Spending targets (except migration)
Migration spending target and related provisions
Neighbourhood
Amounts
Governance
Draft Regulation of the European Parliament and of the Council establishing the Neighbourhood, Development and International Cooperation Instrument (NDICI)* RECITALS and ARTICLES
Provisionally agreed
Provisionally agreed pending alignment on agreement on another cluster
Still open after trilogue discussion
Still open in the current technical discussions
For next trilogue
Spending targets (except migration)
Migration spending target and related provisions
Neighbourhood
Amounts
Governance

The proposed Regulation is part of the package of proposals linked to the MFF 2021-2027 and therefore dependent on the outcome of the horizontal negotiations. Pending these, the reference amounts in Article 6 are put within square brackets. Other provisions of the draft NDICI Regulation appear in square brackets due to their inclusion in the draft Negotiating Box set out in ST 10010/19. All provisions which appear between square brackets are excluded from the Council negotiating mandate at this stage. Moreover, other provisions, on which the discussions are ongoing have been deleted, as they don not form part of the Council partial negotiating mandate. Provisions related to the participation of non-EU countries have not been amended due to the horizontal nature of such provisions.

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Amounts

Governa	ince

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
1.	Proposal for a	Position of the	Proposal for a		
	REGULATION OF	European Parliament	REGULATION OF		
	THE EUROPEAN	adopted at first reading	THE EUROPEAN		
	PARLIAMENT AND	on 27 March 2019 with	PARLIAMENT AND		
	OF THE COUNCIL	a view to the adoption	OF THE COUNCIL		
	establishing the	of Proposal for a	establishing the		
	Neighbourhood,	Regulation (EU)/	Neighbourhood,		
	Development and	of the European	Development and		
	International	Parliament and of the	International		
	Cooperation Instrument	Council establishing the	Cooperation		
		Neighbourhood,	Instrument		
		Development and			
		International			
		Cooperation Instrument			
2.	THE EUROPEAN	THE EUROPEAN	THE EUROPEAN		
	PARLIAMENT AND	PARLIAMENT AND	PARLIAMENT AND		
	THE COUNCIL OF	THE COUNCIL OF	THE COUNCIL OF		
	THE EUROPEAN	THE EUROPEAN	THE EUROPEAN		
	UNION,	UNION,	UNION,		
3.	Having regard to the	Having regard to the	Having regard to the		
	Treaty on the	Treaty on the	Treaty on the		
	Functioning of the	Functioning of the	Functioning of the		
	European Union, and in	European Union, and in	European Union, and		
	particular Articles 209,	particular Articles 209,	in particular Articles		
	212 and 322(1) thereof,	212 and 322(1) thereof,	209, 212 and 322(1)		
			thereof,		

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	ts (except migration) ding target and related provis	ions		
leighbourhood				
Amounts				
Governance				
4.	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,	Having regard to the proposal from the European Commission,	
5.	After transmission of the draft legislative act to the national parliaments,	After transmission of the draft legislative act to the national parliaments,	After transmission of the draft legislative act to the national parliaments,	
6.	Having regard to the opinion of the European Economic and Social Committee ¹ ,	Having regard to the opinion of the European Economic and Social Committee ¹ , Court of Auditors ³ ,	Having regard to the opinion of the European Economic and Social Committee ¹ ,	
7.	Having regard to the opinion of the Committee of the Regions ² ,	Having regard to the opinion of the Committee of the Regions² , European Economic and Social Committee ¹ ,	Having regard to the opinion of the Committee of the Regions ² ,	
8.	Having regard to the opinion of the Court of Auditors ³ ,	Having regard to the opinion of the Court of Auditors³ , Committee of the Regions ² ,	Having regard to the opinion of the Court of Auditors ³ ,	

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OJ C 110, 22.3.2019, p. 163. OJ C 86, 7.3.2019, p. 295. OJ C 45, 4.2.2019, p. 1. 1

²

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance 9. Acting in accordance Acting in accordance Acting in accordance with the ordinary with the ordinary with the ordinary legislative procedure⁴, legislative procedure, legislative procedure, 10. Whereas: Whereas: Whereas: 11. (1) The general (1) The general objective (1) The general (1) The general Provisionally closed on objective of the of the Programme objective of the objective of the 11 June 2020 Programme "Neighbourhood, Programme Programme "Neighbourhood, Development and "Neighbourhood, "Neighbourhood, International Development and Development and Development and International Cooperation Instrument" International International Cooperation (the 'Instrument') should Cooperation Cooperation Instrument" (the be to uphold and Instrument" (the Instrument" (the 'Instrument') should be promote provide the 'Instrument') should be 'Instrument') should be to uphold and promote financial framework to to uphold and promote to uphold and promote support the upholding the Union's values, and the Union's values and the Union's values and interests worldwide in and promotion of the interests worldwide in principles and order to pursue the Union's values, and order to pursue the *fundamental* interests objectives and principles and objectives and worldwide in order to principles of the *fundamental* interests principles of the pursue the objectives Union's external action. worldwide in order to Union's external and principles of the Union's external action. as laid down in Article pursue accordance with action, as laid down in 3(5). Articles 8 and 21 the objectives and Article 3(5). Articles 8 as laid down in Article of the Treaty on principles of the Union's and 21 of the Treaty on 3(5). Articles 8 and 21 European Union. external action, as laid European Union. of the Treaty on down in Article 3(5). European Union. Articles 8 and 21 of the

⁴ Position of the European Parliament of 27 March 2019

Amounts Corrown

Governance					
		Treaty on European			
		Union (TEU).		1	
12.	(2) In accordance with	(2) In accordance with	(2) In accordance with	(2) In accordance with	Provisionally closed on
	Article 21 of the Treaty	Article 21 of the Treaty	Article 21 of the Treaty	Article 21 of the Treaty	11 June 2020
	on European Union, the	on European Union	on European Union,	on European Union, the	
	Union shall pursue	TEU , the Union shall	the Union shall pursue	Union shall pursue	
	consistency between the	pursue consistency	consistency between	consistency between the	
	different areas of its	between the different	the different areas of	different areas of its	
	external action and	areas of its external	its external action and	external action and	
	between these and its	action and between these	between these and its	between these and its	
	other policies, as well as	and its other policies, as	other policies, as well	other policies, as well as	
	it shall work for a high	well as it shall work for	as it shall work for a	it shall work for a high	
	degree of cooperation in	a high degree of	high degree of	degree of cooperation in	
	all fields of	cooperation in all fields	cooperation in all fields	all fields of	
	international relations.	of international relations.	of international	international relations.	
	The wide array of	The wide array of	relations. The wide	The wide array of	
	actions enabled by this	actions enabled by this	array of actions	actions enabled by this	
	Regulation should	Regulation should	enabled by this	Regulation should	
	contribute to the	contribute to the	Regulation should	contribute to the	
	objectives set out in that	objectives set out in that	contribute to the	objectives set out in that	
	Article of the Treaty.	Article of the Treaty	objectives set out in	Article of the Treaty.	
	Afficie of the freaty.	TEU.	that Article of the	Afficie of the fifeaty.	
			Treaty.		
12. bis		(2a) In accordance with	110utj.	(2a) Union action	Provisionally closed on
		Article 21 TEU, the		should promote respect	11 June 2020
		application of this		for and be rooted in	
		Regulation is to be		international human	
		guided by the principles		rights law, including	
		of the Union's external		the Universal	
		action, namely		Declaration on Human	
		action, numbery	<u> </u>	2 com anon on mannan	



Amounts

Governance					-
		democracy, the rule of		Rights, and in	
		law, the universality and		international	
		indivisibility of human		humanitarian law and	
		rights and fundamental		should be guided by the	
		freedoms, respect for		universality and	
		human dignity, equality		indivisibility of human	
		and solidarity, and		rights.	
		respect for the			
		principles of the United			
		Nations Charter and			
		international law. This			
		Regulation is intended			
		to contribute to			
		achieving the objectives			
		of the Union's external			
		action, including the			
		Union's policies			
		relating to human rights			
		and the objectives			
		outlined in the EU			
		Strategic Framework			
		and Action Plan on			
		Human Rights and			
		Democracy. Union			
		action should favour			
		adherence to the			
		Universal Declaration			
		on Human Rights.			
13.	(3) In accordance with	(3) In accordance with	(3) In accordance with	(3) In accordance with	Provisionally closed on
	Article 8 of the Treaty	Article 8 of the Treaty	Article 8 of the Treaty	Article 8 of the Treaty	11 June 2020





Governance					
	on European Union, the	on European Union	on European Union,	on European Union, the	
	Union shall develop a	TEU , the Union shall	the Union shall	Union shall develop a	
	special relationship with	develop a special	develop a special	special relationship with	
	neighbouring countries,	relationship with	relationship with	neighbouring countries,	
	aiming to establish an	neighbouring countries,	neighbouring	aiming to establish an	
	area of prosperity and	aiming to establish an	countries, aiming to	area of prosperity and	
	good neighbourliness,	area of prosperity and	establish an area of	good neighbourliness,	
	founded on the values	good neighbourliness,	prosperity and good	founded on the values	
	of the Union and	founded on the values of	neighbourliness,	of the Union and	
	characterised by close	the Union and	founded on the values	characterised by close	
	and peaceful relations	characterised by close	of the Union and	and peaceful relations	
	based on cooperation.	and peaceful relations	characterised by close	based on cooperation.	
	This Regulation should	based on cooperation.	and peaceful relations	This Regulation should	
	contribute to such	This Regulation should	based on cooperation.	contribute to such	
	objective.	contribute to such	This Regulation should	objective.	
		objective.	contribute to such		
			objective.		
13. bis		(3a) In accordance with			Provisionally closed on
		Article 167 of the Treaty			11 June 2020
		on the Functioning of			
		the European Union			
		(TFEU), The Union and			
		the Member States			
		should foster			
		cooperation with third			
		countries and the			
		competent international			
		organisations in the			
		sphere of culture. This			
		Regulation should			



Amounts Governance

Governance					
		contribute to the			
		objectives set out in that			
		Article.			
14.	(4) The primary	(4) The primary	(4) The primary	(4) The primary	Provisionally closed on
	objective of Union's	objective of Union's	objective of Union's	objective of Union's	11 June 2020
	development	development cooperation	development	development	
	cooperation policy, as	policy, as laid down in	cooperation policy, as	cooperation policy, as	
	laid down in Article 208	Article 208 of the Treaty	laid down in	laid down in Article 208	
	of the Treaty on the	on the Functioning of the	Article 208 of the	of the Treaty on the	
	Functioning of the	European Union TFEU	Treaty on the	Functioning of the	
	European Union is the	is the reduction and, in	Functioning of the	European Union is the	
	reduction and, in the	the long term, the	European Union is the	reduction and, in the	
	long term, the	eradication of poverty.	reduction and, in the	long term, the	
	eradication of poverty.	The Union's	long term, the	eradication of poverty.	
	The Union's	development cooperation	eradication of poverty.	The Union's	
	development	policy also contributes to	The Union's	development	
	cooperation policy also	the objectives of the	development	cooperation policy also	
	contributes to the	Union's external action,	cooperation policy also	contributes to the	
	objectives of the	in particular to foster the	contributes to the	objectives of the	
	Union's external action,	sustainable economic,	objectives of the	Union's external action,	
	in particular to foster	social and environmental	Union's external	in particular to foster	
	the sustainable	development of	action, in particular to	the sustainable	
	economic, social and	developing countries,	foster the sustainable	economic, social and	
	environmental	with the primary aim of	economic, social and	environmental	
	development of	eradicating poverty, as	environmental	development of	
	developing countries,	set out in Article	development of	developing countries,	
	with the primary aim of	21(2)(d) of the Treaty on	developing countries,	with the primary aim of	
	eradicating poverty, as	European Union TEU,	with the primary aim	eradicating poverty, as	
	set out in Article	and to preserve lasting	of eradicating poverty,	set out in Article	
		peace, prevent conflicts	as set out in Article		



	pending target and related provis	ions			
Veighbourh	100d				
Amounts					
Governance					
	21(2)(d) of the Treaty	and strengthen	21(2)(d) of the Treaty	21(2)(d) of the Treaty	
	on European Union.	international security,	on European Union.	on European Union .	
		as set out in point (c) of <i>Article 21(2) TEU</i> .			
15.	(5) The Union shall	(5) The Union shall	(5) The Union shall	(5) The Union shall	Provisionally closed o
	ensure policy coherence	ensure policy coherence	ensure policy	ensure policy coherence	11 June 2020
	for development as	for development as	coherence for	for development as	
	required by Article 208	required by Article 208	development as	required by Article 208	EP's second
	of the Treaty on the	of the Treaty on the	required by Article 208	of the Treaty on the	amendment added in
	Functioning of the	Functioning of the	of the Treaty on the	Functioning of the	line 33bis.
	European Union. The	European Union TFEU.	Functioning of the	European Union. The	
	Union should take	The Union should take	European Union. The	Union should take	
	account of the	account of the objectives	Union should take	account of the	
	objectives of	of development	account of the	objectives of	
	development	cooperation in the	objectives of	development	
	cooperation in the	policies that are likely to	development	cooperation in the	
	policies that are likely	affect developing	cooperation in the	policies that are likely	
	to affect developing	countries, which will be	policies that are likely	to affect developing	
	countries, which will be	a crucial element of the	to affect developing	countries, which will be	
	a crucial element of the	strategy to achieve the	countries, which will	a crucial element of the	
	strategy to achieve the	Sustainable	be a crucial element of	strategy to achieve the	
	Sustainable	Development Goals	the strategy to achieve	Sustainable	
	Development Goals	defined in the 2030	the Sustainable	Development Goals	
	defined in the 2030	Agenda for Sustainable	Development Goals	defined in the 2030	
	Agenda for Sustainable	Development ('2030	defined in the 2030	Agenda for Sustainable	
	Development ('2030	Agenda') adopted by the	Agenda for Sustainable	Development ('2030	
	Agenda') adopted by the	United Nations in	Development ('2030	Agenda') adopted by the	
	United Nations in	September 2015 ⁵ .	Agenda') adopted by	United Nations in	
		Ensuring policy	the United Nations in	September 2015 ⁵ .	
		coherence for	September 2015 ⁵ .	Ensuring policy	

	s (except migration)				
	ling target and related provis	ions			
Neighbourhood					
Amounts					
Governance	September 2015 ⁵ . Ensuring policy coherence for sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels — nationally, within the Union, in other countries and at global level.	sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels — nationally, within the Union, in other countries and at global level. Union and Member States development cooperation policies should complement and reinforce each other.	Ensuring policy coherence for sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels nationally, within the Union, in other countries and at global level.	coherence for sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels nationally, within the Union, in other countries and at global level.	
15. bis				(5 bis) This Regulation should contribute to preserving lasting peace, preventing conflicts and strengthening international security, as set out in point (c) of Article 21(2) TEU.	Provisionally closed on 11 June 2020
16.	(6) This Instrument provides for actions in	(6) This Instrument provides for actions in	(6) This Instrument provides for actions in	(6) This Instrument provides for actions in	Provisionally closed on 11 June 2020

⁵ "Transforming our world: the 2030 Agenda for Sustainable Development", adopted at the United Nations Sustainable Development Summit on 25 September 2015 (A/RES/70/1).

Spending targets	Spending targets (except migration)						
Migration spendi	Migration spending target and related provisions						
Neighbourhood							
Amounts							
Governance							
	support of those	support of those	support of those	support of those			
	objectives and of the	objectives and of the	objectives and of the	objectives and of the			
	external action policies	external action policies	external action policies	external action policies			
	and builds on the	and builds on the actions	and builds on the	and builds on the			
	actions previously	previously supported	actions previously	actions previously			
	supported under	under Regulation (EU)	supported under	supported under			
	Regulation (EU) No	No 233/2014 ⁶ ; the 11th	Regulation (EU) No	Regulation (EU) No			
	233/2014 ⁶ ; the 11th	European Development	233/2014 ⁶ ; the 11th	233/2014 ⁶ ; the 11th			
	European Development	Fund (EDF)'s Internal	European Development	European Development			
	Fund (EDF)'s Internal	Agreement ⁷ and	Fund (EDF)'s Internal	Fund (EDF)'s Internal			
	Agreement ⁷ and	Implementing	Agreement ⁷ and	Agreement ⁷ and			
	Implementing	Regulation ⁸ ; Regulation	Implementing	Implementing			
	Regulation ⁸ ; Regulation	(EU) No 232/2014 ⁹ ;	Regulation ⁸ ;	Regulation ⁸ ; Regulation			
	(EU) No 232/2014 ⁹ ;	Regulation (EU) No	Regulation (EU) No	(EU) No 232/2014 ⁹ ;			
	Regulation (EU) No	230/2014 ¹⁰ ; Regulation	232/2014 ⁹ ; Regulation	Regulation (EU) No			
	230/2014 ¹⁰ ; Regulation	(EU) No 235/2014 ¹¹ ;	(EU) No 230/2014 ¹⁰ ;	230/2014 ¹⁰ ; Regulation			

⁶ Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44.)

⁷ Internal agreement between the Representatives of the Governments of the Member States of the European Union, meeting within the Council, on the financing of European Union aid under the multiannual financial framework for the period 2014 to 2020, in accordance with the ACP-EU Partnership Agreement, and on the allocation of financial assistance for the Overseas Countries and Territories to which Part Four of the Treaty on the Functioning of the European Union applies (OJ L210/1, 6.8.2013).

⁸ Council Regulation (EU) 2015/322 of 2 March 2015 on the implementation of the 11th European Development Fund (OJ L 58/1, 3.3.2015).

⁹ Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27.)

¹⁰ Regulation (EU) No 230/2014 of the European Parliament and of the Council of 11 March 2014 establishing an instrument contributing to stability and peace (OJ L 77, 15.3.2014, p. 1.)

Spending targets (e	except migration)			
	g target and related provis	sions		
Neighbourhood				
Amounts				
Governance				
	(EU) No 235/2014 ¹¹ ;	Regulation (EU) No	Regulation (EU) No	(EU) No 235/2014 ¹¹ ;
	Regulation (EU)	234/2014 ¹² ; Regulation	235/2014 ¹¹ ; Regulation	Regulation (EU) No
	No 234/2014 ¹² ;	(Euratom) No	(EU) No 234/2014 ¹² ;	234/2014 ¹² ; Regulation
	Regulation (Euratom)	237/2014 ¹³ ; Regulation	Regulation (Euratom)	(Euratom) No
	No 237/2014 ¹³ ;	(EU) No 236/2014 ¹⁴ ;	No 237/2014 ¹³ ;	237/2014 ¹³ ; Regulation
	Regulation (EU)	Decision No	Regulation (EU)	(EU) No 236/2014 ¹⁴ ;
	No 236/2014 ¹⁴ ;	466/2014/EU;	No 236/2014 ¹⁴ ;	Decision No
	Decision No	Regulation (EC,	Decision No	466/2014/EU;
	466/2014/EU;	Euratom) No 480/2009 ¹⁵	466/2014/EU;	Regulation (EC,
	Regulation (EC,	and Regulation (EU)	Regulation (EC,	Euratom) No
	Euratom) No	2017/1601 ¹⁶ .	Euratom) No	480/2009 ¹⁵ and
	480/2009 ¹⁵ and		480/2009 ¹⁵ and	Regulation (EU)
	Regulation (EU)		Regulation (EU)	2017/1601 ¹⁶ .
	2017/1601 ¹⁶ .		2017/1601 ¹⁶ .	

Regulation (EU) No 235/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for democracy and human rights worldwide (OJ L 77, 15.3.2014, p. 85.)

Regulation (EU) No 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries (OJ L 77, 15.3.2014, p. 77.)

Council Regulation (Euratom) No 237/2014 of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109)

¹⁴ Regulation (EU) 236/2014 of the European Parliament and of the Council laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95)

 ¹⁵ Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (OJ L 145, 10.6.2009, p. 10)

¹⁶ Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance 17. (7) The global context (7) The global context (7) The global context (7) The global context Provisionally closed on for action is the pursuit 11 June 2020 of a rules-based rulesof a rules-based global of a rules- and valuesof a rules-based global order. with and values-based global order. with based global order, with multilateralism as its multilateralism as its multilateralism as its order, with key principle and the multilateralism as its key key principle and the key principle and the United Nations at its United Nations at its principle and the United United Nations at its Nations at its core. The core. The 2030 core. The 2030 Agenda, core. The 2030 Agenda, together with the Paris 2030 Agenda, together together with the Paris Agenda, together with with the Paris Agreement on Climate the Paris Agreement on Agreement on Climate Change¹⁷ and the Addis Agreement on Climate Climate Change¹⁷ and Change¹⁷ and the Addis Ababa Action Agenda¹⁸ Change¹⁷ (*'the Paris* the Addis Ababa Ababa Action Agenda¹⁸ is the international Agreement') and the Action Agenda¹⁸ is the is the international Addis Ababa Action international community's response community's response Agenda¹⁸ is the to global challenges and community's response to global challenges and trends in relation to international to global challenges trends in relation to and trends in relation sustainable community's response to sustainable development. With the global challenges and development. With the to sustainable trends in relation to development. With the Sustainable Sustainable Development Goals at sustainable development. Sustainable Development Goals at its core, the 2030 Development Goals at its core, the 2030 With the Sustainable Agenda is a Development Goals at its its core, the 2030 Agenda is a core, the 2030 Agenda is Agenda is a transformative transformative a transformative transformative framework to eradicate framework to eradicate poverty and achieve framework to eradicate poverty and achieve framework to eradicate

¹⁷ Signed in New York on 22 April 2016.

¹⁸ "Addis Ababa Action Agenda of the Third International Conference on Financing for Development", adopted on 16 June 2015 and endorsed by the United Nations General Assembly on 27 July 2015 (A/RES/69/313).

Amounts

Governa

ance				
	sustainable	poverty, and achieve	poverty and achieve	sustainable
	development globally. It	sustainable development	sustainable	development globally. It
	is universal in scope,	globally <i>and promote</i>	development globally.	is universal in scope,
	providing a	peaceful, just and	It is universal in scope,	providing a
	comprehensive shared	inclusive societies, while	providing a	comprehensive shared
	framework for action	tackling climate change	comprehensive shared	framework for action
	that applies to the	and working to preserve	framework for action	that applies to the
	Union, to its Member	oceans and forests. It is	that applies to the	Union, to its Member
	States and to its	universal in scope,	Union, to its Member	States and to its
	partners. It balances the	providing a	States and to its	partners. It balances the
	economic, social and	comprehensive shared	partners. It balances	economic, social and
	environmental	framework for action	the economic, social	environmental
	dimensions of	that applies to the Union,	and environmental	dimensions of
	sustainable	to its Member States and	dimensions of	sustainable
	development,	to its partners. It	sustainable	development,
	recognising the	balances the economic,	development,	recognising the
	essential interlinkages	social, <i>cultural</i> ,	recognising the	essential interlinkages
	between its goals and	<i>educational</i> and	essential interlinkages	between its goals and
	targets. The 2030	environmental	between its goals and	targets. The 2030
	Agenda aims to leave	dimensions of	targets. The 2030	Agenda aims to leave
	no one behind. The	sustainable development,	Agenda aims to leave	no one behind <i>and</i>
	implementation of the	recognising the essential	no one behind. The	seeks to reach the
	2030 Agenda will be	interlinkages between its	implementation of the	furthest behind first.
	closely coordinated	goals and targets. The	2030 Agenda will be	The implementation of
	with the Union's other	2030 Agenda aims to	closely coordinated	the 2030 Agenda will
	relevant international	leave no one behind <i>and</i>	with the Union's other	be closely coordinated
	commitments. Actions	seeks to reach the	relevant international	with the Union's other
	undertaken by this	furthest behind first.	commitments. Actions	relevant international
	Regulation should pay	The implementation of	undertaken by this	commitments. Actions
	particular attention to	the 2030 Agenda will be	Regulation should pay	undertaken by this

	(except migration) ng target and related provis	ions			
Neighbourhood	ing target and related provis	10115			
Amounts					
Governance					
Governance	interlinkages between Sustainable Development Goals and to integrated actions that can create co- benefits and meet multiple objectives in a coherent way.	closely coordinated with the Union's other relevant international commitments. Actions undertaken by this Regulation should pay <i>be guided by the</i> <i>principles and objectives</i> <i>set out in the 2030</i> <i>Agenda, the Paris</i> <i>Agreement and the</i> <i>Addis Ababa Action</i> <i>Agenda and should</i> <i>contribute to achieving</i> <i>the Sustainable</i> <i>Development Goals,</i> <i>paying</i> particular attention to interlinkages between Sustainable Development Goals <i>them</i> and to integrated actions that can create co-benefits and meet multiple objectives in a coherent way without undermining other objectives.	particular attention to interlinkages between Sustainable Development Goals and to integrated actions that can create co-benefits and meet multiple objectives in a coherent way.	Regulation should be guided by the principles and objectives set out in the 2030 Agenda, the Paris Agreement and the Addis Ababa Action Agenda and should contribute to achieving the Sustainable Development Goals. Particular attention should be paid to interlinkages between Sustainable Development Goals and to integrated actions that can create co- benefits and meet multiple objectives in a coherent way without undermining other objectives.	
18.	(8) The implementation	(8) The implementation	(8) The	(8) The {1} of this	Provisionally closed on
	of this Regulation	<i>application</i> of this	implementation of this	Regulation should be	11 June 2020 pending
	should be guided by the	Regulation should be	Regulation should be	guided by the five	





Amounts

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Governance					
	five priorities	guided by based on the	guided by the five	priorities established in	agreement on line 27
	established in the	five priorities established	priorities established in	the Global Strategy for	and governance
	Global Strategy for the	in the Global Strategy	the Global Strategy for	the European Union's	
	European Union's	for the European	the European Union's	Foreign and Security	{1}
	Foreign and Security	Union's Foreign and	Foreign and Security	Policy (the 'Global	EP: implementation
	Policy (the 'Global	Security Policy (the	Policy (the 'Global	Strategy') endorsed by	application
	Strategy') ¹⁹ , presented	'Global Strategy') ¹⁹ ,	Strategy') ¹⁹ , presented	the Council in its	CL: implementation
	on 19 June 2016, which	presented on 19 June	on 19 June 2016 and	Conclusions of	
	represents the Union's	2016, which represents	endorsed by the	17 October 2016, which	{4}
	vision and the	the Union's vision and	Council in its	represents the Union's	Colegislators agree to
	framework for united	the framework for united	Conclusions of	vision and the	place language on
	and responsible external	and responsible external	17 October 2016 ²⁰ ,	framework for united	nuclear safety
	engagement in	engagement in	which represents the	and responsible external	elsewhere in the
	partnership with others,	partnership with others,	Union's vision and the	engagement in	recitals. Text to be
	to advance its values	to advance its values and	framework for united	partnership with others,	aligned with the
	and interests. The	interests. The Union	and responsible	to advance its values	wording in the enacting
	Union should enhance	should enhance	external engagement in	and interests. The	provisions and annexes
	partnerships, promote	partnerships, promote	partnership with others,	Union should enhance	
	policy dialogue and	policy dialogue and	to advance its values	partnerships, promote	
	collective responses to	collective responses to	and interests. The	policy dialogue and	
	challenges of global	challenges of global	Union should enhance	collective responses to	
	concern. Its action	concern. Its action	partnerships, promote	challenges of global	
	should support the	should support the	policy dialogue and	concern. Its action	
	Union's interests and	Union's <i>fundamental</i>	collective responses to	should support the	
	values in all its aspects,	interests, principles and	challenges of global	Union's <i>fundamental</i>	
	including preserving	values in all its aspects,	concern. Its action	interests, principles and	

¹⁹ "Shared Vision, Common Action: A Stronger Europe. A global Strategy for the European Union's Foreign and Security Policy", June 2016. 20 Council Conclusions on the Global Strategy for the European Union's Foreign and Security Policy of 17 October 2016 (13202/16).

Amounts

nance					
	peace, preventing	including promoting	should support the	values in all its aspects	
	conflicts, strengthening	democracy and human	Union's interests and	{4} In doing so, the	
	international security,	rights, contributing to	values in all its aspects,	Union should <i>use an</i>	
	fighting root causes of	the eradication of	including preserving	integrated approach	
	irregular migration and	poverty, preserving	peace, preventing	and comply with, and	
	assisting populations,	peace, preventing	conflicts, strengthening	promote, the principles	
	countries and regions	conflicts conflict	international security,	of respect for high	
	confronting natural or	prevention, mediation	inclusive governance,	social, <i>labour</i> and	
	man-made disasters,	and post-conflict	fighting root causes of	environmental	
	supporting trade policy,	reconstruction	irregular migration,	standards, <i>including</i>	
	economic diplomacy	including women at all	forced displacement	with regard to climate	
	and economic	stages, ensuring nuclear	and assisting	<i>change</i> , for the rule of	
	cooperation, promoting	safety, strengthening	populations, countries	law, for international	
	digital solutions and	international security,	and regions	law <i>including in</i>	
	technologies, and	fighting addressing root	confronting natural or	respect of	
	fostering the	causes of irregular	man-made disasters,	humanitarian and	
	international dimension	migration and forced	supporting trade	<i>international</i> human	
	of Union's policies. In	<i>displacement</i> and	policy, economic	rights <i>law</i> .	
	promoting its interests,	assisting populations,	diplomacy and		
	the Union should	countries and regions	economic cooperation,		
	comply with, and	confronting confronted	promoting digital		
	promote, the principles	<i>with</i> natural or man-	solutions and		
	of respect for high	made disasters, bringing	technologies, and		
	social and	about the conditions to	fostering the		
	environmental	create an international	international dimension		
	standards, for the rule of	legal framework for the	of <i>the</i> Union's policies.		
	law, for international	protection of persons	In promoting its		
	law and for human	displaced due to climate	interests, the Union		
	rights.	change, fostering	should <i>use an</i>		
		inclusive quality	integrated approach		





Spending targets (except migration)			
Migration spending target and related pro	visions		
Neighbourhood			
Amounts			
Governance			
	<i>education</i> , supporting <i>a</i>	and comply with, and	
	fair, sustainable and	promote, the principles	
	rules- and value-based	of respect for high	
	trade policy as a tool for	social and	
	development and to	environmental	
	bring improvements to	standards, for the rule	
	the rule of law and	of law, for	
	human rights, economic	international law and	
	and cultural diplomacy	for human rights.	
	and economic		
	cooperation, promoting		
	<i>innovation</i> , digital		
	solutions and		
	technologies, protecting		
	cultural heritage		
	especially in conflict		
	areas, addressing global		
	public health threats		
	and fostering the		
	international dimension		
	of Union's policies. In		
	promoting its		
	fundamental interests,		
	principles and values,		
	the Union should comply		
	with, and promote, the		
	principles of respect for		
	high social, <i>labour</i> and		
	environmental standards		
	including with regard to		

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Governance					
		<i>climate change</i> , for the			
		rule of law, for			
		international law, and for			
		including in respect of			
		humanitarian and			
		<i>international</i> human			
		rights <i>law</i> .			
19.	(9) The new European	(9) The new application	(9) The new European	(9) The {1} <i>of this</i>	Provisionally closed on
	Consensus on	of this Regulation	Consensus on	Regulation should also	11 June 2020 pending
	Development ('the	should also be based on	Development ('the	be guided by the	agreement on
	Consensus') ²¹ , signed	the European Consensus	Consensus') ²¹ , signed	European Consensus on	governance
	on 7 June 2017,	on Development ('the	on 78 June 2017,	Development ('the	
	provides the framework	Consensus') ²¹ , signed on	provides the	Consensus') ²¹ of 8 June	{1}
	for a common approach	7 June 2017, <i>which</i>	framework for a	2017 provides the	EP: application
	to development	provides the framework	common approach to	framework for a	CL: implementation
	cooperation by the	for a common approach	development	common approach to	
	Union and its Member	to development	cooperation by the	development	
	States to implement the	cooperation by the	Union and its Member	cooperation by the	
	2030 Agenda and the	Union and its Member	States to implement the	Union and its Member	
	Addis Ababa Action	States to implement the	2030 Agenda and the	States to implement the	
	Agenda. Eradicating	2030 Agenda and the	Addis Ababa Action	2030 Agenda and the	
	poverty, tackling	Addis Ababa Action	Agenda. Eradicating	Addis Ababa Action	
	discrimination and	Agenda. Eradicating	poverty, tackling	Agenda. Eradicating	
	inequalities, leaving no	poverty, tackling	discrimination and	poverty, tackling	
	one behind and	discrimination and	inequalities, leaving no	discrimination and	
	strengthening resilience	inequalities, leaving no	one behind and	inequalities, leaving no	

²¹ "The New European Consensus on Development 'Our World, our Dignity, Our Future'", Joint statement by the Council and the Representatives of the governments of the Member States meeting within the Council, the European Parliament and the European Commission, 8 June 2017.

	ets (except migration) nding target and related pro	visions			
eighbourhoo					
mounts					
overnance					
	are at the heart of development cooperation policy.	one behind, <i>protecting</i> <i>the environment and</i> <i>fighting climate change</i> , and strengthening resilience are at the heart of development cooperation policy <i>should underpin the</i> <i>application of this</i>	strengthening resilience are at the heart of development cooperation policy.	one behind , protecting the environment and fighting climate change, and strengthening resilience are at the heart of development cooperation policy and should underpin the {1}	
		Regulation.		of this Regulation.	
19. bis		(9a) In addition to the UN 2030 Agenda, the Paris Agreement on Climate Change the Addis Ababa Action Agenda, the EU Global Strategy, and the European Consensus on Development and the European Neighbourhood Policy, which constitute the primary policy framework, the following documents and their future revisions should also guide the application of this Regulation:			

Spending targets (except m	
Migration spending target a	d related provisions
Veighbourhood	
Amounts	
Governance	
Fovernance	 the EU Strategic Framework and Action Plan on Human Rights and Democracy; the EU Human Rights guidelines; the EU Integrated Approach to External Conflicts and Crises and the EU's comprehensive approach to external conflicts and crises of 2013; the Comprehensive approach to the EU implementation of the United Nations Security Council Resolutions 1325 and 1820 on women, peace and security; the Union Programme for the Prevention of
	Violent Conflicts;
	- the Council
	conclusions of 20



Aigration spending target and re	aled provisions	
leighbourhood		
mounts		
lovernance	June 2011 on	
	June 2011 on conflict prevention; - the Concept on Strengthening EU Mediation and Dialogue Capacities; - the EU-wide Strategic Framework to support Security Sector Reform (SSR); - the EU strategy against illicit firearms, small arms and light weapons (SALW) and their ammunition; - the EU Concept for Support to Disarmament, Demobilisation and Reintegration (DDR); - the Council conclusions of 19 November 2007 on a	

Amounts

Governance		
	situations of	
	fragility and the	
	conclusions of the	
	Council and the	
	Representatives of	
	the Governments of	
	the Member States	
	meeting within the	
	Council, also dated	
	19 November 2007,	
	on security and	
	development;	
	- the European	
	Council Declaration	
	of 25 March 2004	
	on Combating	
	Terrorism, the	
	European Union	
	Counter-Terrorism	
	Strategy, of 30	
	November 2005 and	
	the Council	
	conclusions of 23	
	May 2011 on	
	enhancing the links	
	between internal	
	and external aspects	
	of counter-	
	terrorism;	
		-



Spending targets (except migrat	tion)	
Migration spending target and r	related provisions	
Neighbourhood		
Amounts		
Governance		
	 the OECD guidelines for multinational enterprises; the UN guiding principles on business and human rights; the UN New Urban Agenda; the UN Convention on the Rights of Persons with Disabilities; the Refugee Convention; the Refugee Convention on the Elimination of All Forms of Discrimination against Women, the outcomes of the Beijing Platform for Action and the Programme of Action of the International Conference on Population and 	



Aigration spending target a		
leighbourhood		
mounts		
lovernance		
	Development	
	(ICPD);	
	- the UNCTAD	
	Roadmap towards	
	Sustainable	
	Sovereign Debt	
	Workouts (April	
	2015);	
	- the Guiding	
	Principles on	
	Foreign Debt and	
	Human Rights	
	drawn up by the	
	Office of the UN	
	High Commissioner	
	for Human Rights;	
	- the Global Compact	
	on Refugees;	
	- the Global Compact	
	on Safe, Orderly	
	and Regular	
	Migration, adopted	
	in Marrakech on	
	December 10th	
	2018;	
	- the United Nations	
	Convention on the	
	Rights of the Child.	

Governance					
20.	(10) In order to implement the new international framework established by the 2030 Agenda, the Global Strategy and the Consensus, this Regulation should aim at increasing the coherence and ensuring the effectiveness of the Union's external action by concentrating its efforts through a streamlined instrument to improve the implementation of the different external action policies.	(10) In order to implement the new international framework established by the 2030 Agenda, the Global Strategy and the Consensus, this Regulation should aim at increasing the coherence and ensuring the effectiveness of the Union's external action by concentrating its efforts through a streamlined instrument to improve the implementation of the different external action policies.	(10) In order to implement the new international framework established by the 2030 Agenda, the Global Strategy and the Consensus, t <i>T</i> his Regulation should aim at increasing the coherence and ensuring the effectiveness of the Union's external action by concentrating its efforts through a streamlined instrument to improve thus improving the implementation of the different external action policies.	(10) This Regulation should aim at increasing the coherence and ensuring the effectiveness of the Union's external action <i>thus improving</i> the implementation of the different external action policies.	Provisionally closed on 11 June 2020
21.	(11) In accordance with the Global Strategy and the Sendai Framework for Disaster Risk Reduction (2015-2030) as adopted on 18 March	(11) In accordance with the Global Strategy and the Sendai Framework for Disaster Risk Reduction (2015-2030) as adopted on 18 March 2015 ²² , recognition should be given to the need to move away from crisis response and	(11) In accordance with the Global Strategy, <i>the</i> <i>Consensus</i> and the Sendai Framework for Disaster Risk Reduction (2015-2030) as adopted on 18 March 2015 ²² , recognition should be	(11) In accordance with the Global Strategy, <i>the</i> <i>Consensus</i> and the Sendai Framework for Disaster Risk Reduction (2015-2030) as adopted on 18 March 2015 ²² , recognition should be given to the need to move away from crisis	Provisionally closed on 11 June 2020





Amounts

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	2015 ²² , recognition	containment to a more	given to the need to	response and
	should be given to the	structural, <i>preventive</i>	move away from crisis	containment to a more
	need to move away	long-term approach that	response and	structural, long-term
	from crisis response and	more effectively	containment to a more	approach that more
	containment to a more	addresses situations of	structural, long-term	effectively addresses
	structural, long-term	fragility, natural and	approach that more	situations of fragility,
	approach that more	man-made disasters, and	effectively addresses	natural and man-made
	effectively addresses	protracted crises. Greater	situations of fragility,	disasters, and protracted
	situations of fragility,	emphasis and collective	natural and man-made	crises. Greater emphasis
	natural and man-made	approaches are required	disasters, and	and collective
	disasters, and protracted	on risk reduction,	protracted crises.	approaches are required
	crises. Greater emphasis	prevention, mitigation	Greater emphasis and	on risk reduction,
	and collective	and preparedness; and	collective approaches	prevention, mitigation
	approaches are required	further efforts are	are required on risk	and preparedness; and
	on risk reduction,	required to enhance swift	reduction, prevention,	further efforts are
	prevention, mitigation	response and a durable	mitigation and	required to enhance
	and preparedness; and	recovery. This	preparedness; and	swift response and a
	further efforts are	Regulation should	further efforts are	durable recovery. This
	required to enhance	therefore contribute to	required to enhance	Regulation should
	swift response and a	strengthening resilience	swift response and a	therefore contribute to
	durable recovery. This	and linking humanitarian	durable recovery. This	strengthening resilience
	Regulation should	aid and development	Regulation should	and linking
	therefore contribute to	action <i>particularly</i>	therefore contribute to	humanitarian aid and
	strengthening resilience	through rapid response	strengthening	development action
	and linking	actions as well as	resilience and linking	particularly through
	humanitarian aid and	relevant geographic and	humanitarian aid and	rapid response actions

²² "Sendai Framework for Disaster Risk Reduction", adopted on 18 March 2015 and endorsed by the United Nations General Assembly on 3 June 2015 (A/RES/69/283).

Spending targe	ets (except migration) ading target and related provis	ions			
Neighbourhoo	<u> </u>	10115			
Amounts					
Governance			_		
22	development action through rapid response actions.	thematic programmes, while ensuring the appropriate predictability, transparency and accountability, as well as coherence, consistency and complementarity with humanitarian aid and full compliance with international humanitarian law and without hindering the delivery of humanitarian aid according to the principles of humanity, neutrality, impartiality and independence in emergency and post- emergency contexts.	development action through rapid response actions.	as well as relevant geographic and thematic programmes, while ensuring respect of humanitarian principles.	
22.	 (12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level Forum in 	 (12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level Forum in 	 (12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level 	 (12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level Forum in 	Provisionally closed on 11 June 2020





Governance			
2016 and recalled in	he 2016 and recalled in the	Forum in 2016 and	2016 and recalled in the
Consensus, the Unio	a's Consensus, the Union's	recalled in the	Consensus, the Union's
development	Union, in the context of	Consensus, the Union's	Union, in the context of
cooperation should	its official development	development	its official development
apply the developme	-	cooperation should	cooperation assistance
effectiveness princip		apply the development	and across all aid
namely ownership of	<i>modalities</i> , should apply	effectiveness	<i>modalities</i> should apply
development prioritie	1	principles, namely	the development
by developing	effectiveness principles,	ownership of	effectiveness principles,
countries, a focus on	namely ownership of	development priorities	namely ownership of
results, inclusive	development priorities	by developing	development priorities
development	by developing countries,	countries, a focus on	by developing
partnerships as well		results, inclusive	countries, a focus on
transparency and	inclusive development	development	results, inclusive
accountability.	partnerships as well as	partnerships as well as	development
	<i>mutual</i> transparency and	transparency and	partnerships as well as
	accountability, in	<i>mutual</i> accountability.	transparency and
	addition to the		<i>mutual</i> accountability
	principles of alignment		as well as alignment
	and harmonisation.		and harmonisation. In
			that regard, it is
			important that national
			development strategies
			include broad
			consultative processes
			in line with the Paris
			aid effectiveness
			principles, in particular
			when those serve as a
			basis for programming.



Governance					
23.	(13) Pursuant to the	(13) Pursuant to the	(13) Pursuant to the	(13) Pursuant to the	Provisionally closed
	Sustainable	Sustainable	Sustainable	Sustainable	
	Development Goals,	Development Goals, this	Development Goals,	Development Goals,	
	this Regulation should	Regulation should	this Regulation should	this Regulation should	
	contribute to reinforced	contribute to reinforced	contribute to reinforced	contribute to reinforced	
	monitoring and	monitoring and reporting	monitoring and	monitoring and	
	reporting with a focus	with a focus on results,	reporting with a focus	reporting with a focus	
	on results, covering	covering outputs,	on results, covering	on results, covering	
	outputs, outcomes and	outcomes and impacts in	outputs, outcomes and	outputs, outcomes and	
	impacts in partner	partner countries	impacts in partner	impacts in partner	
	countries benefiting	benefiting from the	countries benefiting	countries benefiting	
	from the Union's	Union's external	from the Union's	from the Union's	
	external financial	financial assistance. In	external financial	external financial	
	assistance. In particular,	particular, as agreed in	assistance. In	assistance. In particular,	
	as agreed in the	the Consensus, actions	particular, as agreed in	as agreed in the	
	Consensus, actions	under this Regulation are	the Consensus, actions	Consensus, actions	
	under this Regulation	expected to should	under this Regulation	under this Regulation	
	are expected to	contribute at least 20 %	are expected to	are expected to	
	contribute 20% of the	of the Official	contribute 20% of the	contribute 20% of the	
	Official Development	Development Assistance	Official Development	Official Development	
	Assistance funded	funded under this	Assistance funded	Assistance funded	
	under this Regulation to	Regulation to social	under this Regulation	under this Regulation to	
	social inclusion and	inclusion and human	to social inclusion and	social inclusion and	
	human development,	development, including	human development,	human development,	
	including gender	with a focus on basic	including gender	including gender	
	equality and women's	social services, such as	equality and women's	equality and women's	
	empowerment.	health, education,	empowerment.	empowerment.	
		nutrition, water,			
		sanitation and hygiene,			
		and social protection,			





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		particularly to the most marginalised, taking into account gender equality, and women's empowerment and children's rights as horizontal issues.			
24.	(14) Whenever possible and appropriate, the results of the Union's external action should be monitored and assessed on the basis of pre-defined, transparent, country-specific and measurable indicators, adapted to the specificities and objectives of the Instrument and preferably based on the results framework of the partner country.	(14) Whenever possible and appropriate, In order to improve effective accountability and transparency of the Union budget, the Commission should set up clear monitoring and evaluation mechanisms to ensure effective assessment of progress towards the achievement of this Regulation's objectives. The results of the Union's external action should be monitored and assessed on the basis of pre-defined, transparent, country-specific and measurable indicators, adapted to the specificities and	(14) Whenever possible and appropriate, the results of the Union's external action should be monitored, <i>evaluated</i> and assessed on the basis of pre-defined, transparent, country- specific and measurable indicators, adapted to the specificities and objectives of the Instrument and preferably based on the results framework of the partner country.	(14) The Commission should set up ensure clear monitoring and evaluation mechanisms are in place in order to provide improve effective accountability and transparency in implementing the Union budget, and in order to ensure effective assessment of progress towards the achievement of this Regulation's objectives. Whenever possible and appropriate, Tthe results of the Union's external action should be monitored and evaluated and assessed on the basis of pre- defined, transparent,	Provisionally closed on 11 June 2020

Spending targets (except migration)			
	g target and related provisions			
Neighbourhood				
Amounts				
Governance				
	objectives of the Instrument and preferably based on the results framework of the partner country. <i>The</i> <i>Commission should</i> <i>regularly monitor its</i> <i>actions and review</i> <i>progress, making the</i> <i>results publicly</i> <i>available, in particular</i> <i>in the form of an</i> <i>annual report to the</i> <i>European Parliament</i> <i>and the Council.</i>		country-specific and measurable indicators, adapted to the specificities and objectives of the Instrument and preferably based on the results framework of the partner country.	
24. bis		(14 bis) The Commission should regularly monitor actions financed under this Regulation and review progress made towards expected results, covering outputs and outcomes. Wherever possible, existing results frameworks should be used. The indicators used to measure progress	(14 bis) The Commission should regularly monitor actions financed under this Regulation and review progress made towards expected results, covering outputs and outcomes. Wherever possible, existing results frameworks should be used. The indicators used to measure progress should be in	Provisionally closed on 11 June 2020





Amounts

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should be in line with the Sustainableline with the SustainableDevelopment Goals and be clear, relevant and have robustDevelopment Goals and be clear, relevant and have robustand have robust methodologies. The data for the indicators should be readily available and of a good quality. The quality. The values of indicators on 1methodologies. The data for the indicators should be readily available and of a good good quality. The quality. The values of the indicators on 1January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have be en achieved and full feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also make appropriate also evaluate the ingect and evaluations.	ernance		
Development Goals and be clear, relevant and have robust methodologies. The data for the indicators should be readily available and of a good quity. The quality. The values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also make appropriate also and ex robust and have robust and ha		should be in line with	line with the
and be clear, relevant and have robustand be clear, relevant and have robustand have robustand have robustmethodologies. The data for the indicators should be readilyavailable and of a good quality. The quality. The values of the indicators on 1January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also evaluate theand be clear, relevant and have robust methodologies. The data for the indicators should be readily available and of a good quality. The values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also evaluate the		the Sustainable	Sustainable
and have robust methodologies. The data for the indicators should be readily available and of a good quality. The quality. The values of the indicators on indicators on 1and have robust methodologies. The data for the indicators should be readily available and of a good quality. The quality. The values of the indicators on 1January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also evaluate theand have robust methodologies. The data for the indicators available and of a good quality. The values of the indicators on 1January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also evaluate theand have robust methodologies. The data for the indicators available and of a good good quality. The values of the indicators on the indicators on this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The commission should also evaluate the use of independent		Development Goals	Development Goals
methodologies. The data for the indicators should be readily available and of a good quality. The quality. The values of the indicators on indicators on I January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Regula		and be clear, relevant	and be clear, relevant
data for the indicators should be readily available and of a good quality. The quality. The values of values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also evaluate the use of independentdata for the indicators should be readily available and of a good qavailable and of a good quality. The values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also evaluate the use of independent		and have robust	and have robust
should be readily available and of a good quality. The quality. The values of the indicators on indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also make appropriate also evaluate theshould be readily available and of a good quality. The values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also make appropriate		methodologies. The	methodologies. The
available and of a good quality. The values of the indicators on 1available and of a good quality. The values of the indicators on1January 2021 should January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also evaluate theavailable and of a good quality. The values of the indicators on1January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also make appropriate use of independent		data for the indicators	data for the indicators
good quality. The values of the indicators on 1quality. The values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also make appropriate also make appropriate also make appropriate also make appropriate also evaluate the		should be readily	should be readily
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Commission should also evaluate thealso make appropriate use of independent		evaluations of the	Regulation. The
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impact and external evaluations.		also evaluate the	use of independent
		impact and	external evaluations.
effectiveness of its In this regard, the		effectiveness of its	3
sectoral policies, Commission should		- ,	
indicative programmes ensure, where relevant,		indicative programmes	ensure, where relevant,
and specific actions, appropriate		and specific actions,	appropriate

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			where appropriate by means of independent external evaluations.	involvement of the European Parliament, the Council as well as other stakeholders,including civil society organisations.	
24. ter			(14 ter) The Commission should send its evaluation reports to the European Parliament, to the Council and to the Member States through the relevant committee. Evaluations may be discussed in that committee at the request of Member States. The results should feed back into programme design and resource allocation.	(14 ter) The Commission should send its evaluation reports to the European Parliament, to the Council and to the Member States {1}. The results should feed back into programme design and resource allocation.	<pre>{1} EP: no text CL: through the relevant committee. Evaluations may be discussed in that committee at the request of Member States.</pre>
25.	(15) This Regulation should contribute to the collective Union objective of providing 0.7% of Gross National	(15) This Regulation should contribute to the collective Union objective of providing 0,7% of Gross National	 (15) This Regulation should contribute to the collective Union objective of providing 0.7% of Gross National 	 (15) This Regulation should contribute to the collective Union objective of providing 0.7% of Gross National 	{ <mark>1</mark> } EP: and continuing to monitor and report on progress

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26. (16) In order to ensure $\{1\}$	
resources are provided resources are provided to resources are provided resources are provided EP: no text	
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the Least Developed Least Developed the Least Developed the Least Developed	





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	Countries and the countries in situation of fragility and conflict, this Regulation should contribute to the collective target of reaching 0.20% of the Union Gross National Income towards Least Developed Countries within the timeframe of the 2030 Agenda.	Countries and the countries in situation of fragility and conflict, this Regulation should contribute to the collective target of reaching 0,20 % of the Union Gross National Income towards Least Developed Countries within the timeframe of the 2030 Agenda. <i>This</i> <i>commitment should be</i> <i>based on a clear</i> <i>roadmap for the EU and</i> <i>its Member States to set</i> <i>out deadlines and</i> <i>modalities for its</i> <i>achievement.</i>	Countries and the countries in situation of fragility and conflict, this Regulation should contribute to the <i>EU</i> collective target of reaching 0.15-0.20% of the Union Gross National Income towards as Official Development Assistance to Least Developed Countries in the short term, and to reach 0.20% of Gross National Income as Official Development Assistance within the timeframe of the 2030 Agenda.	Countries and the countries in situation of fragility and conflict, this Regulation should contribute to the <i>EU</i> collective target of reaching {1} 0.20% of the Union Gross National Income towards as Official Development Assistance to Least Developed Countries {2} within the timeframe of the 2030 Agenda.	<pre>{2} EP: no text CL: in the short term, and to reach 0.20% of Gross National Income as Official Development Assistance EP amendment to be discussed again after agreement on line 25</pre>
26. bis		(16a) In line with existing commitments in the EU Gender Action Plan II, at least 85 % of Official Development Assistance funded programmes, geographic and thematic, should have	(16 bis) As agreed in the Consensus, actions under this Regulation are expected to contribute at least 20% of the Official Development Assistance funded under this Regulation	As agreed in the Consensus, actions under this Regulation are expected to contribute <i>at least</i> 20% of the Official Development Assistance funded under this Regulation to	Provisionally closed

Amounts

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Migration spending target and Neighbourhood				
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Governance			Ī	1
	gender equality as a principal or a significant objective, as defined by the OECD DAC. A mandatory review of the spending should ensure that a significant part of these programmes have gender equality and women's and girls' rights and empowerment as a principal objective.	to social inclusion and human development objectives.	social inclusion and human development, including <i>basic social</i> <i>services, such as</i> <i>health, education,</i> <i>nutrition, water,</i> <i>sanitation and hygiene,</i> <i>and social protection,</i> <i>particularly to the most</i> <i>marginalised.</i>	
26. ter	(16b) This regulation should give particular attention to children and youth as contributors to the realisation of Agenda 2030. The Union's external action under this Regulation should give particular attention to their needs and empowerment and will contribute to the realisation of their potential as key agents of change by investing		(16b) This regulation should support children and youth as key agents of change and as contributors to the realisation of Agenda 2030, giving particular attention to their needs and empowerment.	Provisionally closed of 11 June 2020



Neighbourhood	ling target and related provis			
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Governance				
		in human development and social inclusion.		
26. quater		(16c) The inhabitants of the countries of Sub- Saharan Africa are mainly adolescents and young people. Each country should decide on its demographic policy. However, the demographic dynamic should be tackled in a global way in order to ensure that current and future generations will be able to achieve their full potential in a sustainable way.		Provisionally closed on 11 June 2020
27.	 (17) This Regulation should reflect the need to focus on strategic priorities, both geographically – the European Neighbourhood and Africa, as well as countries that are fragile and most in need, but also thematically – security, migration, 	 (17) This Regulation should reflect the need to focus on strategic priorities, both geographically – the European Neighbourhood and Africa, as well as countries that are fragile and most in need, particularly Least Developed Countries, 	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically the European Neighbourhood and Africa, as well as countries that are fragile and most in need, but also thematically security,	



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overnance	climate change and human rights.	but also thematically – sustainable development, poverty eradication, democracy and human rights, the rule of law, good governance, security, safe, orderly and regular migration, the reduction of inequalities, gender equality, addressing environmental degradation and climate change and human rights global public health threats.	migration, climate change and <i>environment, and</i> human rights.		
27. bis		 (17a) This Regulation should contribute to creating State and societal resilience in the area of global public health by addressing global public health threats, strengthening health systems, achieving universal health coverage, preventing and combatting 		(17a) This Regulation should contribute to creating State and societal resilience in the area of global public health by addressing global public health threats, strengthening health systems, achieving universal health coverage, preventing and combatting	Provisionally closed



Augration sp Veighbourh	bending target and related provis	SIOIIS			
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Governance					
27. ter		communicable diseases and helping to secure affordable medicines and vaccines for all.	(17 bis) The financial envelopes foreseen for the Neighbourhood and Sub-Saharan Africa geographic programmes should only be increased, given the particular priority the Union gives to these regions.	communicable diseases and helping to secure affordable medicines and vaccines for all. { <mark>1</mark> }	To be discussed together with art.6 {1} EP: no text CL: (17 bis) The financial envelopes foreseen for the Neighbourhood and Sub-Saharan Africa geographic programmes should only be increased, given the particular priority the Union give to these regions.
28.	 (18) This Regulation should support the implementation of the European Neighbourhood Policy, as reviewed in 2015, and the implementation of regional cooperation 	(18) The special relationship developed with the Union's neighbouring countries, in accordance with Article 8 TEU, should be preserved and enhanced through the	(18) This Regulation should support the implementation of the European Neighbourhood Policy,	 (18) This Regulation should support the implementation of the European Neighbourhood Policy, as reviewed in 2015 and endorsed by the Council in its 	Provisionally closed



Governance					
	frameworks, such as	application of this	as reviewed in 2015^{23} ,	Conclusions of	
	cross-border	Regulation. This	and endorsed by the	14 December 2015,	
	cooperation and the	Regulation should	Council in its	while maintaining an	
	external aspects of	contribute to	Conclusions of	adequate geographical	
	relevant macro-regional	reinforcing States' and	14 December 2015 ²⁴ ,	<i>balance</i> , and the	
	and sea basin strategies	societies' resilience in	while maintaining an	implementation of	
	and policies. Those	the Union's	adequate geographical	regional cooperation	
	initiatives offer political	neighbourhood,	balance, and the	frameworks, such as	
	frameworks for	following the	implementation of	cross-border	
	deepening relations	engagement taken in the	regional cooperation	cooperation,	
	with and among partner	Global Strategy. It	frameworks, such as	transnational and	
	countries, based on the	should support the	cross-border	maritime cooperation	
	principles of mutual	implementation of the	cooperation,	as well as and the	
	accountability, shared	European	transnational and	external aspects of	
	ownership and	Neighbourhood Policy,	maritime cooperation	relevant macro-regional	
	responsibility.	as reviewed in 2015, and	as well as the external	and sea basin strategies	
		the implementation of	aspects of relevant	and policies <i>in the</i>	
		regional cooperation	macro-regional and sea	eastern and southern	
		frameworks, such as	basin strategies and	neighbourhood,	
		cross-border cooperation	policies. Those	including the Northern	
		and the external aspects	initiatives offer	Dimension and the	
		of relevant macro-	political frameworks	Black Sea regional	
		regional and sea basin	for deepening relations	cooperation, as well as	
		strategies and policies <i>in</i>	with and among	the integrated EU	
		the eastern and	partner countries,	policy for the Arctic .	

