or regional nature, the Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation.</p>	<p>Commission may decide, within the relevant multiannual {2} programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation.</p>	<p>CL: implemented {2} EP: no text CL: indicative</p>
437.	<p>2. The Commission may include a specific financial allocation to assist partner countries and regions in</p>	<p>2. The Commission may include a specific financial allocation to assist partner countries and regions in</p>	<p>2. The Commission may include a specific financial allocation to assist partner countries, and regions in</p>	<p>2. The Commission may include a specific financial allocation to assist partner countries, and regions in</p>	<p><i>Provisionally closed on 11 June 2020</i></p>

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	strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision <i>OCT Decision</i> . To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the <i>OCT Decision</i> and/or the <i>ETC Regulation</i> , to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the <i>OCT Decision</i> or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation	strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision <i>OCT Decision</i>. To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the <i>OCT Decision</i> and/or the <i>ETC Regulation</i>, to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the <i>OCT Decision</i> or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation programmes or measures established	strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision <i>[OCT Decision]</i> . To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the <i>[OCT Decision]</i> and/or the <i>[ETC Regulation]</i> , to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the <i>[OCT Decision]</i> or by a Union outermost region in the frame of joint operational programmes or to	strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision <i>[OCT Decision]</i> . To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the <i>[OCT Decision]</i> and/or the <i>[ETC Regulation]</i> , to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the <i>[OCT Decision]</i> or by a Union outermost region in the frame of joint operational programmes or to interregional	
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	programmes or measures established and implemented under <i>the ETC Regulation</i> .	and implemented under the ETC Regulation.	interregional cooperation programmes or measures established and implemented under <i>[the ETC Regulation]</i> .	cooperation programmes or measures established and implemented under <i>[the ETC Regulation]</i> .	
437. bis		<i>Article 33a Cooperation between partner countries and regions with neighbouring Union outermost regions and with overseas countries and territories</i>			<i>Provisionally closed on 11 June 2020</i>
437. ter		<i>1. The Commission may include a specific financial allocation to assist partner countries and regions in strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision OCT Decision. To this end, this Regulation, may contribute, where appropriate and on the</i>			<i>Provisionally closed on 11 June 2020</i> Covered in line 437

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		<i>basis of reciprocity and proportionality as regards the level of funding from the OCT Decision and/or the ETC Regulation, to actions applied by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the OCT Decision or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation programmes or measures established and applied under the ETC Regulation.</i>			
437. quater		<i>2. The Union co-financing rate shall not be higher than 90 % of the eligible expenditure of a programme or measure. For technical assistance, the co-</i>			<i>Provisionally closed on 11 June 2020</i>

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		<i>financing rate shall be 100 %.</i>			
438.	Article 34 Exercise of the delegation	Article 34 Exercise of the delegation	Article 34 Exercise of the delegation		EP and CL maintain their mandates
439.	1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.	1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.	1. The power to adopt delegated acts is conferred on the Commission subject to the conditions laid down in this Article.		EP and CL maintain their mandates
440.	2. The power to adopt delegated acts referred to in Article 4(6), Article 26(3), Article 27(9) and Article 31(9) shall be conferred on the Commission for the period of validity of this Regulation.	2. The power to adopt delegated acts referred to in Article 4(6), Article 26(3) 8(7a) , Article 8(8b) , Article 14(1) , Article 15(a) , Article 17(4) , Article 21(3a) , Article 26(4) , Article 27(9), and Article 31(9) and Article 33(1) shall be conferred on the Commission for the period of validity of this Regulation. The Commission shall adopt those delegated acts as soon as possible. However, the delegated acts referred to in	2. The power to adopt delegated acts referred to in Article 4(6), Article 26(3), Article 27(9) and Article 31(9) shall be conferred on the Commission for the period of validity of this Regulation.		EP and CL maintain their mandates

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		<i>Article 8(7a), Article 8(8b), Article 17(4), and Article 31(9) shall be adopted by ...[6 months after the date of entry into force of this Regulation].</i>			
441.	3. The delegation of power referred to in Article 4(6), Article 26(3), Article 27(9) and Article 31(9) may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.	3. The delegation of power referred to in Article 4(6), Article 26(3), <i>Article 8(7a), Article 8(8b), Article 14(1), Article 15a, Article 17(4), Article 21(3a), Article 26(4),</i> Article 27(9), Article 31(9) and <i>Article 33(1)</i> may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date	3. The delegation of power referred to in Article 4(6), Article 26(3), Article 27(9) and Article 31(9) may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of		EP and CL maintain their mandates