 ²³ Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015

Amounts

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²⁴ Council Conclusions on the Review of the European Neighbourhood Policy, of 14 December 2015 (926/15).

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		southern	based on the principles	Those initiatives offer	
		neighbourhood,	of mutual	political	
		including the Northern	accountability, shared	supplementary	
		Dimension and the	ownership and	frameworks for	
		Black Sea regional	responsibility.	deepening relations	
		cooperation. Those		with and among partner	
		initiatives offer		countries, based on the	
		supplementary political		principles of mutual	
		frameworks for		accountability, shared	
		deepening relations with		ownership and	
		and among partner		responsibility.	
		countries, based on the			
		principles of mutual			
		accountability, shared			
		ownership and			
		responsibility.			
29.	(19) The European	(19) The European	(19) The European	(19) The European	
	Neighbourhood Policy,	Neighbourhood Policy ,	Neighbourhood Policy,	Neighbourhood Policy,	
	as reviewed in 2015^{25} ,	as reviewed in 2015 ²⁵ ,	as reviewed in 2015 ²⁵	as reviewed in 2015 ²⁷ ,	{ <mark>1</mark> }

²⁵ Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015. *EP position*: Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

²⁷ Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

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Governance

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	aims at the stabilisation	aims at <i>the deepening of</i>	and endorsed by the	aims at <i>the deepening</i>	EP: performance
	of neighbouring	democracy, promotion	Council in its	of democracy,	
	countries and	of human rights and	Conclusions of 14	promotion of human	CL: performance
	strengthening resilience,	upholding of the rule of	<i>December 2015</i> ²⁶ , aims	rights and upholding of	incentive
	particularly by boosting	<i>law</i> , the stabilisation of	at the stabilisation of	the rule of law, the	
	economic development,	neighbouring countries	neighbouring countries	stabilisation of	{ <mark>2</mark> }
	as the Union's main	and strengthening	and strengthening their	neighbouring countries	EP: In the case of a
	political priorities. In	resilience, particularly	resilience, particularly	and strengthening their	serious or persistent
	order to attain its	by boosting promoting	by boosting economic	resilience, particularly	degradation of
	objective, the reviewed	political, economic	development, as the	by <i>promoting political</i> ,	democracy in one of
	European	development and social	Union's main political	boosting economic and	the partner countries,
	Neighbourhood Policy	<i>reforms</i> , as the Union's	priorities. In order to	social reforms	support should be
	has been focusing on	main political priorities.	attain its objective, the	development, as the	suspended.
	four priority areas: good	In order to attain its	reviewed European	Union's main political	
	governance, democracy,	objective, the	Neighbourhood Policy	priorities. In order to	CL: no text
	the rule of law and	implementation of the	has been focusing on	attain its objective, the	
	human rights, with a	reviewed European	four the following	reviewed European	
	particular focus in	Neighbourhood Policy	priority areas: good	Neighbourhood Policy	
	engaging further with	has been focusing on	governance,	has been focusing on	
	civil society; economic	four through this	democracy, the rule of	the following four	
	development; security;	Regulation should focus	law and human rights,	priority areas: good	
	migration and mobility,	on the following priority	with a particular focus	governance, democracy,	
	including tackling the	areas: good governance,	in engaging further	the rule of law and	
	root causes of irregular	democracy, the rule of	with civil society;	human rights, with a	
	migration and forced	law and human rights,	economic	particular focus in	

EP position: Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

²⁶ Council Conclusions on the Review of the European Neighbourhood Policy, of 14 December 2015 (926/15).

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displacement. Differentiation and enhanced mutual ownership are the hallmark of the European Neighbourhood Policy, recognising different levels of engagement, and reflecting the interests of each country concerning the nature and focus of its partnership with the Union.

with a particular focus in engaging further with civil society; economic socio-economic development, *including* the fight against youth unemployment, as well as education and environmental *sustainability*; security; migration and mobility, including tackling the root causes of irregular migration and forced displacement and supporting populations, countries and regions confronted with enhanced migratory pressure. This **Regulation should** support the *implementation of the* Union's association agreements and deep and comprehensive free trade agreements with countries in the neighbourhood.

Differentiation and

development; enhancement of connectivity; security; reform agenda; migration and mobility, including tackling the root causes of irregular migration and forced displacement. Differentiation and enhanced mutual ownership are the hallmark of the European Neighbourhood Policy, recognising different levels of engagement and needs, and reflecting the interests of each country concerning the nature and focus of its partnership with the Union

engaging further with civil society: socioeconomic development, including the fight against youth unemployment, as well as education and environmental sustainability and enhanced connectivity; security; migration and mobility, including tackling the root causes of irregular migration and forced displacement. Differentiation and enhanced mutual ownership, *including* through the *implementation of the* {<mark>1</mark>} -based approach, are the hallmark of the European Neighbourhood Policy, recognising different levels of engagement, and reflecting the interests of each country

concerning the nature



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	enhanced mutual	and focus of its
	ownership are the	partnership with the
	hallmark of the	Union. <i>This Regulation</i>
	European	should support the
	Neighbourhood Policy,	implementation of the
	recognising different	association,
	levels of engagement,	partnership and
	and reflecting the	cooperation
	interests of each country	agreements, jointly
	concerning the nature	agreed association
	and focus of its	agendas and
	partnership with the	partnership priorities
	Union. <i>The</i>	or other relevant,
	performance-based	existing and future,
	approach is one of the	jointly agreed
	key tenets of the	documents with
	European	countries in the
	Neighbourhood Policy.	neighbourhood. { <mark>2</mark> }
	In the case of a serious	The visibility of Union
	or persistent	assistance in the
	degradation of	neighbourhood area
	democracy in one of the	should be enhanced.
	partner countries,	
	support should be	
	suspended.	
	Neighbourhood funding	
	is a key lever in	
	addressing common	
	challenges, such as	
	irregular migration and	



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance climate change, as well as in spreading prosperity, security and stability through economic development and better governance. The visibility of Union assistance in the neighbourhood area should be enhanced. 30. (20) This Regulation (20) This Regulation (20) This Regulation Provisionally closed on (20) This Regulation should support the should support the 11 June 2020 should support the should support the implementation of a implementation of a implementation of a implementation of a modernised association modernised association modernised association modernised association agreement with agreement with agreement with countries agreement with countries of the Africa, of the Africa, Caribbean countries of the Africa, countries of the Africa, Caribbean and Pacific Caribbean and Pacific and Pacific (ACP) Group Caribbean and Pacific of States and allow the (ACP) Group of States (ACP) Group of States (ACP) Group of States and allow the EU and EU and its ACP partners and allow the EU and and allow the EU and its ACP partners to its ACP partners to to develop further strong its ACP partners to alliances on key and develop further strong develop further strong develop further strong alliances on key global alliances on key global *shared* global alliances on key global challenges. In particular, challenges. In challenges. In challenges. In this Regulation should particular, this particular, this particular, this support the continuation **Regulation should Regulation should Regulation should** support the continuation support the continuation of the established support the of the established of the established cooperation between the continuation of the Union and the African cooperation between the cooperation between the established cooperation Union and the African Union in line with the between the Union and Union and the African Union in line with the Union in line with the Joint Africa-EU the African Union in



Migration spe Neighbourhoo	ending target and related prov	isions			
Amounts	Ju				
Governance					
	Joint Africa-EU Strategy and build on the future EU-ACP agreement after 2020, including through a continental approach towards Africa.	Strategy, including the engagement from Africa and the Union to promote children's rights as well as the empowerment of Europe's and Africa's youth, and build on the future EU-ACP agreement after 2020, including through a continental approach towards Africa, and a mutually beneficial partnership of equals between the EU and Africa.	line with the Joint Africa-EU Strategy and build on the future EU-ACP agreement after 2020, including through a continental approach towards Africa.	Joint Africa-EU Strategyand build on the future EU-ACP agreement after 2020, including through a continental approach towards Africa, and a mutually beneficial partnership of equals between the EU and Africa.	
30. bis		(20a) This Regulation		 (20 bis) The Union should also seek to further develop relations and build partnerships with third countries in Asia and the Americas. (20a) This Regulation 	Provisionally closed on 11 June 2020 Provisionally closed on
50.015		(20d) This Regulation should also contribute to the trade-related aspects of the Union's external relations, such as cooperation with		(20a) This Regulation should also contribute to the trade-related aspects of the Union's external relations including supply-chain	Provisionally closed of 11 June 2020



Aigration spending target and a	related provisions		
leighbourhood			
mounts			
lovernance			
	third countries on supply chain due diligence for tin, tantalum and gold, the Kimberley Process, the Sustainability Compact, the implementation of commitments under Regulation (EU) No 978/2012 of the European Parliament and the Council28 (GSP Regulation), cooperation under the Forest Law Enforcement, Governance and Trade (FLEGT) and Aid for Trade initiatives in order to ensure consistency and mutual support between Union trade policy and development goals and	due diligence in order to ensure consistency and mutual support between Union trade policy and development goals and actions.	

²⁸ Regulation (EU) No 978/2012 of the European Parliament and of the Council of 25 October 2012 applying a scheme of generalised tariff preferences and repealing Council Regulation (EC) No 732/2008 (OJ L 303, 31.10.2012, p. 1).

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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Oovernance					
			(20 bis) The Union		Provisionally closed on
			should seek to further		11 June 2020
			develop relations and		
			build partnerships		Moved to line 30.
			with third countries,		
			including in Asia and		
			the Americas.		
31.	(21) The Union should	(21) The Union should	(21) The Union should	(21) The Union should	Provisionally closed on
	seek the most efficient	seek the most efficient	seek the most efficient	seek the most efficient	11 June 2020
	use of available	use of available	use of available	use of available	
	resources in order to	resources in order to	resources in order to	resources in order to	
	optimise the impact of	optimise the impact of	optimise the impact of	optimise the impact of	
	its external action. That	its external action. That	its external action. That	its external action. That	
	should be achieved	should be achieved	should be achieved	should be achieved	
	through coherence and	through coherence,	through coherence,	through coherence,	
	complementarity among	<i>consistency</i> and	<i>consistency</i> and	<i>consistency</i> and	
	the Union's external	complementarity among	complementarity	complementarity among	
	financing instruments,	the Union's external	among the Union's	the Union's external	
	notably the Instrument	financing instruments,	external financing	financing instruments,	
	for Pre-Accession III ²⁹ ,	notably the Instrument	instruments, notably	notably the Instrument	
	the Humanitarian Aid	for Pre-Accession III ²⁹ ,	the Instrument for Pre-	for Pre-Accession	
	Instrument ³⁰ , the	the Humanitarian Aid	Accession III ²⁹ , the	Assistance III ²⁹ , the	
	Decision on Overseas	Instrument ³⁰ , the	Humanitarian Aid	Humanitarian Aid	
	Countries and	Decision on Overseas	Instrument ³⁰ , the	Instrument ³⁰ , the	

²⁹ COM (2018) 465 final Proposal for a Regulation of the European Parliament and of the Council establishing the Instrument for Pre-accession Assistance (IPA III)

³⁰ Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

	ts (except migration)			
	ding target and related provis	sions		
eighbourhood mounts	1			
linounts				
	Territories ³¹ , the	Countries and	Decision on Overseas	Decision on Overseas
	European Instrument	Territories ³¹³¹ , the	Countries and	Countries and
	for Nuclear Safety to	European Instrument for	Territories ^{31} , the	Territories ³¹ , the
	complement the	Nuclear Safety to	European Instrument	European Instrument
	Neighbourhood,	complement the	for Nuclear Safety to	for Nuclear Safety to
	Development and	Neighbourhood,	complement the	complement the
	International	Development and	Neighbourhood,	Neighbourhood,
	Cooperation Instrument	International	Development and	Development and
	on the basis of the	Cooperation Instrument	International	International
	Euratom Treaty ³² , the	on the basis of the	Cooperation	Cooperation Instrument
	common foreign and	Euratom Treaty ³² , the	Instrument on the basis	on the basis of the
	security policy and the	common foreign and	of the Euratom	Euratom Treaty ³² , the
	newly proposed	security policy and the	Treaty ^{32} , the common	common foreign and
	European Peace	newly proposed	foreign and security	security policy
	Facility ³³ which is	European Peace	policy <i>including</i> ,	including, where
	financed outside the	Facility ³³ which is	where relevant, the	relevant, the Common
	Union budget, as well	financed outside the	Common Security and	Security and Defence
	as the creation of	Union budget, as well as	Defence Policy, and	Policy, and the
	synergies with other	the creation of synergies	the newly proposed	European Peace
	Union policies and	with other Union	European Peace	Facility ³³ which is
	Programmes. This	policies and	Facility ³³ which is	financed outside the
	riogrammes. rms	poneres and	racinty which is	maneeu outsiue me

³¹ COM(2018) 461 final Proposal for a Council Decision on the Association of the Overseas Countries and Territories with the European Union including relations between the European Union on the one hand, and Greenland and the Kingdom of Denmark on the other ('Overseas Association Decision').

³² COM(2018) 462 final Proposal for a Council Regulation establishing a European Instrument for Nuclear Safety complementing the Neighbourhood, Development and International Cooperation Instrument on the basis of the Euratom Treaty.

³³ C(2018) 3800 final Proposal of the High Representative of the Union for Foreign Affairs and Security Policy to the Council for a Council Decision establishing a European Peace Facility.

Governance					
inclu	des coherence and	Programmes, <i>including</i>	financed outside the	Union budget, as well	
comp	elementarity with	Trust Funds as well as	Union budget, as well	as the creation of	
macr	o-financial	policies and	as the creation of	synergies with other	
assist	ance, where	programmes of the EU	synergies with other	Union policies and	
releva	ant. In order to	Member States. This	Union policies and	Programmes. This	
maxi	mise the impact of	includes coherence and	Programmes. This	includes coherence and	
comb	ined interventions	complementarity with	includes coherence and	complementarity with	
	nieve a common	macro-financial	complementarity with	macro-financial	
objec	tive, this	assistance, where	macro-financial	assistance, where	
	lation should allow	relevant. In order to	assistance, where	relevant. In order to	
for th	e combination of	maximise the impact of	relevant. In order to	maximise the impact of	
fundi	ng with other	combined interventions	maximise the impact of	combined interventions	
Unio	n Programmes, as	to achieve a common	combined interventions	to achieve a common	
0	as the	objective, this	to achieve a common	objective, this	
contr	ibutions do not	Regulation should allow	objective, this	Regulation should allow	
cover	the same costs.	for the combination of	Regulation should	for the combination of	
		funding with other	allow for the	funding with other	
		Union Programmes, as	combination of funding	Union Programmes, as	
		long as the contributions	with other Union	long as the	
		do not cover the same	Programmes, as long	contributions do not	
		costs.	as the contributions do	cover the same costs.	
			not cover the same		
			costs.		
	Funding from this	(22) Funding from this	(22) Building on its	(22) Building on its	Provisionally closed on
<u> </u>	lation should be	Regulation should be	success, this	success, this	11 June 2020
	to finance actions	used to finance actions	Regulation should	Regulation should	
	r the international	under the international	enable the Union to	enable the Union to	
	nsion of Erasmus,	dimension of Erasmus	further strengthen the	further strengthen the	
	nplementation of	and Creative Europe,	external dimension of	external dimension of	
which	h should be done	the implementation of	the Erasmus	the Erasmus	

Amounts



	s (except migration) ling target and related provi	sions			
Neighbourhood	ing target and related provi	510115			
Amounts					
Governance					
	according to the Erasmus Regulation ³⁴ .	which should be done according to <i>Regulation</i> (<i>EU</i>)/ of the <i>European Parliament</i> and of the Council ('the Erasmus Regulation') ³⁴ and Regulation (<i>EU</i>) / of the European Parliament and of the Council ('the Creative Europe Regulation') ³⁵ .	<i>programme.</i> Funding from this Regulation should be used to finance actions under the international dimension of Erasmus, the <i>implementation use</i> of which should be done according to the Erasmus Regulation ³⁴ and in accordance with the programming document adopted under this Regulation. Programming under this Regulation should fully enhance the potential of Erasmus programme.	<i>programme</i> . Funding from this Regulation should be used to finance actions under the international dimension of Erasmus, the implementation of which should be done according to the Erasmus Regulation ³⁴ and in accordance with the programming document adopted under this Regulation. Programming under this Regulation should fully enhance the potential of Erasmus programme.	
32. bis		(22a) The international dimension of the Erasmus Plus Programme should be boosted aiming at			Provisionally closed on 11 June 2020

³⁴ COM (2018) 367 final Proposal for a Regulation of the European Parliament and of the Council establishing 'Erasmus': the Union programme for education, training, youth and sport and repealing Regulation (EU) 1288/2013.

³⁵ COM(2018)0366 Proposal for a Regulation of the European Parliament and of the Council establishing the Creative Europe programme (2021 to 2027) and repealing Regulation (EU) No 1295/2013.

leighbourhood	and related provisions		
mounts			
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	increasing opportunities for mobility and cooperation for individuals and organisations from less developed countries of the world - supporting capacity-building in third countries, skills' development, people-to- people exchanges, while offering a greater number of opportunities for cooperation and mobility with developed and emerging countries.		
		(22. bis)Funding from this Regulation should be used to finance actions under the international dimension of Creative Europe in order to contribute to foster international cultural relations, and recognise the role of culture in promoting European values.	Provisionally closed of 11 June 2020

xx/2020

leighbourhood	ng target and related provis				
mounts					
lovernance					
32. ter		(22b) Considering the relevance of addressing education and culture in line with the 2030 Agenda for Sustainable Development and the EU strategy for international cultural relations, this Regulation should contribute to ensure inclusive and equitable quality education, promote life-long learning opportunities for all, foster international cultural relations, and recognise the role of culture in promoting European values through dedicated and targeted actions designed to have a clear impact on the			Provisionally closed or 11 June 2020
		Union's role on the global scene.			
33.	(23) The main approach	(23) The main approach	(23) The main	(23) The main approach	Provisionally closed or
	for actions financed	for actions financed	approach for actions	for actions financed	11 June 2020 pending
	under this Regulation should be through	under this Regulation should be through	financed under this Regulation should be	under this Regulation should be through	agreement on governance



Amounts

Governance					
	geographic	geographic programmes,	through geographic	geographic	
	programmes, in order to	in order to maximise the	programmes, in order	programmes, in order to	{1}
	maximise the impact of	impact of the Union's	to maximise the impact	maximise the impact of	EP:
	the Union's assistance	assistance and bring	of the Union's	the Union's assistance	In order to take
	and bring Union's	Union's action closer to	assistance and bring	and bring Union's	account of the
	action closer to partner	partner countries and	Union's action closer to	action closer to partner	specificities of each
	countries and	populations, .This	partner countries and	countries and	programme, the power
	populations. This	general approach while	populations. This	populations. This	to adopt acts in
	general approach should	supporting thematic	general approach	general approach should	accordance with Article
	be complemented by	priorities such as	should be	be complemented by	290 TFEU should be
	thematic programmes	human rights, civil	complemented by	thematic programmes	delegated to the
	and by rapid response	society and	thematic programmes	and by rapid response	Commission to
	actions, where relevant.	sustainability. The	and by rapid response	actions, where relevant	supplement the
		objectives under the	actions, where	whilst ensuring the	provisions of this
		geographic and	relevant.	consistency and	Regulation by setting
		thematic programmes		coherence of all	out the Union's
		should be consistent and		programmes and	strategy, the priority
		coherent with each		actions {1}.	areas, detailed
		other and should be			objectives, the expected
		complemented by			results, specific
		thematic programmes			performance indicators
		and by rapid response			and the specific
		actions, where relevant.			financial allocation for
		Effective			each programme. It is
		complementarity			of particular
		between the geographic,			importance that the
		thematic and rapid			Commission carry out
		response programmes			appropriate
		and actions should be			consultations during its
		ensured. In order to			preparatory work,



Amounts

Governance

take account of the	including at expert
specificities of each	level, and that those
programme, the power	consultations be
to adopt acts in	conducted in
accordance with Article	accordance with the
290 TFEU should be	principles laid down in
delegated to the	the Interinstitutional
Commission to	Agreement of 13 April
supplement the	2016 on Better Law-
provisions of this	Making ³⁶ . In
Regulation by setting	particular, to ensure
out the Union's	equal participation in
strategy, the priority	the preparation of
areas, detailed	delegated acts, the
objectives, the expected	European Parliament
results, specific	and the Council receive
performance indicators	all documents at the
and the specific	same time as Member
financial allocation for	States' experts, and
each programme. It is of	their experts
particular importance	systematically have
that the Commission	access to meetings of
carry out appropriate	Commission expert
consultations during its	groups dealing with the
preparatory work,	preparation of
including at expert	delegated acts.
level, and that those	
consultations be	CL: No text
conducted in	
accordance with the	

leighbourhood				
Bovernance				
	principles laid down in the Interinstitutional Agreement of 13 April 2016 on Better Law- Making ³⁶ . In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.			
33. bis		(23 bis) The EU and its Member States should be united in diversity and working better together, using a variety of experiences and	(23 bis) The Union's and Member States' development cooperation policies should complement and reinforce each other. The EU and its	Provisionally closed of 11 June 2020

³⁶ *OJ L 123, 12.5.2016, p. 1.*



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance approaches, bearing in Member States should mind their be united in diversity comparative and working better advantages. Therefore, together, using a the Union should variety of experiences foster inclusiveness and approaches. and collaboration with bearing in mind their Member States comparative advantages. Therefore, seeking to maximise added value and the Union should foster inclusiveness and taking into account experience and collaboration with capacities, thus Member States seeking reinforcing shared to maximise added interests, values and value and taking into common goals. In this account experience and regard, the Union and capacities, thus its Member States reinforcing shared should also seek to interests, values and promote the exchange common goals. In this regard, the Union and of best practices, knowledge sharing its Member States and capacity-building should also seek to amongst them. promote the exchange of best practices, knowledge sharing and capacity-building amongst them. 33. ter Provisionally closed on In cases of forms of Union funding in 2 October 2020



leighbourho	ending target and related provisi				
mounts					
overnance					
				which public administrations of Member States are involved, such as twinning, simplified implementing and contractual provisions should be discussed with Member States and applied in compliance with the Financial Regulation.	
34.	(24) In line with the Consensus, the Union and its Member States should enhance joint	(24) In line with the Consensus, the Union and its Member States should enhance joint	(24) In line with the Consensus, the Union and its Member States should enhance joint	(24) In line with the Consensus, the Union and its Member States should enhance joint	Provisionally closed or 11 June 2020 pending agreement on governance
	programming to increase their collective impact by bringing together their resources and capacities. Joint	programming to increase their collective impact by bringing together their resources and capacities. Joint	programming to increase their collective impact by bringing together their resources and	programming to increase their collective impact by bringing together their resources and capacities. <i>Joint</i>	{1}EP: implementation<i>application</i>
	programming should build on the partner countries' engagement, appropriation and	programming should build on the partner countries' engagement, appropriation and	capacities. Joint Programming should be promoted and strengthened, while	Programming should be promoted and strengthened, while being kept voluntary,	CL: implementation {2} EP: <i>application</i>
	ownership. The Union and its Member States should seek to support partner countries	ownership. The Union and its Member States should seek to support partner countries through	being kept voluntary, flexible, inclusive, and tailored to the country context, and allow for	flexible, inclusive, and tailored to the country context, and allow for the replacement of EU	N.B. Takes note of concept of joint implementation
	through joint	joint implementation	the replacement of EU	and Member States'	CL: implementation



Spending target	s (except migration)				
	ling target and related prov	isions			
Neighbourhood					
Amounts					
Governance					
	implementation, whenever appropriate.	application, whenever appropriate. Joint application should be inclusive and open to all Union partners who agree and can contribute to a common vision, including Member States' agencies and their development financial institutions, local authorities, the private sector, civil society and academia.	and Member States' programming documents with EU Joint Programming documents. Joint programming should build on the partner countries' engagement, appropriation and ownership. The Union and its Member States should seek to support partner countries through joint implementation, whenever appropriate.	programming documents with EU Joint Programming documents. Joint programming should build on the partner countries' engagement, appropriation and ownership. The Union and its Member States should seek to support partner countries through joint {1}, whenever appropriate. Joint {2} should be inclusive and open to all Union partners who agree and can contribute to a common vision, including Member States' agencies and their development financial institutions, local authorities, the private sector, civil society and academia.	
				(24 bis) The criteria	Provisionally closed on
				used to establish	11 June 2020
				partners' needs in the	



Augultation spending target lighbourhood			
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		programming approach should be consistent with the transparency principles laid down in this Regulation.	
34. bis	(24a) In the case of a serious or persistent degradation of democracy, human rights and rule of law in one of the partner countries, support may, by means of a delegated act, be partially or fully suspended. The Commission should take due account of relevant European Parliament resolutions in its decision-making		EP and CL maintain their positions
34. ter	decision-making.(24b) This Regulation should reconfirm nuclear safety as an important part of the Union external action and facilitate the objectives of cooperation specified in Regulation (EU)/ of the European	(24 ter) The Union is committed to facilitating the objectives of nuclear safety cooperation specified in Regulation (EINS). Therefore, the partner countries track record in implementing obligations and	{ <mark>1</mark> } EP: regarding full or partial suspension of assistance under this Regulation CL: no text



Neighbourhood

Amounts

Governance

Obverhance		
	Parliament and of the	commitments
	Council ³⁷ ('Regulation	concerning nuclear
	EINS'). Therefore, in	safety should be taken
	the event where a	into account and be
	partner country	taken up in the regular
	persistently fails to	political dialogue with
	respect the basic	those countries. In the
	nuclear safety	event where a partner
	standards, such as	country persistently
	provisions of the	fails to respect the
	relevant international	basic nuclear safety
	Conventions within the	standards and
	Framework of the	provisions of the
	IAEA, the Espoo and	relevant international
	Aarhus Conventions	conventions, the Union
	and their subsequent	should take appropriate
	amendments, the Treaty	steps { <mark>1</mark> }.
	on the Non-	
	Proliferation of Nuclear	
	Weapons and the	
	additional Protocols	
	thereto, the	
	commitments to	
	implementation of stress	
	tests and related	
	measures, and the	
	objectives of	

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³⁷ Regulation (EU) .../... of the European Parliament and of the Council of... on... (OJ...)

Amounts

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Governance					
		cooperation specified in Regulation EINS, assistance under this Regulation for the country concerned should be reconsidered			
		and may be suspended or partly suspended.			
35.	(25) Whilst democracy and human rights, including gender equality and women's empowerment should be reflected throughout the implementation of this Regulation, Union assistance under the thematic programmes for human rights and democracy and civil society organisations should have a specific complementary and additional role by virtue of its global nature and its independence of action from the consent of the governments and	(25) Whilst democracy and human rights and fundamental freedoms, including the protection of children, minorities, persons with disabilities and LGBTI persons, as well as gender equality, and women's women and girls' empowerment should be consistently reflected and mainstreamed throughout the implementation application of this Regulation, Union assistance under the thematic programmes for human rights and	(25) Whilst democracy and human rights, including gender equality and women's empowerment should be reflected throughout the implementation of this Regulation, Union assistance under the thematic programmes for human rights and democracy and civil society organisations should have a specific complementary and additional role by virtue of its global nature and its independence of action from the consent of the	(25) Under this Regulation, the Union should address human rights and democratisation issues at all levels. Whilst democracy and human rights, including gender equality and women's empowerment should be reflected and mainstreamed throughout the implementation of this Regulation, Union assistance under the thematic programmes for human rights and democracy and civil society organisations	Provisionally closed on 11 June 2020 pending agreement on local authorities {1} EP: and local authorities CL: no text
	public authorities of the	democracy and civil society organisations -	governments and public authorities of	<pre>{1} should have a specific complementary</pre>	

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Amounts



Amounts

Governance

Oovernance			
	partnership with civil		
	society on sensitive and		
	human rights and		
	democracy issues,		
	providing the flexibility		
	and requisite reactivity		
	to respond to changing		
	circumstances, needs of		
	beneficiaries, or periods		
	of crisis, and when		
	necessary, contributing		
	to capacity building of		
	civil society. In such		
	cases, the political		
	priorities should be to		
	promote respect for		
	international law and to		
	provide means of action		
	to local civil society and		
	other relevant human		
	rights stakeholders in		
	order to contribute to		
	work that is carried out		
	in very difficult		
	circumstances. This		
	Regulation should offer		
	also the possibility for		
	civil society		
	organisations to receive		
	small grants in a fast		



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lovemance	and efficient manner	
	when necessary, in	
	particular in the most	
	difficult situations, such	
	as those of fragility,	
	crisis, and inter-	
	community tensions.	
35. bis	(25a) In accordance	
	with Articles 2, 3 and 21	Provisionally closed
	TEU and Article 8	
	TFEU, the	
	implementation of this	
	Regulation should be	
	guided by the principles	
	of gender equality,	
	women and girls'	
	empowerment, and	
	should seek to protect	
	and promote women's	
	rights in line with the	
	Gender Action Plan II,	
	the Council	
	Conclusions on Women	
	Peace and Security of	
	10 December 2018, the	
	Council of Europe	
	Istanbul Convention	
	and Goal 5 of the 2030	
	Agenda for Sustainable	
	Development.	



Neighbourhood Amounts				
Governance				
35. bis bis		(25 bis) EU Election Observation Missions should contribute to increase transparency and confidence in electoral processes, and provide an informed assessment of elections as well as recommendations for their further improvement, in the context of Union cooperation and political dialogue with partner countries.	(25 bis) EU Election Observation Missions should contribute to increase transparency and confidence in electoral processes, and provide an informed assessment of elections as well as recommendations for their further improvement, in the context of Union cooperation and political dialogue with partner countries.	Provisionally closed on 11 June 2020
35. ter	(25b) This Regulation should address and mainstream the promotion of women's rights and gender equality globally, including by supporting organisations which are working on promoting sexual and reproductive health and rights (access to quality and accessible information, education and services)			Provisionally closed or 11 June 2020



in the Council implementation of this {1} Conclusions of 26 Regulation should be EP:	
and combating gender- based violence and discrimination, as well as recognising and addressing the close links between the issues of peace, security, development and gender equality. This work should be coherent with, and promote the implementation of relevant international and European principles and conventions. (25 ter) As reaffirmed in the Council Conclusions of 26 November 2018 on the implementation of the EU Gender Action Plan II (GAP II) in 2017 ³⁵ : Strengthening should seek to protect and promote women's (1) EP: A signification of the strengthening should seek to protect and promote women's	
based violence and discrimination, as well as recognising and addressing the close links between the issues of peace, security, development and gender equality. This work should be coherent with, and promote the implementation of relevant international and European principles and conventions.(25 ter) As reaffirmed in the Council Conclusions of 26 November 2018 on the implementation of this Regulation should be guided by the principles of gender equality, women and girls' empowerment, and 2017 ³⁸ : Strengthening gender equality and and promote women's(25 ter) The implementation of this Regulation should be guided by the principles of gender equality, women and girls' empowerment, and should seek to protect and promote women's(1)	
discrimination, as well as recognising and addressing the close links between the issues of peace, security, development and gender equality. This work should be coherent with, and promote the implementation of relevant international and European principles and conventions.(25 ter) As reaffirmed implementation of the implementation of the implementation of the Date of the the implementation of the Implementa	
addressing the close links between the issues of peace, security, development and gender equality. This work should be coherent with, and promote the implementation of relevant international and European principles and conventions. <	
Links between the issues of peace, security, development and gender equality. This work should be coherent with, and promote the implementation of relevant international and European principles and conventions.Links between the issues of peace, security, development and gender equality. This work should be coherent with, and promote the implementation of relevant international and European principles and conventions.Links between the issues of security, development and gender equality. This work should be coherent with, and promote the implementation of relevant international and European principles and conventions.Links between the issues of gender equality, women and girls'Links between the issues of gender equality, and promote women'sLinks between the issues of gender equality, and promote women's	
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2017 ³⁸ : Strengthening should seek to protect gender equality and and promote women's	
gender equality and and promote women's	5%
women's rights in line with the	
empowerment in EU EU Gender Action	

³⁸ Council Conclusions of 26 November 2018 on the implementation of the EU Gender Action Plan II (GAP II) in 2017

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Amounts

Governance

overnance				
	e	external action,	Plans and relevant	
	i	increased efforts to	Council Conclusions	
	1	reach the five	and international	
	1	minimum standards of	conventions, including	
	1	performance indicated	the Council	
		by the GAP II should	Conclusions on	
	1	lead to a gender	Women Peace and	
	5	sensitive and	Security of 10	
	1	transformative	December 2018.	
	6	approach in all EU	Strengthening gender	
	é	external action and	equality and women's	
	i	international	empowerment in EU	
	6	cooperation. At least	external action and	
	8	85% of new actions	increasing efforts to	
	i	implemented under	reach the minimum	
	1	this Regulation should	standards of	
	S	score G1 or G2 on the	performance indicated	
		Gender Equality	by the Gender Action	
	1	Policy Marker of the	Plans should lead to a	
		OECD Development	gender sensitive and	
	4	Assistance Committee	transformative	
	((G-marker), and at	approach in all EU	
	1	least 5% of those	external action and	
	6	actions should have	international	
	£	gender equality and	cooperation. At least	
	1	women's	85 % of new actions	
	e	empowerment as a	implemented under this	
	1	principal objective.	Regulation, should	
			have gender equality as	
			a principal or a	
	· · · · · · · · · · · · · · · · · · ·	1 		

AL,PS/kr LIMITE

Spending targets (except migration) Migration spending target and related	provisions
Jeighbourhood	
Amounts	
Governance	
	significant objective, as defined by the gender equality policy marker of the OECD DAC. {1} of these actions should have gender equality and women's and girls' rights and empowerment as a principal objective.
35. quater	Image: Constraint of the second sec





Neighbourhoo Amounts	d				
Governance					
			and fulfilling human rights as well as gender equality.	population growth and maximises the benefits of a demographic dividend, while respecting each country's right to decide on its demographic policy and while respecting, protecting and fulfilling human rights as well as gender equality.	
36.	 (26) Civil society organisations should embrace a wide range of actors with different roles and mandates which includes all non- State, not-for-profit structures, non-partisan and non-violent, through which people organise to pursue shared objectives and ideals, whether political, cultural, social or economic. Operating from the local to the national, regional and 	(26) Civil society organisations should embrace a wide range of actors with different <i>multiple</i> roles and mandates which includes all non-State, not-for- profit structures, non- partisan and non-violent <i>structures</i> , through which people organise to pursue shared objectives and ideals, whether political, cultural, social, of religious, environmental, economic or holding	(26) Civil society organisations should embrace a wide range of actors with different roles and mandates which includes all non- State, not-for-profit structures, non-partisan <i>independent</i> and non- violent, through which people organise to pursue shared objectives and ideals, whether political, cultural, social or economic. Operating from the local to the	(26) Civil society organisations should embrace a wide range of actors with different <i>multiple</i> roles and mandates which includes all non-State, not-for-profit structures, non-partisan <i>independent</i> and non- violent structures, through which people organise to pursue shared objectives and ideals, whether political, cultural, <i>religious</i> ,	Provisionally closed on 11 June 2020 pending agreement on governance { 1 } EP: " implementation application " CL: "implementation"



Amounts Governance

Oovernance		· · · · ·		• . • • •	
	international levels,	authorities to account.	national, regional and	environmental, social	
	they comprise urban	Operating from the local	international levels,	or economic. Operating	
	and rural, formal and	to the national, regional	they comprise urban	from the local to the	
	informal organisations.	and international levels,	and rural, formal and	national, regional and	
		they comprise urban and	informal organisations.	international levels,	
		rural, formal and	This Regulation	they comprise urban	
		informal organisations.	should provide EU	and rural, formal and	
		Other bodies or actors	support to civil society	informal organisations.	
		not specifically excluded	organisations in order	This Regulation should	
		by this Regulation	to pursue the values,	provide EU support to	
		should be able to be	interests and	civil society	
		financed when it is	objectives of the	organisations in order	
		necessary to achieve the	Union.	to pursue the values,	
		objectives of this		interests and objectives	
		Regulation.		of the Union. Civil	
				society organisations	
				should be duly	
				consulted and have	
				timely access to	
				relevant information	
				allowing them to be	
				adequately involved	
				and play a meaningful	
				role during the design,	
				{1} and associated	
				monitoring processes	
				of programmes.	
36bis				The role of	Provisionally closed,
				organisations	placement to be
				supporting democracy,	checked

AL,PS/kr LIMITE



Migration spending target an Neighbourhood			
Amounts			
Governance			
		free elections, civil society, human rights and the rule of law worldwide, such as the European Endowment for Democracy (EED), as well as citizen election observation organisations and their European and other regional and global platforms, should be supported under this Regulation.	
36. bis	(26a) In line with the Consensus for Development, the Union and its Member States should foster the participation of civil society organisations (CSOs) and local authorities (LAs) in contributing to sustainable development and to the implementation of the SDGs, inter alia in the sectors of democracy, the rule of law,	(26a) This Regulation should foster the participation of civil society organisations in contributing to sustainable development and to the implementation of the SDGs inter alia in the areas of democracy, the rule of law, fundamental freedoms and human rights, social justice and basic social services.	Provisionally closed of 11 June 2020



Amounts

Oovernance		
	fundamental freedoms	
	and human rights,	
	social justice and as	
	providers of basic social	
	services to populations	
	most in need. They	
	should recognise the	
	multiple roles played by	
	CSOs and LAs, the	
	latter as promoters of a	
	territorial approach to	
	development, including	
	decentralisation	
	processes, participation,	
	oversight and	
	accountability. The	
	Union and its Member	
	States should promote	
	an operating space and	
	enabling environment	
	for CSOs, and further	
	enhance their support	
	for CSOs' and LAs'	
	capacity building in	
	order to strengthen their	
	voice in the sustainable	
	development process	
	and advance political,	
	social and economic	
	dialogue, including	



Amounts

Governance		
	through civil society	
	facilities programmes.	
	(26 bis) Under this	Provisionally closed on
	Regulation, the Union	11 June 2020
	should address human	
	rights and	Covered in line 35
	democratisation	
	issues, including in	
	partnership with civil	
	society, at global,	
	regional, national and	
26 4-7	local levels.	
36. ter	(26b) The Union should	Provisionally closed on
	support civil society	11 June 2020
	organisations and	
	promote their greater	
	strategic involvement in all external instruments	
	and programmes, including geographical	
	programmes and the	
	rapid response actions	
	under this Regulation,	
	in keeping with the	
	Council conclusions of	
	15 October 2012 on	
	'The roots of democracy	
	and sustainable	
	development: Europe's	
	engagement with civil	
	0.0	



Amounts

		society in external relations'.			
			(26 ter) This Regulation should consider digital solutions and technologies as powerful enablers for sustainable development and inclusive growth, and should contribute to further promoting digitalisation.		
37.	 (27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in 	(27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound	 (27) This Regulation (27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in 	(27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in	Provisionally closed on 2 October 2020



Spending targets	(except migration)				
	ng target and related provis	ions			
Neighbourhood	ng tanget and related provid				
Amounts					
Governance					
	budgetary matters and on sound financial management ³⁹ , for the European Parliament and the Council during the annual budgetary procedure.	financial management ³⁹ , for the European Parliament and the Council during the annual budgetary procedure.	budgetary matters and on sound financial management ³⁹³⁹ , for the European Parliament and the Council during the annual budgetary procedure.	budgetary matters and on sound financial management ³⁹ , for the European Parliament and the Council during the annual budgetary procedure.	
38.	(28) Reflecting the importance of tackling climate change in line with the Union commitments to implement the Paris Agreement and the United Nations Sustainable Development Goals, this Regulation should contribute to mainstream climate action in the Union policies and to the achievement of an overall target of 25 % of the Union budget expenditures supporting	(28) Reflecting the importance of tackling climate change, <i>protecting the</i> <i>environment and fight</i> <i>biodiversity loss,</i> in line with the Union commitments to implement the Paris Agreement, <i>the</i> <i>Convention on</i> <i>Biological Diversity</i> and the United Nations Sustainable Development Goals, this Regulation should contribute to mainstream climate <i>and</i> <i>environmental</i> action in	(28) Reflecting the importance of tackling climate change in line with the Union commitments to implement the Paris Agreement and the United Nations Sustainable Development Goals, this Regulation should contribute to mainstream climate action in the Union policies and to the achievement of an overall target of 25-30 % of the Union budget expenditures	(28) Reflecting the importance of tackling climate change {1} in line with the Union commitments to implement the Paris Agreement {2} and the United Nations Sustainable Development Goals, this Regulation should contribute to mainstream climate {3} action in the Union policies and to the achievement of an overall target of 2530 % of the Union budget expenditures supporting	<pre>{1} EP: , protecting the environment and fight biodiversity loss, CL: No text {2} EP: , the Convention on Biological Diversity CL: No text {3} EP:</pre>

³⁹ OJ C 373, 20.12.2013, p. 1.



Amounts

climate objectives. Actions under this Regulation are expected to contribute 25% of its overall financial envelope to climate objectives. Relevant actions will be identified during the implementation and should be part of relevant evaluations and review processes.the Union policies and to objectives. Relevant actions will be identified during the implementation and actions will be leaved to climate actions will be identified during the implementation of this Regulation, and the overall contribution should be part of relevant action, biodiversity and combatting descriftication, of which 30% of the overall financial envelope to climate actions will be identified acting the implementation and adpatation, should be part of relevant actions, bioldiversity and combatting descriftication, of which 30% of the overall financial envelope to climate actions will be identified during the implementation adplication and adpatation actions will be identified during the implementation adplication and adpatation actions will be identified during the implementation adplication of thisupplementation and adplication and adplication and adplication and adplication and adplication of thisupplementation and adplication and adplication and adplication and adplication of thisupplementation and constraints climate adjicatives and and constating descriftication and adplication and adplication of thisupplementation adplication and adplication and adplication and adplication and adplication of thisupplementation adplication and adplication and adplication and adplication of thisupplementation adplication and adplication and adplication and adplication adpl	Jovernance					
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actions will be identified during the implementation of this Regulation, and the overall contribution from this Regulation should be part of relevant evaluations and review processes.		envelope to climate	supporting climate	of its overall financial	envelope to climate	{ <mark>4</mark> }
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Regulation, and the overall contribution from this Regulation should be part of relevant evaluations and review processes.under this Regulation are expected to contribute $25\% 45\% 05$ its overall financial envelope to climate objectives, <i>environmental</i> <i>management and</i> <i>protection, biodiversity</i> <i>and combatting</i> <i>desertification, of which</i> $30\% of the overall$ <i>financial envelope</i> <i>should be dedicated to</i> <i>climate change</i> <i>mitigation and</i> <i>adoptation.</i> Relevant actions will be identified during the <i>implementation</i> and the overall contribution of this Regulation, and the overall contribution from this Regulation should be part of relevant <i>monitoring</i> , evaluations and review processes. <i>At least</i> 25% <i>At least</i> 25% <i>At least</i> 25% <i>and the overall</i> <i>contribution</i> <i>from this Regulation</i> <i>from this Regulation</i> <i>from this Regulation</i> <i>from this Regulation</i> 		identified during the	identifiable co-benefits	actions will be	identified during the	
overall contribution from this Regulation should be part of relevant evaluations and review processes.expected to contribute 25% 45% of its overall financial envelope to climate objectives, <i>environmental</i> management and protection, biodiversity and combatting desertification, of which 30% of the overall financial envelope should be dedicated to climate change mitigation and adaptation. Relevant actions will be identified during the implementationRegulation, and the overall contribution from this Regulation should be part of relevant monitoring, evaluations and review processes.contribution from this Regulation should be part of relevant monitoring, evaluations and review processes.environmental management and protection, biodiversity and combatting desertification, of which 30% of the overall financial envelope should be dedicated to climate change mitigation and adaptationRegulation, and the overall contribution from this Regulation should be part of relevant monitoring, evaluations and review processes.contribution from this Regulation and review processes.Solution from this Regulation and review processes.Solution from this Regulation and review processes.Solution from this Regulation and review processes.Solution from this Regulation and review processes.Solution from this Regulation from this Regulation and review processes.Solution from this Regulation from this Regulation and review processes.Solution from this Regulation from this Regulation		implementation of this	across sectors. Actions	identified during the	{6} of this Regulation,	CL:
from this Regulation should be part of relevant evaluations and review processes. $2^{5} \% 45 \% of its overallfinancial envelope toclimate objectives,environmentalmanagement andprotection, biodiversityand combattingdesertification, of which30 % of the overallfinancial envelopeshould be part ofrelevant monitoring,evaluations and reviewprocesses. \begin{bmatrix} evaluation should bepart of relevantmonitoring, evaluationsand review processes.\begin{bmatrix} evaluation should bepart of relevantmonitoring, evaluationsand combattingdesertification, of which30 % of the overallfinancial envelopeshould be dedicated toclimate changemitigation andadaptation. Relevantactions will be identifiedduring theimplementation$		Regulation, and the	under this Regulation are	implementation of this	and the overall	At least 25%
should be part of relevant evaluations and review processes.		overall contribution	expected to contribute	Regulation, and the	contribution from this	
relevant evaluations and review processes.		from this Regulation	25 % 45 % of its overall	overall contribution	Regulation should be	{ <mark>5</mark> }
review processes. environmental management and protection, biodiversity and combatting desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptation. Relevant actions will be identified during the implementation		should be part of	financial envelope to	from this Regulation	part of relevant	EP:
management and protection, biodiversity and combatting desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptation. Relevant actions will be identified during the implementationevaluations and review processes.protection, biodiversity and combatting desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptation. Relevant actions will be identified during the implementationcellprotection, biodiversity and combatting desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptation.CL: https://doi.org/10.1000/000000000000000000000000000000		relevant evaluations and	climate objectives,	should be part of	monitoring, evaluations	, environmental
protection, biodiversity and combatting desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptation. Relevant actions will be identified during the implementationprocesses.and combatting desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptationand combatting desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptationCL: ho textCL: No text		review processes.	environmental	relevant monitoring,	and review processes.	management and
and combatting desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptation. Relevant actions will be identified during the implementationdesertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptationCL: which 30 % during the implementationCL: No text			management and	evaluations and review		protection, biodiversity
desertification, of which 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptation. Relevant actions will be identified during the implementationwhich 30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptationCL: implementationCL: No text			protection, biodiversity	processes.		and combatting
30 % of the overall financial envelope should be dedicated to climate change mitigation and adaptation. Relevant actions will be identified during the implementationoverall financial envelope should be dedicated to climate change mitigation and adaptation.CL: No text			and combatting			desertification, of
financial envelope should be dedicated to climate change mitigation and adaptation. Relevant actions will be identified during the implementationenvelope should be dedicated to climate change mitigation and adaptationCL: No text			desertification, of which			which 30 % of the
should be dedicated to dedicated to climate climate change change mitigation and mitigation and adaptation. adaptation. Relevant actions will be identified CL: during the No text			30 % of the overall			overall financial
climate change mitigation and adaptation. Relevant actions will be identified during the implementationchange mitigation and adaptationclimate change mitigation and adaptation. Relevant actions will be identified during the implementationclimate change adaptation			financial envelope			envelope should be
mitigation and adaptation adaptation. Relevant actions will be identified actions will be identified CL: during the No text implementation Implementation			should be dedicated to			dedicated to climate
adaptation. Relevant CL: actions will be identified No text during the Implementation			climate change			change mitigation and
actions will be identified during the implementation CL: No text			mitigation and			adaptation
during the No text			0			-
implementation			actions will be identified			CL:
Ĩ			during the			No text
Ĩ			implementation			
			application of this			{6}

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance Regulation, and the EP: overall contribution from implementation this Regulation should application be part of relevant evaluations and review CL: processes. Union action implementation in this area should favour the adherence to the Paris Agreement and to the Rio Conventions, and not contribute to environmental degradation or cause harm to the environment or climate. Actions and measures contributing to meeting the target on climate shall put special emphasis on support for adaptation to climate change in poor, highly vulnerable countries, and should take into consideration the relation between climate, peace and

security, women's empowerment and the





Neighbourhood				
Amounts Governance				
	fight against poverty. This Regulation should contribute to the sustainable management of natural resources and promote sustainable and secure mining, forest management and agriculture.			
38. bis		(28 bis) Funding allocated in the context of this Regulation should be coherent with the long term temperature goal set out in the Paris Agreement of holding the increase in the global average temperature to well below 2°C above pre- industrial levels and pursuing efforts to limit the temperature increase to 1.5°C.	Union action in this area should favour the adherence to the Paris Agreement and the Rio Conventions on biodiversity, climate change and desertification, and not contribute to environmental degradation or cause harm to the environment or climate. In particular, funding allocated in the context of this Regulation should be coherent with the long-term temperature goal of	Provisionally closed EP line 38: Union action in this area should favour the adherence to the Paris Agreement and to the Rio Conventions, and not contribute to environmental degradation or cause harm to the environment or climate. Actions and measures contributing to meeting the target o climate shall put special emphasis on



Aigration spending target and related provisions	
Veighbourhood Amounts	
Fovernance	
	holding the increase in the global average temperature to well below 2°C above pre-
38. ter	(28 ter) The funding allocated in the context of thisProvisionally closedReference to Rio
	Regulation should be subject to the OECD methodology (Rio markers) to track themarkers in line 421



Veighbourhoo	d				
mounts					
overnance					
			expenditure related to environmental management and protection, climate action, desertification and biodiversity.		
38. quater			(28 quater) Particular attention should be given to actions that create co-benefits and meet multiple objectives, including for climate, biodiversity and the environment.		Provisionally closed
39.	(29) It is essential to further step up cooperation on migration with partner countries, reaping the benefits of well- managed and regular migration and effectively addressing irregular migration.	(29) It is essential to further step up Cooperation on migration with partner countries, reaping the benefits of well- managed and regular can lead to mutually benefiting from orderly, safe and responsible	(29) It is essential to further step up cooperation on migration with partner countries <i>while</i> <i>respecting</i> <i>competences of the</i> <i>Member States,</i> reaping the benefits of well-managed and	(29) {1}cooperation on migration with partner countries while respecting competences of the Member States, reaping the benefits -of orderly, safe, regular and responsible, well- managed and regular migration and	<pre>{1} EP:-It is essential to further step up No text CL: It is essential to further step up {2}</pre>
	Such cooperation should contribute to ensuring access to international protection, addressing the root	saje and responsible migration and to effectively addressing irregular migration and forced displacement. Such cooperation should	regular migration and effectively addressing irregular migration. Such cooperation should contribute to	effectively addressing irregular migration <i>and</i> <i>forced displacement</i> . Such cooperation should contribute to	EP: contributing to ensuring access to legal pathways for migration,



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Amounts Governance

causes of irregular migration, enhancing border management and pursuing efforts in the fight against irregular migration, trafficking in human beings and migrant smuggling, and working on returns, readmission and reintegration where relevant, on the basis of mutual accountability and full respect of humanitarian and human rights obligations. Therefore, third countries' effective cooperation with the Union in this area should be an integral element in the general principles of this Regulation. An increased coherence between migration and development cooperation policies is important to ensure that

development assistance

contribute to *facilitating* safe and legal pathways for migration and *asylum*, ensuring access to international protection, addressing the root causes of irregular migration and forced displacement, engaging with *diasporas*, enhancing border management and pursuing efforts in the fight against addressing irregular migration, trafficking in human beings and migrant smuggling, and working on safe, dignified and sustainable returns. readmission and reintegration where relevant, in a conflictsensitive manner, on the basis of mutual accountability, and in full respect of humanitarian and human rights obligations. Therefore, third

ensuring access to international protection, addressing the root causes of irregular migration and forced displacement, enhancing border management and pursuing efforts in the fight against to prevent irregular migration, and forced displacement, fighting *against* trafficking in human beings and migrant smuggling, and working on returns, readmission and reintegration where relevant, on the basis of mutual accountability and full respect of humanitarian and human rights obligations. Therefore, third countries' effective cooperation with the Union in this area should be an integral element in the

ensuring access to international protection, addressing the root causes of irregular migration and forced displacement, {2} enhancing border management and pursuing efforts in the fight against to prevent irregular migration, and forced displacement. fighting against trafficking in human beings and migrant smuggling, and working on *dignified and* sustainable returns. readmission and reintegration where relevant, on the basis of mutual accountability and full respect of humanitarian and human rights obligations *under* international and Union law, and by engaging with *diasporas*. {<mark>3</mark>} An

CL: no text

{<mark>3</mark>}

EP: Therefore, third countries' effective cooperation with the Union in this area should be an integral element in the general principles of this Regulation.

CL: Therefore, third countries' effective cooperation with the Union in this area should be an integral element in the general principles of this Regulation.

{<mark>4</mark>} EP: and applying the necessary leverage

CL: and applying the necessary leverage

Amounts

Jovernance						
supports partner	countries' effective	general principles of	increased coherence	Consensus language for		
countries to manage	cooperation with the	this Regulation. An	between migration and	reference:		
migration more	under international and	increased coherence	development	The EU should address		
effectively. This	Union-in this area should	between migration-and,	cooperation and other	in a comprehensive		
Regulation should	be an integral element in	development	external policies is	manner the multiple		
contribute to a	the general principles of	cooperation and other	important to ensure that	aspects of migration		
coordinated, holistic	this Regulation. An	external policies is	development the	and forced		
and structured approach	increased law.	important to ensure	Union's external	displacement,		
to migration,	Coherence between	that development the	assistance supports	including smuggling		
maximising the	migration and	Union's external	partner countries to	and trafficking in		
synergies and applying	development cooperation	assistance supports	manage migration more	human beings, border		
the necessary leverage.	policies is important to	partner countries to	effectively towards	management,		
	ensure that development	manage migration	sustainable	remittances, addressing		
	assistance supports	more effectively. This	<i>development</i> . This	the root causes,		
	partner countries to	Regulation should	Regulation should	international		
	manage fight poverty	contribute to a	contribute to a	protection and return,		
	and inequality, promote	coordinated, holistic	coordinated, holistic	readmission and		
	rights and freedoms, as	and structured	and structured approach	reintegration, on the		
	well as contribute to an	approach to migration,	to migration,	basis of mutual		
	orderly, safe and	maximising the	maximising the	accountability and full		
	responsible migration	synergies and applying	synergies { <mark>4</mark> }.	respect of		
	more effectively	the necessary leverage.		humanitarian and		
	management. This			human rights		
	Regulation should			obligations.		
	contribute to a					
	coordinated, holistic and					
	structured approach to					
	migration, maximising					
	the synergies and					
	applying the necessary					

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Amounts

Governance	Governance					
		leverage the positive				
		impact of migration and				
		mobility on				
		development.				
40.	(30) This Regulation	(30) This Regulation	(30) This Regulation	(30) This Regulation	{ <mark>1</mark> }	
	should enable the Union	should enable the Union	should enable the	should enable the Union	EP: <i>in order to</i>	
	to respond to	to respond to challenges,	Union -to , <i>in</i>	to, in cooperation with	maximise the	
	challenges, needs and	needs and opportunities	cooperation with	Member States, to	contribution of	
	opportunities related to	related to migration, in	Member States, to	comprehensively	migration to	
	migration, in	complementarity with	comprehensively	respond to challenges,	development,	
	complementarity with	Union migration policy	respond to challenges,	needs and opportunities		
	Union migration policy.	and development	needs and	related to migration and	CL: no text	
	To contribute to that	<i>policies</i> . To contribute to	opportunities related to	forced displacement in		
	end, and without	that end, <i>in order to</i>	migration , in and	coherence and		
	prejudice to unforeseen	maximise the	forced displacement in	complementarity with	{ <mark>2</mark> }	
	circumstances, 10% of	contribution of	coherence and	Union migration policy.	EP: , <i>a maximum of</i>	
	its financial envelope is	migration to	complementarity with	To contribute to that		
	expected to be	<i>development</i> , and	Union migration	end, { <mark>1</mark> } and without	CL: at least	
	dedicated to addressing	without prejudice to	policy. To contribute to	prejudice to unforeseen		
	the root causes of	unforeseen	that end, and without	circumstances, {2} 10%		
	irregular migration and	circumstances new	prejudice to unforeseen	<pre>{3</pre> Migration-related	{ <mark>3</mark> }	
	forced displacement and	emerging challenges or	circumstances, at least	actions under this	EP: of its financial	
	to supporting migration	new needs, a maximum	10% of its the financial	Regulation, as needed	envelope is expected to	
	management and	of 10 % of its financial	envelope is expected to	through its geographic	be dedicated to	
	governance including	envelope is expected to	of this Regulation	and thematic	addressing the root	
	the protection of	be dedicated to	should be dedicated	programmes and rapid	causes of irregular	
	refugees and migrants'	addressing the root	particularly to actions	response actions	migration and forced	
	rights within the	causes of irregular	supporting	should build on the	displacement,	
	objectives of this	migration and forced	management and	experience gained	supporting the needs of	
	Regulation.	displacement and to	governance of	during the	displaced people and	



Amounts

Governance				
	supporting strengthened	migration and forced	implementation of the	host communities, and
	engagement to facilitate	displacement, within	European Agenda on	to supporting safe,
	safe, orderly, regular	the objectives of this	Migration and the	orderly and regular
	and responsible	Regulation. In	Multiannual Financial	migration <i>policies</i> ,
	migration management	addition this target	Framework 2014-2020,	management and
	and the implementation	should also include	in particular the	governance including
	of planned and well-	actions to addressing	European Union	the protection of
	managed migration	the root causes of	Emergency Trust Fund	refugees and migrants'
	<i>policies</i> and governance,	irregular migration and	for stability and	rights <i>based on</i>
	including the protection	forced displacement	addressing root causes	international and
	of refugees and migrants'	and to supporting	of irregular migration	Union law within the
	rights based on	migration management	and displaced persons	objectives of this
	international and Union	and governance	in Africa, to build	Regulation.
	<i>law</i> within the objectives	including the	comprehensive	-
	of this Regulation. This	protection of refugees	partnerships. {4}{5}	CL: of its the financial
	Regulation should also	and migrants' rights	These actions should	envelope is expected to
	contribute to addressing	within the objectives of	be implemented in full	of this Regulation
	the brain-drain	this Regulation. when	respect of international	should be dedicated
	phenomenon and to	they directly target	law, including	particularly to actions
	help support the needs	specific challenges,	international human	supporting
	of displaced people and	related to migration	rights and refugee law,	management and
	host communities, in	and forced	EU and national	governance of
	particular through the	displacement.	competences. The	migration and forced
	provision of access to	Migration-related	Commission should	displacement, within
	basic services and	actions under this	develop and use a	the objectives of this
	livelihoods	Regulation, as needed	robust and transparent	Regulation. In addition
	opportunities.	through its geographic	tracking system to	this target should also
		and thematic	measure such	include actions to
		programmes and rapid	expenditure and to	addressing the root
		response actions	report on it.	causes of irregular



Neighbourhood

Amounts

Governance

should build on the	
experience gained	
during the	
implementation of the	
European Agenda on	
Migration and the	
Multiannual	
Financial Framework	
2014-2020, in	
particular the	
European Union	
Emergency Trust	
Fund for stability and	
addressing root causes	
of irregular migration	
and displaced persons	
in Africa, to build	
comprehensive	
partnerships. EU	
support should take	
into account the	
development benefits	
of regular migration	
and contribute to the	
effective	
implementation of EU	
agreements and	
dialogues on	
migration with third	
countries by	

migration and forced displacement and to supporting migration management and governance including the protection of refugees and migrants' rights within the objectives of this Regulation. when they directly target specific challenges, related to migration and forced displacement.

{<mark>4</mark>} EP: no text

CL: EU support should take into account the development benefits of regular migration and contribute to the effective implementation of EU agreements and dialogues on migration with third countries by

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Amounts

encouraging	encouraging
cooperation through a	cooperation through a
flexible incitative	flexible incitative
approach. A facility	approach.
should enable to	
address ongoing and	
emerging migration	{ <mark>5</mark> }
challenges within this	EP: no text
Regulation using all	
appropriate	CL: A facility should
components through	enable to address
flexible funding while	ongoing and emerging
respecting its financial	migration challenges
envelopes and relying	within this Regulation
on their flexible	using all appropriate
implementation. These	components through
actions should be	flexible funding while
implemented in full	respecting its financial
respect of	envelopes and relying
international law,	on their flexible
including	implementation.
international human	
rights and refugee	
law, EU and national	
competences. The	
Commission should	
develop and use a	
robust and transparent	
tracking system to	
measure such	



Amounts

		expenditure and to report on it.		
40. bis	(30a) Information and communication technologies (ICT) and services are proven enablers of sustainable development and inclusive growth. They can be key to improving citizens' lives even in the poorest countries, in particular by empowering women and girls, enhancing democratic governance and transparency, and boosting productivity and job creation. Nevertheless, connectivity and affordability remain a problem both across and within regions, since there are large variations between high and lower income countries and between cities and rural areas. This regulation should		(30a) This Regulation should consider digital solutions and information and communication technologies as powerful enablers for sustainable development and inclusive growth, and should contribute to further promoting digitalisation.	Provisionally closed on 11 June 2020

Amounts			
Governance			
	therefore help the Union to further mainstream digitalisation into the Union development policies.		
40 bis bis		(30a bis) Actions adopted under this Regulation in relation to the fight against terrorism and organised crime, cyber security and the fight against cybercrime,and capacity building of military actors in support of development and security for development should aim to generate direct human security benefits for the population, contain relevant good practices to ensure sustainability and accountability in the medium and long term including effective democratic oversight, and should promote the	Provisionally agreed or 11 June 2020

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance rule of law. transparency, and established international law principles. Provisionally closed on 40. ter (30b) The 2030 Agenda (30b) The 2030 Agenda for Sustainable for Sustainable 11 June 2020 Development, adopted Development, adopted by a Resolution of the by a Resolution of the United Nations General United Nations Assembly on 25 General Assembly on September 2015, 25 September 2015, underlined the underlined the *importance of importance of* promoting peaceful and promoting peaceful inclusive societies both and inclusive societies as a Sustainable both as a Sustainable **Development Goal Development Goal** (SDG) 16 and in order (SDG) 16 and in order to achieve other to achieve other development policy development policy outcomes. SDG 16.a outcomes. SDG 16.a specifically requests to specifically requests to *Strengthen relevant Strengthen relevant* national institutions, national institutions, including through including through international international cooperation, for cooperation, for building capacities at all building capacities at all levels, in particular levels, in particular in



Amounts

	developing countries, to	in developing	
	prevent violence and	countries, to prevent	
	combat terrorism and	violence and combat	
	crime'.	terrorism and crime'.	
40. quater	(30c) In the High Level	(30c) In the High Level	Provisionally closed o
	Meeting Communiqué	Meeting Communiqué	1 June 2020
	of 19 February 2016,	of 19 February 2016,	
	the Development	the Development	
	Assistance Committee of	Assistance Committee	
	the Organisation for	of the Organisation for	
	Economic Cooperation	Economic Cooperation	
	and Development	and Development	
	updated the reporting	updated the reporting	
	directives on Official	directives on Official	
	Development Assistance	Development	
	in the field of peace and	Assistance in the field	
	security. The financing	of peace and security.	
	of the actions	The financing of the	
	undertaken in	actions undertaken in	
	accordance with this	accordance with this	
	Regulation constitutes	Regulation constitutes	
	Official Development	Official Development	
	Assistance when it	Assistance when it	
	fulfils the criteria set	fulfils the criteria set	
	out in those reporting	out in those reporting	
	directives or any	directives or any	
	subsequent reporting	subsequent reporting	
	directives, upon which	directives, upon which	
	the Development	the Development	

Amounts Governance

Governance			
	Assistance Committee is	Assistance Committee	
	able to agree.	is able to agree.	
40. quin-quies	(30d) The capacity	(30d) Capacity building	Provisionally closed on
	building in support of	in support of	11 June 2020
	development and	development and	
	security for development	security for	
	should be used in	development should be	
	exceptional cases only,	used in exceptional	
	where the objectives of	cases only, where the	
	the Regulation cannot	objectives of the	
	be met by other	Regulation cannot be	
	development	met by recourse to non-	
	cooperation activities.	military actors.	
	Giving support to		
	security sector actors in		
	third countries,		
	including, under		
	exceptional		
	circumstances, the		
	military, in a conflict		
	prevention, crisis		
	management or		
	stabilisation context is		
	essential to ensure		
	appropriate conditions		
	for poverty eradication		
	and development. Good		
	governance, effective		
	democratic control and		
	civilian oversight of the		

Migration spending target ar Neighbourhood	F		
Amounts			
Governance			
	security system, including of the military, as well as compliance with human rights and the rule of law principles are essential attributes of a well-functioning State in any context, and should be promoted through a wider security sector reform support to third countries.		
40. sexies	(30e) This Regulation should build on the conclusions of the evaluation by the Commission requested for June 2020, including a wide- ranging, multi- stakeholder public consultation, assessing the coherence of capacity building in support of development and security for development within the security-development	(30e) This Regulation should build on the experience gained and lessons learned from actions on capacity- building for development and security for development, in particular from relevant consultations and evaluations, conducted within the framework of Regulation 2017/2306 of 12 December 2017.	Provisionally closed o 11 June 2020



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance Union and its Member **Commission should** also take into account. States with the Global Strategy and the UN where appropriate, Sustainable *ioint evaluations with* Member States. **Development Goals.** 40. septies Provisionally closed on (30f) The Union should (30f) The Union should also promote a conflictalso promote a conflict-11 June 2020 sensitive and gendersensitive and gendersensitive approach in sensitive approach in all To be aligned with all actions and recital on gender actions and programmes under this programmes under this Regulation, with the Regulation. aim of avoiding negative *impacts and maximising* positive ones. 41. (31) Horizontal (31) Horizontal financial (31) Horizontal (31) Horizontal financial rules adopted rules adopted by the financial rules adopted financial rules adopted **{1}** by the European European Parliament and by the European by the European the Council on the basis Parliament and the Parliament and the Parliament and the EP: Council on the basis of of Article 322 of the Council on the basis of Council on the basis of the protection of the Article 322 of the Treaty on the Article 322 of the Article 322 of the Union's budget in case Functioning of the of generalised Treaty on the Treaty on the Treaty on the Functioning of the European Union TFEU Functioning of the Functioning of the deficiencies as regards European Union should should apply to this **European Union** European Union apply the rule of law in apply to this Regulation. These rules should apply to this to this Regulation. Member States and Regulation. These rules are laid down in Regulation. These rules These rules are laid third countries, as the are laid down in the are laid down in the respect for the rule of Regulation (EU, down in the Financial **Financial Regulation Financial Regulation** Euratom) 2018/1046 of **Regulation and** law is essential for and determine in the European and determine in determine in particular sound financial particular the procedure Parliament and of the particular the the procedure for



Amounts

Governance

rnance					
	for establishing and	<i>Council</i> ⁴⁰ ("the	procedure for	establishing and	management and
	implementing the	Financial Regulation")	establishing and	implementing the	effective EU funding
	budget through grants,	and determine in	implementing the	budget through grants,	
	procurement, prizes,	particular the procedure	budget through grants,	procurement, prizes,	CL:
	indirect	for establishing and	procurement, prizes,	indirect	other conditionalities to
	implementation,	implementing the budget	indirect	implementation,	protect the budget the
	financial assistance,	through grants,	implementation,	financial assistance,	protection of the
	budget support, trust	procurement, prizes,	financial assistance,	budget support, trust	Union's budget in case
	funds, financial	indirect implementation,	budget support, trust	funds, financial	of generalised
	instruments and	financial assistance,	funds, financial	instruments and	deficiencies as regards
	budgetary guarantees,	budget support, trust	instruments and	budgetary guarantees,	the rule of law in
	and provide for checks	funds, financial	budgetary guarantees,	and provide for checks	Member States and
	on the responsibility of	instruments and	and provide for checks	on the responsibility of	third countries, as the
	financial actors. Rules	budgetary guarantees,	on the responsibility of	financial actors. Rules	respect for the rule of
	adopted on the basis of	and provide for checks	financial actors. Rules	adopted on the basis of	law is essential for
	Article 322 TFEU also	on the responsibility of	adopted on the basis of	Article 322 TFEU also	sound financial
	concern the protection	financial actors. Rules	Article 322 TFEU also	concern { <mark>1</mark> }.	management and
	of the Union's budget in	adopted on the basis of	concern other		effective EU funding
	case of generalised	Article 322 TFEU also	conditionalities to		
	deficiencies as regards	concern the protection of	protect the budget the		
	the rule of law in	the Union's budget in	protection of the		
	Member States and	case of generalised	Union's budget in case		
	third countries, as the	deficiencies as regards	of generalised		
	respect for the rule of	the rule of law in	deficiencies as regards		

⁴⁰ Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

Sponding tongets	(avant mignation)				
	(except migration) ng target and related provis	ions			
Neighbourhood	ing target and related provis				
Amounts					
Governance					
	law is essential for sound financial management and effective EU funding.	Member States and third countries, as the respect for the rule of law is essential for sound financial management and effective EU funding.	the rule of law in Member States and third countries, as the respect for the rule of law is essential for sound financial management and effective EU funding. ⁴¹		
42.	(32) The types of financing and the methods of implementation under this Regulation should be chosen on the basis of their ability to achieve the specific objectives of the actions and to deliver results, taking into account, in particular, the costs of controls, the administrative burden, and the expected risk of non-compliance. This should include consideration of the use of lump sums, flat rates	(32) The types of financing and the methods of implementation application under this Regulation should be chosen on the basis of partner's needs, preferences and specific context, their relevance, sustainability and ability to comply with the development effectiveness principles, achieve the specific objectives of the actions and to deliver results, taking into account, in particular, the costs of	(32) The types of financing and the methods of implementation under this Regulation should be chosen on the basis of their ability to achieve the specific objectives of the actions and to deliver results, taking into account, in particular, the costs of controls, the administrative burden, and the expected risk of non- compliance. This should include consideration of the	(32) The types of financing and the methods of {1}under this Regulation should be chosen on the basis of their ability to achieve the specific objectives of the actions and to deliver results, taking into account, in particular, the costs of controls, the administrative burden, and the expected risk of non-compliance. This should include consideration of the use of lump sums, flat rates and unit costs, as well	Provisionally closed pending governance [1] EP: implementation application CL: implementation EP amendment on EED integrated in separate recital (line 36 - bis)

⁴¹ This recital may have to be updated pending the outcome of negotiations on the conditionality regime.



Migration spending target and related provisions Neighbourhood Amounts Governance and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. controls, the administrative burden, and the expected risk of non-compliance. This should include consideration of the use of lump sums, flat rates and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. The role of the European Institutions for the support of democracy, civil society and human rights worldwide should be strengthened and increased under this Regulation. sind the ELD should be given the administrative flexibility and human rights	Spending targets ((except migration)				
Amounts Governance and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. Financial Regulation. Article 125(1) of the Financial Regulation. The role of the European Endowment for Democracy, (EED) as a foundation mandated through the European institutions for the support of democracy, civil society and human rights worldwide should be given the administrative flexibility and the expected risk of non-compliance. This should include consideration of the use of lump sums, flat rates and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. The role of the European Indowment for Democracy, vill society and human rights worldwide should be strengthened and increased under this Regulation. The EED should be given the administrative flexibility	Migration spendir	ng target and related provis	ions			
Governance and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. controls, the administrative burden, and the expected risk of non-compliance. This should include consideration of the use of lump sums, flat rates and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. The role of the European Endowment for Democracy (EED) as a foundation mandated through the European institutions for the support of democracy, civil society and human rights worldwide should be strengthened and increased under this Regulation. The EED should be given the administrative flexibility setting the eight of the European institutions for the support of the Strengthened and Increased under this Regulation.	Neighbourhood					
and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation.	Amounts					
as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation.	Governance					
opportunities to		as financing not linked to costs as referred to in Article 125(1) of the	administrative burden, and the expected risk of non-compliance. This should include consideration of the use of lump sums, flat rates and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the Financial Regulation. The role of the European Endowment for Democracy (EED) as a foundation mandated through the European institutions for the support of democracy, civil society and human rights worldwide should be strengthened and increased under this Regulation. The EED should be given the administrative flexibility and the financial	rates and unit costs, as well as financing not linked to costs as referred to in Article 125(1) of the	to costs as referred to in Article 125(1) of the	



Migration sp Neighbourho	gets (except migration) pending target and related provisood	sions			
Amounts Governance					
Jovernance		to civil society actors in the European Neighbourhood standing for the implementation of the European Neighbourhood Policy, in particular where it concerns the development of democracy, human rights, free elections and the rule of law.			
43.	 (33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD⁴², should constitute an integrated financial package supplying financing capacity in the form of 	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴² , should constitute an integrated financial package supplying financing capacity in the form of grants,	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴² , should constitute an integrated financial package supplying financing capacity in the form of	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴³ , should constitute an integrated financial package supplying financing capacity in the form of	Provisionally closed on 2 October 2020

⁴² Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

⁴³ Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

Amounts

Governance

grants, budgetary guarantees and financial instruments worldwide. The EFSD+ should support the External Investment Plan and combine blending and budgetary guarantee operations covered by the External Action Guarantee, including those covering sovereign risks associated with lending operations, previously carried out under the external lending mandate to the **European Investment** Bank. Given its role under the Treaties and its experience over the last decades in supporting Union policies, the European Investment Bank should remain a natural partner for the Commission for the implementation of operations under the

budgetary guarantees and financial instruments worldwide. The EFSD+ should support the **External Investment Plan** and combine blending and budgetary guarantee operations covered by the External Action Guarantee, including those covering sovereign risks associated with lending operations, previously carried out under the external lending mandate to the European Investment Bank. Given its role under the Treaties and its experience over the last decades in supporting Union policies, the European Investment Bank should remain a natural partner for the Commission for the implementation *application* of operations under the External Action Guarantee. Other

grants, budgetary guarantees and financial instruments worldwide. The EFSD+ should support become one of the *pillars of* the External Investment Plan (EIP) and *should be* complemented by pillar 2 and 3 of the EIP. The EFSD+ *should* combine blending and budgetary guarantee operations covered by the **External Action** Guarantee, including those covering sovereign risks associated with lending operations, previously carried out under the external lending mandate to the European Investment Bank (EIB). Given its role under the Treaties and its experience over the last decades in

grants, budgetary guarantees and financial instruments worldwide. The EFSD+, *complemented* by technical assistance and efforts to improve the investment climate of partners, should *become part of* the External Investment Plan and combine blending and budgetary guarantee operations covered by the External Action Guarantee. including those covering sovereign risks associated with lending operations, previously carried out under the external lending mandate to the **European Investment** Bank (EIB). Given its role under the Treaties and its experience over the last decades in supporting Union policies, the European

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Amounts Governance

External Action	Multilateral	supporting Union	Investment Bank should	
Guarantee.	Development Banks	policies, the European	remain a natural partner	
	(MDBs) or EU National	Investment Bank	for the Commission for	
	Development Banks	should remain a natural	the implementation of	
	(NDBs) also have skills	partner for the	operations under the	
	and capital which can	Commission for the	External Action	
	add significant value to	implementation of	Guarantee. The	
	the impact of Union	operations under the	allocation of funds to	
	development policy and	External Action	be used for EFSD+	
	their participation	Guarantee.	operations should be	
	under the EFSD+	Programming should	based on the relevant	
	should therefore also be	lead to an appropriate	programming	
	strongly promoted	balance between	documents, in	
	through this	blending and	particular the priorities	
	Regulation.	budgetary guarantee	defined therein, and	
		operations with the	should take into	
		purpose of maximising	consideration, inter	
		development impact.	alia, the specific	
		The EFSD+ should be	realities and needs of	
		composed of regional	each partner country or	
		investment platforms	region and the relative	
		within the areas	weight of the allocation	
		covered by this	of funds per	
		Regulation and,	geographical area laid	
		should also cover,	down in this	
		where relevant, the	Regulation.	
		areas under	Programming should	
		Regulation [IPA III].	lead to an appropriate	
		Its implementation	balance between	
		should be supported by	blending and budgetary	

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Amounts

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overnance	EF imp an coll inv arc an sec geo of e cou ma. dev In e ind imp and ma. EF ass ope the cou	SD+ should be plemented through open and laborative estment chitecture to ensure optimal use of the toral and ographic expertise eligible unterparts and ximise its pelopment impact. order to ensure an lependent, partial, inclusive d transparent risk nagement of the SD+, a technical essment group, en to experts from EIB, other eligible unterparts and erested Member	guarantee operations under the EFSD+ as well as other types of financing foreseen in Article 23. The EFSD+ should be implemented through an open and collaborative investment architecture to ensure an optimal use of the sectoral and geographic expertise of eligible counterparts and maximise its development impact. The EFSD+ should be composed of regional investment platforms within the areas covered by this Regulation and, should also cover, where relevant, the areas under Regulation [IPA III]. In order to ensure an
	inte Sta Ied	erested Member tes, organised and by the Commission	III]. In order to ensure an independent, impartial,
	The	e conclusions of	inclusive and transparent risk management of the

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Amounts

overnance		
overnance	technical assessment group should be shared in a timely manner with all Member States, with due regard to confidentiality issues. The Commission and all selected eligible counterparts, including the EIB, after consulting and taking into account	EFSD+, a technical assessment group, open to experts from the EIB, other eligible counterparts and interested Member States, organised and led by the Commission should be established. The Commission should ensure that information and analysis are shared in a
	assessment group, should conclude and sign guarantee agreements and should present the key elements of these agreements to the strategic board.	all Member States, with due regard to confidentiality issues. The Commission should conclude guarantee agreements with all selected eligible counterparts, including the EIB, after consulting and taking into account the advice of the technical risk assessment group, and should present the key elements of these

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance agreements to the strategic board. 43. bis (33 bis) Given its role (33bis) Given its role under the Treaties and under the Treaties and its experience over the its experience over the last decades in last decades in supporting Union supporting Union {<mark>1</mark>} policies, the EIB policies, the EIB EP: should remain a should remain a natural partner for the [EUR 30 000 million] natural partner for the Commission for the Commission for the Pending discussion on *implementation of implementation of* budget operations under the operations under the **External** Action **External** Action Guarantee. The EIB Guarantee. The EIB CL and the Commission and the Commission [EUR 26 725 million] should enhance their should enhance their cooperation and cooperation and coordination coordination Linked to 374 sexies throughout the throughout the To be further discussed implementation of the *implementation of the* **External** Action **External** Action Guarantee under the Guarantee under the *EFSD*+, *including* EFSD+, including during the during the programming process programming process and in the field. To and in the field. The this end, the EIB EIB should be should implement entrusted with the dedicated investment

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Amounts

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overnance				
		windows for an	implementation of a	
		indicative volume of	dedicated investment	
		EUR 26 725 million of	window covering a	
		the External Action	comprehensive risk	
		Guarantee for the	cover for operations	
		entire Multiannual	with sovereign and	
		Financial Framework,	non-commercial sub-	
		which should	sovereign counterparts	
		constitute the	which should be	
		dedicated mandates	exclusive except for	
		required by the EIB to	operations that the EIB	
		operate outside the	decides not to or	
		EU. These dedicated	cannot carry out.	
		windows should	Additional non-	
		support the provision	exclusive dedicated	
		of a comprehensive	windows should be	
		risk cover for	established for the EIB	
		operations with	if appropriate, covering	
		sovereign and non-	a comprehensive risk	
		commercial sub-	cover for operations	
		sovereign counterparts	with commercial sub-	
		and for operations	sovereign counterparts;	
		with commercial sub-	and operations for the	
		sovereign counterparts	promotion of foreign	
		as well as political risk	direct investment, trade	
		cover for private sector	and the	
		operations aiming at	internationalisation of	
		the promotion of the	partner countries'	
		internationalisation of	economies, including	
		partner countries'	through inward foreign	
		•	C v 8	

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Amounts

economies, including through inwarddirect investments, as well as other Union thematic priorities in investments, as well asinvestments, as well assupport of the other Union thematic priorities in support of Regulation and in line	
foreign directthematic priorities ininvestments, as well assupport of theother Union thematicobjectives of this	
<i>investments, as well as support of the other Union thematic objectives of this</i>	
other Union thematic objectives of this	
priorities in support of Regulation and in line	
the objectives of this with the Sustainable	
Regulation and in line Development Goals,	
with the Sustainable including, but not	
Development Goals, limited to European	
including, but not Union Development	
limited to European Finance Institutions	
Union Development and European Union	
Finance Institutions private sector entities.	
and European Union The EU guarantee	
private sector entities. should only provide a	
The EU guarantee political risk cover for	
shall only cover the private sector	
political risk of the operations, which	
private sector should be coherent	
operations. Sub- with those of the export	
sovereign operations credit agencies of	
shall be considered Member States. These	
commercial unless windows, which should	
otherwise duly constitute the dedicated	
justified by the EIB mandates required by	
The dedicated part of the EIB to operate	
the External Action outside the EU, should	
Guarantee supporting apply the same rules	
sovereign and non- and conditions of any	



Amounts

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commercial sub- sovereign operations may be non- remunerated due to its nature and policy objectives, and should be exclusive except for operations in countries where the EIB decides not to or cannot work. The amounts for each of the dedicated windows should be substantiated and confirmed as a result of the programming process at the beginning of the Multiannual Financial Framework and during the reviews of programming. The objectives, priorities and amounts for each of the dedicated windows and their	other investment window under the EFSD+, including the rules of governance, and except for the exclusive window, be established in accordance with the procedure defined in Article 27. For these three EIB-dedicated windows, the overall indicative amount should be {1}. The amounts for each of the windows should be substantiated and confirmed as a result of the programming process at the beginning of the Multiannual Financial Framework and during the reviews of programming. The objectives, priorities and amounts for each	
•	objectives, priorities and amounts for each of the windows and their implementation should ensure full	
	sovereign operations may be non- remunerated due to its nature and policy objectives, and should be exclusive except for operations in countries where the EIB decides not to or cannot work. The amounts for each of the dedicated windows should be substantiated and confirmed as a result of the programming process at the beginning of the Multiannual Financial Framework and during the reviews of programming. The objectives, priorities and amounts for each of the dedicated windows and their implementation should ensure full policy	sovereign operations may be non- remunerated due to its nature and policy objectives, and should be exclusive except for operations in countries where the EIB decides not to or cannot work. The amounts for each of the dedicated windows should be substantiated and confirmed as a result of the programming process at the beginning of the Financial Framework and during the reviews of programming. The objectives, priorities and amounts for each of the dedicated windows and their implementation should ensure full policy beginning of the windows and their implementation of the vindows and their implementation should ensure full policy



Amounts

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overnance		
	EU's priorities and policy align	ment with
	comply with the the EU's pr	iorities and
	present Regulation comply with	the present
	and the relevant multi- Regulation	and the
	annual indicative relevant mu	lti-annual
	programmes, indicative p	rogrammes,
	including its including it	5
	geographic and geographic	and
	thematic priorities. thematic pr	iorities. The
	The implementation of risk assessm	ent and
	the operations under remuneration	on and a second s
	these dedicated methodolog	y under
	windows should be in EFSD+ sho	uld be
	line with the EIB's consistently	applied to
	rules, policies and all investme	nt windows,
	procedures, including including the	lose
	EIB internal dedicated to	the EIB, in
	governance rules. The order to ens	ure a level
	risk assessment and playing field	l. The
	remuneration windows im	plemented
	methodology under by the EIB	nay cover
	EFSD+ should be any of the c	ountries
	consistently applied to eligible for	the
	all investment External Ad	tion
	windows, including Guarantee	n
	those dedicated to the particular w	here most
	EIB, in order to needed and	in
	ensure a level playing accordance	with the
	field. geographic	ıl priorities
	of this Regi	-
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Spending targets (except migration		
Migration spending target and rela		
Neighbourhood		
Amounts		
Governance		
	where relevant	
	[IPA III]. EFSD+	
	guarantees for EIB	
	operations with	
	commercial sub-	
	sovereign counterparts	
	and private sector	
	operations should be	
	undertaken on terms	
	similar to guarantees	
	provided to other	
	eligible counterparts.	
	EFSD+ guarantees for	
	sovereign and non-	
	commercial sub-	
	sovereign operations	
	and commercial sub-	
	sovereign operations	
	that do not generate	
	substantial revenues,	
	undertaken by the EIB	
	or other eligible	
	counterpart, may be	
	non-remunerated in	
	order to help reduce	
	the cost of financing	
	for public sector	
	investments undertaken	
	by partner countries. In	
	compliance with the	

leighbourhood	ding target and related provis l				
Amounts					
overnance				objectives and principles of this Regulation, the relevant programming documents as well as, where relevant, Regulation [IPA III], the Commission and the EIB should conclude dedicated guarantee agreements for the EIB dedicated investment windows.	
4.	 (34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic and social development and promoting the socio-economic resilience in partner countries with a particular focus on the 	(34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic, <i>cultural</i> and social development and promoting the socio- economic resilience in partner countries with a particular focus on the	(34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic and social development and promoting the socio-economic resilience in partner countries with a	(34) The EFSD+ should aim at supporting investments as a means of contributing to the achievement of the Sustainable Development Goals by fostering sustainable and inclusive economic, <i>environmental</i> and social development, <i>transition into</i> <i>sustainable value-</i> <i>added economy and</i>	Provisionally closed



sustainable and

entrepreneurship,

micro. small and

medium-sized

migration, in

programming

identified as

conflict, Least

poor countries.

skills and

Amounts

Governance

eradication of poverty, eradication of poverty, preventing conflict and the promotion of inclusive growth, the creation of decent jobs, peaceful, just and economic opportunities, inclusive societies. sustainable and inclusive growth economic socioeconomic sectors. progress, tackling climate change through mitigation and enterprises as well as adaptation, addressing specific environmental socioeconomic root *degradation*, the creation causes of irregular of decent jobs *in* compliance with accordance with the relevant ILO standards relevant indicative and economic opportunities, in documents. Special particular for women, attention should be young and vulnerable given to countries people. Emphasis should be placed on experiencing fragility or providing inclusive and equitable quality **Developed Countries** education, and the and heavily indebted *development of* skills and entrepreneurship by strengthening educational and cultural structures.

particular focus on the eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors. micro, small and medium-sized enterprises, sustainable connectivity, the fight against climate change in line with the Paris Agreement and the management and protection of the environment, gender equality and the empowerment of women and young people, as well as addressing specific socioeconomic root causes of irregular migration and root causes of forced *displacement*, in

a stable investment environment, and promoting the socioeconomic and environmental resilience in partner countries with a particular focus on the eradication of poverty, contributing to the reduction of socioeconomic inequalities, sustainable and inclusive growth, climate change adaptation and mitigation, environmental protection and *management*, the creation of decent jobs on the basis of the core ILO labour standards. economic opportunities, skills and entrepreneurship, socioeconomic sectors, including social enterprises and cooperatives, micro,

Amounts

Jovernance			
	including for children	accordance with the	small and medium-sized
	in humanitarian	relevant indicative	enterprises, sustainable
	emergencies and	programming	connectivity, the fight
	situations of forced	documents. <i>The</i>	against climate change
	displacement. It should	implementation of the	in line with the Paris
	also aim at supporting a	EFSD+ should comply	Agreement and the
	stable investment	with the objectives,	management and
	environment,	principles and policy	protection of the
	industrialisation,	framework of this	environment, support
	socioeconomic sectors,	Regulation and, where	to vulnerable groups,
	cooperatives, social	relevant, Regulation	respect of Human
	enterprises, micro, small	[IPA III] in particular	Rights, gender equality
	and medium-sized	the applicable	and the empowerment
	enterprises as well as	internationally agreed	of women and young
	addressing	guidelines, principles	<i>people,</i> as well as
	strengthening	and conventions on	addressing specific
	democracy the rule of	investment, including	socioeconomic root
	law and human rights,	the Principles for	causes of irregular
	the lack of which often	Responsible	migration and root
	constitute the specific	Investment, UN	causes of forced
	socioeconomic root	Guiding Principles on	displacement
	causes of irregular	Business and Human	, in accordance with the
	migration and forced	Rights, OECD	relevant indicative
	<i>displacement</i> , in	Guidelines for	programming
	accordance with the	Multinational	documents. The
	relevant indicative	Enterprises, the UN	implementation of the
	programming	Food and Agriculture	EFSD+ should comply
	documents. Special	Organization's	with the objectives,
	attention should be given	Principles for	principles and policy
	to countries identified as	Responsible	framework of this





Amounts

Governa

nance			
e	experiencing fragility or	Investment in	Regulation and, where
c	conflict, Least	Agriculture and Food	relevant, Regulation
I	Developed Countries	Systems, International	[IPA III] in particular
a	and heavily indebted	Labour Organization	the applicable
p p	boor countries. A special	conventions,	internationally agreed
f	focus should be placed	international human	guidelines, principles
a	llso to improve the	rights law and the	and conventions on
d	lelivery of essential	development	investment, including
p	public basic services,	effectiveness	the Principles for
	food security, and to	principles as set out in	Responsible
	mprove the quality of	the Busan Partnership	Investment, UN
	ife of rapidly growing	for Effective	Guiding Principles on
	ırban populations,	Development	Business and Human
i	ncluding through	Cooperation and	Rights, OECD
a	idequate, safe and	reaffirmed in Nairobi	Guidelines for
a	iffordable housing. The	in 2016, including	Multinational
	EFSD+ should	ownership, alignment,	Enterprises, the UN
e	encourage for-	focus on results,	Food and Agriculture
p p	profit/non-profit	transparency and	Organization's
p p	partnerships as a means	mutual accountability,	Principles for
	of guiding private sector	as well as the objective	Responsible Investment
i	nvestments towards	of untying aid. Special	in Agriculture and
S	sustainable development	attention should be	Food Systems,
a	and poverty eradication.	given to countries	International Labour
	The strategic	identified as	Organization
	nvolvement of civil	experiencing fragility	conventions,
S	ociety organisations	or conflict, Least	international human
	and of Union	Developed Countries,	rights law and the
	Delegations in partner	small island	development
c	countries should also be	developing states,	effectiveness principles



	ets (except migration) nding target and related provis d	sions			
Governance	a a a a				
		promoted at all stages of the project cycle, to help finding tailor-made solutions for promoting the socioeconomic development of communities, job creation, and new business opportunities. Investments should be based on conflict analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering peace and minimising the risks of exacerbating conflicts.	landlocked developing countries and heavily indebted poor countries.	as set out in the Busan Partnership for Effective Development Cooperation and reaffirmed in Nairobi in 2016, including ownership, alignment, focus on results, transparency and mutual accountability, as well as the objective of untying aid. Special attention should be given to countries identified as experiencing fragility or conflict, Least Developed Countries, small island developing states, landlocked developing countries and heavily indebted poor countries.	
45.	 (35) The EFSD+ should maximise additionality of funding, address market failures and sub- optimal investment situations, deliver innovative products and 	(35) The EFSD+ should maximise additionality of funding, address market failures and sub- optimal investment situations, deliver innovative products and	(35) The EFSD+ should maximise additionality of funding, address market failures and sub-optimal investment situations, <i>support</i>	(35) The EFSD+ should maximise additionality of funding, address market failures and sub- optimal investment situations, <i>support local</i> <i>public entities in</i>	Provisionally closed on 2 October 2020



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Governance

'crowd-in' private sector funds. Involvement of the private sector in the Union's cooperation with partner countries through the EFSD+ should yield measurable and additional development impact without distorting the market and should be cost-effective based on mutual accountability and risk and cost sharing. The EFSD+ should operate as a 'one-stop-shop', receiving financing proposals from financial institutions and public or private investors and delivering a wide range of financial support to eligible investments.

'crowd-in' private sector funds *to optimise the* contribution of private finance to local sustainable development. Involvement of the private sector in the Union's cooperation with partner countries through the EFSD+ should yield measurable and additional development impact *in* full respect of the environment and local communities' rights and *livelihoods and* without distorting the *local* market and *unfairly* competing with local economic actors. It should be cost-effective based on mutual accountability and risk and cost sharing. The EFSD+, while based on adequate accountability and transparency

criteria, should operate

local public entities in scaling up and financing autonomously their *investment*, deliver innovative products and 'crowd-in' private sector funds. Involvement of the private sector including micro, small and medium-sized enterprises, in the Union's cooperation with partner countries through the EFSD+ should yield measurable and additional development impact without distorting the market and should be costeffective based on mutual accountability and risk and cost sharing. The EFSD+ should operate as a 'one-stop-shop', receiving financing proposals from

scaling up and financing autonomously their *investment*, deliver innovative products and 'crowd-in' private sector funds. Additionality should be applied in accordance with the objectives and principles of this **Regulation and with** other relevant EU policies. Involvement of the private sector including micro, small and medium-sized enterprises, in the Union's cooperation with partner countries through the EFSD+ should vield measurable and additional development impact without distorting the local market and unfairly competing with local economic *actors. It* should be cost-effective.



Spending targets (except migration)				
figration spending target and related pro	visions			
eighbourhood				
mounts				
overnance				
	as a 'one-stop-shop', receiving financing proposals from financial institutions and public or private investors and delivering a wide range of financial support to eligible investments.	financial institutions and public or private investors and delivering a wide range of financial support to eligible investments. The leveraging effect of EFSD+ should be evaluated measuring the mobilisation of additional funding for sustainable development by using EFSD+ financial support. The leverage effect should be measured according to the definition laid down in Article 2(38) of the Financial Regulation and international rules and practices for measuring the amounts mobilised from the private sector by official development finance interventions, such as	transparent, based on mutual accountability and risk and cost sharing. The EFSD+ should operate as a 'one-stop-shop', receiving financing proposals from financial institutions and public or private investors and delivering a wide range of financial support to eligible investments. The leveraging effect of EFSD+ should be evaluated measuring the mobilisation of additional funding for sustainable development by using EFSD+ financial support. The leverage effect should be measured according to the definition laid down in Article 2(38) of the Financial Regulation and international rules and practices for	

Neighbourhood Amounts					
overnance					
	the OECD-DAC methodologies.	mobilised from the private sector by official development finance interventions, such as the OECD- DAC methodologies. The European Parliament or the Council may invite eligible counterparts and civil society to an exchange of views concerning the financing and investment operations covered by this Regulation.			
45. bis	(35a) An EU guarantee for the sovereign investments operations in the public sector shall form part of the EFSD+. That EU guarantee shall not be extended to sovereign investment operations that involve on-lending to the private sector or lending to, or for the benefit of, sub-sovereign		Provisionally closed Included in line 43 bis		



Migration spending target and related provisions Neighbourhood Amounts					
Governance			_		
		entities that can access sub-sovereign financing without sovereign guarantees. In order to assist capacity planning by the EIB, a minimum guaranteed volume of such sovereign investments operations shall be allocated to the EIB.			
46.	(36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the Guarantee Fund for external actions. The External Action Guarantee should support the EFSD+ operations covered by budgetary guarantees, macro-financial assistance and loans to third countries on the basis of Council Decision	 (36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the Guarantee Fund for external actions. The External Action Guarantee should support the EFSD+ operations covered by budgetary guarantees, macro-financial assistance and loans to third countries on the basis of Council Decision 77/270/Euratom⁴⁴. These operations should be 	(36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the Guarantee Fund for external actions. The External Action Guarantee should support the EFSD+ operations covered by budgetary guarantees, macro-financial assistance and loans to third countries on the basis of Council Decision 77/270/Euratom ⁴⁴ . These operations	(36) An External Action Guarantee should be established building on the existing EFSD Guarantee and the <i>the</i> <i>guarantees supported</i> <i>by the</i> Guarantee Fund for external action. The External Action Guarantee should support the EFSD+ operations covered by budgetary guarantees, macro-financial assistance and loans to third countries on the basis of Council Decision	Provisionally closed of 2 October 2020

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overnance					
	77/270/Euratom ⁴⁴ .	supported by	should be supported by	77/270/Euratom ⁴⁶ .	
	These operations should	appropriations under this	appropriations under	These operations should	
	be supported by	Regulation, together	this Regulation,	be supported by	
	appropriations under	with those under	together with those	appropriations under	
	this Regulation,	Regulation (EU) No	under Regulation (EU)	this Regulation,	
	together with those	/ of the European	No/ (IPA III) and	together with those	
	under Regulation (EU)	Parliament and of the	Regulation (EU) No	under Regulation (EU)	
	No/ (IPA III) and	Council ⁴⁵ ('IPA III	/ (EINS), which	No/ (IPA III) and	
	Regulation (EU) No	Regulation') and	should also cover the	Regulation (EU) No	
	/ (EINS), which	Regulation EINS, which	provisioning and	/ (EINS), which	
	should also cover the	should also cover the	liabilities arising from	should also cover the	
	provisioning and	provisioning and	macro-financial	provisioning and	
	liabilities arising from	liabilities arising from	assistance loans and	liabilities arising from	
	macro-financial	macro-financial	loans to third countries	macro-financial	
	assistance loans and	assistance loans and	referred to in Article	assistance loans and	
	loans to third countries	loans to third countries	10(2) of Regulation	loans to third countries	
	referred to in Article	referred to in Article	EINS, respectively.	referred to in Article	
	10(2) of Regulation	10(2) of Regulation	When funding EFSD+	10(2) of Regulation	
	EINS, respectively.	EINS, respectively.	operations, priority	EINS, respectively.	
	When funding EFSD+	When funding EFSD+	should be given to	When funding EFSD+	
	operations, priority	operations, priority	those which ensure	operations, priority	
	should be given to those	should be given to those	additionality and	should be given to those	
	which have a high	which have a high	achieve the highest	which <i>maximise</i>	

⁴⁴ Council decision 77/270/EURATOM of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

⁴⁵ Regulation (EU) .../... of the European Parliament and of the Council of ... on ... (OJ...).

⁴⁶ Council decision 77/270/EURATOM of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Amounts

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impact on job creation and whose cost-benefit ratio enhances the sustainability of investment. The operations supported with the External **Action Guarantee** should be accompanied by an in-depth *ex ante* assessment of environmental, financial and social aspects, as appropriate and in line with the better regulation requirements. The External Action Guarantee should not be used to provide essential public services, which remains a government responsibility.

impact on *decent* job creation and livelihoods and whose cost-benefit ratio enhances the sustainability of investment and which provide the highest guarantees of sustainability and longterm development *impact throughout local* ownership. The operations supported with the External Action Guarantee should be accompanied by an indepth ex ante assessment of environmental. financial and social aspects, as appropriate and including the impact on human rights and livelihoods of affected communities and the impact on inequalities and the identification of ways to address those *inequalities* in line with the better regulation

development impact, including those which have a high impact on iob creation and whose cost-benefit ratio enhances the sustainability of investment. The operations supported with the External Action Guarantee should be accompanied by an in-depth *ex ante* assessment of environmental, financial and social aspects, as appropriate and in line with the better regulation requirements. The **External** Action Guarantee should not be used to provide essential public services, which remains a government responsibility.

additionality and development impact, including those which have a high impact on decent job creation and whose cost-benefit ratio enhances the sustainability of investment and which provide for sustainability and longterm development *impact*. The operations supported with the **External** Action Guarantee should be accompanied by an indepth *ex ante* assessment of environmental, financial and social aspects, as appropriate and in line with the better regulation requirements. **Budgetary guarantees** and financial instruments should adhere to the Union policy on noncooperative





Amounts

requirements and taking jurisdictions for tax dw account of the purposes, and updates principle of free and purposes, and updates prior informed consent relevant legal acts of (FPIC) of affected the reto, as laid down in communities in land- conclusions, in related investments. The particular the Council External Action Conclusions of 8 Guarantee should not be November 2016 and the used to provide essential Annex thereto as well public services, which as principles laid down responsibility. Ex-post 2015/849 on the impact assessments prevention of the use of should also happen to the financial system for measure the the purposes of money development impact of financing. All relevant financial solut those on indirect management laid down in 70 Tricetion Regulation. The External Action Guarantee should not be week to replace public The provision of provide essential provide essential public essential preventhom of the see of h	Governance		
principle of free and prior informed consent (FPIC) of affected communities in land- related investments. The External Action Guarantee should not be used to provide essential public services, which responsibility. Ex-post impact assessments should also happen to measure the development impact of the EFSD+ operations.thereto, as laid down in relevant legal acts of the Union and Council conclusions, in particular the Council Conclusions of 8 November 2016 and the Movember 2016 and the annex thereto as well as principles laid down impact assessments should also happen to measure the development impact of the EFSD+ operations.thereto, as laid down in relevant legal acts of the purposes of money laundering or tervorist financial Regulation provisions apply, in particular those on indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of		1 0	v v
prior informed consent (FPIC) of affected communities in land- celtad investments. The External Actionrelevant legal acts of the Union and Council conclusions, in particular the Council Conclusions of 8 Guarantee should not be used to provide essential public services, which remains a government impact assessments should also happen to measure the development impact of financing. All relevant Financial Regulation provisions apply, in particular these on indirect management laid down in Title VI of the Financial Regulation. The External ActionGuarantee should not be used to provide essential public services, which responsibility. Ex-post impact assessments should also happen to measure the development impact of financing. All relevant Financial Regulation provisions apply, in particular those on indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to reprise public He Triporision of		•	
(FPIC) of affected the Union and Council communities in land- conclusions, in related investments. The particular the Council External Action Conclusions of 8 Guarantee should not be November 2016 and the used to provide essential Annex thereto as well public services, which as principles laid down remains a government in Directive (EU) responsibility. Ex-post 2015/849 on the impact assessments prevention of the use of should also happen to the financial system for measure the laundering or terrorist development impact of financing, All relevant Financial Regulation provisions apply, in particular those on indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public			
communities in land- related investments. The conclusions, in External Action Conclusions of 8 Guarantee should not be November 2016 and the used to provide essential Annex thereto as well public services, which as principles laid down remains a government in Directive (EU) responsibility. Ex-post 2015/849 on the impact assessments prevention of the use of should also happen to the financial system for measure the the purposes of money development impact of laundering or terrorist the EFSD+ operations. financing. All relevant Financial Regulation provisions apply, in particular those on indirect management laid down in Title VI of the Financial weed to replace public The provision of		prior informed consent	relevant legal acts of
related investments. The particular the Council External Action Conclusions of 8 Guarantee should not be November 2016 and the used to provide essential Annex thereto as well public services, which as principles laid down remains a government in Directive (EU) responsibility. Ex-post 2015/849 on the impact assessments prevention of the use of should also happen to the financial system for development impact of laundering or terrorist financing. All relevant Financial provisions apply, in particular those on indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of		(FPIC) of affected	the Union and Council
External ActionConclusions of 8Guarantee should not be used to provide essential public services, which remains a government 		communities in land-	conclusions, in
Guarantee should not be November 2016 and the used to provide essential Annex thereto as well public services, which as principles laid down remains a government in Directive (EU) responsibility. Ex-post 2015/849 on the impact assessments prevention of the use of should also happen to the financial system for measure the the purposes of money development impact of laundering or terrorist financing. All relevant Financial Regulation provisions apply, in particular those on indicet management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of		related investments. The	particular the Council
used to provide essential public services, which remains a government in Directive (EU) 2015/849 on the impact assessments should also happen to measure the development impact of the EFSD+ operations.			Conclusions of 8
public services, which remains a government responsibility. Ex-post impact assessments should also happen to measure the development impact of the EFSD+ operations.as principles laid down in Directive (EU) 2015/849 on the prevention of the use of the financial system for the financial system for the audition provisions apply, in particular those on indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of		Guarantee should not be	November 2016 and the
remains a government responsibility. Ex-post impact assessments should also happen to measure the development impact of the EFSD+ operations.		used to provide essential	Annex thereto as well
responsibility. Ex-post impact assessments should also happen to measure the development impact of the EFSD+ operations.		public services, which	as principles laid down
impact assessments should also happen to measure the development impact of the EFSD+ operations.		remains a government	in Directive (EU)
should also happen to measure the development impact of the EFSD+ operations.		responsibility. <i>Ex-post</i>	2015/849 on the
measure the development impact of the EFSD+ operations.		impact assessments	prevention of the use of
development impact of the EFSD+ operations.		should also happen to	the financial system for
the EFSD+ operations. financing. All relevant Financial Regulation provisions apply, in particular those on indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of The provision of		measure the	the purposes of money
Financial Regulation provisions apply, in particular those on indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of		development impact of	laundering or terrorist
provisions apply, in particular those on indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of		the EFSD+ operations.	financing. All relevant
particular those on indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of			Financial Regulation
indirect management laid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of			provisions apply, in
Iaid down in Title VI of the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of			particular those on
the Financial Regulation. The External Action Guarantee should not be used to replace public The provision of			indirect management
Regulation. The External Action Guarantee should not be used to replace public The provision of			laid down in Title VI of
External Action Guarantee should not be used to replace public The provision of			the Financial
Guarantee should not be used to replace public The provision of			Regulation. The
used to replace public The provision of			External Action
The provision of			Guarantee should not be
			used to <i>replace public</i>
provide essential public			The provision of
			provide essential public

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				services should remain	
				a government	
				responsibility , which	
				remains a government	
				responsibility.	
47.	(37) In order to provide	(37) In order to provide	(37) In order to provide	(37) In order to provide	Provisionally closed on
	for flexibility, increase	for flexibility, increase	for flexibility, increase	for flexibility, increase	2 October 2020
	the attractiveness for the	the attractiveness for the	the attractiveness for	the attractiveness for the	
	private sector and	private sector, <i>promote</i>	the private sector and	private sector and	
	maximise the impact of	<i>fair competition</i> and	maximise the impact of	maximise the impact of	
	the investments a	maximise the impact of	the investments a	the investments a	
	derogation from the	the investments a	derogation from the	derogation from the	
	rules related to the	derogation from the rules	rules related to the	rules related to the	
	methods of	related to the methods of	methods of	methods of	
	implementation of the	implementation of the	implementation of the	implementation of the	
	Union budget, as laid	Union budget, as laid	Union budget, as laid	Union budget, as laid	
	down in the Financial	down in the Financial	down in the Financial	down in the Financial	
	Regulation, should be	Regulation, should be	Regulation, should be	Regulation, should be	
	provided as regards the	provided as regards the	provided as regards the	provided as regards the	
	eligible counterparts.	eligible counterparts.	eligible counterparts.	eligible counterparts.	
	Those eligible	Those eligible	Those eligible	Those eligible	
	counterparts could also	counterparts could also	counterparts could also	counterparts could also	
	be bodies which are not	be bodies which are not	be bodies which are	be bodies which are not	
	entrusted with the	entrusted with the	not entrusted with the	entrusted with the	
	implementation of a	implementation of a	implementation of a	implementation of a	
	public-private	public-private	public-private	public-private	
	partnership and could	partnership and could	partnership and could	partnership and could	
	also be bodies governed	also be bodies governed	also be bodies	also be bodies governed	
	by the private law of a	by the private law of a	governed by the private	by the private law of a	
	partner country.	partner country.		partner country.	