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		specified therein. It shall not affect the validity of any delegated acts already in force.	any delegated acts already in force.		
442.	4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement on Better Law-Making of 13 April 2016.	4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement on Better Law-Making of 13 April 2016.	4. Before adopting a delegated act, the Commission shall consult experts designated by each Member State in accordance with the principles laid down in the Interinstitutional Agreement on Better Law-Making of 13 April 2016.		EP and CL maintain their mandates
443.	5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.	5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.	5. As soon as it adopts a delegated act, the Commission shall notify it simultaneously to the European Parliament and to the Council.		EP and CL maintain their mandates
444.	6. A delegated act adopted pursuant to Article 4(6), Article 26(3), Article 27(9) and Article 31(9) shall enter into force only if no objection has been	6. A delegated act adopted pursuant to Article 4(6), Article 26(3), 8(7a), Article 8(8b), Article 14(1), Article 15a, Article 17(4), Article 21(3a),	6. A delegated act adopted pursuant to Article 4(6), Article 26(3), Article 27(9) and Article 31(9) shall enter into force only if no objection has been		EP and CL maintain their mandates

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	expressed either by the European Parliament or the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.	Article 26(4) , Article 27(9), Article 31(9) and Article 33(1) shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.	expressed either by the European Parliament or the Council within a period of two months of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended by two months at the initiative of the European Parliament or of the Council.		
444. bis		Article 34a Urgency procedure			EP and CL maintain their mandates
444. ter		1. Where, in the case of natural or man-made disasters, or immediate threats to democracy,			EP and CL maintain their mandates

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		<i>the rule of law, human rights or fundamental freedoms, imperative grounds of urgency so require, the Commission is empowered to adopt delegated acts and the procedure provided for in paragraphs 2 and 3 of this Article shall apply.</i>			
444. quater		<i>2. Delegated acts adopted under this Article shall enter into force without delay and shall apply as long as no objection is expressed in accordance with paragraph 3.</i>			EP and CL maintain their mandates
444. quin-quiés		<i>The notification of a delegated act to the European Parliament and to the Council shall state the reasons for the use of the urgency procedure.</i>			EP and CL maintain their mandates
444. sexies		<i>3. Either the European Parliament or the Council may object to a delegated act in</i>			EP and CL maintain their mandates

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		<i>accordance with the procedure referred to in Article 34(6). In such a case, the Commission shall repeal the act immediately following the notification of the decision to object by the European Parliament or by the Council.</i>			
444. septies		<i>Article 34b Democratic accountability</i>			EP and CL maintain their mandates
444. octies		<i>1. In order to enhance dialogue between the institutions of the Union, in particular the European Parliament, Commission and the EEAS, and to ensure greater transparency and accountability, as well as the expediency in the adoption of acts and measures by the Commission, the European Parliament may invite the Commission and the EEAS to appear before</i>			EP and CL maintain their mandates

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		<i>it to discuss the strategic orientations and guidelines for the programming under this Regulation. That dialogue shall also foster the overall coherence of all External Financing Instruments in line with Article 5. That dialogue may take place prior to the adoption of delegated acts and of the draft annual budget by the Commission. That dialogue may also take place on an ad hoc basis in view of major political developments, at the request of the European Parliament or the European Commission or the EEAS.</i>			
444. nonies		<i>2. The Commission and the EEAS shall present to the European Parliament all relevant documents in that</i>			EP and CL maintain their mandates

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		<i>regard at least one month prior to the dialogue. For the dialogue related to the annual budget, consolidated information on all action plans and measures adopted or planned in accordance with Article 21, information on cooperation per country, region and thematic area, and the use of rapid response actions, the emerging challenges and priorities cushion, and the External Action Guarantee shall be provided by the Commission and the EEAS.</i>			
444. decies		<i>3. The Commission and the EEAS shall take utmost account of the position expressed by the European Parliament. In the event that the Commission or</i>			EP and CL maintain their mandates

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		<i>the EEAS do not take European Parliament's positions into account, it shall provide due justification.</i>			
444. un- decies		<i>4. The Commission and the EEAS, in particular through the steering group pursuant to Article 38, shall be responsible for keeping the European Parliament informed about the state of this Regulation's application, in particular about ongoing measures, actions and results.</i>			EP and CL maintain their mandates
445.	Article 35 Committee	Article 35 Committee	Article 35 Committee		EP and CL maintain their mandates
446.	1. The Commission shall be assisted by the Neighbourhood, Development and International Cooperation committee. This committee shall be a committee within the	1. The Commission shall be assisted by the Neighbourhood, Development and International Cooperation committee. This committee shall be a committee within the	1. The Commission shall be assisted by the Neighbourhood, Development and International Cooperation committee. This committee shall be a committee within the		EP and CL maintain their mandates