Amounts

			law of a partner		
			country.		
48.	(38) In order to increase	(38) In order to increase	(38) In order to	(38) In order to increase	Provisionally closed on
	the impact of the	the impact of the	increase the impact of	the impact of the	2 October 2020
	External Action	External Action	the External Action	External Action	
	Guarantee, Member	Guarantee, Member	Guarantee, Member	Guarantee, Member	
	States and contracting	States and contracting	States and contracting	States and contracting	
	parties to the	parties to the Agreement	parties to the	parties to the	
	Agreement on the	on the European	Agreement on the	Agreement on the	
	European Economic	Economic Area should	European Economic	European Economic	
	Area should have the	have the possibility of	Area should have the	Area should have the	
	possibility of providing	providing contributions	possibility of providing	possibility of providing	
	contributions in the	in the form of cash or a	contributions in the	contributions in the	
	form of cash or a	guarantee. Contribution	form of cash or a	form of cash or a	
	guarantee. Contribution	in the form of a	guarantee.	guarantee. Contribution	
	in the form of a	guarantee should not	Contribution in the	in the form of a	
	guarantee should not	exceed 50 % of the	form of a guarantee	guarantee should not	
	exceed 50% of the	amount of operations	should not exceed 50%	exceed 50% of the	
	amount of operations	guaranteed by the Union.	of the amount of	amount of operations	
	guaranteed by the	The financial liabilities	operations guaranteed	guaranteed by the	
	Union. The financial	arising from this	by the Union. The	Union. The financial	
	liabilities arising from	guarantee should not be	financial liabilities	liabilities arising from	
	this guarantee should	provisioned and the	arising from this	this guarantee should	
	not be provisioned and	liquidity cushion should	guarantee should not	not be provisioned and	
	the liquidity cushion	be provided by the	be provisioned and the	the liquidity cushion	
	should be provided by	common provisioning	liquidity cushion	should be provided by	
	the common	fund.	should be provided by	the common	
	provisioning fund.		the common	provisioning fund.	
			provisioning fund.		

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9.	(39) External actions	(39) External actions are	(39) External actions	(39) External actions	To be discussed after
	are often implemented	often implemented	are often implemented	are often {1} in a highly	discussions on
	in a highly volatile	<i>applied</i> in a highly	in a highly volatile	volatile environment	governance
	environment requiring	volatile environment	environment requiring	requiring continuous	
	continuous and rapid	requiring continuous and	continuous and rapid	and rapid adaptation to	{1}
	adaptation to the	rapid adaptation to the	adaptation to the	the evolving needs of	EP: implemented
	evolving needs of	evolving needs of Union	evolving needs of	Union partners, to	applied
	Union partners and to	partners and to global	Union partners and to	global challenges to	CL: implemented
	global challenges to	challenges to human	global challenges to	human rights,	
	human rights,	rights and fundamental	human rights,	democracy and good	
	democracy and good	<i>freedoms</i> , democracy	democracy and good	governance, security	{2}
	governance, security	and good governance,	governance, security	and stability, climate	EP: implemented
	and stability, climate	security and stability,	and stability, climate	change and	applied
	change and	climate change and	change and	environment, oceans,	CL: implemented
	environment, oceans,	environment, oceans,	environment, oceans,	and to challenges	
	and the migration crisis	and the migration, crisis	and the migration	related to migration	{3}
	and its root causes.	and <i>including</i> its root	crisis crises and its	and forced	EP: unforeseen needs
	Reconciling the	causes such as poverty	their root causes.	displacement and their	not covered by
	principle of	and inequality, and the	Reconciling the	root causes.	programmes and
	predictability with the	impact of the increasing	principle of	Reconciling the	programming
	need to react rapidly to	number of displaced	predictability with the	principle of	documents
	new needs consequently	persons, especially on	need to react rapidly to	predictability with the	CL: unforeseen needs
	means adapting the	developing countries.	new needs	need to react rapidly to	
	financial	Reconciling the principle	consequently means	new needs consequently	{4}
	implementation of the	of predictability with the	adapting the financial	means adapting the	CL: an a significant
	programmes. To	need to react rapidly to	implementation of the	financial {2}	EP: an a pre-defined
	increase the ability of	new needs consequently	programmes. To	of the programmes. To	
	the EU to respond to	means adapting the	increase the ability of	increase the ability of	{5}
	unforeseen needs,	financial implementation	the EU to respond to	the EU to respond to	EP: duly justified cases
	building on the	application of the	unforeseen needs,	{3}, building on the	in

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	(except migration)				
	ng target and related provis	ions			
Neighbourhood					
Amounts					
Governance			[
50	successful experience of the European Development Fund (EDF), an amount should be left unallocated as an emerging challenges and priorities cushion. It should be mobilised in accordance with the procedures established in this Regulation.	programmes. To increase the ability of the EU to respond to unforeseen needs not covered by programmes and programming documents, building on the successful experience of the European Development Fund (EDF), an a pre- defined amount should be left unallocated as an emerging challenges and priorities cushion. It should be mobilised in duly justified cases in accordance with the procedures established in this Regulation.	building on the successful experience of the European Development Fund (EDF), ana significant amount should be left unallocated as an emerging challenges and priorities cushion. It should be mobilised in accordance with the procedures established in this Regulation.	successful experience of the European Development Fund (EDF), {4} amount should be left unallocated as an emerging challenges and priorities cushion. It should be mobilised in {5} accordance with the procedures established in this Regulation.	CL: no text
50.	 (40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation for other policies, namely 	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation for other policies, namely	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation	 (40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation for other policies, namely 	Provisionally closed



	(except migration)				
	ng target and related provis	ions			
Neighbourhood					
Amounts					
Governance			for a sthere a sticked		
	carryovers and re- commitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries, thus maximising the Union funds available for the Union's external action interventions.	carryovers and re- commitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries, thus maximising the Union funds available for the Union's external action interventions.	for other policies, namely carryovers and re-commitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries, thus maximising the Union funds available for the Union's external action	carryovers and re- commitments of funds, to ensure efficient use of the Union funds both for Union citizens and the partner countries, thus maximising the Union funds available for the Union's external action interventions.	
			interventions.		
50. bis			(40 bis) Capacity building of military actors in third countries should be undertaken as part of the Union's development cooperation policy when it mainly pursues objectives in the field of development and as part of the Union's CFSP when it mainly pursues objectives in the field of peace and security, in compliance with	(40 bis) Capacity building of military actors in third countries should be undertaken as part of the Union's development cooperation policy when it mainly pursues objectives in the field of development and as part of the Union's CFSP when it mainly pursues objectives in the field of peace and security, in compliance with Article 40 of the Treaty on European	Provisionally closed on 11 June 2020



Spending targets (except migration) Migration spending target and related provisi	ons		
Neighbourhood Amounts			
Governance			
	Article 40 of the Treaty on European Union. This Regulation respects the application of the procedures and the extent of the powers of the institutions under the Union's development cooperation policy and the Union's CFSP.	Union. This Regulation respects the application of the procedures and the extent of the powers of the institutions under the Union's development cooperation policy and the Union's CFSP.	
		(40 bis bis) Actions under this Regulation involving the provision or financing of equipment, services or technology should be in line with relevant Union, national and international provisions and in particular the rules set out in the Common Position 944/2008/CFSP defining common rules governing control of exports of military	Provisionally closed on 11 June 2020



igration spending target and rel eighbourhood	
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	technology and equipment with Union restrictive measures as well as with Regulation (EC) No 428/2009 on dual-use.Risk assessments by the Commission under this Regulation are without prejudice to the assessment of export license applications by Member States. Each Member State should assess the export license applications made to it, including those relating to government-to- government transfers, for items on the EU Common Military List, on a case-by-case basis against the criteria established in the afore-mentioned

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Governance					
				In accordance with Regulation (EU) 2019/125 such actions should not finance the provision of any type of equipment that may be used for torture or other cruel, inhuman or degrading treatment or punishment.	
51.	 (41) Pursuant to Article 83 of Council Decision / (OCTs), persons and entities established in overseas countries and territories should be eligible for funding under this Regulation, subject to its rules and objectives and possible arrangements applicable to the Member State to which the relevant overseas country or territory is linked. Moreover, cooperation between the partner countries and the overseas countries and 	(41) Pursuant to Article 83 of Council Decision / (OCTs), persons and entities established in overseas countries and territories should be eligible for funding under this Regulation, subject to its rules and objectives and possible arrangements applicable to the Member State to which the relevant overseas country or territory is linked. Moreover, cooperation between the partner countries and the overseas countries and	(41) The [Council Decision on the Overseas Association, including Greenland / (EU) lays down the financial envelope for the Association of the OCTs with the Union]. This financial envelope is the main source of funding for OCTs. [Pursuant to Article 83 of Council Decision/ (OCTs)], persons and entities established in overseas countries and territories should be eligible for funding	 (41) The [Council Decision on the Overseas Association, including Greenland / (EU)] lays down the financial envelope for the Association of the OCTs with the Union. This financial envelope is the main source of funding for OCTs. [Pursuant to Article 83 of Council Decision/ (OCTs)], persons and entities established in overseas countries and territories should be eligible for funding 	Provisionally closed on 11 June 2020

	(except migration) ing target and related provis	sions			
Neighbourhood					
Amounts					
Governance					
	Union outermost regions under Article 349 of the Treaty on the Functioning of the European Union should be encouraged in areas of common interest.	Union outermost regions under Article 349 of the Treaty on the Functioning of the European Union <i>TFEU</i> should be encouraged in areas of common interest.	subject to its rules and objectives and possible arrangements applicable to the Member State to which the relevant overseas country or territory is linked. Moreover, cooperation between the partner countries and the overseas countries and territories as well as the Union outermost regions under Article 349 of the Treaty on the Functioning of the European Union should be encouraged in areas of common interest.	subject to its rules and objectives and possible arrangements applicable to the Member State to which the relevant overseas country or territory is linked. Moreover, cooperation between the partner countries and the overseas countries and territories as well as the Union outermost regions under Article 349 of the Treaty on the Functioning of the European Union should be encouraged in areas of common interest.	Drovisionally closed on
52.	(42) In order to enhance partner countries' ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own	(42) In order to enhance partner countries' <i>democratic</i> ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner	(42) In order to enhance partner countries' ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of	(42) In order to enhance partner countries' ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own	Provisionally closed on 11 June 2020



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institutions and of partner countries' systems and procedures for all aspects of the project cycle for cooperation.	countries' own institutions, resources, expertise and of partner countries' systems and procedures for all aspects of the project cycle for cooperation while ensuring local resources and expertise and the full involvement of local governments and civil society. The Union should also provide training programmes on how to apply for Union funding to local authorities' civil servants and civil society organisations with the aim of helping them to enhance the eligibility and efficiency of their projects. These programmes should be carried out in the countries concerned, be available in the language of the country and complement any distance learning	partner countries' own institutions and of partner countries' systems and procedures for all aspects of the project cycle for cooperation.	institutions, <i>capacities,</i> <i>expertise</i> and of partner countries' systems and procedures for all aspects of the project cycle for cooperation <i>while ensuring the full</i> <i>involvement of local</i> <i>governments and civil</i> <i>society. The Union</i> <i>should make available</i> <i>information and</i> <i>training on how to</i> <i>apply for Union</i> <i>funding to potential</i> <i>beneficiaries of Union</i> <i>funding.</i>	



Amounts			
Governance			
	programmes also established, in order to ensure a targeted training responding to the needs of that country.		
		(42 bis) Communication fosters democratic debate, reinforces institutional control and scrutiny over Union funding, and contributes to boosting the credibility of the Union. The Union and the beneficiaries of Union funding should enhance the visibility of the Union's action, and communicate adequately on the added value of Union's support. In this regard, in accordance with the Financial Regulation, agreements concluded with recipients of Union funding should	Provisionally closed of 11 June 2020



Amounts

				ensuring appropriate visibility and the Commission should act in an appropriate and timely manner when these obligations are not met.	
53.	(43) Annual or multi- annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi-annual action plans consist of a set of measures grouped into one document.	(43) Annual or multi- annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi-annual action plans consist of a set of measures grouped into one document.	(43) Annual or multi- annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi-annual action plans consist of a set of measures grouped into one document.	(43) Annual or multi- annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi-annual action plans consist of a set of measures grouped into one document.	
54.	(44) In accordance with the Financial Regulation, Regulation (EU, Euratom) No 883/2013 of the European Parliament	(44) In accordance with the Financial Regulation, Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council ⁴⁷ Council Regulation (EC, Euratom) No 2988/95 ⁴⁸ , Council Regulation (Euratom, EC) No 2185/96 ⁴⁹ and Council	(44) In accordance with the Financial Regulation, Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council ⁴⁷ , <i>and</i> Council Regulations (EC, Euratom) No 2988/95 ⁴⁸ , Council Regulation (Euratom,		



Spending targets	(except migration)		
	ng target and related provis	ions	
Neighbourhood			
Amounts			
Governance			
	and of the Council ⁴⁷ ,	Regulation (EU)	EC) No 2185/96 ⁴⁹⁴⁹
	Council Regulation	2017/1939 ⁵⁰ , the	and Council
	(EC, Euratom) No	financial interests of the	Regulation (EU)
	2988/95 ⁴⁸ , Council	Union are to be	2017/1939 ⁵⁰ , the
	Regulation (Euratom,	protected through	financial interests of
	EC) No 2185/9649 and	effective and	the Union are to be
	Council Regulation	proportionate measures,	protected through
	(EU) 2017/1939 ⁵⁰ , the	including the prevention,	effective and by means
	financial interests of the	detection, correction and	of-proportionate
	Union are to be	investigation of	measures, including
	protected through	irregularities, including	measures relating to
	effective and	fraud, the recovery of	the prevention,
	proportionate measures,	funds lost, wrongly paid	detection, correction
	including the	or incorrectly used and,	and investigation of
	prevention, detection,	where appropriate, the	irregularities, including
	correction and	imposition of	fraud, to the recovery
	investigation of	administrative sanctions.	of funds lost, wrongly
	irregularities, including	In particular, in	paid or incorrectly
	fraud, the recovery of	accordance with	used, and, where
	funds lost, wrongly paid	Regulation (EU,	appropriate, to the

⁴⁷ Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p.1)

⁵⁰ OJ L 283, 31.10.2017, p.1.

⁴⁸ Council Regulation (EC, Euratom) No 2988/95 of 18 December 1995 on the protection of the European Communities financial interests (OJ L 312, 23.12.95, p.1).

⁴⁹ Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p.2)

Amounts

Governance

or incorrectly used and, where appropriate, the imposition of administrativeEuratom) No 883/2013 and Regulation 2185/96 the European and Regulation (EU, equilation (EU, equilation (EU, equilation (EU, euratom) No 883/2013 investigations, including on-the-spot checks and inspections, with a view to establishing whether there has been fraud, corruption or any other including on-the-spot checks and inspections, with a view to establishing whether there has been fraud, corruption or any other including on-the-spot checks and inspections, with a view to establishing whether diffice (ILAF) may carry out administrative administrative illegal activity affecting there financial interests of there has been fraud, corruption or any other including on-the-spot checks and inspections, with a view to establishing whether there has been fraud, corruption or any other including on-the-spot the Union. In accordance with a view to establishing whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the Union. In accordance with a view to establishing whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the financial interests of the Clu) 2017/1939, the European Public Provided for in Directive Regulation (EU) (EU) 2017/13151 of the European Public Provided for in Directive Regulation (EU) European Public Provided for in Directive Regulation (EU) European Public Provided for in Directive Regulation (EU) European Public Provided for in Directive Regulation (EU) Provided for in Directive Regulation (EU) Provided for in Directive Regulation (EU) Provided for in Directive Regulation (EU) Provided f	lovernance				
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the financial interests of the Union. In accordance withaffecting the financial interests of the Union, as provided for in Directive (EU) 2017/1371 ⁵¹ of the European Parliament and European Publicthere has been fraud, corruption or any other illegal activity2017/1939, theEuropean Parliament and of the Council. Ininterests of the Union.Im accordance with Prosecutor's Office mayof the Council. In accordance with theIm accordance with Regulation (EU)		corruption or any other	and prosecute fraud and	with a view to	
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Regulation (EU)(EU) 2017/1371 ⁵¹ of the European Parliament and of the Council. Inaffecting the financial interests of the Union.European Publicof the Council. In accordance with theIn accordance with Regulation (EU)		the Union. In	interests of the Union, as		
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European Publicof the Council. In accordance with theIn accordance with Regulation (EU)		0		-	
Prosecutor's Office may accordance with the Regulation (EU)		,	-		
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investigate and Financial Regulation, 2017/1939, the		Prosecutor's Office may			
		investigate and	Financial Regulation,	2017/1939, the	





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	prosecute fraud and	any person or entity	European Public	
	other criminal offences	receiving Union funds is	Prosecutor's Office	
	affecting the financial	to fully cooperate in the	may investigate and	
	interests of the Union,	protection of the Union's	prosecute fraud and	
	as provided for in	financial interests and	other criminal offences	
	Directive (EU)	grant the necessary	affecting the financial	
	2017/1371 ⁵¹ of the	rights and access to the	interests of the Union,	
	European Parliament	Commission, OLAF and	as provided for in	
	and of the Council. In	the European Court of	Directive (EU)	
	accordance with the	Auditors, and to ensure	2017/1371 of the	
	Financial Regulation,	that any third parties	European Parliament	
	any person or entity	involved in the	and of the Council. The	
	receiving Union funds	implementation of Union	European Public	
	is to fully cooperate in	funds grant equivalent	Prosecutor's Office	
	the protection of the	rights; for this reason,	(EPPO) is empowered,	
	Union's financial	agreements with third	in accordance with	
	interests and grant the	countries and territories	Regulation (EU)	
	necessary rights and	and with international	2017/1939, to	
	access to the	organisations, and any	investigate and	
	Commission, OLAF	contract or agreement	prosecute criminal	
	and the European Court	resulting from the	offences affecting the	
	of Auditors, and to	implementation of this	financial interests of	
	ensure that any third	Regulation should	the Union as provided	
	parties involved in the	contain provisions	for in Directive (EU)	
	implementation of	expressly empowering	2017/1371 of the European Parliament	
	Union funds grant	the Commission, the	and of the Council. ⁵¹⁵¹ .	

⁵¹ Directive (EU) 2017/1371 of the European Parliament and of the Council of 5 July 2017 on the fight against fraud to the Union's financial interests by means of criminal law (OJ 198, 28.7.2017, p.29)

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equivalent rights; fo	r Court of Auditors and	In accordance with the	
this reason, agreeme		Financial Regulation,	
with third countries		any person or entity	
territories and with	checks and inspections,	receiving Union funds	
international	according to their	is to fully cooperate in	
	-		
organisations, and a		the protection of the Union's financial	
contract or agreement	e ,		
resulting from the	third parties involved in	interests-of the Union	
implementation of the	-	and, grant the	
Regulation should	Union funding grant	necessary rights and	
contain provisions	equivalent rights.	access to the	
expressly empoweri		Commission, OLAF,	
the Commission, the		and the European	
Court of Auditors and		Court of Auditors, and	
OLAF to conduct su	ich	to ensure that any third	
audits, on-the-spot		parties involved in the	
checks and inspection	ons,	implementation of	
according to their		Union funds grant	
respective competer	ices	equivalent rights the	
and ensuring that an	у	Court of Auditors and,	
third parties involve	d in	in respect of those	
the implementation	of	Member States	
Union funding grant		participating in	
equivalent rights.		enhanced cooperation	
		pursuant to Regulation	
		(EU) 2017/1939, the	
		EPPO, and ensure that	
		any third parties	
		involved in the	
		implementation of	





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		Union funds grant equivalent rights; fFor this reason, agreements with third countries and territories and with international organisations, and any contract or agreement resulting from the implementation of this Regulation, should contain provisions expressly empowering the Commission, the Court of Auditors and OLAF to conduct such audits, on-the-spot checks and inspections, according to their respective competences and ensuring that any third parties involved in the implementation of Union funding grant equivalent rights.		
54. bis	(44a) In order to contribute to the international fight against tax fraud, tax evasion, fraud,		This Regulation should contribute to the international fight against tax fraud, tax evasion, fraud,	Provisionally closed



	(except migration)					
Migration spending target and related provisions						
Neighbourhood						
Amounts						
Governance	-					
		corruption and money		corruption and money		
		laundering all financing		laundering.		
		through this Regulation				
		should be provided in a				
		completely transparent				
		manner. Furthermore,				
		the eligible counterparts				
		should not support any				
		activities carried out for				
		illegal purposes nor				
		participate in any				
		financing or investment				
		operation through a				
		vehicle located in a non-				
		cooperative jurisdiction				
		or in a tax haven.				
		Counterparts should				
		also refrain from				
		making any use of tax				
		avoidance or aggressive				
		tax planning schemes.				
55.	(45) In order to ensure	(45) In order to ensure	(45) In order to ensure			
	uniform conditions for	uniform conditions for	uniform conditions for			
	the implementation of	the implementation of	the implementation of			
	the relevant provisions	the relevant provisions	the relevant provisions			
	of this Regulation,	of this Regulation,	of this Regulation,			
	implementing powers	implementing powers	implementing powers			
	should be conferred on	should be conferred on	should be conferred on			
	the Commission. Those	the Commission. Those	the Commission. Those			
	powers should be	powers should be	powers should be			



	ets (except migration)	ions	
Neighbourho	ending target and related provised	SIONS	
Amounts	, A		
Governance			
	exercised in accordance	exercised in accordance	exercised in
	with Regulation (EU)	with Regulation (EU) No	accordance with
í l	No 182/2011 ⁵² of the	182/2011⁵² of the	Regulation (EU) No
	European Parliament	European Parliament and	$182/2011^{52}$ of the
	and of the Council.	of the Council.	European Parliament
			and of the Council.
56.	(46) In order to	(46) In order to	(46) In order to
	supplement or amend	supplement or non-	supplement or amend
	non-essential elements	essential elements of	non-essential elements
	of this Regulation, the	this Regulation, the	of this Regulation, the
	power to adopt acts in	power to adopt acts in	power to adopt acts in
	accordance with Article	accordance with Article	accordance with
	290 of the Treaty on the	290 TFEU should be	Article 290 of the
	Functioning of the	delegated to the	Treaty on the
	European Union should	Commission in respect	Functioning of the
	be delegated to the	of setting out the	European Union
	Commission in respect	Union's strategy, the	should be delegated to
	of the provisioning rates	priority areas, detailed	the Commission in
	laid down in Article	objectives, the expected	respect of the
	26(3), the areas of	results, specific	provisioning rates laid
	cooperation and	performance indicators	down in Article 26(3),
	intervention listed in	and the specific	the areas of
	Annexes II, III and IV,	financial allocation and	cooperation and

Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p.13).
 EP position: Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p.13).

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	the priority areas of the	cooperation modalities	intervention listed in	
	EFSD+ operations	for each geographic and	Annexes II, III and IV,	
	listed in Annex V, the	thematic programme, as	the priority areas of the	
	governance of the	well as for action plans	EFSD+ operations	
	EFSD+ in Annex VI, to	and measures not based	listed in Annex V, the	
	review or complement	on programming	governance of the	
	the indicators in Annex	documents establishing	EFSD+ in Annex VI,	
	VII where considered	a human rights	to review or	
	necessary and to	operational framework,	complement the	
	supplement this	establishing a risk	indicators in Annex	
	Regulation with	management	VII where considered	
	provisions on the	framework, deciding on	necessary and to	
	establishment of a	the needs not covered by	supplement this	
	monitoring and	programmes or	Regulation with	
	evaluation framework.	programming	provisions on the	
		documents, deciding on	establishment of a	
		the suspension of	monitoring and	
		assistance, establishing	evaluation framework.	
		the performance-based		
		approach framework,		
		establishing the		
		provisioning rates,		
		establishing a		
		monitoring and		
		evaluation framework		
		and extending the scope		
		of actions to countries		
		and territories not		
		covered by this		
		Regulation. In order to		
		÷		

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance amend non-essential elements of this Regulation, the power to adopt acts in accordance with Article 290 of the Treaty on the Functioning of the European Union TFEU should be delegated to the Commission in respect of the provisioning rates laid down in Article 26(3), to the areas of cooperation and intervention listed in Annexes II, III and IV, the priority areas of the EFSD+ operations and the investment windows listed in Annex V, the governance of the EFSD+ in Annex VI, to review or complement as *well as* the indicators *listed* in Annex VII where considered necessary and to supplement this **Regulation with** provisions on the



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		establishment of a			
		monitoring and			
		evaluation framework.			
57.	(47) Pursuant to	(47) Pursuant to	(47) Pursuant to	(47) Pursuant to	{ <mark>1</mark> }
	paragraph 22 and 23 of	paragraph 22 and 23 of	paragraph 22 and 23 of	paragraph 22 and 23 of	
	the Inter-institutional	the Inter-institutional	the Inter-institutional	the Inter-institutional	EP: In particular, to
	agreement for Better	agreement for Better	agreement for Better	agreement for Better	ensure equal
	Law-Making of 13	Law-Making of 13 April	Law-Making of 13	Law-Making of 13	participation in the
	April 2016 ⁵³ , there is a	2016^{53} , there is a need to	April 2016^{53} , there is a	April 2016 ⁵⁴ , there is a	preparation of delegated
	need to evaluate this	evaluate this Programme	need to evaluate this	need to evaluate this	acts, the European
	Programme on the basis	on the basis of	Programme on the	Programme on the basis	Parliament and the
	of information collected	information collected	basis of information	of information collected	Council receive all
	through specific	through specific	collected through	through specific	documents at the same
	monitoring	monitoring	specific monitoring	monitoring	time as Member States'
	requirements, while	requirements, while	requirements, while	requirements, while	experts, and their
	avoiding overregulation	avoiding overregulation	avoiding	avoiding overregulation	experts systematically
	and administrative	and administrative	overregulation and	and administrative	have access to meetings
	burdens, in particular on	burdens, in particular on	administrative burdens,	burdens, in particular on	of Commission expert
	Member States. These	Member States. These	in particular on	Member States. These	groups dealing with the
	requirements, where	requirements , where	Member States. These	requirements , where	preparation of delegated
	appropriate, can include	appropriate, can should	requirements , where	appropriate, can should	acts.
	measurable indicators,	include measurable	appropriate, can should	include measurable	
	as a basis for evaluating	indicators, as a basis for	include measurable	indicators, as a basis for	CL: no text
	the effects of the	evaluating the effects of	indicators, as a basis	evaluating the effects of	

⁵³ Interinstitutional Agreement between the European Parliament, the Council of the European Union and the European Commission on Better Law-Making of 13 April 2016; OJ L 123, 12.5.2016, p. 1–14.

⁵⁴ Interinstitutional Agreement between the European Parliament, the Council of the European Union and the European Commission on Better Law-Making of 13 April 2016; OJ L 123, 12.5.2016, p. 1–14.

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	Programme on the	the Programme on the	for evaluating the	the Programme on the
	ground. It is of	ground. It is of particular	effects of the	ground. It is of
	particular importance	importance that the	Programme on the	particular importance
	that the Commission	Commission carry out	ground. It is of	that the Commission
	carry out appropriate	appropriate consultations	particular importance	carry out appropriate
	consultations during its	during its preparatory	that the Commission	consultations during its
	preparatory work,	work, including at expert	carry out appropriate	preparatory work,
	including at expert	level with relevant	consultations during its	including -at expert
	level, and that those	stakeholders such as	preparatory work,	level with relevant
	consultations be	civil society and experts,	including at expert	stakeholders such as
	conducted in	and that those	level, and that those	civil society and
	accordance with the	consultations be	consultations be	<i>experts</i> , and that those
	principles laid down in	conducted in accordance	conducted in	consultations be
	the Interinstitutional	with the principles laid	accordance with the	conducted in
	Agreement on Better	down in the	principles laid down in	accordance with the
	Law-Making of 13	Interinstitutional	the Interinstitutional	principles laid down in
	April 2016. In	Agreement on Better	Agreement on Better	the Interinstitutional
	particular, to ensure	Law-Making of 13 April	Law-Making of 13	Agreement on Better
	equal participation in	2016. In particular, to	April 2016. In	Law-Making of 13
	the preparation of	ensure equal	particular, to ensure	April 2016. { <mark>1</mark> }
	delegated acts, the	participation in the	equal participation in	
	European Parliament	preparation of delegated	the preparation of	
	and the Council receive	acts, the European	delegated acts, the	
	all documents at the	Parliament and the	European Parliament	
	same time as Member	Council receive all	and the Council receive	
	States' experts, and their	documents at the same	all documents at the	
	experts systematically	time as Member States'	same time as Member	
	have access to meetings	experts, and their experts	States' experts, and	
	of Commission expert	systematically have	their experts	
	groups dealing with the	access to meetings of	systematically have	

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	spending target and related provis	ions		
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	preparation of delegated	Commission expert	access to meetings of	
	acts.	groups dealing with the	Commission expert	
		preparation of delegated	groups dealing with the	
		acts.	preparation of	
			delegated acts.	
58.	(48) The references to	(48) The references to	(48) The references to	EP and CL maintain
	Union instruments in	Union instruments in	Union instruments in	their positions
	Article 9 of Council	Article 9 of Council	Article 9 of Council	
	Decision	Decision 2010/427/EU ⁵⁵ ,	Decision	
	2010/427/EU ⁵⁵ , which	which are replaced by	2010/427/EU ⁵⁵ , which	
	are replaced by this	Due to the broad nature	are replaced by this	
	Regulation, should be	and scope of this	Regulation, should be	
	read as references to	Regulation, should be	read as references to	
	this Regulation and the	read as references to and	this Regulation and the	
	Commission should	to ensure coherence	Commission should	
	ensure that this	between the principles,	ensure that this	
	Regulation is	objectives and spending	Regulation is	
	implemented in	<i>under both</i> this	implemented in	
	accordance with the role	Regulation and the other	accordance with the	
	of the EEAS as	external financing	role of the EEAS as	
	provided in that	instruments, such as	provided in that	
	Decision.	Regulation EINS , or	Decision.	
		Instruments which are		
		intrinsically linked to		

⁵⁵ Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30).
 EP position: Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30).

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external policies, such as the IPA III Regulation, a horizontal steering group composed of all relevant Commission and EEAS services and chaired by the Vice-President of the Commission/High Representative of the Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accontability of Union external financing. The	Ouvernance		
Regulation, a horizontal steering group composed of all relevant Commission and EEAS services and chaired by the Vice-President of the Commission/High Representative of the Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		external policies, such	
steering group composed of all relevant Commission and EEAS services and chaired by the Vice-President of the Commission/High Representative of the Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in aecordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		as the IPA III	
composed of all relevant Commission and EEAS services and chaired by the Vice-President of the Commission/High Representative of the Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accondance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		Regulation, a horizontal	
Commission and EEAS services and chaired by the Vice-President of the Commission/High Representative of the Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordability of Union external financing. The		steering group	
services and chaired by the Vice-President of the Commission/High Representative of the Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		composed of all relevant	
the Vice-President of the Commission/High Representative of the Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		Commission and EEAS	
the Commission/High Representative of the Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		services and chaired by	
Representative of the Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role ef in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		the Vice-President of	
Union for Foreign Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		the Commission/High	
Affairs and Security Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		Representative of the	
Policy (VP/HR) or a representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		Union for Foreign	
representative of that office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		Affairs and Security	
office should ensure that be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		Policy (VP/HR) or a	
be responsible for the steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The			
steering, coordinating and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The			
and managing of the policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		be responsible for the	
policies, programmes, objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		steering, coordinating	
objectives and actions under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		and managing of the	
under this Regulation is implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		policies, programmes,	
implemented in accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The			
accordance with the role of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The		-	
of in order to ensure consistency, efficiency, transparency and accountability of Union external financing. The			
consistency, efficiency, transparency and accountability of Union external financing. The			
transparency and accountability of Union external financing. The		of in order to ensure	
accountability of Union external financing. The			
external financing. The			
VP/HR should ensure			
overall political		overall political	



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance coordination of the Union's external action. For all actions, including rapid response actions and exceptional assistance measures, and throughout the whole cycle of programming, planning and application of the instrument, the High *Representative and* the EEAS as provided in that Decision should work with the relevant members and services of the Commission, identified on the basis of the nature and objectives of the action foreseen, building upon their expertise. All proposals for decisions should be prepared by following the Commission's

procedures and should be submitted to the

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance Commission for adoption. 58. bis (48a) The application of (48a) Actions and Provisionally closed this Regulation should, measures as provided where relevant, be for in this Regulation complementary to, and should, where relevant, should be consistent be complementary to, with. measures adopted consistent with and by the Union in pursuit respect the measures of the Common Foreign adopted by the Union and Security Policy in pursuit of the objectives within the Common Foreign and framework of Chapter Security Policy Two of Title V TEU and objectives within the measures adopted framework of Chapter within the framework of Two of Title V TEU Part Five TFEU. and the measures adopted within the framework of Title IV of Part Five TFEU. 59. (49) The envisaged (49) The envisaged (49) The envisaged Provisionally closed actions as provided for actions as provided for actions as provided for hereunder should strictly hereunder should hereunder should follow the conditions strictly follow the strictly follow the conditions and and procedures set out conditions and procedures set out by by the restrictive procedures set out by measures of the Union. the restrictive measures the restrictive measures

of the Union,

of the Union,



Spending targets (except m	igration)			
Migration spending target a	and related provisions			
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59. bis	Parlia fully i design monit evalue instrue guard contro scruti accou fundi extern enhan betwe shoul order Europ in a p politie the ap Regui	The European ament should be involved in the n, programming, toring and ation phases of the uments in order to antee political of and democratic iny and untability of Union ng in the field of nal action. An need dialogue ten the institutions d be established in to ensure that the pean Parliament is position to exercise cal control during oplication of this lation in a natic and smooth ter thereby ncing both ency and macy.		EP and CL maintain their positions
60. HAVE	<u> </u>	E ADOPTED	HAVE ADOPTED	
THIS R	EGULATION: THIS	REGULATION:	THIS REGULATION:	

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Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance 61. TITLE I TITLE I TITLE I TITLE I Provisionally closed on **GENERAL** GENERAL GENERAL 5 December 2019 GENERAL PROVISIONS PROVISIONS PROVISIONS PROVISIONS Provisionally closed on 62. Article 1 Article 1 Article 1 Article 1 Subject matter Subject matter Subject matter Subject matter 5 December 2019 63. This Regulation This Regulation This Regulation This Regulation establishes the establishes the establishes the establishes the NB: Name to be agreed Programme Programme Programme Programme at a later stage "Neighbourhood, "Neighbourhood, "Neighbourhood, "Neighbourhood, Development and Development and Development and Development and **{1}** International EP: no text International International International Cooperation Cooperation Instrument" Cooperation Cooperation CL: for the period (the 'Instrument'). Instrument" (the from 1 January 2021 to Instrument" (the Instrument" (the 'Instrument'). 'Instrument') *for the* 'Instrument') {1}. 31 December 2027 period from 1 January 2021 to 31 December 2027. 64. It lays down the It lays down the It lays down the It lays down the Provisionally closed on objectives of the objectives of the objectives of the objectives of the 5 December 2019 Instrument, the budget Instrument, the budget Instrument, the budget Instrument, the budget for the period 2021 – 2027. the forms of 2027, the forms of Union 2027, the forms of 2027, the forms of Union funding and the funding and the rules for Union funding and the Union funding and the rules for providing such providing such funding. rules for providing such rules for providing such funding. funding. funding. It also establishes the It also establishes the It also establishes the It also establishes the Provisionally closed on 65. European Fund for European Fund for European Fund for European Fund for 5 December 2019 Sustainable Sustainable Sustainable Sustainable Development Plus (the Development Plus (the Development Plus (the Development Plus (the 'EFSD+') and an 'EFSD+') and an 'EFSD+') and an 'EFSD+') and an

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	External Action	External Action	External Action	External Action	
	Guarantee.	Guarantee.	Guarantee.	Guarantee.	
66.	Article 2	Article 2	Article 2	Article 2	Provisionally closed
	Definitions	Definitions	Definitions	Definitions	
67.	For the purposes of this	For the purposes of this	For the purposes of this	For the purposes of this	Provisionally closed
	Regulation, the	Regulation, the	Regulation, the	Regulation, the	
	following definitions	following definitions	following definitions	following definitions	
	apply:	apply:	apply:	apply:	
68.	(1) "country	(1) "country	(1) "country	(1) "country	Provisionally closed
	programme" shall mean	programme" shall mean	programme" shall	programme" shall mean	
	an indicative	an indicative programme	mean an indicative	an indicative	
	programme covering	covering one country;	programme covering	programme covering	
	one country;		one country;	one country;	
69.	(2) "multi-country	(2) "multi-country	(2) "multi-country	(2) "multi-country	Provisionally closed
	programme" shall mean	programme" shall mean	programme" shall	programme" shall mean	
	an indicative	an indicative programme	mean an indicative	an indicative	
	programme covering	covering more than one	programme covering	programme covering	
	more than one country;	country;	more than one country;	more than one country;	
70.	(3) "cross-border	(3) "cross-border	(3) "cross-border	(3) "cross-border	
	cooperation" shall mean	cooperation" shall mean	cooperation" shall	cooperation" shall mean	
	cooperation between	cooperation between one	mean cooperation	cooperation between	
	one or more Member	or more Member States,	between one or more	one or more Member	
	States, and one or more	and one or more third	Member States, and	States, and one or more	
	third countries and	countries and territories	one or more third	third countries and	
	territories along the	along the external	countries and	territories along the	
	external borders of the	borders of the Union.	territories along the	external <i>adjacent land</i>	
	Union.		external <i>land and</i>	and maritime borders	
			maritime borders of	of the Union and shall	
			the Union. Cross-	be understood to cover	
			border cooperation in	also transnational	





<mark>Jeighbourhood</mark> Amounts Governance					
			this Regulation shall be understood to cover external cross-border cooperation, transnational cooperation over larger transnational territories or around sea basins and interregional cooperation as laid down in the [ETC Regulation].	cooperation over larger transnational territories or around sea basins and interregional cooperation as laid down in the [ETC Regulation].	
71.	(4) "regional programme" shall mean an indicative multi- country programme covering more than one third country within the same geographic area as established in Article 4 (2);	 (4) "regional programme" shall mean an indicative multi- country programme covering more than one third country within the same geographic area as established in Article 4 (2); 	(4) "regional programme" shall mean an indicative multi-country programme covering more than one third country within the same geographic area as established in Article 4(2);	(4) "regional programme" shall mean an indicative multi- country programme covering more than one third country within the same geographic area as established in Article 4(2);	Provisionally closed
72.	(5) "trans-regional programme" shall mean an indicative multi- country programme covering more than one third country from different areas as	(5) "trans-regional programme" shall mean an indicative multi- country programme covering more than one third country from different areas as	(5) "trans-regional programme" shall mean an indicative multi-country programme covering more than one third country from different	(5) "trans-regional programme" shall mean an indicative multi- country programme covering more than one third country from different areas as	Provisionally closed



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance established in Article established in Article 4 established in Article 4 areas as established in (2) of this Regulation; (2) of this Regulation; Article 4(2) of this 4(2) of this Regulation; Regulation; 73. (6) "legal entity" shall (6) "legal entity" shall (6) "legal entity" shall (6) "legal entity" shall Provisionally closed mean any natural or mean any natural or mean any natural or mean any natural or legal person created and legal person created and legal person created and legal person created recognised as such recognised as such under and recognised as such recognised as such under national law, national law, Union law under national law, under national law, Union law or or international law. Union law or Union law or international law, which which has legal international law, international law, which has legal personality personality and which which has legal has legal personality and which may, acting and which may, acting may, acting in its own personality and which in its own name, name, exercise rights may, acting in its own in its own name, and be subject to name, exercise rights exercise rights and be exercise rights and be subject to obligations, obligations, or an entity and be subject to subject to obligations, or an entity without a without a legal obligations, or an or an entity without a legal personality in personality in entity without a legal legal personality in accordance with Article accordance with Article accordance with Article personality in 197(2)(c) of the 197(2)(c) of the accordance with 197(2)(c) of the Article 197(2)(c) of the Financial Regulation; Financial Regulation; Financial Regulation; Financial Regulation; 73. bis (6a) "civil society organisations" means all non-State, not-forprofit, and non-violent structures through which people organise

to pursue shared objectives and ideals, whether political,

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance cultural, social, economic, religious, environmental, or holding authorities to account, which operate at local, national, regional or international level, and which may include urban and rural as well as formal and informal organisations; in the context of the thematic programme on human rights and democracy, *"civil society" includes* individuals or groups that are independent from the State and whose activities help to promote human rights and democracy, including human rights defenders as defined by the UN Declaration on the Right and **Responsibility** of Individuals; 73. ter 6b) "local authorities" Provisionally closed (6b) "local authorities" means branches of encompass public



	gets (except migration) ending target and related provis od	ions			
		government or public authorities, which operate at sub-national level (e.g. municipal, community, district, county, provincial or regional level);		institutions with legal personality, component of the State structure, below the level of central government, such as villages, municipalities, districts, counties, provinces or regions, and accountable to citizens, usually composed of a deliberative or policy- making body such as a council or assembly and an executive body such as a mayor or other executive officer, directly or indirectly elected or selected at local level.	
74.	 (7) "investment window" shall mean a targeted area for support by the EFSD+ Guarantee to portfolios of investments in specific regions, countries or sectors; 	(7) "investment window" shall mean a targeted area for support by the EFSD+ Guarantee to portfolios of investments in specific regions, countries or sectors;	(7) "investment window" shall mean a targeted area for support by the EFSD+ Guarantee to portfolios of investments in specific regions, countries or sectors;	 (7) "investment window" shall mean a targeted area for support by the EFSD+ Guarantee to portfolios of investments in specific regions, countries or sectors; 	Provisionally closed on 2 October 2020



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance 74. (7 bis) "additionality" (7 bis) "additionality" Provisionally closed on bis shall have the as refered to in Article 2 October 2020 meaning prescribed to 209(2) of the Financial it in Article 209(2) of Regulation, shall mean the Financial the principle according **Regulation and shall** to which, in the context ensure that the of this regulation and External Action the IPA regulation, the **External** Action Guarantee support under the EFSD+ *Guarantee* support contributes to under the EFSD+ sustainable contributes to development by sustainable operations which *development by* could not have been operations which could not have been carried carried out without out without that that Guarantee, or which achieve positive Guarantee, or which results above and achieve positive results above and beyond what beyond what could have been achieved could have been without it. achieved without it. Additionality also The principle of means crowding in additionality also private sector funding means that the and addressing market operations supported failures or sub-optimal by the External Action investment situations Guarantee shall crowd as well as improving in private sector the quality, funding and address

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	sustainability, impact	market failures or sub-
	or scale of an	optimal investment
	investment. The	situations as well as
	principle also ensures	improving the quality,
	that External Action	sustainability, impact
	Guarantee operations	or scale of an
	do not replace the	investment. The
	support of a Member	principle also ensures
	State, private funding	that External Action
	or another Union or	Guarantee operations
	international financial	do not replace the
	intervention, and	support of a Member
	avoid crowding out	State, private funding
	other public or private	or another Union or
	investments unless	international financial
	duly justified in	intervention, and avoid
	accordance with the	crowding out other
	strategic objectives of	public or private
	this Regulation.	investments unless duly
	Projects supported by	justified in accordance
	the External Action	with the objectives and
	Guarantee typically	principles of this
	have a higher risk	Regulation. Projects
	profile than the	supported by the
	portfolio of	External Action
	investments supported	Guarantee typically
	by the eligible	have a higher risk
	counterparts under	profile than the
	their normal	portfolio of investments
	investment policies	supported by the

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	without the External eligible counterparts Action Guarantee; under their normal investment policies without the External Action Guarantee; Action Guarantee;
74. ter	(7 ter) "operations with sovereign counterparts and non- commercial sub-
74. quater	(7 quater) "operations with commercial sub- sovereign counterparts" shall mean any operation(7 quater) "operations with commercial sub- sovereign counterparts" shall mean any operationProvisionally closedTrilogue agreement: Definitions of the type

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			is a public entity not backed by an explicit guarantee of a State and that is financially able to borrow at its own risk and has the legal capacity to do so;	is a public entity not backed by an explicit guarantee of a State and that is financially able to borrow at its own risk and has the legal capacity to do so;	window or commercial sub-sovereign window) Operations considered commercial unless justified by the EIB, with confirmation by the Commission.
75.	(8) "contributor" shall mean a Member State, an international finance institution, or a public institution of a Member State, a public agency or other entities contributing in cash or in guarantees to the common provisioning fund.	(8) "contributor" shall mean means a Member State, an international finance institution, or a public institution of a Member State, a public agency or other <i>public</i> <i>or private</i> entities contributing in cash or in guarantees to the common provisioning fund;	(8) "contributor" shall mean a Member State, an international finance institution, or a public institution of a Member State, a public agency, or other entities contributing in cash or in guarantees to the common provisioning fund.;	(8) "contributor" shall mean a Member State, an international finance institution, or a public institution of a Member State, a public agency or other <i>public or</i> <i>private</i> entities contributing in cash or in guarantees to the common provisioning fund.	Provisionally closed or 2 October 2020
75.bis		(8a) 'additionality' means the principle which ensures that the External Action Guarantee contributes to sustainable			Provisionally closed on 2 October 2020

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	development by	
	operations which could	
	not have been carried	
	out without it, or which	
	achieve positive results	
	above and beyond what	
	could have been	
	achieved without it, as	
	well as crowding in	
	private sector funding	
	and addressing market	
	failures or sub-optimal	
	investment situations as	
	well as improving the	
	quality, sustainability,	
	impact or scale of an	
	investment. The	
	principle also ensures	
	that investment and	
	financing operations	
	covered by the External	
	Action Guarantee do	
	not replace the support	
	of a Member State,	
	private funding or	
	another Union or	
	international financial	
	intervention, and avoid	
	crowding out other	
	public or private	



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	investments. Projects	
	supported by the External Action	
	Guarantee typically	
	have a higher risk	
	profile than the	
	portfolio of investments supported by the eligible	
	counterparts under their	
	normal investment	
	policies without the	
	External Action	
	Guarantee;	
5. ter	(8b) "industrialised	
	countries" means third	
	countries other than	
	developing countries	
	included in the OECD-	
	Development Assistance	
	Committee's ('OECD-	
	DAC') list of Official	
	Development Assistance	
	('ODA') recipients;	
5. quater	(8c) "poverty" means all	Provisionally closed
	the conditions in which	
	people are deprived and	
	perceived as	
	incapacitated in	
	different societies and	
	local contexts; the core	



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	dimensions of poverty include economic, human, political, socio-	
	cultural and protective capabilities;	
75. quin-quies	(8d) "gender sensitivity" means acting with the aim of understanding and taking account of	Provisionally closed
	the societal and cultural factors involved in gender-based exclusion	
	and discrimination in all spheres of public and private life;	
75. sexies	(8e) "conflict sensitivity" means acting with the aim of understanding that any	Provisionally closed
	initiative conducted in a conflict-affected environment will	
	interact with that conflict and that such interaction will have	
	consequences that may have positive or negative effects; conflict	
	sensitivity also means ensuring that, to the	



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	best of its abilities, Union actions (political, policy, external assistance) avoid having a negative impact and maximise the positive impact on conflict dynamics, thereby contributing to conflict prevention, structural stability and peace building.			
5. septies	Where reference is made to human rights, it shall be understood as to include fundamental freedoms;		Where reference is made to human rights, it shall be understood as to include fundamental freedoms;	Provisionally closed
5. octies		(9) "partner countries" shall mean countries and territories that may benefit from EU support under this Instrument pursuant to Article 4.	(9) "partner countries" shall mean countries and territories that may benefit from EU support under this Instrument pursuant to Article 4.	Provisionally closed
5. nonies	In the context of Article 15, "countries most in need" may also include the countries listed in Annex I.			Provisionally closed



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76.	Article 3	Article 3	Article 3	Article 3	Provisionally closed on
	Objectives	Objectives	Objectives	Objectives	5 December 2019
77.	1. The general objective	1. The general objective	1. The general	1. The general objective	<mark>{1}</mark>
	of this Regulation is to	of this Regulation is to	objective of this	of this Regulation is to	EP: no text.
	uphold and promote the	lay down the financial	Regulation is to uphold	uphold and promote the	CL: "and addressing
	Union's values and	framework enabling the	and promote the	Union's values,	irregular migration
	interests worldwide in	<i>Union to</i> uphold and	Union's values and	principles and	and forced
	order to pursue the	promote the Union's its	interests worldwide in	<i>fundamental</i> interests	displacement,
	objectives and	values, and principles	order to pursue the	worldwide, in order to	including their root
	principles of the	and fundamental	objectives and	pursue the objectives	causes"
	Union's external action,	interests worldwide, in	principles of the	and principles of the	
	as laid down in Article	order to pursue in	Union's external	Union's external action,	
	3(5), Articles 8 and 21	accordance with the	action, as laid down in	as laid down in Article	
	of the Treaty on	objectives and principles	Article 3(5), Articles 8	3(5), Articles 8 and 21	
	European Union.	of the Union's external	and 21 of the Treaty on	of the Treaty on	
		action, as laid down in	European Union-, thus	European Union-, thus	
		Article 3(5), Articles 8	contributing to the	contributing to the	
		and 21 of the Treaty on	eradication of poverty,	reduction and, in the	
		European Union TEU, as well as Articles 11	consolidating,	long term, the	
			supporting and	eradication of poverty,	
		and 208 TFEU.	promoting democracy,	consolidating,	
			rule of law and respect for human rights,	supporting and	
			jor numan rignis, sustainable	promoting democracy, rule of law and respect	
			development and the	for human rights,	
			fight against climate	sustainable	
			change and	development and the	
			addressing irregular	fight against climate	
			migration and forced	change [1].	
			displacement,		
			anspracement,		



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			including their root causes, towards the achievement of the Sustainable Development Goals. This Regulation shall promote stronger partnerships with third countries, including with the European Neighbourhood based on mutual interests and ownership with a view to fostering stabilisation, good governance and building resilience.	This Regulation shall contribute to the promotion of multilateralism, the achievement of the international commitments and objectives that the Union has agreed to, in particular the Sustainable Development Goals, the 2030 Agenda and the Paris Agreement. This Regulation shall promote stronger partnerships with third countries, including with the European Neighbourhood based on mutual interests and ownership with a view to fostering stabilisation, good governance and building resilience.	
78.	2. In accordance with paragraph 1, the specific objectives of	2. In accordance with paragraph 1, the specific objectives of this	2. In accordance with paragraph 1, the specific objectives of	2. In accordance with paragraph 1, the specific objectives of	Provisionally closed o 5 December 2019



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance this Regulation are the Regulation are the this Regulation are the this Regulation are the following: following: following: following: (a) to support and foster Provisionally closed on 79. (a) to support and foster (a) to support and (a) to support and foster dialogue and dialogue and cooperation foster dialogue and dialogue and 5 December 2019 with third countries and cooperation with third cooperation with third cooperation with third countries and regions in regions in the countries and regions countries and regions in the Neighbourhood, in Neighbourhood, in Subin the Neighbourhood, the Neighbourhood, in Sub-Saharan Africa, in Saharan Africa, in Asia in Sub-Saharan Africa, Sub-Saharan Africa, in Asia and the Pacific. and the Pacific, and in in Asia and the Pacific. Asia and the Pacific. and in the Americas and the Americas and the and in the Americas and in the Americas and and the Caribbean; the Caribbean; Caribbean; the Caribbean; 79. bis (aa) to contribute to the Provisionally closed on achievement of the 5 December 2019 international commitments and objectives that the Union has agreed to, in particular the 2030 Agenda, the SDGs and the Paris Agreement; 79. ter (ab) to develop a special (a bis) Union support Provisionally closed (ab) to develop special strengthened under this Regulation strengthened relationship with the shall focus on partnerships and countries in the eastern promoting enhanced enhanced political political cooperation, and southern cooperation with the neighbourhood of the deep and sustainable European Union, founded on democracy, Neighbourhood, progressive economic cooperation, peace and founded on security, mutual integration and a cooperation, peace and accountability and strengthened



	ts (except migration) ding target and related provis l	sions			
		shared commitment to the universal values of democracy, rule of law and respect for human rights, socio-economic integration and environmental protection and climate action;	partnership between the Union and the neighbouring partner countries and, in particular, the implementation of partnership and cooperation agreements, association agreements or other existing and future agreements, as well as people-to-people contacts.	stability and a shared commitment to the universal values of democracy, rule of law and respect for human rights, and aiming at deep and sustainable democracy and progressive socio- economic integration as well as people-to- people contacts;	
79. quater		(ac) to pursue the reduction and, in the long term, the eradication of poverty, particularly in least developed countries (LDCs); to enable sustainable social and economic development;			Provisionally closed on 5 December 2019
80.	(b) at global level, to consolidate and support democracy, rule of law and human rights, support civil society organisations, further	(b) at global level, to consolidate and support democracy, rule of law and human rights, support civil society organisations <i>and local</i>	(b) at global level, to consolidate and support advance democracy, good governance, rule of law and human rights,	 (b) at global level: - to protect, promote and advance human rights, including 	{ <mark>1</mark> } EP: "and local authorities" CL: no text



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stability and peace and address other global challenges including migration and mobility;	authorities, further stability and peace, prevent conflict and promote just and inclusive societies, advance multilateralism, international justice and accountability, and address other global and regional challenges including migration and mobility climate change and environmental degradation as well as foreign policy needs and priorities, as set out in Annex III, including the promotion of confidence building and good neighbourly relations;	including gender equality and women's and girls' empowerment, support civil society organisations, including human rights defenders, protection of civilians, further stability, conflict prevention and peace and address other global challenges including-such as climate change, protection of biodiversity and the environment, engagement with local authorities, as well as migration and mobility;	gender equality and the protection of human rights defenders, including in the most difficult circumstances and urgent situations, democracy, and the rule of law, including accountability mechanisms, - to consolidate and support democracy, rule of law and human rights, support civil society organisations {1}, - to further stability and peace, prevent conflict, thereby contributing to the protection of civilians, - to address other global challenges including such as climate change, protection of biodiversity and the	<pre>{2} EP: no text. CL: ", engagement with local authorities" {3} EP: "- to address foreign policy needs and priorities" CL: no text.</pre>



	ending target and related provis	ions			
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				<i>environment</i> {2}, <i>as</i> <i>well as</i> migration and mobility . {3}	
80. bis		(ba) to protect, promote and advance human rights, democracy, the rule of law as well as gender and social equality, including in the most difficult circumstances and urgent situations, in partnership with civil society including human rights defenders worldwide;			Provisionally closed on 5 December 2019
81.	(c) to respond rapidly to: situations of crisis, instability and conflict; resilience challenges and linking of humanitarian aid and development action; and foreign policy needs and priorities.	(c) to respond rapidly to: situations of crisis, instability and conflict; resilience challenges and linking of humanitarian aid and development action ; and foreign policy needs and priorities.	(c) to respond rapidly to: situations of crisis, instability and conflict, <i>including irregular</i> <i>migratory pressure</i> <i>and forced</i> <i>displacement and</i> <i>hybrid threats;</i> resilience challenges, <i>including natural and</i> <i>man-made disasters,</i> and linking of humanitarian aid and	(c) to respond rapidly to: situations of crisis, instability and conflict, <i>including those which</i> <i>may result from</i> <i>migratory flows and</i> <i>forced displacement</i> <i>and hybrid threats</i> ; resilience challenges, <i>including natural and</i> <i>man-made disasters</i> , and linking of humanitarian aid and	See line 105 and Anne: IV line 204 {1} EP: ; and foreign policy needs and priorities CL: Union foreign policy needs and priorities



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			development action;	development action;	
			and Union foreign	and $\{1\}$.	
			policy needs and		
			priorities.		
82.	The achievement of	The achievement of	The achievement of	The achievement of	Provisionally closed on
	these objectives shall be	these objectives shall be	these objectives shall	these objectives shall be	5 December 2019
	measured using relevant	measured using relevant	be measured using	measured using relevant	
	indicators as referred to	indicators as referred to	relevant indicators as	indicators as referred to	
	in Article 31.	in Article 31.	referred to in Article	in Article 31.	
			31.		
83.	3. At least 92% of the	3. At least 92 % 95 % of	3. At least 92% of the	3. At least {1} of the	{ <mark>1</mark> }
	expenditure under this	the expenditure under	expenditure under this	expenditure under this	EP:
	Regulation shall fulfil	this Regulation shall	Regulation shall fulfil	Regulation shall fulfil	95 %
	the criteria for Official	fulfil the criteria for	the criteria for Official	the criteria for Official	
	Development	Official Development	Development	Development	CL:
	Assistance, established	Assistance, established	Assistance, established	Assistance, established	92%
	by the Development	by the Development	by the Development	by the Development	_
	Assistance Committee	Assistance Committee of	Assistance Committee	Assistance Committee	{ <mark>2</mark> }
	of the Organisation for	the Organisation for	of the Organisation for	of the Organisation for	EP: This Regulation
	Economic Cooperation	Economic Cooperation	Economic Cooperation	Economic Cooperation	shall contribute to
	and Development.	and Development. This	and Development. The	and Development {2}.	reaching the collective
		Regulation shall	specificity of the	The specificity of the	target of achieving
		contribute to reaching	expenditure related to	expenditure related to	0,2 % of the Union's
		the collective target of	the partner countries	the partner countries	Gross National Income
		achieving 0,2 % of the	listed in Annex I shall	listed in Annex I shall	to Least Developed
		Union's Gross National	be taken into account.	be taken into account.	Countries and 0,7 % of
		Income to Least			the Union Gross
		Developed Countries			National Income as
		and 0,7 % of the Union			Official Development
		Gross National Income			Assistance within the

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	as Official Development Assistance within the timeframe of the 2030 Agenda.		<i>timeframe of the 2030</i> <i>Agenda.</i> CL: no text
83. bis	3a. At least 20 % of the Official Development Assistance funded under this Regulation, across all programmes, geographic and thematic, annually and over the duration of its actions, shall be ring- fenced for social inclusion and human development, in order to support and strengthen the provision of basic social services, such as health, education, nutrition and social protection, particularly to the most marginalised, and with an emphasis on women and children.	<pre>{1 }</pre>	{1} EP: 3a. At least 20 % of the Official Development Assistance funded under this Regulation across all programmer geographic and thematic, annually an over the duration of it actions, shall be ring-fenced for social inclusion and human development, in order to support and strengthen the provision of basic social services, such a health, education, nutrition and social protection, particularit to the most marginalised, and witt an emphasis on wome and children.

	ets (except migration) ading target and related pro	visions			
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					CL: No text
83. ter		3b. At least 85% of the Official Development Assistance funded programmes, geographic and thematic, under this Regulation shall have gender equality and women's and girls' rights and empowerment as a principal or a significant objective, as defined by the OECD DAC. A significant part of these programmes shall have gender equality and women's and girls' rights and empowerment as a principal objective.			Ite text{1EP:3b. At least 85% of theOfficial DevelopmentAssistance fundedprogrammes,geographic andthematic, under thisRegulation shall havegender equality andwomen's and girls'rights andempowerment as aprincipal or asignificant objective, asdefined by the OECDDAC. A significant partof these programmesshall have genderequality and women'sand girls' rights andempowerment as aprincipal objective.CL: no text
84.	Article 4	Article 4	Article 4	Article 4	Provisionally closed on 5 December 2010
	Scope and structure	Scope and structure	Scope and structure	Scope and structure	5 December 2019

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85.	1. Union funding under this Regulation shall be implemented through:	1. Union funding under this Regulation shall be implemented <i>applied</i> through:	1. Union funding under this Regulation shall be implemented through:	1. Union funding under this Regulation shall be <i>{1}</i> through:	Provisionally closed o 5 December 2019 pending discussions of governance {1} EP: "implemented applied" CL: "implemented"
36.	(a) geographic programmes;	(a) geographic programmes;	(a) geographic programmes;	(a) geographic programmes;	Provisionally closed of 5 December 2019
87.	(b) thematic programmes;	(b) thematic programmes;	(b) thematic programmes;	(b) thematic programmes;	Provisionally closed of 5 December 2019
88.	(c) rapid response actions.	Provisionally closed of 5 December 2019			
89.	2. The geographic programmes shall encompass country and multi-country cooperation in the following areas:	2. The geographic programmes shall encompass country and multi-country cooperation in the following areas:	2. The geographic programmes shall encompass country and multi-country cooperation in the following areas:	2. The geographic programmes shall encompass country and multi-country cooperation in the following areas:	Provisionally closed o 5 December 2019
90.	(a) Neighbourhood;	(a) Neighbourhood;	(a) Neighbourhood;	(a) Neighbourhood;	Provisionally closed
91.	(b) Sub-Saharan Africa;	(b) Sub-Saharan Africa;	(b) Sub-Saharan Africa;	(b) Sub-Saharan Africa;	Provisionally closed o 5 December 2019
92.	(c) Asia and the Pacific;	(c) Asia and the Pacific;	(c) Asia and the Pacific;	(c) Asia and the Pacific;	Provisionally closed o 5 December 2019
93.	(d) Americas and the Caribbean.	Provisionally closed of 5 December 2019			

	rgets (except migration) bending target and related provis bod Geographic	sions Geographic programmes	Geographic		
	programmes may cover all third countries, except for candidates and potential candidates as defined in Regulation (EU) No/ ⁵⁶ (IPA) and overseas countries and territories as defined in Council Decision/ (EU).	may cover all third countries, except for candidates and potential candidates as defined in Regulation (EU) No / ⁵⁶ (IPA) and overseas countries and territories as defined in Council Decision/ (EU). Geographic programmes of a continental or trans- regional scope may also be established, in particular a pan- African programme covering African countries under points (a) and (b) and a programme covering African, Caribbean and Pacific countries under points (b), (c) and (d).	programmes may cover all third countries, except for candidates and potential candidates as defined in Regulation (EU) No / ⁵⁶ (IPA). and Θ Overseas countries and territories as defined in Council Decision/ (EU) . <i>shall equally not be</i> <i>covered by geographic</i> <i>programmes</i> ,		
95.	Geographic programmes in the Neighbourhood area	Geographic programmes in the Neighbourhood area may cover any	Geographic programmes in the Neighbourhood area	Geographic programmes in the Neighbourhood area	Provisionally closed

⁵⁶ Regulation of the European Parliament and of the Council establishing the Instrument for Pre-accession Assistance (OJ L...).

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance country referred to in may cover any country may cover any partner may cover any country referred to in Annex I. country referred to in referred to in Annex I. Annex I. Annex I. 96. In order to attain the Provisionally closed on objectives laid down in objectives laid down in objectives laid down in objectives laid down in 5 December 2019 Article 3, geographic Article 3, geographic Article 3, geographic Article 3, geographic programmes shall be programmes shall be programmes shall be programmes shall be based on the areas of cooperation listed in cooperation listed in cooperation listed in cooperation listed in Annex II. Annex II. Annex II. Annex II. 97. 3. The thematic 3. The thematic 3. The thematic 3. The thematic Provisionally closed on programmes shall programmes shall programmes shall programmes shall 5 December 2019 encompass actions encompass actions encompass actions encompass actions linked to the pursuit of the Sustainable the Sustainable the Sustainable the Sustainable Development Goals at Development Goals at Development Goals at Development Goals at global level, in the global level, in the global level, in the global level, in the following areas: following areas: following areas: following areas: 98. (a) Human Rights and (a) Human Rights and (a) Human Rights and (a) Human Rights and Provisionally closed on Democracy; 5 December 2019 Democracy; Democracy; Democracy; (b) Civil Society (b) Civil Society 99. (b) Civil Society Organisations and Local Organisations; Organisations; Authorities: 100. (c) Stability and Peace; (c) Stability and Peace; (c) *Peace*, Stability and (c) *Peace*, Stability and Provisionally closed on Peace-Conflict Conflict Prevention; 5 December 2019 Prevention; 101. (d) Global Challenges. (d) Global Challenges; (d) Global Challenges. (d) Global Challenges. Provisionally closed on 5 December 2019 101. bis (da) Foreign Policy Needs and Priorities.



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Governance			-		-
102.	Thematic programmes may cover all third countries as well as overseas countries and territories as defined in Council Decision/ (EU).	Thematic programmes may cover all third countries. as well as Overseas countries and territories as defined shall have full access to thematic programmes, as laid down in Council Decision/ (EU). Their effective participation shall be ensured, with account taken of their specific characteristics and the particular challenges they must address.	Thematic programmes may cover all third countries as well as overseas countries and territories as defined in Council Decision / (EU).	Thematic programmes may cover all third countries as well as overseas countries and territories as defined in Council Decision/ (EU).	Provisionally closed on 5 December 2019
103.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	In order to attain the objectives laid down in Article 3, thematic programmes shall be based on the areas of intervention listed in Annex III.	Provisionally closed on 5 December 2019
104.	4. The rapid response actions shall enable early action to:	4. The rapid response actions shall enable early action to:	4. The rapid response actions shall enable early action to:	4. The rapid response actions shall enable early action to:	Provisionally closed on 5 December 2019
105.	(a) contribute to stability and conflict prevention in situations of urgency, emerging	(a) contribute to <i>peace</i> , stability and conflict prevention in situations of urgency, emerging	(a) contribute to stability and conflict prevention in situations of urgency, emerging		



Neighbourhood Amounts							
Governance		·	· · · · · ·				
	crisis, crisis and post- crisis;	crisis, crisis and post- crisis;	crisis, crisis and post- crisis, including those which may result from migratory pressure and forced displacement;				
106.	(b) contribute to strengthening resilience of states, societies, communities and individuals and to linking humanitarian aid and development action;	(b) contribute to strengthening <i>the</i> resilience of states, <i>including local</i> <i>authorities</i> , societies, communities and individuals and to linking humanitarian aid and development action.	(b) contribute to strengthening resilience of states, societies, communities and individuals and to linking humanitarian aid-and, development actions and, where relevant, peacebuilding;	(b) contribute to strengthening <i>the</i> resilience of states, societies, communities and individuals and to linking humanitarian aid and development action <i>and</i> , <i>where</i> <i>relevant</i> , <i>peacebuilding</i> ;	Provisionally closed on 5 December 2019		
107.	(c) address foreign policy needs and priorities.	(c) address foreign policy needs and priorities.	(c) address <i>Union</i> foreign policy needs and priorities.				
108.	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision/ (EU).	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision/ (EU).	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision / (EU).	Rapid response actions may cover all third countries as well as overseas countries and territories as defined in Council Decision/ (EU).	Provisionally closed on 5 December 2019		
109.	In order to attain the objectives laid down in Article 3, rapid response actions shall	In order to attain the objectives laid down in Article 3, rapid response actions shall be based on	In order to attain the objectives laid down in Article 3, rapid response actions shall	In order to attain the objectives laid down in Article 3, rapid response actions shall	Provisionally closed on 5 December 2019		



	ts (except migration)					
	ding target and related provis	sions				
Neighbourhood	1					
Amounts Governance						
	be based on the areas of intervention listed in Annex IV.	the areas of intervention listed in Annex IV.	be based on the areas of intervention listed in Annex IV.	be based on the areas of intervention listed in Annex IV.		
110.	5. Actions under this Regulation shall be primarily implemented through geographic programmes.	5. Actions under this Regulation shall be primarily implemented <i>applied</i> through geographic programmes.	5. Actions under this Regulation shall be primarily implemented through geographic programmes.	5. Actions under this Regulation shall be primarily {1} through geographic programmes.	Provisionally closed on 5 December 2019 pending discussions on governance {1} EP: "implemented applied" CL: "implemented"	
111.	 Actions implemented through thematic programmes shall be complementary to actions funded under geographic programmes and shall support global and trans-regional initiatives for achieving internationally agreed goals, in particular the Sustainable Development Goals, protecting global public goods or addressing global challenges. Actions through thematic programmes 	Actions-implemented applied through thematic programmes shall be complementary to actions funded under geographic programmes and shall support global and trans-regional initiatives for aimed at achieving internationally agreed goals, in particular the Sustainable Development Goals, protecting as referred in point (aa) of Article 3(2), as well as global public goods or	Actions implemented through thematic programmes shall be complementary to actions funded under geographic programmes and shall support global and trans-regional initiatives for achieving internationally agreed goals, in particular the Sustainable Development Goals, protecting global public goods or addressing global	Actions {1} through thematic programmes shall be complementary to actions funded under geographic programmes and shall support global and trans-regional initiatives for aimed at achieving internationally agreed goals, in particular the Sustainable Development Goals and the Paris Agreement, protecting global public goods or addressing global challenges.	Provisionally closed on 5 December 2019 pending discussions on governance {1} EP: "implemented applied" CL: "implemented"	



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance may also be undertaken addressing global Actions through challenges., as referred where there is no challenges. Actions to in Annex III. thematic programmes through thematic may also be undertaken geographic programme, Actions through programmes may also be or where it has been thematic programmes where there is no suspended, or where undertaken may also be undertaken geographic programme, there is no agreement independently, where there is no or where it has been on the action with the *including* where there is geographic suspended, or where partner country no geographic programme, or where it there is no agreement concerned, or where the has been suspended, or on the action with the programme, or where it action cannot be has been suspended, or where there is no partner country where there is no concerned, or where the adequately addressed by agreement on the geographic action with the partner agreement on the action action cannot be with the partner country country concerned, or adequately addressed by programmes. where the action concerned, or where the geographic action cannot be cannot be adequately programmes. adequately addressed by addressed by geographic programmes. geographic programmes. 112. Rapid response actions Rapid response actions Rapid response actions Provisionally closed on Rapid response actions shall be complementary shall be complementary shall be shall be complementary 5 December 2019 to geographic and to geographic and to geographic and complementary to pending discussions on thematic programmes. thematic programmes. thematic programmes. geographic and governance These actions shall be These actions shall be These as well as to thematic programmes. These actions shall be designed and actions funded through designed and $\{1\}$ to {1} EP: "implemented implemented to enable, the Council Regulation designed and enable, where relevant, (EC) No 1257/96 of 20 where relevant, their implemented to enable, their continuity under applied" where relevant, their continuity under June 1996 (the geographic or thematic CL: "implemented" geographic or thematic continuity under 'Humanitarian Aid programmes. **Regulation').** Those geographic or thematic programmes. actions shall be designed programmes.



Amounts

Governan	ce				
		and implemented			
		applied to enable, where			
		relevant, their continuity			
		under geographic or			
		thematic programmes.			
113.	6. The Commission	6. The Commission shall	6. The Commission		EP and CL maintain
	shall be empowered to	be empowered to adopt	shall be empowered to		their positions
	adopt delegated acts in	delegated acts in	adopt delegated acts in		
	accordance with Article	accordance with Article	accordance with		
	34 to supplement or	34 to supplement or	Article 34 to		
	amend Annexes II, III	amend Annexes II, III	supplement or amend		
	and IV.	and IV.	Annexes II, III and IV.		
114.	Article 5	Article 5	Article 5	Article 5	Provisionally closed on
	Coherence, consistency	Coherence, consistency	Coherence, consistency	Coherence, consistency	5 December 2019
	and complementarity	and complementarity	and complementarity	and complementarity	
115.	1. In implementing this	1. In implementing	1. In implementing this	1. In <i>{1}</i> this	Provisionally closed on
	Regulation, consistency,	applying this Regulation,	Regulation,	Regulation, consistency,	5 December 2019
	synergies and	consistency, coherence	consistency, synergies	coherence, synergies	pending 1) discussions
	complementarity with	synergies and	and complementarity	and complementarity	on governance, and
	other areas of Union	complementarity with	with other areas of	with all areas of Union	2) language in recitals
	external action, with	other all areas of Union	Union external action,	external action,	on IPA III, HA and
	other relevant Union	external action,	with other relevant	including other	CFSP.
	policies and	including other external	Union policies and	external financing	
	Programmes, as well as	financing instruments,	Programmes, as well as	<i>instruments, and</i> with	{1}
	policy coherence for	the IPA III Regulation	policy coherence for	other relevant Union	EP: "implementing
	development shall be	in particular, as well as	development shall be	policies and	applying"
	ensured.	measures adopted under	ensured, thus	Programmes, as well as	CL: "implementing"
		Chapter Two of Title V	contributing to the	policy coherence for	
		TEU and Part Five	implementation of the	development shall be	References to IPA III
		<i>TFEU</i> , with other	2030 Agenda.	ensured.	and Humanitarian Aid

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Migration spending target and Neighbourhood				
Amounts				
Governance				
	relevant Union policies and Programmes, as well as policy coherence for development shall be ensured. <i>The Union</i> <i>shall take account of the</i> <i>objectives of</i> <i>development</i> <i>cooperation in the</i> <i>policies that it applies</i> <i>which are likely to</i> <i>affect developing</i> <i>countries.</i>			Regulation are already in Recital 21, as well a CFSP.
115. bis		1 bis In this regard, the Union shall seek to promote increased synergies and complementarities in particular with trade policy, economic cooperation and other sectorial cooperation, taking into account the impact of all internal and external policies on sustainable development.	In this regard, the Union shall take into account the impact of all internal and external policies on sustainable development and shall seek to promote increased synergies and complementarities in particular with trade policy, economic cooperation and other sectorial cooperation.	Provisionally closed of 5 December 2019
	<i>1a. The Union and Member States shall coordinate their</i>			Provisionally closed o 5 December 2019



Amounts Governance

Governance					
		respective support			Moved to line 146 bis.
		programmes with the			
		aim of increasing			
		effectiveness and			
		efficiency of their			
		delivery and preventing			
		overlapping of funding.			
115.		1b. In applying this			EP open to integrating
ter		Regulation, the			this provision under
		Commission and the			broader agreement
		EEAS shall duly take			(art.7 or 34b)
		into consideration the			
		positions of the			
		European Parliament.			
116.	2. Actions falling within	2. Actions falling within	2. Actions falling	2. Actions falling within	Provisionally closed on
	the scope of Council	the scope of Council	within the scope of	the scope of Council	5 December 2019
	Regulation (EC) No	Regulation (EC) No	Council Regulation	Regulation (EC) No	
	1257/96 shall not be	1257/96 shall not be	(EC) No 1257/96 shall	1257/96 shall not be	
	funded under this	funded under this	not be funded under	funded under this	
	Regulation.	Regulation.	this Regulation.	Regulation.	
117.	3. Where appropriate,	3. Where appropriate,	3. Where appropriate,	3. Where appropriate,	Provisionally closed on
	other Union	other Union Programmes	other Union	other Union	5 December 2019
	Programmes may	may contribute to actions	Programmes may	Programmes may	
	contribute to actions	established under this	contribute to actions	contribute to actions	CL: proposed text on
	established under this	Regulation, provided	established under this	established under this	the basis of horizontal
	Regulation, provided	that the contributions do	Regulation, provided	Regulation, provided	guidance of 24/09/2020:
	that the contributions do	not cover the same costs.	that the contributions	that the contributions do	
	not cover the same	This Regulation may	do not cover the same	not cover the same	"3. Where appropriate,
	costs. This Regulation	also contribute to	costs. This Regulation	costs. This Regulation	other Union
	may also contribute to	measures established	may also contribute to	may also contribute to	Programmes may



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance measures established under other Union mea sures established measures established contribute to actions under other Union under other Union Programmes, provided under other Union established under this that the contributions do Regulation, provided Programmes, provided Programmes, provided Programmes, provided that the contributions do that the contributions not cover the same costs. that the contributions do that the contributions do In such cases, the work not cover the same do not cover the same not cover the same not cover the same costs. In such cases, the costs. In such cases, costs. In such cases, the programme covering costs. This Regulation work programme those actions shall the work programme work programme may also contribute to covering those actions establish which set of covering those actions covering those actions measures established shall establish which set shall establish which shall establish which set under other Union rules shall be applicable. of rules shall be of rules shall be set of rules shall be Programmes, provided that the contributions do applicable. applicable. applicable. not cover the same costs. In such cases, the work programme covering those actions shall establish which set of rules shall be applicable the rules of each contributing Union programme shall apply to its respective contribution to the action. The *cumulative funding* shall not exceed the total eligible costs of the action and the support from the different Union programmes may be

Amounts

Governance

					calculated on a pro- rata basis in accordance with the documents setting out the conditions for support."
118.	Article 6 Budget	Article 6 Budget	Article 6 Budget	Article 6 Budget	Provisionally closed
119.	1. The financial envelope for the implementation of this Regulation for the period 2021 – 2027 shall be EUR 89 200 million in current prices.	1. The financial envelope for the implementation application of this Regulation for the period 2021 – 2027 shall be EUR 89 20082 451 milli on in 2018 prices (EUR 93 154 million in current prices) [100 %].	1. The financial envelope for the implementation of this Regulation for the period 2021 – 2027 shall be EUR 89 200 79 462 million in current prices.		Both institutions maintain their mandates
120.	2. The financial envelope referred to in paragraph 1 shall be composed of:	2. The financial envelope referred to in paragraph 1 shall be composed of:	2. The financial envelope referred to in paragraph 1 shall be composed of:	2. The financial envelope referred to in paragraph 1 shall be composed of:	Provisionally closed
121.	(a) EUR 68 000 million for geographic programmes:	(a) EUR <u>68 00063 687</u> milli on <i>in 2018 prices</i> (<i>EUR 71 954 million in</i> <i>current prices</i>) [77,24 %] for geographic programmes:	(a) EUR 68 000 60 388 million for geographic programmes:		Both institutions maintain their mandates



Neighbourh	ood			
Amounts				
Governance				
122.	– Neighbourhood at least EUR 22 000 million,	- Neighbourhood at least EUR 22 00020 572 milli on <i>in 2018 prices</i> (EUR 23 243 million in current prices) [24,95 %],	– Neighbourhood at least EUR 22 000 <i>19 323</i> million,	Both institutions maintain their mandates
123.	– Sub-Saharan Africa at least EUR 32 000 million,	- Sub-Saharan Africa at least EUR 32 000 30 723 milli on <i>in 2018 prices</i> (EUR 34 711 million in current prices) [37,26 %],	– Sub-Saharan Africa at least EUR 32 000 29 181 million,	Both institutions maintain their mandates
124.	– Asia and the Pacific EUR 10 000 million,	 Asia and the Pacific EUR 8 851 million in 2018 prices (EUR 10 000 million in current prices) [10,73 %], including at least EUR 620 million in 2018 prices (EUR 700 million in current prices) for the Pacific, 	– Asia and the Pacific EUR 10 000 8 489 million,	Both institutions maintain their mandates

Neighbourho Amounts	Jou			
Governance				
125.	– Americas and the Caribbean EUR 4 000 million,	 Americas and the Caribbean EUR 3 540 million in 2018 prices (EUR 4 000 million in current prices) [4,29 %], including EUR 1 062 million in 2018 prices (EUR 1 200 million in current prices) for the Caribbean, 	– Americas and the Caribbean EUR 4 000 <i>3 395</i> million,	Both institutions maintain their mandates
126.	(b) EUR 7 000 million for thematic programmes:	(b) EUR 7-0009 471 million in 2018 prices (EUR 10 700 million in current prices) [11,49 %] for thematic programmes:	(b) EUR 7 000 <i>6</i> 358 million for thematic programmes:	Both institutions maintain their mandates
127.	– Human Rights and Democracy EUR 1 500 million,	 Human Rights and Democracy EUR 1 500 at least EUR 1 770 million in 2018 prices (EUR 2 000 million in current prices) [2,15 %], with up to 25 % of the programme to be devoted to the funding 	– Human Rights and Democracy EUR 1 500 <i>1 362</i> million,	Both institutions maintain their mandates



Amounts				
Governance				
		of EU Election Observation Missions,		
128.	– Civil Society Organisations EUR 1 500 million,	 Civil Society Organisations EUR 1-500(CSOs) and Local Authorities (LAs) EUR 2 390 million in 2018 prices (EUR 2 700 million in current prices) [2,90 %], of which EUR 1 947 million in 2018 prices (EUR 2 200 million in current prices) [2,36 %] for CSOs and EUR 443 million in 2018 prices (EUR 443 million in 2018 prices (EUR 500 million in current prices) [0,54 %] for LAs, 	– Civil Society Organisations EUR 1 500-1 362 million,	Both institutions maintain their mandates
129.	– Stability and Peace EUR 1 000 million	- Stability and Peace EUR 885 million in 2018 prices (EUR 1 000 million in current prices) [1,07 %],	 <i>Peace</i>, Stability and <i>Peace-Conflict</i> <i>Prevention</i> EUR 1-000 <i>908</i> million, 	Both institutions maintain their mandates
130.	– Global Challenges EUR 3 000 million,	- Global Challenges EUR 3 000 3 983 million <i>in 2018 prices</i>	– Global Challenges EUR 3 000 2 726 million,	Both institutions maintain their mandates



	gets (except migration) ending target and related provi	sions	
Neighbourho		510115	
Amounts			
Governance			
		(EUR 4 500 million in current prices) [4,83 %],	
130. bis		- Foreign Policy Needs and Priorities EUR 443 million in 2018 prices (EUR 500 million in current prices) [0,54 %],	Both institutions maintain their mandates
131.	(c) EUR 4 000 million for rapid response actions.	(c)(c) EUR 4 0003 098 millionEUR 4 0003 098 millionmillion for rapidin 2018 pricesresponse action(EUR 3 500 million inresponse actioncurrent prices) [3,76 %]for rapid responseactions:actions:	pid maintain their mandates
131. bis		- Stability and conflict prevention in situations of urgency, emerging crisis, crisis and post- crisis EUR 1 770 million in 2018 prices (EUR 2 000 million in current prices) [2,15 %],	Both institutions maintain their mandates
131. ter		- Strengthening resilience of states, societies, communities and individuals and linking humanitarian aid and development action EUR 1 328	Both institutions maintain their mandates

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance million in 2018 prices (EUR 1 500 million in current prices) [1,61 %], 132. 3. The emerging 3. The emerging 3. The emerging Both institutions challenges and priorities challenges and priorities challenges and maintain their mandates cushion of an amount of cushion of an amount of priorities cushion of an EUR 10 200 million EUR 10 2006 196 millio amount of EUR 10 200 9 534 million shall n in 2018 prices shall increase the (EUR 7 000 million in increase the amounts amounts referred to in paragraph 2 in current prices) [7,51 %]. referred to in paragraph accordance with Article shall increase the 2 (a), (b) and (c) in 15. accordance with amounts referred to in paragraph 2 in Article 15. accordance with Article 15 133. 4. The financial 4. The financial 4. The financial 4. The financial Provisionally closed envelope referred to in envelope referred to in envelope referred to in envelope referred to in paragraph 2 (a) shall paragraph 2 (a) shall paragraph 2 (a) shall paragraph 2 (a) shall correspond to at least correspond to at least 75 correspond to at least correspond to at least 75 % of the financial 75 % of the financial 75 % of the financial % of the financial envelope referred to in envelope referred to in envelope referred to in envelope referred to in paragraph 1. paragraph 1. paragraph 1. paragraph 1. 133. bis 4a. The actions under Both institutions Article 9 shall be maintain their mandates financed to up to the amount of EUR 270 million. 4b. The annual Both institutions 133. ter maintain their mandates appropriations shall be authorised by the

Amounts

Governance

Governance		-		-	
		European Parliament and by the Council within the limits of the multiannual financial framework during the budgetary procedure, after the priorities have been agreed by the Institutions.			
134.	Article 7	Article 7	Article 7	Article 7	Provisionally closed on
	Policy framework	Policy framework	Policy framework	Policy framework	5 December 2019
135.	The association agreements, partnership and cooperation agreements, multilateral agreements, and other agreements that establish a legally binding relationship with partner countries, as well as, European Council conclusions and Council conclusions, summit declarations or conclusions of high- level meetings with partner countries, relevant European Parliament resolutions,	The association agreements, partnership and cooperation agreements, multilateral trade agreements, and other agreements that establish a legally binding relationship with partner countries, recommendations and acts adopted in the bodies set up by those agreements, as well as relevant multilateral agreements, Union legislative acts, European Council conclusions, and Council conclusions, summit	The association agreements, partnership and cooperation agreements, multilateral agreements <i>to which the Union is</i> <i>a party</i> , and other agreements that establish a legally binding relationship with between the <i>Union and</i> partner countries, as well as, European Council conclusions and Council conclusions, summit declarations or conclusions of high-	The association agreements, partnership and cooperation agreements, multilateral agreements <i>to which</i> <i>the Union is a party</i> , and other agreements that establish a legally binding relationship with between the Union and partner countries, as well as, $\{1\}$ European Council conclusions, and Council conclusions, summit declarations or conclusions of high- level-meetings with	 {1} EP: Union legislative acts, CL: no text {2} EP: , relevant European Parliament resolutions, communications of the Commission or Joint and positions, communications of the Commission and the High Representative of the Union for Foreign Affairs and Security Policy and United



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance communications of the declarations or and other level-meetings with partner countries, relevant at the level of Commission or Joint international partner countries. declarations and communications of the relevant at the level of heads of states or conclusions of high-level Commission and the heads of states or governments or High Representative of meetings with partner *ministers*, {2} shall governments or the Union for Foreign countries. relevant constitute the overall ministers. Joint European Parliament Affairs and Security statements by the policy framework for Policy, shall constitute resolutions. Council and the the $\{3\}$ of this communications of the Regulation. the overall policy representatives of the framework for the Commission or Joint and governments of the implementation of this positions, Member States, the communications of the **European Parliament** Regulation. Commission and the resolutions. communications of High Representative of and the Commission as the Union for Foreign

Affairs and Security

Nations Conventions

and resolutions, shall

constitute the overall

implementation

Regulation.

Article 8

application of this

General principles

policy framework for the

Policy and United

the Council and the representatives of the governments of the Member States, the **European Parliament** resolutions. communications of and the Commission as well as Council deliberations, or Joint communications of the **Commission and the** High Representative of the Union for Foreign Affairs and Security Policy, {<mark>3</mark>} EP: "implementation application" CL: "implementation"

Provisionally closed on

5 December 2019

Nations Conventions

CL: Joint statements by

and resolutions.

Article 8

General principles

136.

well as Council

deliberations, or Joint

communications of the

High Representative of

the Union for Foreign

Affairs and Security

the overall policy

framework for the

General principles

Regulation.

Article 8

Policy, shall constitute

implementation of this

Commission and the

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Article 8

General principles

	s (except migration) ling target and related provis	ions			
Governance					
137.	1. The Union shall seek to promote, develop and consolidate the principles of democracy, the rule of law and respect for human rights and fundamental freedoms on which it is founded, through dialogue and cooperation with partner countries and regions.	1. The Union shall seek to promote, develop and consolidate the principles of democracy, the rule of law and respect for human rights and fundamental freedoms on which it is founded, through dialogue and cooperation with partner countries and regions, through action in the United Nations and other international fora and through its cooperation with civil society organisations, local authorities and private actors, the principles on which it is founded, namely democracy, the rule of law, good governance, the universality and indivisibility of human rights and fundamental freedoms, respect for human dignity, the principles of equality	1. The Union shall seek to promote, develop and consolidate the principles of democracy, <i>good</i> <i>governance</i> , the rule of law and respect for human rights and fundamental freedoms on which it is founded, through dialogue and cooperation with partner countries-and, regions and civil society.	1. The Union shall seek to promote, develop and consolidate the principles of democracy, good governance,-the rule of law, respect for human rights, including their universality and indivisibility, and fundamental freedoms and respect for human dignity, the principles of equality and solidarity on which it is founded, through dialogue and cooperation with partner countries, and regions and civil society, including through action in multilateral fora.	Provisionally closed on 5 December 2019

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Spending targets (except mi Migration spending target a			
Neighbourhood			
Amounts			
Governance			
	and solidarity, and respect for the principles of the United Nations Charter and international law. Funding under this Regulation shall comply with these principles, as well as with the Union's commitments under international law.		
137. bis	1 bis Th pursue of pover compati 2030 Ag Paris A	the Union shall the eradication ty and, in ibility with the genda and the greement, the ainst climate	Provisionally closed on 5 December 2019
	1a. Consistent with Articles 2 and 21 TEU, the Union's contribution to democracy and the rule of law and to the promotion and protection of human rights and fundamental freedoms shall be rooted in the Universal		



Neighbourhood

Amounts

Amounts					
Governance					
		Declaration Human			
		Rights, international			
		human rights law and			
		international			
		humanitarian law.			
138.	2. A rights-based	2. A rights-based	2. A rights-based	2. A rights-based	Provisionally closed on
	approach encompassing	approach encompassing	approach	approach encompassing	5 December 2019
	all human rights,	all human rights,	encompassing all	all human rights,	
	whether civil and	whether civil and	human rights, whether	whether civil and	
	political or economic,	political or economic,	civil and political or	political or economic,	
	social and cultural shall	social and cultural shall	economic, social and	social and cultural shall	
	be applied in order to	be applied in order to	cultural shall be	be applied in order to	
	integrate human rights	integrate human rights	applied in order to	integrate human rights	
	principles, to support	principles, to support the	integrate human rights	principles, to support	
	the right holders in	right holders in claiming	principles, to support	the right holders in	
	claiming their rights	their rights with a focus	the right holders in	claiming their rights	
	with a focus on poorer	on poorer and more	claiming their rights	with a focus on poorer,	
	and more vulnerable	marginalised and	with a focus on poorer,	<i>marginalised</i> and more	
	groups and to assist	vulnerable groups,	<i>marginalised</i> and more	vulnerable <i>people and</i>	
	partner countries in	including minorities,	vulnerable people and	groups, <i>including</i>	
	implementing their	women, children and	groups and to assist	persons with	
	international human	youth, older people,	partner countries in	disabilities, and to assist	
	rights obligations. This	indigenous people,	implementing their	partner countries in	
	Regulation shall	LGBTI persons, and	international human	implementing their	
	promote gender equality	persons with disabilities,	rights obligations. This	international human	
	and women's	on essential labour	Regulation shall	rights obligations. This	
	empowerment.	rights and social	promote gender	Regulation shall	
		<i>inclusion,</i> and to assist	equality and women's	promote gender equality	
		partner countries in	empowerment This	and women's	
		implementing their	approach shall be	empowerment This	

1 0 0	(except migration) ng target and related provisions			
	international human rights obligations. This Regulation shall promote gender equality and women's the empowerment of women, youth and children, including with regard to sexual and reproductive health and rights.	guided by the principle of leaving no-one behind, wherever people live and regardless of ethnicity, gender, age, disability, religion or beliefs, sexual orientation and gender identity, migration status or other factors, including addressing the multiple discriminations faced by vulnerable people and marginalised groups.	approach shall be guided by the principle of leaving no-one behind, equality and non-discrimination on any grounds.	
138. bis		2 bis This Regulation shall promote gender equality, women's and girls' rights and empowerment and non-discrimination on any grounds including gender identity or sexual orientation, through targeted and mainstreamed actions.	2 bis This Regulation shall promote gender equality, women's and girls' rights and empowerment and non- discrimination on any grounds, through targeted and mainstreamed actions; and shall also give particular attention to the rights of the child	Provisionally closed on 5 December 2019



Spending targets (except migration) Migration spending target and related provision	
Veighbourhood	
Amounts	
Governance	
	and empowerment of youth.
138. ter	Journ.2 ter This Regulation shall be implemented in full accordance with the EU's2 ter This Regulation shall be implemented in full accordance with tul accordance with



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance commitment to the commitment to the promotion, protection promotion, protection and fulfilment of the and fulfilment of the right of every right of every individual to have full individual to have full control over, and control over, and decide freely and decide freely and responsibly on matters responsibly on matters related to their related to their sexuality and sexual sexuality and sexual and reproductive and reproductive health, free from health, free from discrimination, discrimination, coercion and violence. coercion and violence. It shall also support It shall also support the the need for universal need for universal access to quality and access to quality and affordable affordable comprehensive sexual comprehensive sexual and reproductive and reproductive health information, health information, education, including education, including comprehensive comprehensive sexuality education, sexuality education, and health-care and health-care services. services. 138. quater Provisionally closed on 2 quater This 5 December 2019 **Regulation shall give** particular attention to the rights of the child Moved to line 138 bis.

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Amounts Governance

Jovernance					
			and empowerment of		
120			youth.		
139.	3. The Union shall	3. The Union shall	3. The Union shall	3. The Union shall	Provisionally closed on
	support, as appropriate,	support, as appropriate,	support, as appropriate,	support, as appropriate,	11 June 2020
	the implementation of	the implementation of	the implementation of	the implementation of	
	bilateral, regional and	bilateral, regional and	bilateral, regional and	bilateral, regional and	Reference to coherence
	multilateral cooperation	multilateral cooperation	multilateral	multilateral cooperation	with trade policy
	and dialogue,	and dialogue, partnership	cooperation and	and dialogue,	inserted in Art.5.
	partnership agreements	agreements and	dialogue, association	association and trade	
	and triangular	triangular cooperation.	and free trade	agreements, partnership	
	cooperation.		agreements,	agreements and	
			partnership agreements	triangular cooperation.	
			and triangular		
			cooperation.		
140.	The Union shall	The Union shall promote	The Union shall	The Union shall	Provisionally closed on
	promote a multilateral	a multilateral and rules-	promote a multilateral	promote a multilateral	5 December 2019
	and rules-based	based rules- and values-	and rules-based	and rules- based and	
	approach to global	<i>based</i> approach to global	approach to global	values-based approach	
	goods and challenges	<i>public</i> goods and	goods and challenges	to global goods and	
	and shall cooperate with	challenges and shall	and shall cooperate	challenges and shall	
	Member States, partner	cooperate with Member	with Member States,	cooperate with Member	
	countries, international	States, partner countries,	partner countries,	States, partner	
	organisations and other	international	international	countries, international	
	donors in that respect.	organisations, <i>including</i>	organisations and other	organisations and other	
		international financial	donors in that respect.	donors in that respect.	
		institutions and UN			
		agencies, funds and			
		programmes, and other			
		donors in that respect.			



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140. bis			The Union shall foster inclusiveness in the implementation of this Regulation and collaboration with Member States seeking to maximise added value and taking into account experience and capacities, thus reinforcing shared interests, values and common goals. The Union shall encourage the exchange of best practices and knowledge sharing among Member States' bodies and experts.		Provisionally closed o 5 December 2019 Moved to line 146 bis
141.	The Union shall foster cooperation with international organisations and other donors.	The Union shall foster cooperation with international <i>and</i> <i>regional</i> organisations and other donors.	The Union shall promote effective multilateralism in fostering cooperation with international organisations and other donors.	The Union shall promote effective multilateralism in fostering cooperation with international organisations and other donors.	Provisionally closed o 5 December 2019
142.	In relations with partner countries, their track	In relations with partner countries, their track	In relations with partner countries, their	In relations with partner countries, their track	Provisionally closed of 5 December 2019

	(except migration) ng target and related provis	sions			
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	record in implementing commitments, international agreements, and contractual relations with the Union shall be taken into account.	record in implementing commitments, international agreements, <i>in particular the Paris</i> <i>Agreement</i> , and contractual relations with the Union, <i>in</i> <i>particular association</i> <i>agreements</i> , <i>partnership</i> <i>and cooperation</i> <i>agreements and trade</i> <i>agreements</i> , shall be taken into account.	track record in implementing <i>obligations and</i> commitments, international <i>human</i> <i>rights conventions,</i> <i>international</i> agreements, and contractual relations with the Union shall be taken into account <i>and</i> <i>shall be taken up in</i> <i>the regular political</i> <i>dialogue with these</i> <i>countries</i> .	record in implementing obligations and commitments, including the 2030 Agenda, international human rights conventions, international agreements, in particular the Paris Agreement, and contractual relations with the Union, in particular association agreements, partnership and cooperation agreements and trade agreements, shall be taken into account and shall be taken up in the regular political dialogue with these countries.	
143.	4. Cooperation between the Union and the Member States, on the one hand, and partner countries, on the other hand, shall be based on	4. Cooperation between the Union and the Member States, on the one hand, and partner countries, on the other hand, shall be based on	4. Cooperation between the Union and the Member States, on the one hand, and partner countries , on the other hand, shall be	4. Cooperation between the Union and the Member States, on the one hand, and partner countries, on the other hand, shall be based on	Provisionally closed on 5 December 2019



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	and shall promote the	and shall promote the	based on and shall	and shall promote the	
	development	development	promote the	development	
	effectiveness principles,	effectiveness principles,	development	effectiveness principles,	
	where applicable,	where applicable, across	effectiveness	where applicable,	
	namely: ownership of	all modalities namely:	principles, where	across all modalities,	
	development priorities	ownership of	applicable, namely:	namely: ownership of	
	by partner countries, a	development priorities	ownership of	development priorities	
	focus on results,	by partner countries, a	development priorities	by partner countries, a	
	inclusive development	focus on results,	by partner countries, a	focus on results,	
	partnerships,	inclusive development	focus on results,	inclusive development	
	transparency and	partnerships,	inclusive development	partnerships,	
	mutual accountability.	transparency and mutual	partnerships,	transparency and	
	The Union shall	accountability, and	transparency and	mutual accountability.	
	promote effective and	alignment to the	mutual accountability.	The Union shall	
	efficient resource	priorities of partner	The Union shall	promote effective and	
	mobilisation and use.	countries. The Union	promote effective and	efficient resource	
		shall promote effective	efficient resource	mobilisation and use.	
		and efficient resource	mobilisation and use.		
		mobilisation and use.			
144.	In line with the	In line with the principle	In line with the	In line with the	Provisionally closed on
	principle of inclusive	of inclusive partnership ,	principles of inclusive	principle of inclusive	5 December 2019
	partnership, where	where appropriate, the	partnership and	partnership and	pending agreement on
	appropriate, the	Commission shall ensure	transparency, where	<i>transparency</i> , where	governance
	Commission shall	that relevant	appropriate and	appropriate, the	
	ensure that relevant	stakeholders of partner	<i>possible</i> , the	Commission shall	{1}
	stakeholders of partner	countries, including civil	Commission shall	ensure that relevant	EP: "implementation
	countries, including	society organisations and	ensure that relevant	stakeholders of partner	application"
	civil society	local authorities, are	stakeholders of partner	countries, including	CL: "implementation"
	organisations and local	duly consulted and have	countries, including	civil society	
	authorities, are duly	timely access to relevant	civil society	organisations, and local	



	(except migration)				
Migration spendi Neighbourhood	ng target and related provis	ions			
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	consulted and have timely access to relevant information allowing them to play a meaningful role during the design, implementation and associated monitoring processes of programmes.	information allowing them to play a meaningful role during the design, implementation <i>application</i> and associated monitoring processes of programmes.	organisations, <i>the</i> <i>private sector</i> and local authorities, are duly consulted and have timely access to relevant information allowing them to <i>be</i> <i>adequately involved</i> <i>and</i> play a meaningful role during the design, implementation and associated monitoring processes of programmes.	authorities, are duly consulted and have timely access to relevant information allowing them to <i>be</i> <i>adequately involved</i> <i>and</i> play a meaningful role during the design, {1} and associated monitoring processes of programmes. <i>Where</i> <i>appropriate, the</i> <i>Commission shall also</i> <i>ensure that an</i> <i>enhanced dialogue is</i> <i>pursued with the</i> <i>private sector.</i>	
145.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' systems for the implementation of programmes.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' systems for the implementation <i>application</i> of programmes.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' <i>institutions and</i> systems for the implementation of programmes.	In line with the principle of ownership the Commission, where appropriate, shall favour the use of partner countries' <i>institutions</i> <i>and</i> systems for the {1} of programmes.	Provisionally closed on 5 December 2019 pending agreement on governance {1} EP: "implementation application" CL: "implementation"
146.	5. In order to promote the complementarity and efficiency of their	5. In order to promote the complementarity and efficiency of their action,	5. In order to promote the complementarity and efficiency of their	5. In order to promote the complementarity and efficiency of their	Provisionally closed on 5 December 2019



	(except migration) ng target and related provis	ions			
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	action, the Union and the Member States shall coordinate their policies and shall consult each other on their assistance programmes, including in international organisations and during international conferences.	the Union and the Member States shall coordinate their policies and shall consult each other on their assistance programmes, including in international organisations and during international conferences.	action <i>and initiatives</i> , the Union and the Member States shall coordinate strengthen the coordination of their policies and shall <i>regularly</i> consult each other on their assistance programmes, including in international organisations and during international conferences.	action <i>and initiatives</i> , the Union and the Member States shall coordinate ensure the coordination of their policies and shall <i>regularly</i> consult each other on their assistance programmes, including in international organisations and during international conferences.	
146. bis (new line)				The Union and the Member States shall coordinate their respective support programmes with the aim of increasing effectiveness and efficiency.	Provisionally closed on 5 December 2019 Based on EP text line 115 bis.
146. ter (new line)				The Union shall foster inclusiveness in the implementation of this Regulation and collaboration with Member States seeking to maximise added value and taking into	Provisionally closed on 5 December 2019 Based on CL text line 140 bis.