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	meaning of Regulation (EU) No 182/2011.	meaning of Regulation (EU) No 182/2011.	meaning of Regulation (EU) No 182/2011. <i>The committee may convene in different formats in charge of specific areas of intervention, such as geographic, thematic and rapid response actions.</i>		
447.	2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.	2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.	2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply. <i>When the committee delivers no opinion, the Commission shall not adopt the draft implementing act and the third subparagraph of Article 5(4) of Regulation (EU) No 182/2011 shall apply.</i>		EP and CL maintain their mandates
448.	3. Where the opinion of the committee is to be obtained by a written procedure, the procedure shall be	3. Where the opinion of the committee is to be obtained by a written procedure, the procedure shall be terminated	3. Where the opinion of the committee is to be obtained by a written procedure, the procedure shall be		EP and CL maintain their mandates

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	terminated without result when, within the time limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.	without result when, within the time limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.	terminated without result when, within the time limit for delivery of the opinion, the chair of the committee so decides or a simple majority of committee members so requests.		
449.	4. Where reference is made to this paragraph, Article 8 of Regulation (EU) No 182/2011, in conjunction with Article 5 thereof, shall apply.	4. Where reference is made to this paragraph, Article 8 of Regulation (EU) No 182/2011, in conjunction with Article 5 thereof, shall apply.	4. Where reference is made to this paragraph, Article 8 of Regulation (EU) No 182/2011, in conjunction with Article 5 thereof, shall apply.		EP and CL maintain their mandates
450.	5. The adopted decision shall remain in force for the duration of the adopted or modified document, action programme or measure.	5. The adopted decision shall remain in force for the duration of the adopted or modified document, action programme or measure.	5. The adopted decision shall remain in force for the duration of the adopted or modified document, action programme or measure.		EP and CL maintain their mandates
451.	6. An observer from the European Investment Bank shall take part in the Committee's proceedings with regard to questions concerning the European Investment Bank.	6. An observer from the European Investment Bank shall take part in the Committee's proceedings with regard to questions concerning the European Investment Bank.	6. An observer from the European Investment Bank shall take part in the Committee's proceedings with regard to questions concerning the		EP and CL maintain their mandates

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			European Investment Bank.		
451. bis			7. The committee shall be assisting the Commission by examining any other matter concerning the implementation of this Regulation, in particular with regard to multi-annual programming documents including mid-term or ad-hoc reviews.		EP and CL maintain their mandates
452.	Article 36 Information, communication and publicity	Article 36 Information Transparency, communication and publicity public disclosure of information	Article 36 Information, communication and publicity	Article 36 Information, communication and publicity visibility	<i>Provisionally closed on 11 June 2020</i>
453.	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting the actions and their results by	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting the actions and their results by	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, in coherence with Union values, in particular when	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting and reporting on the	<i>Provisionally closed on 11 June 2020</i>

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	providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public.	providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public. <i>The Commission shall be responsible for monitoring recipients' compliance with those requirements.</i>	promoting <i>and reporting on</i> the actions and their results by providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public.	actions, and their results by <i>highlighting the support received from the Union in a visible manner on communication material related to the actions supported under this Regulation; and by</i> providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public. <i>Agreements concluded with the recipients of Union funding shall contain obligations in that respect.</i>	
454.	2. The Commission shall implement information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this	2. The Commission shall implement <i>apply</i> information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this	2. The Commission shall implement information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this	2. The Commission shall <i>carry out</i> implement information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this	<i>Provisionally closed on 11 June 2020</i>

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	Regulation shall also contribute to the corporate communication of the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.	Regulation shall also contribute to the corporate communication of the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.	Regulation shall also contribute to the corporate communication of <i>and reporting on</i> the political priorities of the Union, <i>as well as fight against disinformation</i> , as far as those priorities are directly related to the objectives referred to in Article 3.	Regulation shall also contribute to the corporate communication of <i>and reporting on</i> the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.	
454. bis		<i>2a. The Commission shall take measures to strengthen strategic communication and public diplomacy for communicating the values of the Union and the Union's added value.</i>		<i>2bis. This Regulation shall support strategic communication and public diplomacy, including the fight against disinformation, with a view to communicating the values of the Union as well as the added value of, and results achieved by the Union's actions.</i>	<i>Provisionally closed on 11 June 2020</i>
454. ter		<i>2b. The Commission shall establish a single comprehensive public central electronic repository of all actions</i>		<i>2ter. The Commission shall make publicly available information on actions financed under this Regulation</i>	<i>Provisionally closed on 11 June 2020</i>