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				account experience and capacities, thus reinforcing shared interests, values and common goals. The Union shall encourage the exchange of best practices and knowledge sharing among Member States' bodies and experts.	
147.	actions under this Regulation shall mainstream climate change, environmental protection and gender equality and shall address interlinkages between Sustainable Development Goals, to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way. These programmes and actions shall be based on an	6. Programmes and actions under this Regulation shall mainstream climate change, environmental protection and in accordance with Art. 11 TFEU, disaster risk reduction and preparedness, human development, conflict prevention and peace building, gender equality and the empowerment of women, children and youth, non- discrimination, education and culture,	6. Programmes and actions under this Regulation shall mainstream elimate change human rights, democracy, gender equality, environmental protection and gender equality the fight against climate change and shall address interlinkages between Sustainable Development Goals, to promote integrated actions that can create co-benefits and meet	6. Programmes and actions under this Regulation shall mainstream <i>the fight</i> <i>against</i> climate change, environmental protection, <i>human</i> <i>rights, democracy</i> , gender equality <i>and</i> , <i>where relevant, disaster</i> <i>risk reduction</i> and shall address interlinkages between Sustainable Development Goals, to promote integrated actions that can create co-benefits and meet multiple objectives in a	Provisionally closed of 5 December 2019

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	ng target and related provis	ions			
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	a resilience approach and be conflict sensitive. They shall be guided by the principle of leaving no one behind.	shall address interlinkages between Sustainable Development Goals, to promote integrated actions that can create co-benefits and meet multiple objectives in a coherent way. These programmes and actions shall be based on an analysis of <i>capacities</i> , risks and vulnerabilities, integrate a <i>people and</i> <i>community centred</i> resilience approach and be conflict sensitive. They shall be guided by the principle principles of leaving no one behind <i>and "do no harm"</i> .	coherent way. These programmes and actions shall be based on ana comprehensive multi-disciplinary analysis of context, risks and vulnerabilities, integrate a resilience approach and be conflict sensitive. They shall be guided by the principles of "do no harm" and of "leaving no one behind".	programmes and actions shall be based on ana comprehensive multi- disciplinary analysis of context, capacities, risks and vulnerabilities, integrate a resilience approach and be conflict sensitive taking into account conflict prevention and peacebuilding. They shall be guided by the principles of "do no harm" and of "leaving no one behind".	
147. bis			6 bis This Regulation shall promote the use of digitalisation as a powerful enabler for sustainable development and inclusive growth.	6 bis This Regulation shall promote the use of digitalisation as a powerful enabler for sustainable development and inclusive growth.	Provisionally closed on 5 December 2019
148.	7. A more coordinated,	7. Without prejudice to	7. A more coordinated,	7. A more coordinated,	{ <mark>1</mark> }
	holistic and structured	the other objectives of	holistic and structured	holistic and structured	

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	approach to migration shall be pursued with partners and its effectiveness be regularly assessed.	Union external action, a more coordinated, holistic and structured approach to migration shall be pursued, with partners and its effectiveness be regularly assessed, without conditioning the allocation of development aid to third countries to cooperation on migration management and in full respect of human rights, including the right of every individual to leave his or her country of origin.	approach to migration shall be pursued with partners, taking into account the importance of addressing the root causes of irregular migration and its forced displacement. It shall maximise synergies and build comprehensive partnerships, while paying specific attention to countries of origin and transit. This approach shall combine all appropriate tools and the necessary leverage through a flexible incitative approach with, as appropriate within this context, possible changes in allocation of funding. It shall take into account effective cooperation and implementation of EU	approach to migration shall be pursued with partners {1}.	EP: and its effectiveness be regularly assessed, without conditioning the allocation of development aid to third countries to cooperation on migration management and in full respect of human rights, including the right of every individual to leave his or her country of origin. CL: , taking into account the importance of addressing the root causes of irregular migration and its forced displacement. It shall maximise synergies and build comprehensive partnerships, while paying specific attention to countries of origin and transit. This approach shall

wingration spending target and related pro-

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agreements, including in the field of readmission, return and reintegration, and dialogues on migration. These actions shall be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. Hs The effectiveness of this approach shall be	
readmission, return and reintegration, and dialogues on migration. These actions shall be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. Its The effectiveness of this approach shall be	
and reintegration, and dialogues on migration. These actions shall be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. Its The effectiveness of this approach shall be	
dialogues on migration. These actions shall be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. Hs The effectiveness of this approach shall be	
migration. These actions shall be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. Its The effectiveness of this approach shall be	
actions shall be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. Its The effectiveness of this approach shall be	
<i>implemented in full</i> <i>respect of</i> <i>international law,</i> <i>including</i> <i>international human</i> <i>rights and refugee</i> <i>law, EU and national</i> <i>competences. Hs The</i> effectiveness of this <i>approach shall</i> be	
respect of international law, including international human rights and refugee law, EU and national competences. Its The effectiveness of this approach shall be	
international law, including international human rights and refugee law, EU and national competences. Its The effectiveness of this approach shall be	
<i>including</i> <i>international human</i> <i>rights and refugee</i> <i>law, EU and national</i> <i>competences. Its The</i> <i>effectiveness of this</i> <i>approach shall</i> be	
<i>international human</i> <i>rights and refugee</i> <i>law, EU and national</i> <i>competences. Hs The</i> <i>effectiveness of this</i> <i>approach shall</i> be	
rights and refugee law, EU and national competences. Its The effectiveness of this approach shall be	
<i>law, EU and national</i> <i>competences. Its The</i> effectiveness of this <i>approach shall</i> be	
<i>competences. Its The</i> effectiveness <i>of this</i> <i>approach shall</i> be	
effectiveness of this approach shall be	
approach shall be	
ware lawley accessed	
regularly assessed	
annually or as	
necessary. Migration-	
related actions of this	
Regulation shall be	
implemented in	
support of EU	
migration policy	
objectives through a	
coordinated	
mechanism based on	
the use of its	
appropriate	

combine all appropriate tools and the necessary leverage through a flexible incitative approach with, as appropriate within this context, possible changes in allocation of funding. It shall take into account effective cooperation and implementation of EU agreements, including in the field of readmission, return and reintegration, and dialogues on migration. These actions shall be implemented in full respect of international law, including international human rights and refugee law, EU and national competences. Its The effectiveness of this *approach shall* be *regularly* assessed



Migration spending target and re Neighbourhood	elated provisions			
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		components through flexible funding.		annually or as necessary. Migration- related actions of this Regulation shall be implemented in suppor of EU migration policy objectives through a coordinated mechanism based on the use of its appropriate components through flexible funding.
148. bis	7a. The Commission shall ensure that actions adopted under this Regulation in relation to security, stability and peace, in particular with regard to capacity building of military actors in support of development and security for development, fight against terrorism and organised crime, and cyber-security, are carried out in accordance with		7a. The Commission shall ensure that actions adopted under this Regulation in relation to the fight against terrorism and organised crime, cyber security and the fight against cybercrime, and capacity building of military actors in support of development and security for development are carried out in accordance with international law,	Provisionally closed



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	international law,	including international	
	including international	human rights and	
	human rights and	humanitarian law. To	
	humanitarian law.	this end the	
		Commission shall	
	The Commission may	establish an	
	develop roadmaps	appropriate risk	
	jointly with the	assessment and	
	beneficiary partners to	monitoring framework.	
	improve the institutional	In this framework, the	
	and operational	Commission shall	
	compliance of military	develop operational	
	actors with	guidance to ensure that	
	transparency and	human rights are taken	
	human rights standards.	into consideration in	
		the design and	
	The Commission shall	implementation of	
	carefully monitor,	these actions.	
	evaluate and report on		
	the application of such		
	actions for each		
	relevant objective		
	pursuant to Article 31 in		
	order to ensure		
	compliance with human		
	rights obligations.		
	rignis obriguions.		
	For such actions, the		
	Commission shall		
	pursue a conflict		
	pursue a conjuct		



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sensitive approach,	
including a rigorous	
and systematic ex ante	
conflict analysis which	
fully integrates gender	
analysis, in addition to	
the provisions on risk	
management under	
Article 8(8)b.	
The Commission shall	
adopt a delegated act in	
accordance with Article	
34 supplementing this	
Regulation by	
establishing an	
operational framework,	
based on the existing	
guidance to ensure that	
human rights are taken	
into consideration in the	
design and application	
of the measures referred	
to in this Article, in	
particular as regards the	
prevention of torture	
and other cruel,	
inhuman or degrading	
treatment and respect	
for due process,	



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	prest inno	uding the umption of cence, the right to a trial and rights of nce.		
				7b. Security related actions in point 7a shall be based on regular and robust conflict analysis to ensure conflict sensitivity and implement a security sector reform approach that contributes to democratic governance, accountability and human security including benefits for the local population.
49. 8. The Cor shall inform		ne Commission shall <i>larly</i> inform and	8. The Commission shall inform and have	sector.



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	regular exchanges of views with the European Parliament.	have regular exchanges of views meaningful policy dialogues with the European Parliament, at its own initiative and when requested by the European Parliament.	regular exchanges of views with the European Parliament <i>and the Council</i> .		
149. bis		8a. The Commission shall have regular exchanges of information with civil society and local authorities.		8a. The Commission shall have regular exchanges of information with civil society.	Provisionally closed on 5 December 2019
149. ter		8b. The Commission shall adopt a delegated act in accordance with Article 34 supplementing this Regulation by establishing an appropriate risk management framework, including an assessment and mitigations measures for each relevant objective of the Regulation.		8b. Where appropriate, the Commission shall develop and follow risk management frameworks, including assessment and mitigations measures.	Provisionally closed
149. quater		8c. Transparency and accountability, with a			Provisionally closed





	(except migration) ng target and related provis	ions			
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		strong focus on reporting and scrutiny shall underpin the entire instrument. That shall comprise a transparent control system, including the reporting of information on the recipients of funds and whether payments have been			
		made on time.			
149. quin-quies		maac on time.	Article 8 bis		Provisionally closed on 11 June 2020
149. sexies			Union funding under this Regulation shall not be used to finance the procurement of arms or ammunition, or operations having military or defence implications.	8c. Union funding under this Regulation shall not be used to finance the procurement of arms or ammunition, or operations having military or defence implications.	Provisionally closed on 11 June 2020
150.	Article 9 Capacity building of military actors in support of development and security for development	Article 9 Capacity building of military actors in support of development and security for development	Article 9 Capacity building of military actors in support of development and security for development	Article 9 Capacity building of military actors in support of development and security for development	Provisionally closed on 11 June 2020



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151.	1. In accordance with	1. In accordance with	1. In accordance with	Provisionally closed on
	Article 41(2) of the	Article 41(2) of the	Article 41(2) of the	11 June 2020
	Treaty on European	Treaty on European	Treaty on European	
	Union, Union funding	Union, Union funding	Union, Union funding	
	under this Regulation	under this Regulation	under this Regulation	
	shall not be used to	shall not be used to	shall not be used to	
	finance the procurement	finance the procurement	finance the	
	of arms or ammunition,	of arms or ammunition,	procurement of arms or	
	or operations having	or operations having	ammunition, or	
	military or defence	military or defence	operations having	
	implications.	implications. Any	military or defence	
		equipment, service or	implications.	
		technology supplied		
		under this Regulation		
		shall be subject to strict		
		transfer controls as set		
		out in the Common		
		Position		
		944/2008/CFSP, the		
		Dual-Use Regulation		
		and any other Union		
		restrictive measures in		
		force. In accordance		
		with Regulation (EU)		
		/ [EU Regulation on		
		Products used for		
		Capital Punishment and		
		Torture], this		
		Regulation shall not be		
		used to finance the		



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		provision of any type of equipment that may be used for torture, mistreatment or other human rights violations.			
152.	2. In order to contribute to sustainable development, which requires the achievement of stable, peaceful and inclusive societies, Union assistance under this Regulation may be used in the context of a wider security sector reform or to build the capacity of military actors in partner countries, under the exceptional circumstances set out in paragraph 4, to deliver development activities and security for development activities.	2. In order to contribute to sustainable development, which requires the achievement of stable, peaceful and inclusive societies, Union assistance under this Regulation may be used in the context of a wider security sector reform or to build the capacity of military actors in partner countries, under the exceptional circumstances set out in paragraph 4, to deliver development activities and security for development activities, <i>in line with the</i> <i>overarching objective of</i> <i>achieving sustainable</i> <i>development</i> .	2. In order to contribute to sustainable development, which requires the achievement of stable, peaceful and inclusive societies, Union assistance under this Regulation may be used in the context of a wider security sector reform or to build the capacity of military actors in partner countries; under the exceptional circumstances set out in paragraph 4 to deliver development activities and security for development activities.	1. In order to contribute to sustainable development, which requires the achievement of stable, peaceful and inclusive societies, Union assistance under this Regulation may be used in the context of a wider security sector reform or to build the capacity of military actors in partner countries, under the exceptional circumstances set out in paragraph 4, to deliver development activities and security for development activities.	Provisionally closed on 11 June 2020

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153.	3. Assistance pursuant to this Article may cover in particular the provision of capacity building programmes in support of development and security for development, including training, mentoring and advice, as well as the provision of equipment, infrastructure improvements and services directly related to that assistance.	3. Assistance pursuant to this Article may cover in particular the provision of capacity building programmes in support of development and security for development, including training, mentoring and advice, as well as the provision of equipment, infrastructure improvements and services directly related to that assistance.	3. Assistance pursuant to this Article may cover in particular the provision of capacity building programmes in support of development and security for development, including training, mentoring and advice, as well as the provision of equipment, infrastructure improvements and services directly related to that assistance.	2. Assistance pursuant to this Article may cover in particular the provision of capacity building programmes in support of development and security for development, including training, mentoring and advice, as well as the provision of equipment, infrastructure improvements and services directly related to that assistance.	Provisionally closed of 11 June 2020
154.	4. Assistance pursuant to this Article shall be provided only:	4. Assistance pursuant to this Article shall be provided only:	4. Assistance pursuant to this Article shall be provided only:	3. Assistance pursuant to this Article shall be provided only:	Provisionally closed of 11 June 2020
155.	 (a) where requirements cannot be met by recourse to non-military actors to adequately reach Union objectives under this Regulation and there is a threat to the existence of functioning State 	(a) where requirements cannot be met by recourse to non-military actors to adequately reach Union objectives under this Regulation and there is a threat to the existence of functioning State	(a) where requirements cannot be met by recourse to non- military actors to adequately reach Union objectives under this Regulation and there is a threat to the existence of	(a) where requirements cannot be met by recourse to non-military actors to adequately reach Union objectives under this Regulation and there is a threat to the existence of functioning State	Provisionally closed of 11 June 2020





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	institutions or to the protection of human rights and fundamental freedoms and State institutions cannot cope with that threat; and	institutions or to the protection of human rights and fundamental freedoms and State institutions cannot cope with that threat; and	functioning State institutions or to the protection of human rights and fundamental freedoms and State institutions cannot cope with that threat; and	institutions or to the protection of human rights and fundamental freedoms and State institutions cannot cope with that threat; and	
156.	(b) where a consensus exists between the partner country concerned and the Union that military actors are key for preserving, establishing or re-establishing the conditions essential for sustainable development, including in crises and fragile or destabilised contexts and situations.	(b) where a consensus exists between the partner country concerned and the Union that military actors are key for preserving, establishing or re- establishing the conditions essential for sustainable development and that those military actors are not implicated in human rights violations or pose a threat to the functioning of State institutions, including in crises and fragile or destabilised contexts and situations.	(b) where a consensus exists between the partner country concerned and the Union that military actors are key for preserving, establishing or re- establishing the conditions essential for sustainable development, including in crises and fragile or destabilised contexts and situations.	(b) where a consensus exists between the partner country concerned and the Union that military actors are key for preserving, establishing or re-establishing the conditions essential for sustainable development, including in crises and fragile or destabilised contexts and situations.	Provisionally closed on 11 June 2020
157.	5. Union assistance pursuant to this Article	5. Union assistance pursuant to this Article	5. Union assistance pursuant to this Article	4. Union assistance pursuant to this Article	Provisionally closed on 11 June 2020

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	shall not be used to	shall not be used to	shall not be used to	shall not be used to	
	finance capacity	finance capacity building	finance capacity	finance capacity	
	building of military	of military actors for	building of military	building of military	
	actors for purposes	purposes other than the	actors for purposes	actors for purposes	
	other than the delivery	delivery of development	other than the delivery	other than the delivery	
	of development	activities and security for	of development	of development	
	activities and security	development activities.	activities and security	activities and security	
	for development	In particular, it shall not	for development	for development	
	activities. In particular,	be used to finance:	activities. In particular,	activities. In particular,	
	it shall not be used to finance:		it shall not be used to	it shall not be used to	
158.			finance:	finance:	
130.	(a) recurrent military expenditure;	(a) recurrent military expenditure;	(a) recurrent military expenditure;	(a) recurrent military expenditure;	Provisionally closed on 11 June 2020
159.	(b) the procurement of	(b) the procurement of	(b) the procurement of	(b) the procurement of	Provisionally closed on
157.	arms and ammunition,	arms and ammunition, or	arms and ammunition,	arms and ammunition,	11 June 2020
	or any other equipment	any other equipment	or any other equipment	or any other equipment	11 June 2020
	designed to deliver	designed to deliver lethal	designed to deliver	designed to deliver	
	lethal force;	force;	lethal force;	lethal force;	
160.	(c) training which is	(c) training which is	(c) training which is	(c) training which is	Provisionally closed on
	designed to contribute	designed to contribute	designed to contribute	designed to contribute	11 June 2020
	specifically to the	specifically to the	specifically to the	specifically to the	
	fighting capacity of the	fighting capacity of the	fighting capacity of the	fighting capacity of the	
	armed forces.	armed forces.	armed forces.	armed forces.	
161.	6. When designing and	6. When designing and	6. When designing and	5. When designing and	Provisionally closed on
	implementing measures	implementing applying	implementing	{1} measures pursuant	11 June 2020 pending
	pursuant to this Article,	measures pursuant to this	measures pursuant to	to this Article, the	agreement on
	the Commission shall	Article, the Commission	this Article, the	Commission shall	governance
	promote ownership by	shall promote ownership	Commission shall	promote ownership by	
	the partner country. It	by the partner country. It	promote ownership by	the partner country. It	{1}
	shall also develop the	shall also develop the	the partner country. It	shall also develop the	EP: <i>applying</i>

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance Image: Second practices required to ensure	ctices
Neighbourhood Amounts Governance necessary elements and the good practices required to ensure necessary elements and the good practices shall also develop the necessary elements and the good practices Image: Neighbourhood Amounts necessary elements and the good practices necessary elements and the good practices shall also develop the necessary elements and the good practices necessary elements and the good practices	ctices
Amounts Governance necessary elements and the good practices required to ensure necessary elements and the good practices required to ensure shall also develop the necessary elements and the good practices necessary elements and the good practices	ctices
Governance necessary elements and the good practices required to ensure necessary elements and the good practices shall also develop the necessary elements and the good practices necessary elements and the good practices	ctices
necessary elements and the good practices required to ensurenecessary elements and the good practicesshall also develop the necessary elements and the good practicesnecessary elements the good practices	ctices
sustainability in the medium and long term and shall promote the rule of law and established international law principles.sustainability and accountability in the medium and long term and shall promote the rule of law and established international law principles.required to ensure sustainability in the medium and long term and shall promote the rule of law and established international law principles.sustainability and accountability and shall promote the rule of law and established international 	<i>and</i> <i>ty</i> in the long term mote the nd nternational



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance root causes of conflict. The Commission shall also ensure that actions aimed at reforming military forces contribute to making them more transparent, accountable and compliant with the human rights of those coming under their jurisdiction. For measures aimed at providing partner military forces with equipment, the Commission shall specify the type of equipment to be provided in the context of each measure. The Commission shall apply the provisions specified under Article 8 – paragraph 8 b (new) in order to ensure that this equipment will be used only by its intended beneficiaries.

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162.	7. The Commission shall establish appropriate risk assessment, monitoring and evaluation procedures for measures pursuant to this Article.	7. The Commission shall establish appropriate risk assessment, monitoring and undertake, within the evaluation procedures for measures pursuant to this Article 32, and in particular with regard to a mid- term evaluation, joint evaluations with Member States. The results shall inform programme design and resource allocation, and further enhance the consistency and complementarity of the Union's external action.	7. The Commission shall establish appropriate risk assessment, monitoring and evaluation procedures for measures pursuant to this Article.		Provisionally closed on 11 June 2020 Covered under Art.8(<mark>7a</mark>)
163.	TITLE II IMPLEMENTATION OF THIS REGULATION	TITLE II IMPLEMENTATION APPLICATION OF THIS REGULATION	TITLE II IMPLEMENTATION OF THIS REGULATION	TITLE II {1} OF THIS REGULATION	Provisionally closed on 20 February 2020 pending discussions on governance {1} EP: <i>application</i> CL: implementation
164.	Chapter I Programming	Chapter I Programming	Chapter I Programming		Provisionally closed on 20 February 2020

Aigration spending target an	u relateu provisions	
eighbourhood		
mounts		
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164. bis	Article 9a Scope of the Geographic programmes	EP and CL maintain their mandates
164. ter	1. Union cooperation activities under this Article shall be applied for activities of a local, national, regional, trans-regional and continental nature.	EP and CL maintain their mandates
164. quater	2. In order to attain the objectives laid down in Article 3, geographic programmes shall be drawn up from the following areas of cooperation:	EP and CL maintain their mandates
164. quin-quies	(a) good governance, democracy, rule of law, human rights, fundamental freedoms and civil society;	EP and CL maintain their mandates
164. sexies	(b) poverty eradication, fight against inequalities and human development;	EP and CL maintain their mandates
164. septies	(c) migration and mobility;	EP and CL maintain their mandates
164. octies	(d) environment and climate change;	EP and CL maintain their mandates



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overnance	(e) inclusive and	EP and CL maintain
	sustainable economic	their mandates
	growth and decent	then mandates
	5	
CA 1	employment;	
.64. decies	(f) security, stability and	EP and CL maintain
	peace;	their mandates
64. un-decies	(g) partnership;	EP and CL maintain
		their mandates
64. duo-decies	3. Further details of the	EP and CL maintain
	areas of cooperation	their mandates
	referred to in paragraph	
	2 are set out in Annex	
64. ter-decies	Article9b	EP and CL maintain
	Scope of the thematic	their mandates
	programmes	
64. quater-decies	1. Thematic	EP and CL maintain
	programmes shall cover	their mandates
	the following areas of	
	intervention:	
64. quin-decies	(a) Human Rights,	EP and CL maintain
	Fundamental Freedoms	their mandates
	and Democracy:	
	– protecting and	
	promoting human	
	rights and human	
	rights defenders in	
	countries and	
	urgency situations	
	where human rights	
	where numun rights	



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	and fundamental
	freedoms are most at
	risk, including
	through addressing
	urgent protection
	needs of human
	rights defenders in a
	flexible and
	comprehensive
	manner.
	– upholding human
	rights and
	fundamental
	freedoms for all,
	contributing to
	forging societies in
	which participation,
	non-discrimination,
	equality, social
	justice and
	accountability
	prevails.
	- consolidating and
	supporting
	democracy,
	addressing all
	aspects of
	democratic
	governance,
	including



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	reinforcing
	democratic
	pluralism,
	enhancing citizen
	participation,
	including through
	supporting citizen
	election observation
	organisations and
	their regional
	networks worldwide,
	creating an enabling
	environment for
	civil society and
	supporting credible,
	inclusive and
	transparent electoral
	processes
	throughout the
	entire electoral
	cycle, in particular
	by means of EU
	Election
	Observation
	Missions (EU
	EOMs).
	– promoting effective
	multilateralism and
	strategic
	partnerships



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance contributing to reinforcing capacities of international, regional and national frameworks and empowering local actors in promoting and protecting human rights, democracy and the rule of law. fostering new cross-_ regional synergies and networking among local civil societies and between civil society and other relevant human rights bodies and mechanisms so as to maximise the sharing of best practices on human

rights and democracy, and create positive dynamics.



Migration spending target and	related provisions	
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Governance		
164. sex-decies	 (b) Civil Society Organisations and Local Authorities: supporting inclusive, participatory, empowered and independent civil society in partner countries; promoting dialogue with and between civil society organisations; supporting capacity building of local authorities and mobilising their expertise to promote a territorial approach to development; increasing awareness, knowledge and engagement of Union citizens about objectives specified in Article 3 of this 	EP and CL maintain their mandates



ligration spending target and	related provisions	
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mounts		
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	 supporting civil society to participate in public policy advocacy and dialogue with governments and international institutions; supporting civil society to sensitise consumers and citizens and raise their awareness about environmental friendly and fair trade production and consumption, to encourage them to adopt more sustainable behaviour; 	
64. sept-decies	(c) Stability and Peace - assistance for conflict prevention,	EP and CL maintain their mandates
	peace-building and crisis preparedness; – assistance in	
	addressing global	
	and trans-regional	



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	threats and emerging threats;	
164. octo-decies	threats and emerging threats; (d) Global challenges - health, - education, - gender equality, - children and youth, - migration and forced displacement, - decent work, social protection and inequality, - culture, - ensuring a healthy environment and tackling climate change, - sustainable energy, - sustainable and inclusive growth, decent jobs and	EP and CL maintain their mandates
	private sector engagement, - food and nutrition, - promoting inclusive societies, good economic governance, and transparent public	

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Aigration spending target and Neighbourhood		
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	finance management, – access to safe water, sanitation and hygiene,	
164. novo-decies	(e) Foreign Policy Needs and Priorities - providing support for the Union's bilateral, regional and inter-regional cooperation strategies, promoting policy dialogue and developing collective approaches and responses to challenges of global concern; - providing support for Union trade policy; - contributing to the implementation of the international dimension of internal Union policies and promoting the	EP and CL maintain their mandates

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Governance					
		widespread understanding and visibility of the Union and of its role on the world scene;			
164. vicies		2. Further details of the areas of cooperation referred to in paragraph 1 are set out in Annex III.			EP and CL maintain their mandates
165.	Article 10 General programming approach	Article 10 General programming approach	Article 10 General programming approach	Article 10 General programming approach	Provisionally closed on 20 February 2020
166.	 Cooperation and interventions under this Regulation shall be programmed, except for rapid response actions referred to in Article 4 (4). 	1. Cooperation and interventions under this Regulation shall be programmed, except for rapid response actions referred to in Article 4 (4).	1. Cooperation and interventions under this Regulation shall be programmed, except for rapid response actions referred to in Article 4 (4).	1. Cooperation and interventions under this Regulation shall be programmed, except for rapid response actions referred to in Article 4 (4).	Provisionally closed on 20 February 2020
167.	2. On the basis or Article 7, programming under this Regulation shall be based on the following:	2. On the basis or Article 7, programming under this Regulation shall be based on the following:	2. On the basis or of Article 7, programming under this Regulation shall be based on the following:	2. On the basis or <i>of</i> Article 7, programming under this Regulation shall be based on the following:	Provisionally closed on 20 February 2020
168.	(a) programming documents shall provide a coherent framework for cooperation between the Union and partner	(a) programming documents shall provide a coherent framework for cooperation between the Union and partner	(a) programming documents shall provide a coherent framework for cooperation between	(a) programming documents shall provide a coherent framework for cooperation between the Union and partner	Provisionally closed on 20 February 2020

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overnance					
	countries or regions, consistent with the overall purpose and scope, objectives and principles set out in this Regulation;	countries or regions, consistent with the overall purpose and scope, objectives and principles set out in this Regulation, and based on Union strategy towards a partner country or region or based on Union thematic strategies;	the Union and partner countries or regions, consistent with the overall purpose and scope, objectives and principles set out in this Regulation;	countries or regions, consistent with the overall purpose and scope, objectives and principles set out in this Regulation;	
168. bis			(a bis) when drawing up the programming documents for countries and regions in crisis, or post-crisis, and for fragile and vulnerable situations, a conflict analysis shall be conducted to ensure conflict sensitivity, and due account shall be taken of the special needs and circumstances of the countries or regions concerned;	(a bis) when drawing up the programming documents for countries and regions in crisis, or post-crisis, and for fragile and vulnerable situations, a conflict analysis shall be conducted to ensure conflict sensitivity, and due account shall be taken of the special needs and circumstances of the countries or regions concerned and their population.	Provisionally closed of 20 February 2020
168.			Where partner	Where partner	Provisionally closed of
ter			countries, or regions	countries, or regions	20 February 2020

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Migration spen	ts (except migration) ding target and related provis	ions			
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			are directly involved in, or affected by, a crisis, post-crisis or situation of fragility, special emphasis shall be placed on stepping up coordination amongst all relevant actors to help the prevention of violence and the transition from an emergency situation to stable peace and sustainable development. Special attention shall be given to ensure that actions financed under this Regulation do no harm and do not unintentionally exacerbate conflicts;	are directly involved in, or affected by, a crisis, post-crisis or situation of fragility, special emphasis shall be placed on stepping up coordination amongst all relevant actors to help with the transition from an emergency situation to sustainable development and stable peace, including the prevention of violence;	
169.	 (b) the Union and the Member States shall consult each other at an early stage of and throughout the programming process in 	(b) the Union and the Member States shall consult each other at an early stage of and throughout the programming process in	(b) the Union and the Member States shall consult ensure inclusive consultations with each other at an early stage of and	(b) the Union and the Member States shall consultensure inclusive consultations with each other at an early stage of and throughout the	Provisionally closed on 20 February 2020
	order to promote coherence,	order to promote coherence,	throughout the programming process	programming process in order to promote	





ation spending target and related prov hbourhood			
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complementarity and consistency among their cooperation activities. Joint programming shall be the preferred approach for country programming. Joint programming shall be open to other donors where relevant;	cooperation activities.	in order to promote coherence, complementarity and consistency among their cooperation activities. Joint programming shall be the preferred approach for country programming. Joint programming. Joint programming shall be open to other donors where relevant and its implementation shall be flexible, inclusive and driven at country level. Joint programming shall be open to other relevant donors and actors when this is assessed to be relevant by the Union and the Member States. In addition, the Union and the Member States shall, whenever appropriate, seek to support partner	coherence, complementarity and consistency among their cooperation activities. Joint programming shall be the preferred approach for country programming . Joint programming shall be open to other donors where relevant and its <i>implementation shall</i> <i>be flexible, inclusive</i> <i>and driven at country</i> <i>level. Joint</i> <i>programming shall be</i> <i>open to other relevant</i> <i>donors and actors</i> <i>when this is assessed to</i> <i>be relevant by the</i> <i>Union and the Member</i> <i>States. In addition, the</i> <i>Union and the Member</i> <i>States shall, whenever</i> <i>appropriate, seek to</i> <i>support partner</i> <i>countries through joint</i> <i>implementation;</i>

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			countries through joint implementation;		
170.	(c) the Union shall also consult other donors and actors, including representatives of civil society and local authorities, where relevant;	(c) the Union shall also consult at an early stage and throughout the programming process encourage a regular multi-stakeholder and inclusive dialogue with other Union and non- Union donors and actors, including representatives of civil society and local authorities, where relevant; and private and political foundations. The European Parliament shall be informed about the outcome of those consultations.	(c) the Union shall also consult other donors and actors, including <i>local authorities</i> , representatives of civil society and-local authorities, where relevant; <i>the private</i> <i>sector, in order to</i> <i>facilitate their</i> <i>respective</i> <i>contributions</i> , as appropriate, and to ensure they play a meaningful role in programming;	(c) the Union shall also consult at an early stage and throughout the programming process encourage a regular multi- stakeholder and inclusive dialogue with other donors and actors, including local authorities, representatives of civil society, foundations and-local authorities the private sector, where relevant, in order to facilitate their respective contributions, as appropriate, and to ensure they play a meaningful role in programming;	Provisionally closed on 20 February 2020
171.	(d) the Human Rights and Democracy and Civil Society thematic programmes referred to in Article 4(3)(a) and	 (d) the Human Rights and Democracy, and Civil Society Organisations and Local Authorities, and 	(d) the Human Rights and Democracy and Civil Society thematic programmes referred to in Article 4(3)(a) and	(d) the Human Rights and Democracy and Civil Society {1} thematic programmes referred to in Article	Provisionally closed on 20 February 2020 pending discussions on local authorities



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(b) sh assist indep conse and o autho count These progr main	ance endently of the ent of governments ther public prities of the third cries concerned. e thematic cammes shall ly support civil ty organisations.	Stability and Peace thematic programmes referred to in Article 4(3)(a) and (b) and (c) shall provide assistance independently of the consent of governments and other public authorities of the third countries concerned. These The Human Rights and Democracy, and the Civil Society Organisations and Local Authorities thematic programmes shall mainly support civil society, organisations including human rights defenders and journalists under pressure.	(b) shall provide assistance independently of the consent of governments and other public authorities of the third countries concerned. These thematic programmes shall mainly support civil society organisations actors at global, regional, national and local levels, as well as where relevant, human rights defenders and victims of oppression and abuse, with a high degree of flexibility in terms of implementation modalities, including administrative procedures and funding mechanisms.	4(3)(a) and (b)shall provide assistance independently of the consent of governments and other public authorities of the third countries concerned. These thematic programmes shall mainly support civil society organisations actors at all levels taking into account, forms and methods of implementation, as referred to in Article 23 (3). The European Parliament and the	<pre>{1} EP: "and Local Authorities" CL: no text Provisionally closed</pre>
				Council shall be informed about the	



Spending targets (except migration)			
Aigration spending target and related prove	sions		
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		outcome of the	
		consultations foreseen	
		under points (b) and	
		(c).	
		Programming	Provisionally closed of
		documents for	20 February 2020
		geographic programmes	201 COTMATy 2020
		shall be results-based	(Former line 185,
		and shallinclude,	moved as per
		wherever possible,	agreement)
		clear targets and	"agreement)
		<i>indicators</i> . take into	
		account-Indicators	
		shall be based, where	
		appropriate, on	
		internationally agreed	
		targets and indicators,	
		in particular those set	
		out for the Sustainable	
		Development Goals, as	
		well as country-level	
		result frameworks, to	
		assess and communicate	
		the Union contribution	
		to results, at the level of	
		outputs, outcomes and	
		impact.	
171. bis	3. Programming		Provisonally closed
	documents may be		To be covered under
	reviewed at their mid-		lines 205 and 206



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			term or on an ad-hoc		
			basis, as necessary.		
172.	Article 11	Article 11	Article 11	Article 11	Provisonally closed on
	Programming principles	Programming principles	Programming	Programming principles	20 February 2020
	for geographic	for geographic	principles for	for geographic	
	programmes	programmes	geographic	programmes	
			programmes		
172. bis		-1. Programming under			Provisonally closed on
		this Regulation shall			20 February 2020
		have due regard to			
		human rights,			
		fundamental freedoms,			
		good governance and			
		democracy in partner			
170		countries.			
172. ter		-1a. The preparation,			Provisonally closed on
		application and review			20 February 2020
		of all programming			
		documents under this			
		Article shall comply			
		with the principles of			
		policy coherence for development and those			
		of aid effectiveness.			
172. quater		-1b. Geographic and			Provisonally closed on
r / 2. quater		thematic programmes			20 February 2020
		shall be complementary			20 1 e0iuui y 2020
		and coherent with each			
		unu conerent with each			



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		other, and create added value.			
173.	1. Programming of geographic programmes shall be based on the following principles:	1. Programming of geographic programmes shall be based on the following principles:	1. Programming of geographic programmes shall be based on the following principles:	1. Programming of geographic programmes shall be based on the following principles:	Provisonally closed on 20 February 2020
174.	(a) without prejudice to paragraph 4, actions shall be based, to the extent possible, on a dialogue between the Union, the Member States and the partner countries concerned, including national and local authorities, involving civil society, national and local parliaments and other stakeholders, in order to enhance ownership of the process and to encourage support for national and regional strategies;	(a) without prejudice to paragraph 4, actions shall be based, to the extent possible, on a on an inclusive dialogue between the institutions of the Union, the Member States and the partner countries concerned, including national and local and regional authorities, involving civil society organisations, regional, national and local parliaments, communities and other stakeholders, in order to enhance democratic ownership of the process and to encourage support for national and regional strategies;	(a) without prejudice to paragraph 4, actions shall be based, to the extent possible, on an <i>early and continuous</i> a dialogue between the Union, the Member States and the partner countries concerned, including national and local authorities, involving civil society, national and local parliaments and other stakeholders, in order to enhance ownership of the process and to encourage support for national and regional strategies;	(a) without prejudice to paragraph 4, actions shall be based, to the extent possible, on an <i>early, continuous and</i> <i>inclusive</i> dialogue between the Union, the Member States and the partner countries concerned, including national, and local <i>and</i> <i>regional</i> authorities, involving civil society <i>organisations, regional</i> , national and local parliaments and other stakeholders, in order to enhance <i>democratic</i> ownership of the process and to encourage support for national and regional strategies;	Provisonally closed on 20 February 2020



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Governance					
175.	(b) where appropriate, the programming period shall be synchronised with the strategy cycles of partner countries;	(b) where appropriate whenever possible, the programming period shall be synchronised with the strategy cycles of partner countries;	(b) where appropriate, the programming period shall be synchronised with the strategy cycles of partner countries. <i>The</i> <i>programming should</i> <i>be aligned as much as</i> <i>possible to the</i> <i>national government's</i> <i>strategies, plans and</i> <i>cycles;</i>	(b) where appropriate, the programming period shall be synchronised <i>and aligned</i> with the strategy cycles of partner countries;	Provisionally closed or 20 February 2020
176.	 (c) programming may envisage cooperation activities funded from different allocations listed in Article 6(2) and from other Union Programmes according to their basic acts. 	(c) programming may envisage cooperation activities funded from different allocations listed in Article 6(2) and from other Union Programmes according to their basic acts.	(c) programming may envisage cooperation activities funded from different allocations listed in Article 6(2) and from other Union Programmes according to their basic acts.	(c) programming may envisage cooperation activities funded from different allocations listed in Article 6(2) and from other Union Programmes according to their basic acts.	Provisionally closed on 20 February 2020
177.	2. Programming of geographic programmes shall provide a specific, tailor-made framework for cooperation based on:	2. Without prejudice to paragraph 1, programming of geographic programmes shall provide a specific, tailor-made framework for cooperation based on:	2. Programming of geographic programmes shall provide a specific, tailor-made framework for cooperation based on:	2. Programming of geographic programmes shall provide a specific, tailor-made framework for cooperation based on:	Provisionally closed on 20 February 2020
178.	(a) the partners' needs, established on the basis	(a) the partners' needs, established on the basis	(a) the partners' needs, established on the basis	(a) the partners' needs, established on the basis	Provsionally agreed on 20 February 2020

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	(except migration) ing target and related provis	sions			
Governance	of specific criteria, taking into account the population, poverty, inequality, human development, economic and environmental vulnerability, and state and societal resilience;	of specific criteria <i>and</i> <i>in-depth analysis</i> , taking into account the population, poverty, inequality, human development, <i>the state</i> <i>of human rights</i> , <i>fundamental freedoms</i> , <i>democracy and gender</i> <i>equality, civic space</i> , economic and environmental vulnerability, and state and societal resilience;	of specific criteria, taking into account the population, poverty, inequality inequalities, human development, economic and environmental vulnerability, and state and societal resilience and the impact of protracted and recurrent crises;	of specific criteria, taking into account the population, poverty, inequality, human development, economic and environmental vulnerability, and state and societal resilience <i>and the impact of</i> <i>protracted and</i> <i>recurrent crises</i> ;	
179.	(b) the partners' capacities to generate and access financial resources and on their absorption capacities;	(b) the partners' capacities to generate and access financial of mobilisation and effective use of domestic resources to support national development priorities and on their absorption capacities;	(b) the partners' capacities to generate and access financial resources, and on their absorption capacities the partners' capacity and commitment to promote shared interests and values, including rule of law and human rights, and to support common goals and multilateral alliances and cooperation, a rules- based international	(b) the partners' capacities to generate and access financial resources and on their absorption capacities the partners' capacity and commitment to promote shared values, principles and interests, including human rights, fundamental freedoms, democracy, rule of law, good governance, fight against corruption, open civic space and	Provisionally closed on 20 February 2020



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance system, as well as the gender equality and to advancement of Union support common goals and multilateral priorities; alliances and cooperation, a rulesbased international system. as well as the advancement of Union priorities; 180. (c) the partners' (c) the partners' (c) the partners' (c) the partners' Provisionally closed on commitments and commitments and commitments, and commitments. 20 February 2020 performance, performance including performance including including those jointly established on the basis those jointly agreed with concrete reform agreed with the Union. and performance the Union, and efforts, progress, established of criteria such as political reform and established on the basis on the basis of criteria established on the basis economic and social such as political of criteria such as of criteria such as development; political reform, and reform, sustainable political reform: and economic and social economic and social progress in the rule of law, good governance, development, *taking* development, human rights and the into account the environmental fight against corruption, specificities and sustainability, and the economic and social development level of effective use of aid. development, partner countries: taking into account the specificities and environmental sustainability, and the development level of effective use of aid; partner countries; 181. (d) the potential impact (d) the potential impact (d) the potential impact (d) the potential impact Provisionally closed on

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regions;

of Union funding in

partner countries and

regions;

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partner countries and

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partner countries and

regions;



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Migration spendi	(except migration) ng target and related provis	ions			
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Governance 182.	(e) the partner's capacity and commitment to promote shared interests and values, and to support common goals and multilateral alliances, as well as the advancement of Union priorities.	(e) the partner's capacity and commitment to promote shared <i>values</i> , <i>principles and</i> <i>fundamental</i> interests and values, and to support common goals and multilateral alliances, as well as the advancement of Union priorities.	(e) the partners' capacity and commitment to promote shared interests and values, including rule of law and human rights, and to support common goals and multilateral alliances, as well as the advancement of Union priorities. the partners' capacities to generate and access financial resources, their absorption capacities and their ability to manage resources transparently.	(e) the partners' capacity and commitment to promote shared interests and values, and to support common goals and multilateral alliances, as well as the advancement of Union priorities. the partners' capacities to mobilise and make effective use of domestic resources as well as to access financial resources, to manage resources transparently in support of national development priorities and-their absorption capacities;	Provisionally closed on 20 February 2020
183.	3. The countries most in need, in particular the Least Developed Countries, low income countries, countries in crisis, post-crisis, fragile and vulnerable situations, including small islands	3. The countries most in need, in particular the Least Developed Countries, low income countries, countries in crisis, post-crisis, fragile and vulnerable situations, including small islands developing	3. The countries most in need, in particular the Least Developed Countries, lowincome countries, countries in crisis, post-crisis, fragile and vulnerable situations, including small islands	3. The countries most in need, in particular the Least Developed Countries, low income countries, countries in crisis, post-crisis, fragile and vulnerable situations, including small islands	Provisionally closed on 20 February 2020



Amounts					
Governance					
	developing states, shall be given priority in the resource allocation process.	states, shall be given priority in the resource allocation process.	developing states <i>and</i> <i>landlocked developing</i> <i>countries</i> , shall be given priority in the resource allocation process ⁵⁷ .	developing states <i>and</i> <i>landlocked developing</i> <i>countries</i> , shall be given priority in the resource allocation process.	
183. bis			3bis In addition, the Union shall address the specific challenges of Middle Income Countries and in particular countries that graduate from lower income status.	3 bis In addition, the Union shall address the specific challenges of Middle Income Countries and in particular countries that graduate from lower income status.	Provisionally closed on 20 February 2020
184.	4. Cooperation with industrialised countries shall focus on the promotion of Union and mutual interests.	4. Cooperation with industrialised countries shall focus on the promotion of Union and mutual interests, as well as shared fundamental interests and values, commonly agreed objectives and multilateralism. Such cooperation shall be, where relevant, based	4. Cooperation with industrialised countries shall focus on the promotion of Union and mutual interests.	4. Cooperation with industrialised countries shall focus on the promotion of Union and mutual interests <i>and</i> <i>values</i> , <i>as well as</i> <i>commonly agreed</i> <i>objectives and</i> <i>multilateralism</i> .	Provisionally closed on 20 February 2020

⁵⁷ *Rules for allocation to countries in the Neighbourhood are covered in article 16 and a derogation from this article 11 has been inserted in article 16.2.*



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		on a dialogue between		
		the Union, including the		
		European Parliament,		
		and the Member States,		
		involving civil society.		
185.	5. Programming	5. Programming	5. Programming	Provisionally closed on
	documents for	documents for	documents for	20 February 2020
	geographic programmes	geographic programmes	geographic	
	shall be results-based	shall be results-based	programmes shall be	Compromise moved
	and shall take into	and shall take into	results-based and shall	after line 171 in article
	account, where	account results oriented	take into account,	10
	appropriate,	and include, wherever	where appropriate,	
	internationally agreed	possible, clear targets	internationally agreed	
	targets and indicators,	and indicators to	targets and indicators,	
	in particular those set	measure progress and	in particular those set	
	out for the Sustainable	impact of Union	out for the Sustainable	
	Development Goals, as	assistance. Indicators	Development Goals, as	
	well as country-level	may be based, where	well as country-level	
	result frameworks, to	appropriate, <i>on</i>	result frameworks, to	
	assess and communicate	internationally agreed	assess and	
	the Union contribution	targets and indicators,	communicate the	
	to results, at the level of	standards in particular	Union contribution to	
	outputs, outcomes and	those set out for the	results, at the level of	
	impact.	Sustainable	outputs, outcomes and	
		Development Goals, as	impact.	
		well as country-level		
		result frameworks , to		
		assess and communicate		
		the Union contribution to		
		results, at the level of		

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		outputs, outcomes and		
		impact.		
186.	6. When drawing up the	6. When drawing up the	6. When drawing up	Provisionally closed on
	programming	programming documents	the programming	20 February 2020
	documents for countries	for countries and regions	documents for	
	and regions in crisis, or	in crisis, or post-crisis,	countries and regions	Text moved into line
	post-crisis, fragile and	fragile and vulnerable	in crisis, or post-crisis,	168 bis
	vulnerable situations,	situations, due account	fragile and vulnerable	
	due account shall be	shall be taken of the	situations, due account	
	taken of the special	special needs and	shall be taken of the	
	needs and	circumstances of the	special needs and	
	circumstances of the	countries or regions	circumstances of the	
	countries or regions	concerned, as well as	countries or regions	
	concerned.	vulnerabilities, risks and	concerned.	
		capacities in order to		
		increase resilience.		
		Attention shall also be		
		paid to conflict		
		prevention, State and		
		peace-building, post-		
		conflict reconciliation		
		and reconstruction,		
		disaster preparedness as		
		well as to the role of		
		women and the rights of		
		children in those		
		processes. A human-		
		rights based and people-		
		centred approach shall		
		be applied.		



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187.	Where partner countries	Where partner countries	Where partner		Provisionally closed on
	or regions are directly	or regions are directly	countries or regions are		20 February 2020
	involved in, or affected	involved in, or affected	directly involved in, or		
	by, a crisis, post-crisis	by, a crisis, post-crisis or	affected by, a crisis,		Text moved into line
	or situation of fragility,	situation of fragility,	post-crisis or situation		168 ter
	special emphasis shall	special emphasis shall be	of fragility, special		
	be placed on stepping	placed on stepping up	emphasis shall be		
	up coordination	coordination amongst all	placed on stepping up		
	amongst all relevant	relevant actors to help	coordination amongst		
	actors to help the	the prevention of	all relevant actors to		
	transition from an	violence and the	help the transition from		
	emergency situation to	transition from an	an emergency situation		
	the development phase.	emergency situation to	to the development		
		the development phase.	phase.		
188.	7. This Regulation shall	7. This Regulation shall	7. This Regulation	7. This Regulation shall	{ <mark>1</mark> }
	contribute to actions	contribute <i>from the</i>	shall contribute to	contribute to actions	EP: An indicative
	established under	programmes established	actions established	established under	amount of
	Regulation (EU) No.	under Article 4(2) of	under Regulation (EU)	Regulation (EU) No.	EUR 2 000 000 000
	/ (Erasmus). A	<i>this Regulation</i> to	No/ (Erasmus).	/ (Erasmus). { <mark>1</mark> } A	from the geographical
	single programming	actions established under	A single programming	single programming	programmes should be
	document shall be	the Erasmus Regulation.	document shall be	document shall be	allocated to actions
	drawn up from this	An indicative amount of	drawn up from this	drawn up from this	dedicated to mobility,
	Regulation for seven	EUR 2 000 000 000	Regulation for seven	Regulation for seven	cooperation and
	years, including funds	from the geographical	years, including funds	years, including funds	political dialogue with
	from Regulation (EU)	programmes should be	from Regulation (EU)	from Regulation (EU)	the authorities,
	No/ (IPA III).	allocated to actions	No/ (IPA III).	No/ (IPA III).	institutions and
	Regulation (EU) No.	dedicated to mobility,	Regulation (EU) No.	Regulation (EU) No.	organisations of the
	/ (Erasmus) shall	cooperation and	/ (Erasmus) shall	/ (Erasmus) shall	partner countries.
	apply to the use of these	political dialogue with	apply to the use of	apply to the use of these	
	funds.	the authorities,	these funds.	funds.	CL: no text



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	institutions and organisations of the partner countries. A single programming document shall be drawn up from this Regulation for seven years, including funds from the IPA III Regulation. The Erasmus Regulation shall apply to the use of these funds, while ensuring conformity with the IPA III	
188. bis	Regulation. 7a. This Regulation shall contribute to actions established under the Creative	Provisionally closed of 20 February 2020 See recital 22 bisr
	Europe Regulation. A single programming document shall be drawn up from this Regulation for seven years, including funds from the IPA III Regulation. The Creative Europe Regulation shall apply	

Neighbourho	ending target and related provisood				
Amounts					
Governance					
		to the use of these funds.			
189.	Article 12 Programming documents for geographic programmes	Article 12 Programming documents for geographic programmes	Article 12 Programming documents for geographic programmes	Article 12 Programming documents for geographic programmes	Provisionally closed
189. bis		-1. The Commission is empowered to adopt delegated acts in accordance with Article 34 in order to supplement non- essential elements of this Regulation by establishing frameworks for each specific country and multi- country multiannual programme. Those framework provisions shall:			<pre>{1} EP:-1. The Commission is empowered to adopt delegated acts in accordance with Article 34 in order to supplement non- essential elements of this Regulation by establishing frameworks for each specific country and multi-country multiannual programme. Those framework provisions shall: CL: no text</pre>
189. ter		(a) specify the priority areas among the ones		{ <mark>1</mark> }	{ <mark>1</mark> }

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Neighbourhood			
Amounts			
lovernance			
	defined in Articles 9a and 15b;		EP: (a) specify the priority areas among the ones defined in Articles 9a and 15b; CL: no text
189. quater	(b) lay down the specific detailed and measurable objectives of each programme;	{ <mark>1</mark> }	 {1} EP:(b) lay down the specific detailed and measurable objectives of each programme; CL: no text
189. quin-quies	(c) set expected results with measurable targets, and clear and specific performance indicators linked to the objectives;	{ <mark>1</mark> }	<pre>{1} EP: (c) set expected results with measurable targets, and clear and specific performance indicators linked to the objectives; CL: no text</pre>
189. sexies	(d) set out the indicative financial allocation both overall and per priority area;	{ <mark>1</mark> }	{1} EP: (d) set out the indicative financial allocation both overall and per priority area;

Neighbourhood Amounts Governance							
					CL: no text		
189. septies		(e) establish cooperation modalities, including contributions to the External Action Guarantee.		{ <mark>1</mark> }	 {1} EP: (e) establish cooperation modalities including contribution to the External Action Guarantee. CL: no text 		
190.	1. The implementation of this Regulation shall be carried out for geographic programmes through multiannual country and multi- country indicative programmes.	1. The implementation of this Regulation shall be carried out for geographic programmes through multiannual country and multi- country indicative programmes.	1. The implementation of this Regulation shall be carried out for geographic programmes through multiannual country and multi-country indicative programmes.	{ <mark>1</mark> }	{1} EP: no text CL: 1. The implementation of this Regulation shall be carried out for geographic programment through multiannual country and multi- country indicative programmes.		
191.	2. Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected	2. Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives, the expected	2. Multiannual indicative programmes shall set out the priority areas selected for Union financing, the specific objectives,	{ <mark>1</mark> }	 {1} EP: no text CL: 2. Multiannual indicative programmes 		

Neighbourhoo Amounts					
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	results, clear and specific performance indicators, and the indicative financial allocations, both overall and per priority area.	results, clear and specific performance indicators, and the indicative financial allocations, both overall and per priority area.	the expected results, clear and specific performance indicators, and the indicative financial allocations, both overall and per priority area <i>and</i> , <i>where</i> <i>applicable</i> , <i>the</i> <i>methods of</i> <i>implementation</i> .		shall set out the priority areas selected for Unio financing, the specific objectives, the expecte results, clear and specific performance indicators, and the indicative financial allocations, both overa and per priority area <i>and, where applicable,</i> <i>the methods of</i> <i>implementation</i> .
192.	3. The multiannual indicative programmes shall be built on:	3. The multiannual indicative programmes shall be built on:	3. The multiannual indicative programmes shall be built on:	3. The multiannual { <mark>1</mark> } programmes shall be built on:	{ <mark>1</mark> } EP: no text CL: indicative
192. bis		(-a) a report containing an analysis in accordance with Article 11(2) of the needs, capacities, commitments and performance of partner country or countries concerned and the potential impact of Union funding, as well as one or more of the following:		{ <mark>1</mark> }	<pre>{1} EP: (-a) a report containing an analysis in accordance with Article 11(2) of the needs, capacities, commitments and performance of partner country or countries concerned and the potential impact of Union funding, as well</pre>

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					as one or more of the following:
193.	(a) a national or regional strategy in the form of a development plan or a similar document accepted by the Commission as a basis for the corresponding multiannual indicative programme, at the time of adoption of the latter document;	(a) a national or regional strategy in the form of a development plan or a similar document <i>based</i> <i>on a meaningful</i> <i>consultation with the</i> <i>local population and</i> <i>civil society and</i> accepted by the Commission as a basis for the corresponding multiannual indicative programme, at the time of adoption of the latter document;	(a) a national or regional strategy in the form of a development plan or a similar document accepted by the Commission as a basis for the corresponding multiannual indicative programme, at the time of adoption of the latter document;	(a) a national or regional strategy in the form of a development plan or a similar document accepted by the Commission as a basis for the corresponding multiannual {1} programme, at the time of adoption of the latter document;	CL: no text Provisionally closed pending alignment {1} EP: no text CL: indicative
194.	(b) a framework document laying down the Union policy towards the concerned partner or partners, including a joint document between the Union and Member States;	(b) a framework document laying down the Union policy towards the concerned partner or partners, including a joint document between the Union and Member States;	(b) a framework document laying down the Union policy towards the concerned partner or partners, including a joint document between the Union and Member States;	(b) a { <mark>1</mark> } document laying down the Union policy towards the concerned partner or partners, including a joint document between the Union and Member States;	{ <mark>1</mark> } EP: no text CL: framework
195.	(c) a joint document between the Union and	(c) a joint document between the Union and	(c) a joint document between the Union and	(c) a joint document between the Union and	Provisionally closed



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	the concerned partner or	the concerned partner or	the concerned partner	the concerned partner or	
	partners setting out	partners setting out	or partners setting out	partners setting out	
	common priorities.	common priorities.	common priorities <i>and mutual commitments</i> .	common priorities <i>and mutual commitments</i> .	
196.	4. To increase the	4. To increase the impact	4. To increase the	4. To increase the	{ <mark>1</mark> }
	impact of collective	of collective cooperation	impact of collective	impact of collective	EP: no text
	cooperation of the	of the Union, where	cooperation of the	cooperation of the	CL: indicative
	Union, where possible,	possible, a joint	Union, where possible	Union, where possible	
	a joint programming	programming document	and appropriate, a	and appropriate, a joint	
	document shall replace	shall replace the Union's	joint programming	programming document	
	the Union's and	and Member States	document shall replace	shall replace the	{ <mark>2</mark> }
	Member States	programming	the Union's and	Union's and Member	EP: -1
	programming	documents. A joint	Member States'	States programming	CL: 2
	documents. A joint	programming document	programming	documents. A joint	
	programming document	may replace the Union's	documents. A joint	programming document	
	may replace the Union's	multiannual indicative	programming	may replace the Union's	
	multiannual indicative	programme, provided it	document may replace	multiannual { <mark>1</mark> }	
	programme, provided it	is approved in an act	the Union's	programme, provided it	
	complies with Articles	adopted in accordance	multiannual indicative	is approved in an act	
	10 and 11, contains the	with Article 14 and	programme, provided it	adopted in accordance	
	elements listed in	complies with Articles	complies with Articles	with Article 14 and	
	paragraph 2 of this	10 and 11, contains the	10 and 11, contains the	complies with Articles	
	Article and sets out the	elements listed in	elements listed in	10 and 11, contains the	
	division of labour	paragraph 2 of this	paragraph 2 of this	elements listed in	
	between the Union and	Article and sets out the	Article and sets out the	paragraph { <mark>2</mark> } of this	
	Member States.	division of labour	division of labour	Article and sets out the	
		between the Union and	between the Union and	division of labour	
		Member States.	Member States.	between the Union and	
				Member States.	