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		<p><i>financed under this Regulation, including the criteria used to establish partners' needs in the resource allocation process, and ensure its regular update, with the exception of those actions deemed to give rise to security issues or local political sensitivities pursuant to Article 37.</i></p>		<p><i>as referred to in Article 38 of the Financial Regulation, including as appropriate through a comprehensive single website.</i></p>	
454. quater		<p><i>2c. The repository shall also include information on all financing and investment operations, including at individual and project level and the essential elements of all EFSD + guarantee agreements, including information on the legal identity of eligible counterparts, expected development benefits and complaints procedures, taking into</i></p>		<p><i>2c. This website shall also include information on financing and investment operations and the essential elements of all EFSD + guarantee agreements, including information on the legal identity of eligible counterparts, expected development benefits and complaints procedures, in accordance with subparagraph h) of Article</i></p>	<p><i>Provisionally closed</i></p>

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		<i>account the protection of confidential and commercially sensitive information.</i>		<i>29(3), taking into account the protection of confidential and commercially sensitive information.</i>	
454. quin-ques		<i>2d. In accordance with their transparency policies and Union rules on data protection and on access to documents and information, eligible EFSD + counterparts shall proactively and systematically make publicly available on their websites information relating to all financing and investment operations covered by the External Action Guarantee, relating in particular to the manner in which those operations contribute to the achievement of the objectives and requirements of this Regulation. Such</i>		<i>2d. In accordance with their transparency policies and Union rules on data protection and on access to documents and information, eligible EFSD + counterparts shall proactively and systematically make publicly available on their websites information relating to all financing and investment operations covered by the External Action Guarantee, relating in particular to the manner in which those operations contribute to the achievement of the objectives and requirements of this Regulation. Where</i>	<i>Provisionally closed</i>

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		<i>information shall be broken down at project level. Such information shall always take into account the protection of confidential and commercially sensitive information. Eligible counterparts shall also publicise Union support in all information which they publish on financing and investment operations covered by the External Action Guarantee in accordance with this Regulation.</i>		<i>possible, such information shall be broken down at project level. Such information shall always take into account the protection of confidential and commercially sensitive information. Eligible counterparts shall also publicise Union support in all information which they publish on financing and investment operations covered by the External Action Guarantee in accordance with this Regulation.</i>	
455.	Article 37 Derogation from visibility requirements	Article 37 Derogation from visibility requirements	Article 37 Derogation from visibility requirements	Article 37 Derogation from visibility requirements	<i>Provisionally closed on 11 June 2020</i>
456.	Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries or	Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries or areas	Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries or	Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries or	<i>Provisionally closed on 11 June 2020</i>

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	<p>areas or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in consultation and agreement with the Union. Where rapid intervention is required in response to a sudden crisis, it is not necessary to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.</p>	<p>or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in consultation and agreement with the Union. Where rapid intervention is required in response to a sudden crisis, it is not necessary to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.</p>	<p>areas or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in consultation and agreement with the Union. Where rapid intervention is required in response to a sudden crisis, it is not necessary to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.</p>	<p>areas or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in consultation and agreement with the Union. Where rapid intervention is required in response to a sudden crisis, it is not necessary to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.</p>	
457.	<p>Article 38 EEAS clause</p>	<p>Article 38 EEAS clause</p>	<p>Article 38 EEAS clause</p>		<p>EP and CL maintain their mandates</p>
458.	<p>This Regulation shall apply in accordance</p>	<p>This Regulation shall apply in accordance with Decision 2010/427/EU.</p>	<p>This Regulation shall apply in accordance</p>		<p>EP and CL maintain their mandates</p>

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	with Decision 2010/427/EU.		with Decision 2010/427/EU.		
458. bis		Article 38a Governance			EP and CL maintain their mandates
458. ter		<i>A horizontal steering group composed of all relevant Commission and EEAS services and chaired by the VP/HR or a representative of that office shall be responsible for the steering, coordination and management of this instrument throughout the management cycle in order to ensure consistency, efficiency, transparency and accountability of all Union external financing. The VP/HR shall ensure overall political coordination of the Union's external action. For all actions, including rapid response actions and exceptional assistance measures, and</i>			EP and CL maintain their mandates

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		<i>throughout the whole cycle of programming, planning and application of the instrument, the High Representative and the EEAS shall work with the relevant members and services of the Commission, identified on the basis of the nature and objectives of the action foreseen, building upon their expertise. All proposals for decisions shall be prepared by following the Commission's procedures and shall be submitted to the Commission for adoption.</i>			
458. quater		<i>The European Parliament shall be fully involved in the design, programming, monitoring and evaluation phases of the instruments in order to guarantee political</i>			EP and CL maintain their mandates

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		<i>control and democratic scrutiny and accountability of Union funding in the field of external action.</i>			
459.	Article 39 Repeal and transitional provisions	Article 39 Repeal and transitional provisions	Article 39 Repeal and transitional provisions	Article 39 Repeal and transitional provisions	<i>Provisionally closed on 11 June 2020</i>
460.	1. Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601 are repealed with effect from 1 January 2021.	1. Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601 are repealed with effect from 1 January 2021.	1. Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601 are repealed with effect from 1 January 2021.	1. Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601 are repealed with effect from 1 January 2021.	<i>Provisionally closed on 11 June 2020</i>
461.	2. The financial envelope for this Regulation may also cover technical and administrative assistance expenditures necessary to ensure the transition between this Regulation and the measures adopted under its predecessors: Regulation (EU) No 233/2014; Regulation (EU) No 232/2014;	2. The financial envelope for this Regulation may also cover technical and administrative assistance expenditures necessary to ensure the transition between this Regulation and the measures adopted under its predecessors: Regulation (EU) No 233/2014; Regulation (EU) No 232/2014;	2. The financial envelope for this Regulation may also cover technical and administrative assistance expenditures necessary to ensure the transition between this Regulation and the measures adopted under its predecessors: Regulation (EU) No 233/2014; Regulation (EU) No 232/2014;	2. The financial envelope for this Regulation may also cover technical and administrative assistance expenditures necessary to ensure the transition between this Regulation and the measures adopted under its predecessors: Regulation (EU) No 233/2014; Regulation (EU) No 232/2014;	<i>Provisionally closed on 11 June 2020</i>

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	Regulation (EU) No 230/2014; Regulation (EU) No 235/2014; Regulation (EU) No 234/2014, Regulation (Euratom) No 237/2014, Regulation (EU) No 236/2014, Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601.	(EU) No 230/2014; Regulation (EU) No 235/2014; Regulation (EU) No 234/2014, Regulation (Euratom) No 237/2014, Regulation (EU) No 236/2014, Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601.	Regulation (EU) No 230/2014; Regulation (EU) No 235/2014; Regulation (EU) No 234/2014, Regulation (Euratom) No 237/2014, Regulation (EU) No 236/2014, Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601.	Regulation (EU) No 230/2014; Regulation (EU) No 235/2014; Regulation (EU) No 234/2014, Regulation (Euratom) No 237/2014, Regulation (EU) No 236/2014, Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601.	
462.	3. The financial envelope for this regulation may cover expenditures related to the preparation of any successor to this Regulation.	3. The financial envelope for this regulation may cover expenditures related to the preparation of any successor to this Regulation.	3. The financial envelope for this regulation may cover expenditures related to the preparation of any successor to this Regulation.	3. The financial envelope for this regulation may cover expenditures related to the preparation of any successor to this Regulation.	<i>Provisionally closed on 11 June 2020</i>
463.	4. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenditures provided for in Article 20(1), to enable the management of actions	4. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenditures provided for in Article 20(1), to enable the management of actions	4. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenditures provided for in Article 20(1), to enable the management of actions	4. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenditures provided for in Article 20(1), to enable the management of actions	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

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	not completed by 31 December 2027.	not completed by 31 December 2027.	not completed by 31 December 2027.	not completed by 31 December 2027.	
464.	Article 40 Entry into force	Article 40 Entry into force	Article 40 Entry into force	Article 40 Entry into force	<i>Provisionally closed on 11 June 2020</i>
465.	This Regulation shall enter into force on the day following that of its publication in the <i>Official Journal of the European Union</i> .	This Regulation shall enter into force on the day following that of its publication in the <i>Official Journal of the European Union</i> .	This Regulation shall enter into force on the day following that of its publication in the <i>Official Journal of the European Union</i> .	This Regulation shall enter into force on the day following that of its publication in the <i>Official Journal of the European Union</i> .	<i>Provisionally closed on 11 June 2020</i>
466.	It shall apply from 1 January 2021.	It shall apply from 1 January 2021 until 31 December 2027 .	It shall apply from 1 January 2021 until 31 December 2027.	It shall apply from 1 January 2021 until 31 December 2027 . {1}	{1} <i>EP: Where no Council regulation determining a new financial framework has been adopted by the end of the 2021 – 2027 financial framework, this Regulation shall continue to apply until the adoption of the new financial framework.</i> CL: no text
467.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	<i>Provisionally closed on 11 June 2020</i>

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance