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196. bis		4a. Multiannual programmes may provide for an amount of funds, not exceeding 5 % of the total amount, that is not allocated to a priority area or partner country or group of countries. Those funds shall be committed in accordance with Article 21.		{ <mark>1</mark> }	<pre>{1} EP: 4a. Multiannual programmes may provide for an amount of funds, not exceeding 5 % of the total amount, that is not allocated to a priority area or partner countr or group of countries. Those funds shall be committed in accordance with Articl 21. CL: no text</pre>
197.	Article 13 Programming documents for thematic programmes	Article 13 Programming documents for thematic programmes	Article 13 Programming documents for thematic programmes	Article 13 Programming documents for thematic programmes	Provisionally closed
197. bis		-1. The Commission is empowered to adopt delegated acts in accordance with Article 34 in order to supplement non- essential elements of this Regulation by establishing frameworks		{ <mark>1</mark> }	<pre>{1} EP: -1. The Commission is empowered to adopt delegated acts in accordance with Articl 34 in order to supplement non-</pre>

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	for each specific thematic multiannual programme. Those framework provisions shall:		essential elements of this Regulation by establishing frameworks for each specific thematic multiannual programme. Those framework provisions shall: CL: no text
97. er	(a) specify the priority areas among the ones defined in Article 9b;	{ <mark>1</mark> }	 {1} EP: (a) specify the priority areas among the ones defined in Article 9b; CL: no text
197. quater	(b) lay down the specific detailed and measurable objectives of each programme;	{ <mark>1</mark> }	 {1} EP: (b) lay down the specific detailed and measurable objectives of each programme; CL: no text
197. quin-quies	(c) set expected results with measurable targets, and clear and specific	{ <mark>1</mark> }	{ <mark>1</mark> }

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	nding target and related provis	sions			
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Jovernance		performance indicators linked to the objectives;			EP: (c) set expected results with measurable targets, and clear and specific performance indicators linked to the objectives; CL: no text
197. sexies		(d) set out the indicative financial allocation both overall and per priority area;		{ <mark>1</mark> }	<pre>{1} EP: (d) set out the indicative financial allocation both overall and per priority area; CL: no text</pre>
197. septies		(e) establish cooperation modalities.		{ <mark>1</mark> }	 {1} EP: (e) establish cooperation modalities. CL: no text
198.	1. The implementation of this Regulation shall be carried out for thematic programmes through multiannual indicative programmes.	1. The implementation of this Regulation shall be carried out for thematic programmes through multiannual indicative programmes.	1. The implementation of this Regulation shall be carried out for thematic programmes through multiannual indicative programmes.	{ <mark>1</mark> }	 {1} EP: no text CL: 1. The implementation of this Regulation shall be carried out for thematic programmes through

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance multiannual indicative programmes. 2. Multiannual indicative {<mark>1</mark>} 199. 2. Multiannual 2. Multiannual {<mark>1</mark>} indicative programmes programmes for thematic indicative programmes EP: no text programmes shall set out for thematic for thematic the Union's strategy, the CL: 2. Multiannual programmes shall set programmes shall set out the Union's strategy, priorities selected for out the Union's indicative programmes the priorities selected financing by the Union, strategy, the priorities for thematic selected for financing for financing by the the specific objectives, programmes shall set Union, the specific the expected results, by the Union, the out the Union's strategy, objectives, the expected clear and specific specific objectives, the the priorities selected results, clear and performance indicators, expected results, clear for financing by the specific performance and the international and specific Union, the specific objectives, the expected indicators, and the performance situation and the activities of the main international situation indicators. where results, clear and and the activities of the partners for the theme specific performance possible disaggregated main partners for the concerned. by sex and age, and the indicators, where international situation theme concerned. possible disaggregated and the activities of the by gender and age, and main partners for the the international theme concerned. situation and the activities of the main partners for the theme concerned. 200. Where applicable, Where applicable, Where applicable, Where applicable, Provisionally closed resources and resources and resources and resources and intervention priorities intervention priorities intervention priorities intervention priorities shall be laid down for participation in global participation in global participation in global participation in global

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	ding target and related provis	sions			
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201.	Multiannual indicative programmes for thematic programmes shall set out the indicative financial allocation, overall, by area of cooperation and by priority. The indicative financial allocation may be given in the form of a range.	Multiannual indicative programmes for thematic programmes shall set out the indicative financial allocation, overall, by area of cooperation and by priority. The indicative financial allocation may be given in the form of a range.	Multiannual indicative programmes for thematic programmes shall set out the indicative financial allocation, overall, by area of cooperation and by priority. The indicative financial allocation may be given in the form of a range.	{ <mark>1</mark> }	<pre>{1} EP: no text CL: Multiannual indicative programmes for thematic programmes shall set out the indicative financial allocation, overall, by area of cooperation and by priority. The indicative financial allocation may be given in the form of a range.</pre>
201. bis		The framework provisions referred to in Articles 12 and 13 shall be built on a report containing an analysis of the international situation and of the activities of the main partners for the theme concerned and indicating the results expected from the programme.		{ <mark>1</mark> }	{ <mark>1</mark> } EP: The framework provisions referred to in Articles 12 and 13 shall be built on a report containing an analysis of the international situation and of the activities of the main partners for the theme concerned and indicating the results expected from the programme.



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	(except migration) ing target and related provis	ions			
Neighbourhood	ing target and related provis				
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					CL: no text
201. ter		2a. Multiannual programmes may provide for an amount of funds, not exceeding 5 % of the total amount, that is not allocated to a priority area or partner country or group of countries. Those funds shall be committed in accordance with Article 21.		{ <mark>1</mark> }	<pre>{1 {1} EP: 2a. Multiannual programmes may provide for an amount of funds, not exceeding 5 % of the total amount, that is not allocated to a priority area or partner country or group of countries. Those funds shall be committed in accordance with Article 21. CL: no text</pre>
202.	Article 14 Adoption and amendment of multiannual indicative programmes	Article 14 Adoption and amendment of multiannual indicative programmes	Article 14 Adoption and amendment of multiannual indicative programmes	Article 14 Adoption and amendment of multiannual {1} programmes	{1} EP: no text CL: indicative
203.	1. The Commission shall adopt multiannual indicative programmes referred to in Articles 12 and 13 by means of implementing acts. Those implementing acts shall be adopted in	1. The Commission shall adopt is empowered to adopt delegated acts in accordance with Article 34 in order to supplement non- essential elements of this Regulation by	1. The Commission shall adopt multiannual indicative programmes referred to in Articles 12 and 13 by means of implementing acts. Those implementing acts shall be adopted in	1. The Commission shall adopt multiannual indicative programmes referred to in Articles 12 and 13 by means of implementing acts. Those implementing acts shall be adopted in	EP and CL maintain their mandates





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	accordance with the	establishing frameworks	accordance with the	accordance with the	
	examination procedure	<i>for</i> multiannual	examination procedure	examination procedure	
	referred to in Article	indicative programmes	referred to in Article	referred to in Article	
	35(2). This procedure	referred to in Articles 12	35(2). This procedure	35(2). This procedure	
	shall also apply to	and 13 by means of	shall also apply to	shall also apply to	
	reviews referred to in	implementing delegated	reviews referred to in	reviews referred to in	
	paragraphs 3, 4 and 5 of	acts. Those	paragraphs 3, 4 and 5	paragraphs 3, 4 and 5 of	
	this Article, which have	implementing delegated	of this Article, which	this Article, which have	
	the effect of	acts shall be adopted in	have the effect of	the effect of	
	significantly modifying	accordance with the	significantly modifying	significantly modifying	
	the content of the	examination procedure	the content of the	the content of the	
	multiannual indicative	referred to in Article	multiannual indicative	multiannual indicative	
	programme.	35(2) 34 . This procedure	programme.	programme.	
		shall also apply to			
		reviews referred to in			
		paragraphs 3, 4 and 5 of			
		this Article , which have			
		the effect of significantly			
		modifying the content of			
		the multiannual			
		indicative programme.			
204.	2. When adopting joint	2. When adopting joint	2. When adopting joint	2. When adopting joint	{1}
	multi-annual	multi-annual	multi-annual	multi-annual	EP: -Commission
	programming	programming documents	multiannual	multiannual	decision delegated act
	documents referred to in	referred to in Article 12,	programming	programming	CL: Commission
	Article 12, the	the Commission decision	documents referred to	documents referred to in	decision
	Commission decision	<i>delegated act</i> shall only	in Article 12, the	Article 12, the {1} shall	
	shall only apply to the	apply to the Union's	Commission decision	only apply to the	
	Union's contribution to	contribution to the joint	shall only apply to the	Union's contribution to	
	the joint multiannual		Union's contribution to	the joint multiannual	



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	programming document.	multiannual programming document.	the joint multiannual programming document.	programming document.	
205.	3. Multiannual indicative programmes for geographic programmes may be reviewed where necessary for effective implementation, in particular where there are substantive changes in the policy framework referred to in Article 7 or following a crisis or post-crisis situation.	3. Multiannual indicative programmes for geographic and thematic programmes may be reviewed where necessary for effective implementation, in particular where there are substantive changes in the policy framework shall expire on 30 June 2025 at the latest. The Commission shall adopt new multiannual programmes by 30 June 2025, based on the results, findings and conclusions of the mid- term evaluation referred to in Article 7-or following a crisis or post-crisis situation 32.	3. Multiannual indicative programmes for geographic programmes may be reviewed where necessary for effective implementation, in particular where there are substantive changes in the policy framework referred to in Article 7 or following reviews referred to in Article 10 or following a crisis or post-crisis situation. Programming documents may be reviewed at their mid- term or on an ad-hoc basis, as necessary.	3. Multiannual {1 } programmes for geographic programmes may be reviewed {2 } for effective {3 }, in particular where there are substantive changes in the policy framework referred to in Article 7 or following a crisis or post-crisis situation. {4 }	 {1} EP: no text CL: indicative {2} EP: where necessary CL: at their mid-term or on an ad-hoc basis, as necessary {3} EP:-implementation application CL: implementation {4} EP: Multiannual programmes shall expire on 30 June 2025 at the latest. The Commission shall adopt new multiannual programmes by 30 June 2025, based on the results, findings



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					and conclusions of the mid-term evaluation CL: no text
206.	4. Multiannual	4. Multiannual indicative	4. Multiannual	4. Multiannual	CL text on mid-term review from 171 bis. { <mark>1</mark> }
4. Multiannual indicative programmes for thematic programmes may be reviewed where necessary for effective implementation, in particular where there are substantive changes in the policy framework referred to in Article 7.	programmes for thematic programmes may be reviewed modified where necessary for effective implementation application, in particular where there are substantive changes in the policy framework referred to in Article 7. Multiannual programmes shall be modified in cases where the mobilisation of the emerging challenges and priorities cushion	indicative programmes for thematic programmes may be reviewed where necessary for effective implementation, in particular where there are substantive changes in the policy framework referred to in Article 7- or following reviews referred to in Article 10. Programming documents may be reviewed at their mid-	<pre>{1}programmes for thematic programmes may be reviewed {2} necessary for effective {3}, in particular where there are substantive changes in the policy framework referred to in Article 7. {4}</pre>	EP: no text CL: indicative {2} EP: where CL: at their mid-term or on an ad-hoc basis, as {3} EP:-implementation application CL: implementation	
		requires a change of the framework provisions of the relevant programme.	term or on an ad-hoc basis, as necessary.		<pre>{4} EP: Multiannual programmes shall be modified in cases where the mobilisation of the emerging</pre>



<u> </u>	ing target and related provis	ions			
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					challenges and priorities cushion requires a change of the framework provisions of the relevant programme. CL: no text
					CL text on mid-term review from 171 bis.
207.	5. On duly justified imperative grounds of urgency, such as crises or immediate threats to democracy, the rule of law, human rights or fundamental freedoms, the Commission may amend multiannual indicative programmes referred to in Articles 12 and 13 of this Regulation by implementing acts adopted in accordance with the urgency procedure referred to in Article 35(4).	5. On duly justified imperative grounds of urgency, such as crises or immediate threats to democracy, the rule of law, human rights or fundamental freedoms, the Commission may amend multiannual indicative programmes referred to in Articles 12 and 13 of this Regulation by implementing <i>delegated</i> acts adopted in accordance with the urgency procedure referred to in Article 35(4) 34a.	5. On duly justified imperative grounds of urgency, such as crises or immediate threats to <i>peace</i> , democracy, the rule of law, human rights or fundamental freedoms, the Commission may amend multiannual indicative programmes referred to in Articles 12 and 13 of this Regulation by implementing acts adopted in accordance with the urgency procedure referred to in Article 35(4).	5. On duly justified imperative grounds of urgency, such as crises or immediate threats to <i>peace</i> , democracy, the rule of law, human rights or fundamental freedoms, the Commission may amend multiannual {1}programmes referred to in Articles 12 and 13 of this Regulation by {2} acts adopted in accordance with the urgency procedure referred to in Article {3}.	<pre>{1} EP: no text CL: indicative {2} EP: -implementing delegated CL: implementing {3} EP: 35(4) 34a CL: 35(4)</pre>



	rgets (except migration) pending target and related provis	sions			
Veighbourh		SIOIIS			
Amounts	1000				
Governance	2				
208.	Article 15 Emerging challenges and priorities cushion	Article 15 Emerging challenges and priorities cushion	Article 15 Emerging challenges and priorities cushion	Article 15 Emerging challenges and priorities cushion	Provisionally closed on 5 December 2019
209.	1. The amount referred to in Article 6(3) shall be used <i>inter alia</i> :	1. The amount referred to in Article 6(3) shall be used inter alia in duly justified cases, with priority given to the countries most in need, and in full complementarity and consistency with acts adopted under this Regulation:	1. The amount referred to in Article 6(<i>32</i>)(<i>d</i>) shall be used <i>where</i> <i>most needed inter alia</i> :	1. The amount referred to in Article 6(3) shall be used <i>where most</i> <i>needed and duly</i> <i>justified, inter alia</i> :	Provisionally closed on 5 December 2019
210.	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances;	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances needs not covered by programmes and programming documents;	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances;	(a) to ensure an appropriate response of the Union in the event of unforeseen circumstances;	Provisionally closed on 5 December 2019
211.	(b) to address new needs or emerging challenges, such as those at the Union's or its neighbours' borders linked to crisis and post-crisis situations or migratory pressure;	(b) to address new needs or emerging challenges, such as those at the Union's or its neighbours' borders or those in third countries linked to crisis, either natural or man-made,	(b) to address new needs, or emerging challenges, such as those at the Union's or its neighbours' borders linked to crisis, <i>violent</i> <i>conflict</i> and post-crisis situations or <i>irregular</i>	(b) to address new needs or emerging challenges, such as those at the Union's or its neighbours' borders linked to crisis, <i>either</i> <i>natural or man-made</i> , <i>violent conflict</i> and	 {1 EP: <i>migration</i> CL: <i>irregular</i> migratory pressure



Amounts

Governance					
		and post-crisis situations or migratory pressure to migration phenomena, in particular forced displacement;	migratory pressure <i>and forced displacement</i> ;	<pre>post-crisis situations or {1} and forced displacement;</pre>	
212.	(c) to promote newUnion led orinternational initiativesor priorities.	(c) to promote <i>or</i> <i>respond to</i> new Union led or international initiatives or priorities.	(c) to promote new Union led or international initiatives or priorities.	(c) to promote new Union led or international initiatives or priorities.	Provisionally closed
213.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	2. The use of these funds shall be decided in accordance with the procedures established in Articles 14 and 21.	Provisionally closed on 5 December 2019, pending discussions on governance.
213. bis		Article 15a Suspension of assistance			EP and CL maintain their positions
213. ter		1. Without prejudice to the provisions on the suspension of aid in agreements with partner countries and regions, where a partner country persistently fails to observe the principles of democracy, the rule of law, good governance, respect for human rights and fundamental freedoms, or nuclear			EP and CL maintain their positions Latest EP proposal: Observance of the principles of democracy, the rule of law, good governance, respect for human rights and fundamental freedoms, or internationally recognised nuclear

Amounts

	safety standards, the	safety standards, shall
	Commission shall be	be a condition for
	empowered, in	continued payment of
	accordance with Article	the Union assistance
	34, to adopt delegated	under this Regulation.
	acts amending Annex	Where a partner
	VII-a, by adding a	country persistently
	partner country to the	fails to observe the
	list of partner countries	principles the
	for which Union	Commission shall be
	assistance is suspended	empowered, in
	or partly suspended. In	accordance with Article
	the case of a partial	34, to adopt delegated
	suspension, the	acts amending Annex
	programmes for which	VII-a, by adding a
	the suspension applies	partner country to the
	shall be indicated.	list of partner countries
		for which Union
		assistance is suspended
		or partly suspended. In
		the case of a partial
		suspension, the
		programmes for which
		the suspension applies
		shall be indicated.
213. quater	2. Where the	EP and CL maintain
	Commission finds that	their positions
	the reasons justifying	
	the suspension of	
	assistance no longer	



	s (except migration) ling target and related provis	ions			
leighbourhood	mg unget and related provis				
mounts					
lovernance					
		apply, it shall be empowered to adopt delegated acts, in accordance with Article 34 to amend Annex VII- a in order to reinstate Union assistance.			
213. quin-quies		3. In cases of partial suspension, Union assistance shall primarily be used to support civil society organisations and non- state actors for measures aimed at promoting human rights and fundamental freedoms and supporting democratisation and dialogue processes in partner countries.			EP and CL maintain their positions
213. sexies		4. The Commission shall take due account of relevant European Parliament resolutions in its decision-making.			EP and CL maintain their positions
214.	CHAPTER II – Specific Provisions for the Neighbourhood	Chapter II Specific provisions for the Neighbourhood	Chapter II Specific p <i>P</i> rovisions for the Neighbourhood	CHAPTER II – Specific p <i>P</i> rovisions for the Neighbourhood	Provisionally closed



Migration spending target and	I related provisions	
Veighbourhood		
Amounts		
Governance 214. bis		
214. DIS	Article 15b Specific objectives for the neighbourhood area	Placing to be discussed with governance issues Content of lines 214bis to 214. quindecies discussed with Annex IIB Neighbourhood
214.	1. In accordance with	Text provisionally
ter	Articles 3 and 4, Union	closed in annex IIB,
	support under this	placement to be aligned
	Regulation in the	after agreement on 214.
	Neighbourhood area	bis
	shall have as objectives:	
214. quater	(a) enhancing political	Text provisionally
	cooperation and	closed in annex IIB,
	ownership of the	placement to be aligned
	European	after agreement on 214
	Neighbourhood Policy	bis
	by the Union and its partner countries;	
214. quin-quies	(b) supporting the	Text provisionally
	implementation of	closed in annex IIB,
	association agreements,	placement to be aligned
	or other existing and	after agreement on 214
	future agreements, and	bis
	jointly agreed	
	association agendas and	
	partnership priorities or	
	equivalent documents;	
214. sexies	(c) strengthening and	Text provisionally
	consolidating	closed in annex IIB,

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Neighbourhood		
Amounts Governance		
	democracy, state- building, good governance, rule of law and human rights as well as promoting a more effective way of implementing reforms	placement to be aligned after agreement on 214 bis
214. septies	agreed in mutual formats; (d) stabilising the neighbourhood in political, economic and security terms;	Text provisionally closed in annex IIB, placement to be aligned after agreement on 214
214. octies	(e) enhancing regional cooperation, in particular in the	bis Text provisionally closed in annex IIB, placement to be aligned
	framework of the Eastern Partnership, the Union for the Mediterranean, and European Neighbourhood-wide collaboration as well as cross-border cooperation;	after agreement on 214 bis
214. nonies	(f) promoting confidence-building, good neighbourly relations and other	Text provisionally closed in annex IIB, placement to be aligne



Veighbourhood	l related provisions	
Amounts		
Governance		
	measures contributing to security in all its forms and the prevention and settlement of conflicts, including protracted conflicts, support to affected populations and reconstruction, and respect for multilateralism and	after agreement on 21 bis
214. decies	international law;(g) promoting a strengthened partnership with societies between the Union and the partner countries, including through enhanced mobility and people-to- people contacts, in particular in relation to cultural, educational,	Text provisionally closed in annex IIB, placement to be aligne after agreement on 214 bis
214. unde-cies	culturel, culculonal,professional andsporting activities;(h) intensifyingcooperation on bothregular and irregularmigration;	Text provisionally closed in annex IIB, placement to be aligned after agreement on 214 bis



Migration spending target and present the second se		
Amounts		
Governance		
214. duo-decies	(i) achieving progressive integration into the Union internal market and enhanced sectoral and cross-sectoral cooperation, including through legislative approximation and regulatory convergence towards Union and other relevant international standards, and improved market access including through deep and comprehensive free trade areas, related institution building and investment;	Text provisionally closed in annex IIB, placement to be aligne after agreement on 214 bis
214. ter-decies	(j) supporting sustainable, inclusive and socially beneficial economic and social development for all by promoting job creation and employability, in particular for young people;	Text provisionally closed in annex IIB, placement to be aligne after agreement on 214 bis
214. quater-decies	(k) contributing to the implementation of the	Text provisionally closed in annex IIB,



Neighbourhood	ng target and related provis				
Amounts					
Governance					
		Paris Agreement by strengthening cooperation on energy security and promoting renewable energy, sustainable energy and energy efficiency objectives;			placement to be aligned after agreement on 214 bis
214. quin-decies		(l) encouraging the establishment of thematic frameworks with the neighbouring countries of neighbourhood partner countries to address common challenges such as migration, energy, security and health.			Text provisionally closed in annex IIB, placement to be aligned after agreement on 214. bis
215.	Article 16 Programming documents and allocation criteria	Article 16 Programming documents and allocation criteria	Article 16 Programming documents and allocation criteria	Article 16 Programming documents and allocation criteria	Provisionally closed
216.	1. For partner countries listed in Annex I, priority areas for Union financing shall be mainly selected from those included in documents referred to in	1. For partner countries listed in Annex I, priority areas for Union financing shall be mainly selected from those included in documents referred to in Article	1. For partner countries listed in Annex I, priority areas for Union financing shall be mainly selected from those included in <i>the</i> <i>association agendas</i> ,	1. For partner countries listed in Annex I, priority areas for Union financing shall be mainly selected from those included in <i>the</i> <i>association</i> ,	{ <mark>1</mark> } EP: and the specific objectives set out in Article 15 b.



ighbourhood nounts				
Article 12(3)(c), in accordance with the areas of cooperation of the Neighbourhood area set out in Annex II.	12(3)(c), in accordance with the areas of cooperation of the Neighbourhood area set out in Annex II.	partnership priorities or other equivalent jointly agreed documents as referred to in Article 12(3)(c), between the Union and the partner countries in bilateral and multilateral formats, including, as relevant, within the Eastern Partnership and the southern dimension of the European Neighbourhood Policy, in accordance with the areas of cooperation of the Neighbourhood area set out in Annex II.	partnership and cooperation agreements, jointly agreed association agendas and partnership priorities or other relevant, existing and future, jointly agreeddocuments referred to in Article 12(3)(c), between the Union and the partner countries in bilateral and multilateral formats, including, as relevant, within the Eastern Partnership and the southern dimension of the European Neighbourhood Policy, in accordance with the areas of cooperation of the Neighbourhood area set out in Annex II {1}.	CL: , in particular Annex II B.

Spending targ	gets (except migration)				
	ending target and related provis	ions			
Neighbourho	od				
Amounts					
Governance					
217.	2. By way of derogation from Article 11(2), Union support under geographic programmes in the Neighbourhood area shall be differentiated in form and amounts, taking into account the following elements, reflecting the partner country's:	2. By way of derogation from Article 11(2), Union support under geographic programmes in the Neighbourhood area shall be differentiated in form and amounts, taking into account the following elements, reflecting the partner country's:	2. By way of derogation from Article 11(2) <i>and</i> <i>11(3)</i> , Union support under geographic programmes in the Neighbourhood area shall be differentiated in form and amounts, taking into account the following elements, reflecting the partner country's:	2. By way of derogation from Article 11(2) and 11(3), Union support under geographic programmes in the Neighbourhood area shall be differentiated in form and amounts, taking into account the following elements, reflecting the partner country's:	Provisionally closed
218.	(a) needs, using indicators such as population and level of development;	(a) needs, using indicators such as population and level of development;	(a) needs, using indicators such as population, <i>inequalities</i> and level of development;	(a) needs, using indicators such as population, <i>inequalities</i> and level of development;	Provisionally closed
219.	(b) commitment to and progress in implementing jointly agreed political, economic and social reform objectives;	(b) commitment to and progress in implementing jointly agreed political, economic, <i>environmental</i> and social reform objectives;	(b) commitment to and <i>concrete</i> progress in implementing jointly agreed political, economic-and, social <i>and environmental</i> reform objectives <i>and</i> <i>benchmarks</i> ;	(b) commitment to and progress in implementing jointly agreed political, economic, <i>environmental</i> and social reform objectives;	Provisionally closed
220.	(c) commitment to and progress in building deep and sustainable democracy;	(c) commitment to and progress in building deep and sustainable democracy, <i>including</i>	(c) commitment to and progress in building deep and sustainable	(c) commitment to and progress in building deep and sustainable democracy, <i>rule of law</i> ,	Provisionally closed



Amounts

		the promotion of human rights, good governance, the upholding of the rule of law and the fight against corruption;	democracy, <i>rule of law</i> and human rights;	good governance, human rights, and the fight against corruption;	
220. bis		(ca) commitment to multilateralism;			Provisionally closed
221.	(d) partnership with the Union, including the level of ambition for that partnership;	(d) partnership with the Union, including the level of ambition for that partnership;	(d) partnership with the Union, including the level of ambition for that partnership;	(d) partnership with the Union, including the level of ambition for that partnership;	Provisionally closed
222.	(e) absorption capacity and potential impact of Union support under this Regulation.	(e) absorption capacity and potential impact of Union support under this Regulation.	(e) absorption capacity and potential impact of Union support under this Regulation.	(e) absorption capacity and potential impact of Union support under this Regulation.	Provisionally closed
223.	3. The support referred to in paragraph 2 shall be reflected in the programming documents referred to in Article 12.	3. The support referred to in paragraph 2 shall be reflected in the programming documents referred to in Article 12.	3. The support referred to in paragraph 2 shall be reflected in the programming documents referred to in Article 12.	3. The support referred to in paragraph 2 shall be reflected in the programming documents referred to in Article 12.	Provisionally closed
223. bis		3a. Union support to partner countries listed in Annex I shall be applied in compliance with the co-financing principle set out in Article 190 of the Financial Regulation.			Provisionally closed



<u> </u>	ood					
Amounts						
overnance						
224.	Article 17 Performance-based approach	Article 17 Performance-based approach	Article 17 <i>Performance</i> <i>Incentive</i> -based approach	Article 17 { <mark>1</mark> } -based approach	<pre>{1 } EP: Performance CL: Incentive</pre>	
225.	1. Indicatively 10 % of the financial envelope	1. Indicatively <i>At least</i> 10 % of the financial	1. Indicatively 10 % of the financial envelope	1. Indicatively 10 % of the financial envelope	Provisionally closed	
	set out in Article 4(2)(a) to supplement the	envelope set out in Article $4(2)(a) \delta(2)(a)$	set out in <i>the first</i> <i>indent of</i> Article	set out in <i>the first</i> <i>indent of</i> Article		
	country financial allocations referred to in	<i>first indent,</i> to supplement the country	46(2)(a) to supplement the country financial	46 (2)(a) to supplement the country financial		
	Article 12 shall be	financial allocations referred to in Article 12	allocations referred to in Article 12 shall be	allocations referred to in Article 12 shall be		
	allocated to partner countries listed in	shall be allocated to	allocated to partner	allocated to partner		
	Annex I in order to	partner countries listed	countries listed in	countries listed in		
	implement the performance-based	in Annex I in order to implement <i>apply</i> the	Annex I in order to implement the	Annex I as an incentive towards		
	approach. The	performance-based	<i>performance</i> incentive-	reformsin order to		
	performance-based	approach. The	based and	implement the		
	allocations shall be decided on the basis of	performance-based allocations shall be	<i>differentiated</i> approach. The	performance based approach. Such		
	their progress towards	decided on the basis of	performance-based	allocations shall be		
	democracy, human	their progress towards	Such allocations shall	decided on the basis of		
	rights, rule of law,	democracy, human	be decided on the basis	their performance and		
	cooperation on	rights, rule of law, <i>good</i>	of their progress	progress towards		
	migration, economic governance and	governance, cooperation on safe, orderly and	towards <i>building or</i> <i>consolidating deep</i>	democracy, <i>good</i> <i>governance and</i> rule of		
	reforms. The progress	<i>regular</i> migration,	and sustainable	law <i>including</i>		

Nigration spei Neighbourhoo	nding target and related provis d	sions			
Amounts					
Governance					
225 bis	of partner countries shall be assessed annually.	economic governance and <i>implementing</i> <i>agreed</i> reforms. The progress of partner countries shall be assessed annually <i>with</i> <i>the active involvement</i> <i>of civil society, in</i> <i>particular by means of</i> <i>country progress reports</i> <i>which include trends as</i> <i>compared to previous</i> <i>years</i> .	democracy, human rights, rule of law, <i>cooperation with civil</i> <i>society, gender</i> <i>equality,</i> cooperation on migration, economic governance and reforms. <i>jointly</i> <i>agreed, country-</i> <i>specific political,</i> <i>economic and social</i> <i>reform objectives.</i> The progress of partner countries shall be assessed annually.	cooperation with civil society, human rights including gender equality, cooperation on migration, economic governance and reforms, in particular those reforms jointly agreed. The progress of partner countries shall be regularly assessed, in particular by means of progress reports which include trends as compared to previous years.	
225. bis		1a. The application of the performance-based approach under this Regulation shall be the subject of a regular exchange of views in the European Parliament and in the Council.			Provisionally closed See line 149
226.	2. The performance- based approach shall not apply to support to civil society, people-to- people contacts, including cooperation	2. The performance- based approach shall not apply to support to civil society, people-to-people contacts, including cooperation between	2. The <i>performance</i> <i>incentive</i> -based approach shall not apply to support to civil society, <i>conflict</i> <i>prevention and</i>	2. The { 1 }-based approach shall not apply to support to civil society, <i>conflict</i> <i>prevention and</i> <i>peacebuilding</i> , people-	Provisionally closed pending alignement on performance/incentive { 1 }

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Spending targets (
	ig target and related provis	ions			
Neighbourhood					
Amounts					
Governance	between local authorities, support for the improvement of human rights, or crisis- related support measures. In the event of serious or persistent degradation of democracy, human rights or rule of law, support to these actions may be increased.	local authorities, support for the improvement of human rights, or crisis- related support measures. In the event of serious or persistent degradation of democracy, human rights or rule of law, support to these actions may shall be increased, where appropriate.	<i>peacebuilding</i> , people- to-people contacts, including cooperation between local authorities, support for the improvement of human rights, or crisis- related support measures. In the event of serious or persistent degradation of democracy, human	to-people contacts, including cooperation between local authorities, support for the improvement of human rights, or crisis- related support measures. In the event of serious or persistent degradation of democracy, human rights or rule of law, <i>or</i>	EP: performance CL: performance <i>incentive</i>
			rights or rule of law, <i>or</i> <i>an increased risk of</i> <i>conflict</i> , support to these actions may be increased.	an increased risk of conflict, support to these actions -may shall be increased, where possible and appropriate.	
226. bis		2a. The Commission and EEAS shall review the performance-based support in the event of serious or persistent degradation of democracy, human rights or rule of law.		{1}	<pre>{1} EP: The Commission and EEAS shall review the performance-based support in the event of serious or persistent degradation of democracy, human rights or rule of law.</pre>
					CL: No text

Neighbourhood				
Amounts				
Governance				
226. ter	2b. The Commission shall adopt a delegated act in accordance with Article 34 to supplement this Regulation establishing the methodological framework of the performance-based approach.			EP and CL maintain their mandates
226. quater		Article 17 bis Multi-country programmes	{ <mark>1</mark> }	<pre>{1 } EP: No text. CL: Article 17 bis Multi-country programmes</pre>
226. quin-quies		Multi-country programmes in the Neighbourhood area shall address challenges common to all or a number of partner countries, based on priorities of the Eastern Partnership and the southern dimension of the reviewed European Neighbourhood Policy	{ <mark>1</mark> }	<pre>{1} EP: No text. CL: Multi-country programmes in the Neighbourhood area shall address challenges common to all or a number of partner countries, based on priorities of the Eastern Partnership and the southern dimension of</pre>

	(except migration) ng target and related provis	sions			
			and taking into account the work carried out in the context of the Union for the Mediterranean, and regional, trans- regional and sub- regional cooperation, primarily between two or more partner countries, including also within the framework of the Northern Dimension and Black Sea Synergy.		the reviewed European Neighbourhood Policy and taking into account the work carried out in the context of the Union for the Mediterranean, and regional, trans- regional and sub- regional cooperation, primarily between two or more partner countries, including also within the framework of the Northern Dimension and Black Sea Synergy.
227.	Article 18 Cross-Border Cooperation	Article 18 Cross-Border Cooperation	Article 18 Cross-Border Cooperation	Article 18 Cross-Border Cooperation	Provisionally closed
228.	1. Cross-border cooperation, as defined in Article 2(3), shall cover cooperation on adjacent land borders, transnational cooperation over larger transnational territories, maritime cooperation around sea-basins, as	1. Cross-border cooperation, as defined in Article 2(3), shall cover cooperation on adjacent land <i>and</i> <i>maritime</i> borders, transnational cooperation over larger transnational territories, maritime cooperation around sea-	1. Cross-border cooperation, as defined in Article 2(3), shall cover cooperation on adjacent land <i>and</i> <i>maritime</i> borders, transnational cooperation over larger transnational territories , maritime	1. Cross-border cooperation, as defined in Article 2(3), shall cover cooperation on <i>external</i> adjacent land <i>and maritime</i> borders, transnational cooperation over larger transnational territories, <u>maritime cooperation</u> or	Provisionally closed



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	(except migration)	ions			
	ng target and related provis	ions			
Neighbourhood Amounts					
Governance	well as interregional	basins, as well as	cooperation or around	around sea-basins, as	
	C C	interregional	sea-basins, as well as	well as interregional	
	cooperation.	e		Ū.	
		cooperation. <i>Cross</i> -	interregional	cooperation.	
		border cooperation shall aim to be coherent with	cooperation.		
		the objectives of existing			
		and future macro-			
		regional strategies and			
		regional integration			
		processes.			
229.	2. The Neighbourhood	2. The Neighbourhood	2. The Neighbourhood	2. The Neighbourhood	
	area shall contribute to	area shall contribute to	area shall contribute to	area shall contribute to	Debate related to
	cross-border	cross-border cooperation	cross-border	cross-border	budget - Article 6.
	cooperation	programmes referred to	cooperation	cooperation	
	programmes referred to	in paragraph 1 co-	programmes referred to	programmes referred to	{ <mark>1</mark> }
	in paragraph 1 co-	financed by the	in paragraph 1 co-	in paragraph 1 co-	
	financed by the	European Regional	financed by the	financed by the	EP: Up to 4 %.
	European Regional	Development Fund in	European Regional	European Regional	
	Development Fund in	the framework of	Development Fund in	Development Fund in	CL: Subject to final
	the framework of [ETC	Regulation (EU)/ of	the framework of [ETC	the framework of [ETC	envelope.
	Regulation ⁵⁸]. Up to	the European Parliament	Regulation ⁵⁸]. Up to	Regulation ⁵⁹]. $\{\frac{1}{1}\}$ % of	

⁵⁸ COM(2018) 374 final Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments.
 EP position: COM(2018)0374 Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments.

⁵⁹ COM(2018) 374 final Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments.

nance4 % of the financial envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.and of the Council ⁵⁸ ('ETC Regulation'). Up to 4 % of the financial envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.4 % of the financial envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.4 % of the financial envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.4 % of the financial envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.the financial envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of [ETC Regulation].3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of [ETC3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of [ETC Regulation].3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of [ETC3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of [ETC3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of [ETC4. The Union co- financing rate shall not4. The Union co- financing rate shall not4. The Union co- <br< th=""><th>Provisionally closed</th></br<>	Provisionally closed
envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.('ETC Regulation'). Up to 4 % of the financial envelope for the Neighbourhood area shall be indicatively allocated to support those programmes.envelope for the Neighbourhood area envelope shall be indicatively allocated to support those programmes.for the Neighbourhood area shall be indicatively allocated to support those programmes.3. Contributions to cross-border cooperation programmes shall be determined and used pursuant to Article 10(3) of [ETC Regulation].3. Contributions to cross-border to Atticle 10(3) of [ETC Regulation].3. Contribution co-4. The Union co-4. The Union co-4. The Union co-	Provisionally closed
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Regulation].Regulation].Regulation].4. The Union co-4. The Union co-4. The Union co-	
4. The Union co-4. The Union co-4. The Union co-4. The Union co-	
financing rate shall not financing rate shall not financing rate shall not financing rate shall not	Provisionally closed
be higher than 90 % of	
the eligible expenditure the eligible expenditure the eligible expenditure the eligible expenditure	
of a cross-border of a cross-border of a cross-border of a cross-border	
cooperation cooperation programme. cooperation cooperation	
programme. For For technical assistance programme. For programme.	
technical assistance the the co-financing rate technical assistance the	
co-financing rate shall shall be 100 %. <i>Union</i> co-financing	1
be 100 %.	

EP position: COM(2018)0374 Proposal for a Regulation of the European Parliament and of the Council on specific provisions for the European territorial cooperation goal (Interreg) supported by the European Regional Development Fund and external financing instruments.

Amounts

Jovernance		Γ	Γ		Τ
			rate shall may be up to		
			100 %.		
232.	5. Pre-financing for	5. Pre-financing for	5. Pre-financing for	5. Pre-financing for	Provisionally closed
	cross-border	cross-border cooperation	cross-border	cross-border	
	cooperation	programmes shall be	cooperation	cooperation	
	programmes shall be	determined in the work	programmes shall be	programmes shall be	
	determined in the work	programme in	in accordance with	determined in the work	
	programme in	accordance with needs of	Article 115 of the	programme in	
	accordance with needs	the participating third	Financial Regulation	accordance with needs	
	of the participating third	countries and territories	shall be determined in	of the participating third	
	countries and territories	and may exceed the	the work programme in	countries and territories	
	and may exceed the	percentage referred to in	accordance with needs	and may exceed the	
	percentage referred to in	Article 49 of the ETC	of the participating	percentage referred to in	
	Article 49 of [ETC	Regulation.	third countries and	Article 49 of [ETC	
	Regulation].		territories and may	Regulation]. 1At the	
			exceed the percentage	request of the	
			referred to in	managing authority,	
			Article 49 of [ETC	each financial year, the	
			Regulation]. <i>Each</i>	pre-financing rate may	
			financial year, the pre-	be up to 80% of annual	
			financing rate may be	commitments to the	
			up to 80% of annual	programme .	
			commitments to the		
			programme .		
233.	6. A multiannual	6. A multiannual	6. A multiannual	6. A multiannual	Provisionally closed
	indicative strategy	indicative strategy	indicative strategy	indicative strategy	
	document for cross	document for cross	document for cross	document for cross	
	border cooperation,	border cooperation,	border cooperation,	border cooperation,	
	setting out the elements	setting out the elements	setting out the elements	setting out the elements	
	referred to in Article	referred to in Article	referred to in Article	referred to in Article	



Amounts	
Governance	2

Governance					
	12(2) of this	12(2) of this Regulation,	12(2) of this	12(2) of this	
	Regulation, shall be	shall be adopted in	Regulation, shall be	Regulation, shall be	
	adopted in accordance	accordance with Article	adopted in accordance	adopted in accordance	
	with Article 10(1) of	10(1) of the ETC	with Article 10(1) of	with Article 10(1) of	
	[ETC Regulation].	Regulation.	[ETC Regulation].	[ETC Regulation].	
234.	7. Where cross-border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from the Neighbourhood area to the discontinued programme that remains available may be used to finance any other activity under the Neighbourhood area.	7. Where cross-border cooperation programmes are discontinued in accordance with Article 12 of the ETC Regulation, support from the Neighbourhood area to the discontinued programme that remains available may be used to finance any other activity under the Neighbourhood area.	7. Where cross-border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from the Neighbourhood <i>area</i> <i>envelope</i> to the discontinued programme that remains available may <i>primarily</i> be used to finance <i>other cross-</i>	7. Where cross-border cooperation programmes are discontinued in accordance with Article 12 of [ETC Regulation], support from the Neighbourhood <i>area</i> <i>envelope</i> to the discontinued programme that remains available may <i>primarily</i> be used to finance <i>other</i> <i>cross-border</i> <i>cooperation</i>	Provisionally closed
			<i>border cooperation</i> <i>programmes or</i> any other activity under the Neighbourhood area <i>envelope as</i> <i>appropriate</i> .	<i>programmes or</i> any other activity under the Neighbourhood area <i>envelope as</i> <i>appropriate</i> .	
235.	Chapter III	Chapter III	Chapter III		EP and CL maintain
	- ·· r ····	Action plans, measures	Action plans, measures		their mandates



Amounts Governance

Governance					
	Action plans, measures and implementing	and implementing methods Execution	and implementing methods		
	methods				
236.	Article 19	Article 19	Article 19	Article 19	Provisionally closed
	Action plans and	Action plans and	Action plans and	Action plans and	
	measures	measures	measures	measures	
237.	1. The Commission	1. The Commission shall	1. The Commission	1. The Commission	Provisionally closed
	shall adopt annual or multiannual action	adopt annual or multiannual action plans	shall adopt annual or multiannual action	shall adopt annual or multiannual action	pending alignement
	plans or measures. The	or measures. The	plans or measures. The	plans or measures. The	{1}
	-		-	1	
	measures may take the form of individual	measures may take the form of individual	measures may take the form of individual	measures may take the form of individual	EP: implementation
					application
	measures, special measures, support	measures, special measures, support	measures, special measures, support	measures, special measures, support	CL: implementation
		measures, support measures or exceptional	measures, support measures or	measures, support measures or exceptional	CL. Implementation
	measures or exceptional assistance measures.	assistance measures.	exceptional assistance	assistance measures.	
	Action plans and	Action plans and	measures. Action plans	Action plans and	
	measures shall specify	measures shall specify	and measures shall	measures shall <i>take</i>	
	for each action the	for each action the	take into account the	into account the	
	objectives pursued, the	objectives pursued, the		specific context and	
	expected results and	expected results and	<i>specific context and</i> <i>shall</i> specify for each	<i>shall</i> specify for each	
	main activities, the	main activities, the	action the objectives	action the objectives	
	methods of	main activities, the methods of	pursued, the expected	pursued, the expected	
		implementation	results and main	results and main	
	implementation, the	1	activities, the methods		
	budget and any	application, the budget	,	activities, the methods	
	associated support	and any associated	of implementation,	of {1 }	
	expenditures.	support expenditures.	monitoring and	, monitoring and	
			evaluation as well as	evaluation as well as	
			the budget and any	the budget and any	<u> </u>



Neighbourhood

Amounts

Governance associated support associated support expenditures. expenditures. 2. Action plans shall be Provisionally closed 238. 2. Action plans shall be 2. Action plans shall be 2. Action plans shall be based on programming based on programming based on programming based on programming documents, except for documents, except for documents, except for documents, except for cases referred to in cases referred to in cases referred to in cases referred to in paragraphs 3 and 4. paragraphs 3 and 4. paragraphs 3 and 4. paragraphs 3 and 4. Action plans shall be Action plans shall be prepared in an prepared in an inclusive, transparent *inclusive*, *transparent* and timely manner. and timely manner. Whenever appropriate, Whenever appropriate, action plans shall be action plans shall be discussed jointly with discussed jointly with Member States in the Member States in the context of working context of working better together. better together. 239. Provisionally closed When necessary, an When necessary, an When necessary, an When necessary, an action may be adopted action may be adopted as action may be adopted action may be adopted as an individual an individual measure as an individual as an individual measure before or after before or after the measure before or after measure before or after adoption of action plans. the adoption of action the adoption of action the adoption of action plans. Individual Individual measures plans. Individual plans. Individual measures shall be based shall be based on measures shall be based measures shall be on programming programming based on programming on programming documents, except for documents, except for documents, except for documents, except for cases referred to in cases referred to in cases referred to in cases referred to in paragraph 3 and in other paragraph 3 and in other paragraph 3 and in paragraph 3 and in other duly justified cases. duly justified cases. other duly justified duly justified cases. cases.



Amounts

Governance					
240.	In the event of	In the event of	In the event of	In the event of	Provisionally closed
	unforeseen needs or	unforeseen needs or	unforeseen needs or	unforeseen needs or	
	circumstances, and	circumstances, and when	circumstances, and	circumstances, and	
	when funding is not	funding is not possible	when funding is not	when funding is not	
	possible from more	from more appropriate	possible from more	possible from more	
	appropriate sources, the	sources, the Commission	appropriate sources,	appropriate sources, the	
	Commission may adopt	may adopt special	the Commission may	Commission may adopt	
	special measures not	measures not provided	adopt special measures	special measures not	
	provided for in the	for in based on the	not provided for in the	provided for in the	
	programming	programming	programming	programming	
	documents.	documents.	documents.	documents.	
241.	3. Annual or	3. Annual or multiannual	3. Annual or	3. Annual or	{1}
	multiannual action	action plans and	multiannual action	multiannual action	EP: -implement execute
	plans and individual	individual measures may	plans and individual	plans and individual	CL: implement
	measures may be used	be used to implement	measures may be used	measures may be used	_
	to implement rapid	execute rapid response	to implement rapid	to {1} rapid response	{ <mark>2</mark> }
	response actions	actions referred to in	response actions	actions referred to in	EP: no text
	referred to in Article	Article 4(4)(b) and (c) .	referred to in Article	Article 4(4)(b) {2}	CL: and (c)
	4(4)(b) and (c).		4(4)(b) and (c).	•	
242.	4. The Commission	4. The Commission may	4. The Commission	4. The Commission	Provisionally closed
	may adopt exceptional	adopt exceptional	may adopt exceptional	may adopt exceptional	
	assistance measures for	assistance measures for	assistance measures for	assistance measures for	
	rapid response actions	rapid response actions as	rapid response actions	rapid response actions	
	as referred to in Article	referred to in Article	as referred to in Article	as referred to in Article	
	4(4)(a).	4(4)(a).	4(4)(a).	4(4)(a).	
243.	An exceptional	An exceptional	An exceptional	An exceptional	Provisionally closed
	assistance measure may	assistance measure may	assistance measure	assistance measure may	
	have a duration of up to	have a duration of up to	may have a duration of	have a duration of up to	
	18 months, which may	18 months, which may	up to 18 months, which	18 months, which may	
	be extended twice by a	be extended twice by a	may be extended twice	be extended twice by a	



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance further period of up to further period of up to by a further period of further period of up to six months, up to a total six months, up to a total up to six months, up to six months, up to a total maximum duration of maximum duration of 30 maximum duration of a total maximum months, in the event of 30 months, in the event duration of 30 months. 30 months, in the event objective and unforeseen of objective and of objective and in the event of objective and unforeseen obstacles to obstacles to its unforeseen obstacles to its implementation, implementation, unforeseen obstacles to its implementation. provided that there is no provided that there is no its implementation, provided that there is no increase in the financial provided that there is increase in the financial increase in the financial no increase in the amount of the measure. amount of the measure. amount of the measure. financial amount of the measure. 244. In cases of protracted In cases of protracted In cases of protracted In cases of protracted Provisionally closed crisis and conflict, the crisis and conflict. the crisis and conflict. the crisis and conflict, the Commission may adopt Commission may adopt Commission may Commission may adopt a second exceptional a second exceptional adopt a second a second exceptional assistance measure of a assistance measure of a assistance measure of a exceptional assistance duration of up to 18 duration of up to measure of a duration duration of up to 18 months. In duly 18 months. In duly months. In duly justified of up to 18 months. In justified cases further cases further measures duly justified cases justified cases further measures may be may be adopted where further measures may measures may be the continuity of the adopted where the be adopted where the adopted where the Union's action is continuity of the continuity of the continuity of the Union's action is essential and cannot be Union's action is Union's action is essential and cannot be ensured by other means. essential and cannot be essential and cannot be ensured by other means. ensured by other ensured by other means. means. 244. bis 4a. Measures taken Provisionally closed under Article 19 (3) and (4) may have a duration

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Jeighbourh	pending target and related provisood				
mounts					
overnance					
		of up to 18 months, which may be extended twice by a further period of up to six months, up to a total maximum duration of 30 months, in the event of objective and unforeseen obstacles to execution, provided that there is no increase in the financial			
		amount of the measure.			
244.		In cases of protracted			Provisionally closed
ter		crisis and conflict, the			Trovisionally closed
		Commission may adopt			
		a second exceptional			
		assistance measure of a			
		duration of up to 18			
		months. In duly justified			
		cases, further measures			
		may be adopted where			
		the continuity of the			
		Union's action under			
		this paragraph is			
		essential and cannot be			
45.	Article 20	<i>ensured by other means.</i> Article 20	Article 20	Article 20	Duquiai ou allu al
.+3.					Provisionally closed
246	Support measures	Support measures	Support measures	Support measures	
246.	1. Union financing may	1. Union financing may	1. Union financing	1. Union financing may	Provisionally closed
	cover support	cover support	may cover support	cover support	pending alignement





Amounts

Governance

Jovernance					
	expenditure for the	expenditure for the	expenditure for the	expenditure for the {1}	_
	implementation of the	implementation	implementation of the	of the Instrument and	{1}
	Instrument and for the	<i>execution</i> of the	Instrument and for the	for the achievement of	EP: implementation
	achievement of its	Instrument and for the	achievement of its	its objectives, including	execution
	objectives, including	achievement of its	objectives, including	administrative support	
	administrative support	objectives, including	administrative support	associated with the	CL: implementation
	associated with the	administrative support	associated with the	preparation, follow-up,	
	preparation, follow-up,	associated with the	preparation, follow-up,	monitoring, control,	{2}
	monitoring, control,	preparation, follow-up,	monitoring, control,	audit and evaluation	EP: implementation
	audit and evaluation	monitoring, control,	audit and evaluation	activities necessary for	execution
	activities necessary for	audit and evaluation	activities necessary for	such {2}, as well as	
	such implementation, as	activities necessary for	such implementation,	expenditure at	CL: implementation
	well as expenditure at	such implementation	as well as expenditure	headquarters and Union	
	headquarters and Union	<i>execution</i> , as well as	at headquarters and	delegations for the	
	delegations for the	expenditure at	Union delegations for	administrative and	
	administrative support	headquarters and Union	the administrative and	coordination support	
	needed for the	delegations for the	coordination support	needed for the	
	programme, and to	administrative support	needed for the	programme, and to	
	manage operations	needed for the	programme, and to	manage operations	
	financed under this	programme, and to	manage operations	financed under this	
	Regulation, including	manage operations	financed under this	Regulation, including	
	information and	financed under this	Regulation, including	information and	
	communication actions,	Regulation, including	information and	communication actions,	
	and corporate	information and	communication	and corporate	
	information technology	communication actions,	actions, and corporate	information technology	
	systems.	and corporate	information technology	systems.	
		information technology	systems.		
		systems.			
247.	2. When support	2. When support	2. When support	2. When support	Provisionally closed
	expenditure is not	expenditure is not	expenditure is not	expenditure is not	



Migration spo Neighbourho	gets (except migration) ending target and related provis od	ions			
Amounts					
Governance	included in the action plans or measures referred to in Article 21, the Commission shall adopt, where applicable, support measures. Union financing under support measures may cover:	included in the action plans or measures referred to in Article 21, the Commission shall adopt, where applicable, support measures. Union financing under support measures may cover:	included in the action plans or measures referred to in Article 21, the Commission shall adopt, where applicable, support measures. Union financing under support measures may cover:	included in the action plans or measures referred to in Article 21, the Commission shall adopt, where applicable, support measures. Union financing under support measures may cover:	
248.	 (a) studies, meetings, information, awareness-raising, training, preparation and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions, including remunerated external experts; 	(a) studies, meetings, information, awareness- raising, training, preparation and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions, including remunerated external experts;	(a) studies, meetings, information, awareness-raising, training, preparation and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions, including remunerated external experts;	(a) studies, meetings, information, awareness- raising, training, preparation and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the programming and management of actions, including remunerated external experts;	Provisionally closed
249.	(b) research and innovation activities and studies on relevant	(b) research and innovation activities and studies on relevant issues	(b) research and innovation activities and studies on relevant	(b) research and innovation activities and studies on relevant	Provisionally closed

Amounts Governance

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	issues and the	and the dissemination	issues and the	issues and the	
	dissemination thereof;	thereof;	dissemination thereof;	dissemination thereof;	
250.	(c) expenditures related	(c) expenditures related	(c) expenditures related	(c) expenditures related	Provisionally closed
	to the provision of	to the provision of	to the provision of	to the provision of	
	information and	information and	information and	information and	
	communication actions,	communication actions,	communication	communication actions,	
	including the	including the	actions, including the	including the	
	development of	development of	development of	development of	
	communication	communication	communication	communication	
	strategies and corporate	strategies and corporate	strategies and	strategies and corporate	
	communication and	communication and	corporate	communication and	
	visibility of the political	visibility of the political	communication and	visibility of the political	
	priorities of the Union.	priorities of the Union.	visibility of the	priorities of the Union.	
	-		political priorities of		
			the Union.		
251.	Article 21	Article 21	Article 21	Article 21	Provisionally closed
	Adoption of action	Adoption of action plans	Adoption of action	Adoption of action	
	plans and measures	and measures	plans and measures	plans and measures	
252.	1. Action plans and	1. Action plans and	1. Action plans and		EP and CL maintain
	measures shall be	measures shall be	measures shall be		their mandates
	adopted by means of	adopted by means of	adopted by means of		
	implementing acts	implementing acts	implementing acts		
	adopted in accordance	adopted a Commission	adopted in accordance		
	with the examination	<i>decision</i> in accordance	with the examination		
	procedure referred to in	with the examination	procedure referred to in		
	Article 35(2).	procedure referred to in	Article 35(2).		
		Article 35(2) Financial			
		Regulation.			
		8	A 771 1		
253.	2. The procedure	2. The procedure	2. The procedure		EP and CL maintain





Amounts Governance

Governance				
	1 shall not be required	1 shall not be required	1 shall not be required	
	for:	for:	for:	
254.	(a) action plans,	(a) action plans,	(a) action plans and	EP and CL maintain
	individual measures and	individual measures and	individual measures	their mandates
	support measures, for	support measures, for	and support measures,	
	which the Union's	which the Union's	for which the Union's	
	funding does not exceed	funding does not exceed	funding does not	
	EUR 10 million;	EUR 10 million;	exceed EUR 105	
			million;	
255.	(b) special measures as	(b) special measures as	(b) special <i>and support</i>	EP and CL maintain
	well as action plans and	well as action plans and	measures as well as	their mandates
	measures adopted in	measures adopted in	action plans-and	
	order to implement	order to implement rapid	measures adopted in	
	rapid response actions	response actions for	order to implement	
	for which the Union's	which the Union's	rapid response actions	
	funding does not exceed	funding does not exceed	for which the Union's	
	EUR 20 million;	EUR 20 million;	funding does not	
			exceed EUR 20 10	
			million;	
255. bis			(b bis) exceptional	EP and CL maintain
			assistance measures as	their mandates
			referred to in Article	
			4(4)(a) for which the	
			Union's funding does	
			not exceed EUR 20	
			million;	
256.	(c) technical	(c) technical	(c) technical	EP and CL maintain
	amendments, provided	amendments, provided	amendments to action	their mandates
	such amendments do	such amendments do not	plans and measures,	
	not substantially affect	substantially affect the	provided such	



	pending target and related provis	sions		
eighbourh	boc			
mounts				
overnance				
	the objectives of the	objectives of the action	amendments do not	
	action plan or measure	plan or measure	substantially affect the	
	concerned, such as:	concerned, such as:	objectives of the action	
			plan or measure	
			concerned, such as:	
57.	(i) change of method of	(i) change of method of	(i) change of method of	EP and CL maintain
-7 1 .	implementation;	implementation;	implementation;	their mandates
57. bis			(i bis) extensions of	EP and CL maintain
			the implementation	their mandates
			period;	
58.	(ii) reassignments of	(ii) reassignments of	(ii) reassignments of	EP and CL maintain
	funds between actions	funds between actions	funds between actions	their mandates
	contained in an action	contained in an action	contained in an action	
	plan;	plan;	plan;	
59.	(iii) increases or	(iii) increases or	(iii) increases or	EP and CL maintain
	reductions of the budget	reductions of the budget	reductions of the	their mandates
	of action plans and	of action plans and	budget of action plans	
	measures by not more	measures by not more	and measures by not	
	than 20 % of the initial	than 20 % of the initial	more than 20 % of the	
	budget and not	budget and not	initial budget and not	
	exceeding EUR 10	exceeding	exceeding EUR	
	million;	EUR 10 million;	10 million;	
60.	In case of multiannual	In case of multiannual	In case of multiannual	EP and CL maintain
	action plans and	action plans and	action plans and	their mandates
	measures, the thresholds	measures, the thresholds	measures, the	
	referred to in paragraph	referred to in paragraph	thresholds referred to	
	(2)(a), (b) and (c) (iii)	(2)(a), (b) and (c) (iii)	in paragraph (2)(a), (b)	
	shall be applicable on a	shall be applicable on a	and (c) (iii) shall be	
	yearly basis.	yearly basis.	applicable on a yearly	
			basis.	



Amounts

Governance					
261.	When adopted in	When adopted in	When adopted in	When adopted in	
	accordance with this	accordance with this	accordance with this	accordance with this	
	paragraph, action plans	paragraph, action plans	paragraph, action plans	paragraph, action plans	
	and measures, except	and measures, except	and measures, except	and measures, except	
	exceptional assistance	exceptional assistance	exceptional assistance	exceptional assistance	<mark>{1}</mark>
	measures, and technical	measures, and technical	measures, and	measures, and technical	
	amendments shall be	amendments shall be	technical amendments	amendments shall be	EP: no text
	communicated to the	communicated to the	shall be communicated	communicated to the	
	European Parliament	European Parliament and	to the European	European Parliament	CL: through the
	and to the Member	to the Member States	Parliament and to the	and to the Member	relevant committee
	States through the	through the relevant	Member States through	States {1} through the	referred to in Article 35
	relevant committee	committee referred to in	the relevant committee	relevant committee	within one month of
	referred to in Article 35	Article 35 within one	referred to in Article	referred to in Article 35	their adoption.
	within one month of	month of their adoption.	35 within one month of	within one month of	
	their adoption.		their adoption.	their adoption.	
262.	3. Before the adoption	3. Before the adoption or	3. Before the adoption	3. Before the adoption	
	or extension of	extension of exceptional	or extension of	or extension of	
	exceptional assistance	assistance measures not	exceptional assistance	exceptional assistance	{ <mark>1</mark> }
	measures not exceeding	exceeding	measures not	measures not exceeding	EP: no text
	EUR 20 million, the	EUR 20 million, the	exceeding	EUR 20 million, the	CL: of the Council
	Commission shall	Commission shall	EUR 20 million, the	Commission shall	_
	inform the Council of	inform the Council of	Commission shall	inform the Council and	{2}
	their nature and	their nature and	inform the Council of	the European	EP:-implementation
	objectives and of the	objectives and of the	their nature and	Parliament of their	application
	financial amounts	financial amounts	objectives and of the	nature and objectives	CL: implementation
	envisaged. The	envisaged. The	financial amounts	and of the financial	
	Commission shall	Commission shall	envisaged. The	amounts envisaged. The	
	inform the Council	inform the Council	Commission shall	Commission shall	
	before making	before making	inform the Council	inform the Council and	
	significant substantive	significant substantive	before making	the European	



Governance					
	changes to exceptional	changes to exceptional	significant substantive	Parliament before	
	assistance measures	assistance measures	changes to exceptional	making significant	I
	already adopted. The	already adopted. The	assistance measures	substantive changes to	I
	Commission shall take	Commission shall take	already adopted. The	exceptional assistance	I
	account of the relevant	account of the relevant	Commission shall take	measures already	I
	policy approach of the	policy approach of the	account of the relevant	adopted. The	I
	Council for the planning	Council and the	policy approach of the	Commission shall take	I
	and subsequent	European Parliament	Council for the	account of the relevant	I
	implementation of such	for the planning and	planning and	policy approach { <mark>1</mark> } for	I
	measures, in the	subsequent	subsequent	the planning and	I
	interests of consistency	implementation	implementation of such	subsequent {2}of such	1
	of the Union's external	application of such	measures, in the	measures, in the	I
	action.	measures, in the interests	interests of consistency	interests of consistency	I
		of consistency of the	of the Union's external	of the Union's external	Ì
		Union's external action.	action.	action.	
263.	The Commission shall	The Commission shall	The Commission shall		1
	keep the European	keep immediately	keep the European		Ì
	Parliament duly	inform the European	Parliament duly		1
	informed, in a timely	Parliament duly	informed, in a timely		1
	manner, about the	informed, in a timely	manner, about the		1
	planning and the	manner, about the	planning and the		1
	implementation of	planning and the	implementation of		1
	exceptional assistance	implementation of	exceptional assistance		1
	measures pursuant to	exceptional assistance of	measures pursuant to		1
	this Article, including	measures pursuant to this	this Article, including		1
	the financial amounts	Article, including the	the financial amounts		1
	envisaged, and shall	financial amounts	envisaged, and shall		1
	also inform the	envisaged, and shall also	also inform the		I
	European Parliament	inform the European	European Parliament		I
	when making	Parliament when making	when making		



Spending targets (exce	ept migration)			
	rget and related provisi	ions		
Neighbourhood	-Ber mild for the provide			
Amounts				
Governance				
	ostantial changes or	substantial changes or	substantial changes or	
	ensions to that	extensions to that	extensions to that	
ass	istance.	assistance. As soon as	assistance.	
		possible following the		
		adoption or substantial		
		modification of a		
		measure, and in any		
		case within one month		
		thereof, the Commission		
		shall report to the		
		European Parliament		
		and to the Council and		
		give an overview of the		
		nature and the rationale		
		of the measure adopted,		
		its duration, budget and		
		its context, including		
		the complementarity of		
		that measure with other		
		ongoing and planned		
		Union assistance. For		
		exceptional assistance		
		measures, the		
		Commission shall also		
		indicate whether, to		
		what extent and how it		
		will ensure the		
		continuity of the policy		
		executed through the		
		exceptional assistance		



Aigration spending target a Veighbourhood		
Amounts		
Governance		
	by medium- and long- term assistance under this Regulation.	
263. bis	3a. Before adopting action plans and measures not based on programming documents pursuant to Article 19(2), except for cases referred to in Article 19 (3) and (4), the Commission shall adopt a delegated act in accordance with Article 34 in order to supplement this Regulation by setting out the specific objectives to be pursued, the results expected, the instruments to be used, the main activities and the indicative financial allocations of these	EP and CL maintain their mandates
264. 4. In the	action plans and measures.	EP and CL maintain
grounds	nperativejustified imperativejustified imperativef urgency,grounds of urgency, suchgrounds of urgency,ses includingas crises includingsuch as crises including	their mandates



Governance	_	-		-	-
	natural or man-made	natural or man-made	natural or man-made		
	disasters, immediate	disasters, immediate	disasters, immediate		
	threats to democracy,	threats to democracy, the	threats to democracy,		
	the rule of law, human	rule of law, human rights	the rule of law, human		
	rights or fundamental	or fundamental	rights or fundamental		
	freedoms, the	freedoms, the	freedoms, the		
	Commission may adopt	Commission may adopt	Commission may		
	action plans and	action plans and	adopt action plans and		
	measures or	measures or amendments	measures or		
	amendments to existing	to existing action plans	amendments to		
	action plans and	and measures, as	existing action plans		
	measures, as	immediately applicable	and measures, as		
	immediately applicable	implementing acts, in	immediately applicable		
	implementing acts, in	accordance with the	implementing acts, in		
	accordance with the	procedure referred to in	accordance with the		
	procedure referred to in	Article 35(4).	procedure referred to in		
	Article 35(4).		Article 35(4).		
265.	5. Appropriate	5. Appropriate <i>human</i>	5. Appropriate	5. Appropriate	Provisionally closed
	environmental	rights, social and	environmental	environmental	
	screening, including for	environmental screening,	screening, including	screening, including for	
	climate change and	including for climate	for climate change and	climate change and	
	biodiversity impacts,	change and biodiversity	biodiversity impacts,	biodiversity impacts,	
	shall be undertaken at	impacts, shall be	shall be undertaken at	shall be undertaken at	
	the level of actions, in	undertaken at the level	the level of actions, in	the level of actions, in	
	accordance with the	of actions, in accordance	accordance with the	accordance with the	
	applicable legislative	with the applicable	applicable legislative	applicable legislative	
	acts of the Union,	legislative acts of the	acts of the Union,	acts of the Union,	
	including Directive	Union, including	including Directive	including Directive	
		Directive 2011/92/EU ⁶⁰	2011/92/EU ⁶⁰ of the	2011/92/EU ⁶⁰ of the	
		of the European	European Parliament	European Parliament	



	ts (except migration) ding target and related provis l	ions			
	2011/92/EU ⁶⁰ of the European Parliament and of the Council and Council Directive 85/337/EEC ⁶¹ , comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure.	Parliament and of the Council and Council Directive 85/337/EEC ⁶¹ , comprising, where applicable, an environmental impact assessment for environmentally sensitive actions, in particular for major new infrastructure.	and of the Council and Council Directive 85/337/EEC ⁶¹ , comprising, where applicable, an environmental impact assessment, <i>including</i> <i>the impact on climate</i> <i>change, ecosystems</i> <i>and biodiversity</i> , for environmentally sensitive actions, in particular for major new infrastructure, <i>as</i> <i>well as an assessment</i> <i>of the compatibility of</i> <i>the actions with the</i> <i>recipient country's</i> <i>Nationally Determined</i> <i>Contribution under</i> <i>the Paris Agreement</i> .	and of the Council and Council Directive 85/337/EEC ⁶¹ , comprising, where applicable, an environmental impact assessment, <i>including</i> <i>the impact on climate</i> <i>change, ecosystems and</i> <i>biodiversity,</i> for environmentally sensitive actions, in particular for major new infrastructure.	
265. bis		Additionally, ex-ante human rights, gender, social and labour	<u> </u>		

⁶⁰ Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment (codification) (OJ L 26 28.1.2012. p.1).

⁶¹ Council Directive of 27 June 1985 on the assessment of the effects of certain public and private projects on the environment (OJ L 175, 05.07.1985. p. 0040 – 0048).

Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

Governance *impact assessments, as* well as conflict analysis and risk assessment shall be conducted. 266. Where relevant, Where relevant, *human* Where relevant, Where relevant, Provisionally closed strategic environmental strategic environmental strategic environmental rights, social and assessments shall be strategic environmental assessments, *including* assessments, *including {1}* used in the the impact on climate the impact on climate **EP:** implementation assessments shall be execution implementation of used in the *change*, shall be used change, shall be used in sectoral programmes. implementation in the implementation the *{***1***}* CL: implementation The involvement of of sectoral of sectoral programmes. *execution* of sectoral The involvement of interested stakeholders programmes. The programmes. The in environmental involvement of involvement of interested stakeholders assessments and public interested stakeholders interested stakeholders in environmental access to the results of in environmental these in environmental assessments and public assessments and public such assessments shall assessments and public access to the results of such assessments shall be ensured. access to the results of access to the results of such assessments shall such assessments shall be ensured. be ensured. be ensured. 266. bis This Regulation shall Provisionally closed – not support actions covered under 24 bis incompatible with the recipient country's Nationally Determined Contribution under the Paris Agreement or investments in fossil fuels or that,

according to the environmental



igration spending target and			
eighbourhood			
nounts overnance			
Weimanee		screening and impact	
		assessment, cause	
		significant adverse	
		effects on the	
		environment or the	
		climate, without	
		proposing appropriate	
		measures to avoid,	
		prevent or reduce and,	
		if possible, off-set	
		these effects, including	
		support to phase out	
		environmentally	
		harmful fossil fuel	
		subsidies.	
i6.		6. In the design and	Provisionally closed
		implementation of	Reference in line 138
		programmes and	
		projects, criteria	
		regarding the	
		accessibility for	
		persons with	
		disabilities shall be	
		duly taken into account.	
6. quater	Article 21a		Provisionally closed
or quinter	European Parliament's		1 rovisionaliy closed
	assistance programmes		
66. quin-quies	The Commission shall		Provisionally closed
1. 1. 1	hold a dialogue with the		1 Tovisionany closed



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tovernance	

Governance					
		European Parliament, and take into account the European Parliament's views on areas in which the latter is running its own assistance programmes, such as capacity- building and election observation.			
267.	Article 22 Methods of cooperation	Article 22 Methods of cooperation	Article 22 Methods of cooperation	Article 22 Methods of cooperation	Provisionally closed on 2 October 2020
268.	1. Financing under this Instrument shall be implemented by the Commission, as provided for by the Financial Regulation, either directly by the Commission itself, by Union delegations and by executive agencies, or indirectly through any of the entities listed in Article 62 (1) c) of the Financial Regulation.	1. Financing under this Instrument shall be implemented by the Commission, as provided for by the Financial Regulation, either directly by the Commission itself, by Union delegations and by executive agencies, or indirectly through any of the entities listed in Article 62 (1) c) of the Financial Regulation.	1. As provided for by the Financial Regulation and while fostering enhanced cooperation, including by making full use of Member States actors' expertise and competences, fFinancing under this Instrument shall be implemented by the Commission , as provided for by the Financial Regulation, either directly by the Commission itself, by	1. Financing under this Instrument shall be implemented by the Commission, as provided for by the Financial Regulation, either directly by the Commission itself, by Union delegations and by executive agencies, or indirectly through any of the entities listed in Article 62 (1) c) of the Financial Regulation.	Provisionally closed on 2 October 2020



Amounts

Governance

269.2. Financing under this Instrument may also be provided through ontinernational, regional on anional funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international regions or by international international regions or by international regions or by international regions or by international regions or by international regions or by international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international international regulation shall annually fulfil their reporting obligations under Article 155 of	Governance					
269.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international, regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international regions or by international organisations, or other donors.Provisionally closed on 2 October 2020270.3. The entities listed in Article 62(1)(c) of the Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the3. The entities listed in Article 155 of the3. The entities listed in Article 155 of the3. The entities listed in Article 155 of the3. The entities listed in anually fulfil their reporting obligations under Article 155 of the3. The entities listed in Article 155 of the3. The entities listed in Article 250 the3. The entities listed in Article 250 the3. The entities listed in Articl				Union delegations and		
269.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as at hose established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international organisations, or other donors.Provisionally closed on 2 October 2020270.3. The entities listed in Article 62(1)(c) of the Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the3. The entities listed in Article 52(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the3. The entities list of this Regulation shall annually fulfil their reporting obligations under Article 155 of the3. The entities listed in Article 55 of the3. The entities listed in Article 155 of the3. The entities listed in this Regulation shall annually fulfil their reporting obligations under Article 155 of the <t< th=""><th></th><th></th><th></th><th>by executive agencies,</th><th></th><th></th></t<>				by executive agencies,		
269.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international regions or other donors.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.Provisionally closed on 2 October 2020270.3. The entities listed in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the3. The entities listed in Article 155 of t				or indirectly through		
269.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financial Regulation and in Article 62(1)(c) of the Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the3. The entities listed in Article 155 of the under Article 155 of theProvisionally closed on 2 October 2020270.3. The entities listed in Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the3. The entities listed in Article 155 of the3. The entities listed in annually fulfil their reporting obligations under Article 155 of the <td< th=""><th></th><th></th><th></th><th>any of the entities</th><th></th><th></th></td<>				any of the entities		
269.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors.2. Financing under this Instrument may also be provided through contributions to international organisations, or other donors.Provisionally closed on 2 October 2020270.3. The entities listed in Article 62(1)(c) of the Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the3. The entities listed in Article 155 of the3. The entities listed in annually fulfil their reporting obligations under Article 155 of the3. The entities listed in annually fulfil their reporting obligations under Article 155 of the3. The entities listed in annually fulfil their reporting obligations under Article 155 of the3. The entities listed in annually fulfil their reporting obligations under Article 155 of theProvisionally closed or attent atr				listed in Article		
 269. 2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors. 2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors. 2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors. 3. The entities listed in Article 62(1)(c) of the Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the 2. Financing under this Instrument may also be provided through contributions to international, regional or national funds, such as those established or managed by the EIB, by Member States, by partner countries and regions or by international organisations, or other donors. 3. The entities listed in Article 62(1)(c) of the Financial Regulation and in Article 29(1) of this Regulation shall annually fulfil their reporting obligations under Article 155 of the 				62(1)(c) of the		
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		Financial Regulation.	Financial Regulation.	the Financial	Financial Regulation.	



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	The reporting	The reporting	Regulation. The	The reporting	
	requirements for any of	requirements for any of	reporting requirements	requirements for any of	
	these entities are laid	these entities are laid	for any of these entities	these entities are laid	
	down in the framework	down in the framework	are laid down in the	down in the <i>financial</i>	
	partnership agreement,	partnership agreement,	<i>financial</i> framework	framework partnership	
	the contribution	the contribution	partnership agreement,	agreement, the	
	agreement, the	agreement, the	the contribution	contribution agreement,	
	agreement on budgetary	agreement on budgetary	agreement, the	the agreement on	
	guarantees or the	guarantees or the	agreement on	budgetary guarantees or	
	financing agreement.	financing agreement.	budgetary guarantees	the financing	
			or the financing	agreement.	
			agreement.		
271.	4. Actions financed	4. Actions financed	4. Actions financed	4. Actions financed	Provisionally closed on
	under the Instrument	under the Instrument	under the Instrument	under the Instrument	2 October 2020
	may be implemented by	may be implemented by	may be implemented	may be implemented by	
	means of parallel or	means of parallel or joint	by means of parallel or	means of parallel or	
	joint co-financing.	co-financing.	joint co-financing.	joint co-financing.	
272.	5. In the case of parallel	5. In the case of parallel	5. In the case of	5. In the case of parallel	Provisionally closed on
	co-financing, an action	co-financing, an action is	parallel co-financing,	co-financing, an action	2 October 2020
	is split into a number of	split into a number of	an action is split into a	is split into a number of	
	clearly identifiable	clearly identifiable	number of clearly	clearly identifiable	
	components which are	components which are	identifiable	components which are	
	each financed by the	each financed by the	components which are	each financed by the	
	different partners	different partners	each financed by the	different partners	
	providing co-financing	providing co-financing	different partners	providing co-financing	
	in such a way that the	in such a way that the	providing co-financing	in such a way that the	
	end-use of the financing	end-use of the financing	in such a way that the	end-use of the financing	
	can always be	can always be identified.	end-use of the	can always be identified	
	identified.		financing can always	and duplication of	
			be identified and	financing is avoided.	



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			financing is avoided.		
273.	6. In the case of joint	6. In the case of joint co-	6. In the case of joint	6. In the case of joint	Provisionally closed on
	co-financing, the total	financing, the total cost	co-financing, the total	co-financing, the total	2 October 2020
	cost of an action is	of an action is shared	cost of an action is	cost of an action is	
	shared between the	between the partners	shared between the	shared between the	
	partners providing the	providing the co-	partners providing the	partners providing the	
	co-financing and the	financing and the	co-financing and the	co-financing and the	
	resources are pooled in	resources are pooled in	resources are pooled in	resources are pooled in	
	such a way that it is no	such a way that it is no	such a way that it is no	such a way that it is no	
	longer possible to	longer possible to	longer possible to	longer possible to	
	identify the source of	identify the source of	identify the source of	identify the source of	
	financing for any given	financing for any given	financing for any given	financing for any given	
	activity undertaken as	activity undertaken as	activity undertaken as	activity undertaken as	
	part of the action.	part of the action.	part of the action.	part of the action.	
274.	7. Cooperation between	7. Cooperation between	7. Cooperation	7. Cooperation between	Provisionally closed on
	the Union and its	the Union and its	between the Union and	the Union and its	2 October 2020
	partners may take the	partners may take the	its partners may take	partners may take the	
	form, inter alia, of:	form, inter alia, of:	the form, inter alia, of:	form, inter alia, of:	
275.	(a) triangular	(a) triangular	(a) triangular	(a) triangular	Provisionally closed on
	arrangements whereby	arrangements whereby	arrangements whereby	arrangements whereby	2 October 2020
	the Union coordinates	the Union coordinates	the Union coordinates	the Union coordinates	
	with third countries its	with third countries its	with third countries its	with third countries its	
	assistance funding to a	assistance funding to a	assistance funding to a	assistance funding to a	
	partner country or	partner country or	partner country or	partner country or	
076	region;	region;	region;	region;	
276.	(b) administrative	(b) administrative	(b) administrative <i>and</i>	(b) administrative <i>and</i>	Provisionally closed on
	cooperation measures	cooperation measures	<i>technical</i> cooperation	<i>technical</i> cooperation	2 October 2020
	such as twinning	such as twinning	measures, as well as	measures, as well as	
	between public	between public	capacity building,	building capacity,	<u> </u>



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institutions, local authorities, national public bodies or private law entities entrusted with public service tasks of a Member State and those of a partner country or region, as well as cooperation measures involving public sector experts dispatched from the Member States and their regional and local authorities;	law entities entrusted with public service tasks of a Member State and those of a partner country or region, as well as cooperation measures involving public sector experts dispatched from the	<i>including to share</i> <i>transitional or reform</i> <i>implementation</i> <i>experiences of</i> <i>Member States,</i> such as twinning between public institutions, local authorities, national-public <i>law</i> bodies or private law entities entrusted with public service tasks of a Member State and those of a partner country or region, as well as cooperation measures involving public sector experts dispatched from the Member States and their regional and local authorities;	<i>including to share</i> <i>transitional or reform</i> <i>implementation</i> <i>experiences of Member</i> <i>States,</i> such <i>as</i> <i>decentralised</i> <i>cooperation through</i> <i>partnerships or</i> twinning, between public institutions, <i>including</i> local authorities, <i>national</i> public <i>law</i> bodies or private law entities entrusted with public service tasks of a Member State and those of a partner country or region, as well as cooperation measures involving public sector experts dispatched from the Member States and their regional and local			
277. (c) contributions to the	(c) contributions to the	(c) contributions to the	authorities; (c) contributions to the	Provisionally closed on		
necessary costs of setting up and administering a public- private partnership;	necessary costs of setting up and administering a public-private partnership <i>including</i>	necessary costs of setting up and administering a public- private partnership;	necessary costs of setting up and administering a public- private partnership,	2 October 2020		



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance support of broad including those for participation by setting their independent up independent third assessment and party CSO body to *monitoring*, *whenever* assess and monitor possible by civil society *public-private* organisations; *partnership set-ups*; 277. bis Provisionally closed on (c bis) decentralised 2 October 2020 cooperation through partnerships between Content moved to 276 local or regional authorities from Member States and *partner countries;* 278. (d) sector policy support (d) sector policy support (d) sector policy (d) sector policy support Provisionally closed on programmes whereby programmes whereby support programmes programmes whereby 2 October 2020 the Union provides the Union provides whereby the Union the Union provides support to a partner support to a partner provides support to a support to a partner country's sector country's sector partner country's sector country's sector programme; programme programme programme; 279. (e) contributions to the (e) contributions to the (e) contributions to the (e) contributions to the Provisionally closed on cost of the countries' cost of the countries' cost of the countries' cost of the countries' 2 October 2020 participation in Union participation in Union participation in Union participation in Union programmes and actions programmes and actions programmes, and *to* programmes, and to implemented by Union implemented by Union actions implemented actions implemented by agencies and bodies, as agencies and bodies, as by Union agencies and Union agencies and bodies, as well as to bodies, as well as *to* well as bodies or well as bodies or persons entrusted with bodies or persons bodies or persons persons entrusted with implementation of implementation of entrusted with *the* entrusted with *the* specific actions in the specific actions in the implementation of implementation of

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Amounts Governance

	Common Foreign and	Common Foreign and	specific actions in the	specific actions in the	
	Security Policy	Security Policy pursuant	Common Foreign and	Common Foreign and	
	pursuant to Title V of	to Title V of the Treaty	Security Policy	Security Policy	
	the Treaty on European	on European Union	pursuant to Title V of	pursuant to Title V of	
	Union;	<i>TEU</i> ;	the Treaty on European	the TEU Treaty on	
			Union ; .	<i>European Union</i> ;	
280.	(f) interest rate subsidies.	(f) interest rate subsidies.	(f) interest rate subsidies.		Provisionally closed on 2 October 2020
281.	Article 23	Article 23	Article 23	Article 23	Provisionally closed on
	Forms of EU funding and methods of implementation	Forms of EU <i>Union</i> funding and methods of implementation <i>application</i>	Forms of EU funding and methods of implementation	Forms of Union funding	2 October 2020
282.	1. The Union funding may be provided through the types of financing envisaged by the Financial Regulation and in particular:	1. The Union funding may be provided through the types of financing envisaged by the Financial Regulation and in particular:	1. The Union funding may be provided through the types of financing <i>and</i> <i>procedures</i> envisaged by the Financial Regulation and in particular:	1. The Union funding may be provided through the types of financing envisaged by the Financial Regulation and in particular:	Provisionally closed on 2 October 2020
283.	(a) grants;	(a) grants;	(a) grants;	(a) grants;	Provisionally closed on 2 October 2020
284.	(b) procurement contracts for services, supplies or works;	(b) procurement contracts for services, supplies or works;	(b) procurement contracts for services, supplies or works;	(b) procurement contracts for services, supplies or works;	Provisionally closed on 2 October 2020
285.	(c) budget support;	(c) budget support;	(c) budget support;	(c) budget support;	Provisionally closed on 2 October 2020
286.	(d) contributions to trust	(d) contributions to trust	(d) contributions to	(d) contributions to trust	Provisionally closed on
	funds set up by the	funds set up by the	trust funds set up by	funds set up by the	2 October 2020



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	Commission, in	Commission, in	the Commission, in	Commission, in	
	accordance with Article	accordance with Article	accordance with	accordance with Article	
	234 of the Financial	234 of the Financial	Article 234 of the	234 of the Financial	
	Regulation;	Regulation;	Financial Regulation;	Regulation;	
287.	(e) financial	(e) financial instruments;	(e) financial	(e) financial	Provisionally closed on
	instruments;		instruments;	instruments;	2 October 2020
288.	(f) budgetary	(f) budgetary guarantees;	(f) budgetary	(f) budgetary	Provisionally closed on
	guarantees;		guarantees;	guarantees;	2 October 2020
289.	(g) blending;	(g) blending;	(g) blending	(g) blending <i>operations</i> ;	Provisionally closed on
			operations;		2 October 2020
290.	(h) debt relief in the	(h) debt relief in the	(h) debt relief in the	(h) debt relief in the	Provisionally closed on
	context of	context of internationally	context of	context of	2 October 2020
	internationally agreed	agreed debt relief	internationally agreed	internationally agreed	
	debt relief programme;	programme;	debt relief programme;	debt relief programme;	
291.	(i) financial assistance;	(i) financial assistance;	(i) financial assistance;	(i) financial assistance;	Provisionally closed on
					2 October 2020
292.	(j) remunerated external	(j) remunerated external	(j) remunerated	(j) remunerated external	Provisionally closed on
	experts.	experts.	external experts.	experts.	2 October 2020
293.	2. When working with	2. When working with	2. When working with	2. When working with	Provisionally closed on
	stakeholders of partner	stakeholders of partner	stakeholders of partner	stakeholders of partner	2 October 2020
	countries, the	countries, the	countries, the	countries such as those	
	Commission shall take	Commission shall take	Commission shall take	mentioned in Article 8	
	into account their	into account their	into account their	(4), the Commission	
	specificities, including	specificities, including	specificities, including	shall take into account	
	their needs and the	their needs and the	their needs and the	their specificities,	
	relevant context, when	relevant context, when	relevant context, when	including their needs	
	defining the financing	defining the financing	defining the financing	and the relevant	
	modalities, the type of	modalities, the type of	modalities, the type of	context, when defining	
	contribution, the award	contribution, the award	contribution, the award	the financing	
	modalities and the	modalities and the	modalities and the	modalities, the type of	



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administrative administrative provisions provisions for the management of grants, with a view to reaching and best responding to the widest possible range of such stakeholders. Specific modalities shall be encouraged in accordance with the meaningful Financial Regulation, such as partnership agreements, authorisations of financial support to third parties, direct award or eligibilityrestricted calls for proposals, or lump sums, unit costs and flat-rate financing as agreements, well as financing not linked to costs as envisaged in Article 125(1) of the Financial Regulation.

for the management of grants, with a view to reaching and best responding to the widest possible range of such stakeholders. That assessment shall take into account the conditions for a participation and involvement of all stakeholders, in particular local civil society. Specific modalities shall be encouraged in accordance with the Financial Regulation, such as partnership authorisations of financial support to third parties, direct award or eligibility-restricted calls for proposals, or lump sums, unit costs and flatrate financing as well as financing not linked to

administrative provisions for the management of grants, with a view to reaching and best responding to the widest possible range of such stakeholders. Specific modalities shall be encouraged in accordance with the Financial Regulation, such as partnership agreements, authorisations of financial support to third parties, direct award or eligibilityrestricted calls for proposals, or lump sums, unit costs and flat-rate financing as well as financing not linked to costs as envisaged in Article 125(1) of the Financial Regulation.

contribution. the award modalities and the administrative provisions for the management of grants, with a view to reaching and best responding to the widest possible range of such stakeholders. Specific modalities shall be encouraged in accordance with the Financial Regulation, such as partnership agreements, authorisations of financial support to third parties, direct award or eligibilityrestricted calls for proposals, or lump sums, unit costs and flat-rate financing as well as financing not linked to costs as envisaged in Article 125(1) of the Financial Regulation.



Spending targets (except mig			
Aigration spending target ar leighbourhood	id related provisions		
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	costs as envisaged in Article 125(1) of the Financial Regulation. Those different 		
293. bis		When working with Member States, in cases in which only public administrations are involved, such as twinning, simplified implementing and contractual provisions shall be discussed with Member States and applied in compliance with the Financial Regulation.	Provisionally closed o 2 October 2020 Content in recital.



	ets (except migration) ading target and related provised	sions			
Governance					
294.	3. In addition of the cases referred to in Article 195 of the Financial Regulation, the direct award procedure may be used for;	3. In addition of the cases referred to in Article 195 of the Financial Regulation, the direct award procedure may be used for;	3. In addition of to the cases referred to in Article 195 of the Financial Regulation, the direct award procedure may be used for;:	3. In addition of to the cases referred to in Article 195 of the Financial Regulation, the direct award procedure may be used for;:	Provisionally closed on 2 October 2020
295.	(a) low-value grants to human rights defenders to finance urgent protection actions, where appropriate without the need for co- financing;	(a) low-value grants to human rights defenders and to mechanisms for the protection of human rights defenders at risk, to finance urgent protection actions, where appropriate without the need for co-financing, as well as to mediators and other civil society actors involved in crisis and armed conflict related dialogue, conflict resolution, reconciliation and peace-building;	(a) low-value grants to human rights defenders to finance urgent protection actions <i>and</i> <i>needs</i> , where appropriate without the need for co-financing;	(a) low-value grants to human rights defenders to finance urgent protection actions and needs, including through mechanisms for the protection of human rights defenders at risk, as well as to mediators and other civil society actors involved in crisis and armed conflict related dialogue, conflict resolution, reconciliation and peace-building, where appropriate without the need for co-financing;	Provisionally closed on 2 October 2020
296.	(b) grants, where appropriate without the need for co-financing, to finance actions in the	(b) grants, where appropriate without the need for co-financing, to finance actions in the	(b) grants, where appropriate without the need for co-financing, to finance actions in	(b) grants, where appropriate without the need for co-financing, to finance actions in the	Provisionally closed on 2 October 2020 pending governance discussion



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most difficult conditions where the publication of a call for proposals would be inappropriate including situations where there is a serious lack of fundamental freedoms. where human security is most at risk or where human rights organisations and defenders operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and unforeseen obstacles to their implementation;

most difficult conditions where the publication of a call for proposals would be inappropriate including situations where there is a serious lack of fundamental freedoms. threats to democratic institutions, escalation of crisis, *armed conflict* where human security is most at risk or where human rights organisations and defenders, *mediators* and other civil society actors involved in crisis and armed conflict related dialogue, reconciliation and *peace-building* operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further

12 months in the event

of objective and

the most difficult conditions where the publication of a call for proposals would be inappropriate, including situations where there is a serious violation of human *rights, a* lack of fundamental freedoms, where human security is most at risk or where human rights organisations and defenders operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to 18 months, which may be extended by a further 12 months in the event of objective and unforeseen obstacles to their implementation;

most difficult {1} conditions where the publication of a call for proposals would be inappropriate, including situations where there is a serious lack of fundamental freedoms, including violation of human rights, threats to democratic institutions. escalation of crisis or armed *conflict*, where human security is most at risk or where human rights organisations and defenders, mediators and other civil society actors involved in crisis and armed conflict related dialogue, reconciliation and *peace-building* operate under the most difficult conditions. Such grants shall not exceed EUR 1 000 000 and shall have a duration of up to

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18 months, which may

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		unforeseen obstacles to their implementation <i>application</i> ;		be extended by a further 12 months in the event of objective and unforeseen obstacles to their{1};	
297.	(c) grants to the Office of the UN High Commissioner for Human Rights as well as to Global Campus, the European Inter- University Centre for Human Rights and Democratisation, providing a European Master's Degree in Human Rights and Democratisation, and its associated network of universities delivering human rights postgraduate diplomas, including scholarships to students and human rights defenders from third countries.	(c) grants to the Office of the UN High Commissioner for Human Rights as well as to Global Campus, the European Inter- University Centre for Human Rights and Democratisation, providing a European Master's Degree in Human Rights and Democratisation, and its associated network of universities delivering human rights postgraduate diplomas, including scholarships to students, <i>researchers</i> , <i>teachers</i> , and human rights defenders from third countries.	(c) grants to the Office of the UN High Commissioner for Human Rights as well as to Global Campus, the European Inter- University Centre for Human Rights and Democratisation, providing a European Master's Degree in Human Rights and Democratisation, and its associated network of universities delivering human rights postgraduate diplomas, including scholarships to students and human rights defenders from third countries.	 their{1}; (c) grants to the Office of the UN High Commissioner for Human Rights as well as to Global Campus, the European Inter- University Centre for Human Rights and Democratisation, providing a European Master's Degree in Human Rights and Democratisation, and its associated network of universities delivering human rights postgraduate diplomas, including scholarships to students and human rights defenders from third countries; 	Provisionally closed
297. bis		(ca) Small projects as described in article 23a		(ca) low value grants to civil society organisations using to	Provisionally closed of 2 October 2020

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298.	Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing	Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, <i>gender equality,</i> <i>social inclusion and</i> <i>human development</i> <i>and</i> the rule of law, and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development, and improved governance, complementing partners'	Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and shared commitments to universal values, democracy, human rights, the rule of law , and aims at strengthening partnerships between the Union and partner countries. It shall include reinforced policy dialogue, capacity development <i>building</i> , and improved governance,	Regulation.Budget support as referred to in point (c) of paragraph 1, including through sector reform performance contracts, shall be based on country ownership, mutual accountability and the commitment of partner countries, taking into account their record and progress, with regard to universal values, democracy, human rights, the rule of law, and aims at strengthening partner countries. It shall include reinforced policy dialogue, capacity development	Provisionally closed on 2 October 2020
		complementing partiers	50 · 01110100,	capacity actorophicht	l



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	partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs and poverty eradication.	efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs socio- economic development which benefits all, decent job creation and poverty eradication with due regard to local economies, environmental and social rights.	complementing partners' efforts to collect more and spend better in order to support sustainable and inclusive economic growth and jobs and poverty eradication. <i>Budget support shall</i> <i>also contribute to the</i> <i>realisation of gender</i> <i>equality.</i>	<i>building</i> , and improved governance, complementing partners' efforts to collect more and spend better in order to support sustainable, and inclusive economic growth-and jobs and <i>decent job creation</i> , <i>including for young</i> <i>people</i> , and poverty eradication, <i>inequality</i> <i>reduction</i> , and to build and consolidate <i>democracies and</i> <i>peaceful societies</i> . <i>Budget support shall</i> <i>also contribute to</i> <i>gender equality</i> .	
299.	Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits.	Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits. <i>One</i> <i>of the key determinants</i> <i>of that decision shall be</i>	Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits.	Any decision to provide budget support shall be based on budget support policies agreed by the Union, a clear set of eligibility criteria and a careful assessment of the risks and benefits.	Provisionally closed on 2 October 2020 EP amendment integrated into line 298



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		an assessment of the commitment, record and progress of partner countries with regard to democracy, human rights and the rule of law.			
300.	4. Budget support shall be differentiated in such a way as to respond better to the political, economic and social context of the partner country, taking into account situations of fragility.	4. Budget support shall be differentiated in such a way as to respond better to the political, economic and social context of the partner country, taking into account situations of fragility.	4. Budget support shall be differentiated in such a way as to respond better to the political, economic and social context of the partner country, taking into account situations of fragility <i>and</i> <i>environmental</i> <i>considerations</i> .	4. Budget support shall be differentiated in such a way as to respond better to the political, economic-and, social <i>and environmental</i> context of the partner country, taking into account situations of fragility.	Provisionally closed on 2 October 2020
301.	When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall	When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall support the development	When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and	When providing budget support in accordance with Article 236 of the Financial Regulation, the Commission shall clearly define and monitor criteria for budget support conditionality, including progress in reforms and transparency, and shall	Provisionally closed on 2 October 2020



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	support the development of parliamentary control, national audit capacities and increased transparency and public access to information.	of parliamentary control, national audit capacities, <i>CSO participation in</i> <i>monitoring</i> and increased transparency and public access to information and development of strong public procurement systems that support local economic development and local businesses.	transparency, and shall support the development of parliamentary control, national audit capacities and increased transparency and public access to information.	support the development of parliamentary control, national audit capacities and increased transparency and public access to information.	
302.	5. Disbursement of the budget support shall be based on indicators demonstrating satisfactory progress being made towards achieving the objectives agreed with the partner country.	5. Disbursement of the budget support shall be based on indicators demonstrating satisfactory progress being made towards achieving the objectives agreed with the partner country.	5. Disbursement of the budget support shall be based on indicators demonstrating satisfactory progress being made towards achieving the objectives agreed with the partner country.	5. Disbursement of the budget support shall be based on indicators demonstrating satisfactory progress being made towards achieving the objectives agreed with the partner country.	Provisionally closed on 2 October 2020
303.	6. Financial instruments under this Regulation may take forms such as loans, guarantees, equity or quasi-equity, investments or participations, and risk- sharing instruments,	6. Financial instruments under this Regulation may take forms such as loans, guarantees, equity or quasi-equity, investments or participations, and risk- sharing instruments,	6. Financial instruments <i>and</i> <i>blending operations</i> under this Regulation may take forms such as loans, guarantees, equity or quasi equity, investments or	6. Financial instruments and budgetary guarantees under this Regulation may take forms such as loans, guarantees, equity or quasi equity, investments or	Provisionally closed on 2 October 2020





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	whenever possible and	whenever possible and in	participations, and risk-	participations, and risk-	
	in accordance with the	accordance with the	sharing instruments,	sharing instruments,	
	principles laid down in	principles laid down in	whenever possible and	whenever possible and	
	Article 209(1) of the	Article 209(1) of the	shall be implemented	shall be implemented in	
	Financial Regulation	Financial Regulation	in accordance with the	accordance with the	
	under the lead of the	under the lead of the	principles laid down in	principles laid down in	
	EIB, a multilateral	EIB, a multilateral	Article 209(1) of the	Article 209(1) of the	
	European finance	European finance	Financial Regulation	Financial Regulation	
	institution, such as the	institution, such as the	and whenever possible	and whenever possible	
	European Bank for	European Bank for	under the lead of the	under the lead of the	
	Reconstruction and	Reconstruction and	EIB, a multilateral	EIB, a multilateral	
	Development, or a	Development, or a	European finance	European finance	
	bilateral European	bilateral European	institution, such as the	institution, such as the	
	finance institution, such	finance institution, such	European Bank for	European Bank for	
	as bilateral development	as bilateral development	Reconstruction and	Reconstruction and	
	banks, possibly pooled	banks, possibly pooled	Development, or a	Development, or a	
	with additional other	with additional other	bilateral European	bilateral European	
	forms of financial	forms of financial	finance institution,	finance institution, such	
	support, both from	support, both from	such as bilateral	as bilateral development	
	Member States and	Member States and third	development banks,	banks, possibly pooled	
	third parties.	parties.	possibly pooled with	with additional other	
			additional other forms	forms of financial	
			of financial support ,	support, both from	
			both from Member	Member States and	
			States and third parties.	third parties.	
304.	Contributions to Union	Contributions to Union	Contributions to Union	Contributions to Union	Provisionally closed on
	financial instruments	financial instruments	financial instruments	financial instruments	2 October 2020
	under this Regulation	under this Regulation	under this Regulation	under this Regulation	
	may be made by	may be made by	may be made by	may be made by	
	Member States as well	Member States as well	Member States as well	Member States as well	

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	as any entity referred to in Article 62(1)(c) of the Financial Regulation.	as any entity referred to in Article 62(1)(c) of the Financial Regulation.	as any entity referred to in Article 62(1)(c) of the Financial Regulation.	as any entity referred to in Article 62(1)(c) of the Financial Regulation.	
305.	7. Those financial instruments may be grouped into facilities for implementation and reporting purposes.	7. Those financial instruments may be grouped into facilities for implementation <i>application</i> and reporting purposes.	7. Those financial instruments may be grouped into facilities for implementation and reporting purposes.	7. Those financial instruments may be grouped into facilities for {1} and reporting purposes.	Provisionally closed on 2 October 2020 pending governance discussion {1} EP: implementation application CL:
305. bis		7a. The Commission and the EEAS shall not enter into new or renewed operations with entities incorporated or established in jurisdictions defined under the relevant Union policy as non- cooperative, or that are identified as high risk third countries pursuant to Article 9(2) of Directive(EU) 2015/849 of the European			implementation <i>Provisionally closed on</i> <i>2 October 2020</i> EP amendment moved into debate on excluded activities.



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		Parliament and of the Council, or that do not effectively comply with Union or internationally agreed tax standards on transparency and exchange of information.			
306.	8. The Union's funding shall not generate or activate the collection of specific taxes, duties or charges.	8. The Union's funding shall not generate or activate the collection of specific taxes, duties or charges.	8. The Union's funding shall not generate or activate the collection of specific taxes, duties or charges.	8. The Union's funding shall not generate or activate the collection of specific taxes, duties or charges.	Provisionally closed on 2 October 2020
307.	9. Taxes, duties and charges imposed by partner countries may be eligible for financing under this Regulation.	9. Taxes, duties and charges imposed by partner countries may be eligible for financing under this Regulation.	9. Taxes, duties and charges imposed by partner countries may be eligible for financing under this Regulation.	9. Taxes, duties and charges imposed by partner countries may be eligible for financing under this Regulation.	Provisionally closed on 2 October 2020
307. bis		Article 23a Small projects funds			Provisionally closed on 2 October 2020 Inserted in line 297bis.
307. ter		1. Financing under this Regulation may be provided to small projects funds, aimed at the selection and implementation of projects of limited financial volume.			Provisionally closed on 2 October 2020



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Sovernance 307. quater		2. The han of signing of a			Duranision alley aloged or
507. quater		2. The beneficiaries of a small project fund shall be civil society			Provisionally closed or 2 October 2020
		organisations.			
307. quinquies		3. The final recipients			Provisionally closed or
		within a small project			2 October 2020
		fund shall receive			
		support under this			
		Regulation, through the			
		beneficiary, and			
		implement the small			
		projects within that			
		small project fund			
		('small project').			
307. sexies		4. Where the public			Provisionally closed or
		contribution to a small			2 October 2020
		project does not exceed			
		EUR 50 000, it shall			
		take the form of unit			
		costs or lump sums or include flat rates.			
308.	Article 24	Article 24	Article 24	Article 24	Provisionally closed or
	Eligible persons and entities	Eligible persons and entities	Eligible persons and entities	Eligible persons and entities	2 October 2020
309.	1. Participation in	1. Participation in	1. Participation in	1. Participation in	Provisionally closed of
	procurement, grant and	procurement, grant and	procurement, grant and	procurement, grant and	2 October 2020
	prize award procedures	prize award procedures	prize award procedures	prize award procedures	
	for actions financed	for actions financed	for actions financed	for actions financed	Linked to discussion of
	under geographic	under geographic	under geographic	under geographic	Art.2.9
	programmes and under	programmes and under	programmes and under	programmes and under	





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	the Civil Society	the Civil Society	the Civil Society	the Civil Society	
	Organisations and	Organisations and	Organisations and	Organisations and	
	Global Challenges	Global Challenges	Global Challenges	Global Challenges	
	programmes shall be	programmes shall be	programmes shall be	programmes shall be	
	open to international	open to international	open to international	open to international	
	organisations and to all	organisations and to all	organisations and to all	organisations and to all	
	other legal entities who	other legal entities who	other legal entities,	other legal entities,	
	are nationals of and, in	are nationals of and, in	including civil society	including civil society	
	the case of legal	the case of legal persons,	organisations, who are	organisations, who are	
	persons, who are also	who are also effectively	nationals of and, in the	nationals of and, in the	
	effectively established	established in, the	case of legal persons,	case of legal persons,	
	in, the following	following countries or	who are also	who are also effectively	
	countries or territories:	territories:	effectively established	established in, the	
			in, the following	following countries or	
			countries-or territories:	territories:	
310.	(a) Member States,	(a) Member States,	(a) Member States,	(a) Member States,	Provisionally closed on
	beneficiaries of the	beneficiaries of the IPA	beneficiaries of the	beneficiaries of the IPA	2 October 2020
	Regulation (EU)	III Regulation, and	Regulation (EU)	III Regulation, and	
	/(IPA III), and	contracting parties to the	\dots/\dots (IPA III), and	contracting parties to	
	contracting parties to	Agreement on the	contracting parties to	the Agreement on the	
	the Agreement on the	European Economic	the Agreement on the	European Economic	
	European Economic	Area;	European Economic	Area;	
	Area;		Area;		
311.	(b) Neighbourhood	(b) Neighbourhood	(b) Neighbourhood	(b) Neighbourhood	Provisionally closed on
	partner countries and	partner countries and the	partner countries and	partner countries and	2 October 2020
	the Russian Federation	Russian Federation when	the Russian Federation	the Russian Federation	
	when the relevant	the relevant procedure	when the relevant	when the relevant	
	procedure takes place in	takes place in the context	procedure takes place	procedure takes place in	
	the context of the	of the programmes	in the context of the	the context of the	
	programmes referred to		programmes referred to	programmes referred to	

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in Annex I in which it participates;in Annex I in which it participates;312.(c) developing countries and territories, as included in the list of Official Development Assistance recipients published by the Development Assistance recipients of the Organisation for Commit Cooperation and Development, which are not members of the O-20 group, and overseas countries and territories as defined in Council Decision/ (EU);in Council Decision/ (EU);in Annex I in which it participates; outtries and territories, as included in the list of Official Developmentin Annex I in which it participates; outtries and territories, as included in the list of Official Developmentin Annex I in which it participates; outtries and territories as defined in the list of Official Development, which are not members of the G-20 group, and overseas countries and territories as defined in the list of Official Development Assistance recipients, which are not members of the list of Official Development Assistance recipients, which are not members of the list of Official Development Assistance recipients, which are not members of the list of Official Development Assistance recipients, which are members of the 6-20 group, and other countries and territories, as included in the list of Official Development Assistance recipients, which are members of the G-20 group, and other countries and territories, when the relevant procedure takes(d) developing<	Governance						
312.(c) developing countries and territories, as included in the list of Official Development Assistance recipients published by the Development Assistance recipients of the G-20 group, and overseas countries and territories, as defined in Council Decision/ (EU);(c) developing countries, as included in the list of Official Development Assistance recipients published by the Development and Development, which are not members of the G-20 group, and overseas countries and territories, as included in the list of Official Development, which are not members of the Council Decision/ (EU);(c) developing countries, as include in the list of Official Development Assistance recipients published by the Development, which are not members of the G-20 group, and overseas countries and territories, as included in the list of Official Development, which are not members of the G-20 group, and overseas countries and territories as defined in Council Decision/ (EU);(c) developing countries, as include in the list of Official Development, which are members of the G-20 group, and other countries, as included in the list of Official Development Assistance recipients, which are members of the G-20 group, and other countries and territories, when the relevant procedure takes(c) developing countries, as included in the list of Official Development Assistance recipients, which are members of the G-20 group, and other countries and territories, when the relevant procedure takes(c) developing countries, and territories, when the relevant procedure takes(c) developing countries and territories, when the relevant procedure takes(c) developing countries		in Annex I in which it	referred to in Annex I in	in Annex I in which it	in Annex I in which it		
313.(b) developing countries and territories, as included in the list of Official Development Assistance recipients published by the Development and Development, which are not members of the G-20 group, and overseas countries and territories, as included in the list of Official Development, which are not members of the list of Official Development Assistance recipients, which are members of the list of Official Development Assistance recipients, which are members of the list of Official Development Assistance recipients, which are members of the G-20 group, and overseas countries and territories, as included in the list of Official Development, which are not members of the G-20 group, and overseas countries and territories as and territories, as included in the list of Official Development, which are not members of the G-20 group, and overseas countries and territories as and territories as defined in Council Decision/ (EU);(a) developing countries, as included in the list of Official Development Assistance recipients, which are members of the G-20 group, and other countries and territories, when the relevant procedure takes(b) are not members of the G-20 group, and other countries and territories, when the relevant procedure takes(c) are not members of the G-20 group, and other countries and territories, when the2 October 2020313.(d) developing countries, as included in the list of Official Development(d) developing other countries and territories, when the relevant procedure takes(d) developing other countries and territories, when the(d) developing the G-20 group, and other countries and territories, when the(d) deve		participates;	which it participates;	participates;	participates;		
 included in the list of Official Development Assistance recipients published by the Development Assistance Committee of the Organisation for Economic Cooperation and Development, which are not members of the G-20 group, and overseas countries and territories as defined in Council Decision/ (EU); 313. (d) developing countries, as included in the list of Official Development Assistance recipients published by the Development, which are not members of the G-20 group, and other countries and territories, when the territories and territories as defined in the list of Official Development Assistance recipients, which are nembers of the G-20 group, and other countries and territories and territ	312.	(c) developing countries	(c) developing countries	(c) developing	(c) developing countries	Provisionally closed on	
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territories, when the relevant procedure takes territories, when the territories, when the		the G-20 group, and	other countries and				
		other countries and	territories, when the	other countries and	other countries and		
relevant procedure takes place in the context of an relevant procedure relevant procedure takes		territories, when the	relevant procedure takes	territories, when the	territories, when the		
		relevant procedure takes	place in the context of an	relevant procedure	relevant procedure takes		



Veighbourho	ending target and related provis	10115			
Amounts					
Governance					
Jovernance	place in the context of an action financed by the Union under this Regulation in which they participate;	action financed by the Union under this Regulation in which they participate;	takes place in the context of an action financed by the Union under this Regulation in which they participate;	place in the context of an action financed by the Union under this Regulation in which they participate;	
314.	 (e) countries for which reciprocal access to external funding is established by the Commission; that access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation; the Commission shall decide on the reciprocal access and on its duration after consultation of the recipient country or countries concerned; 	(e) countries for which reciprocal access to external funding is established by the Commission; that access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation; the Commission shall decide on the reciprocal access and on its duration after consultation of the recipient country or countries concerned;	(e) countries for which reciprocal access to external funding is established by the Commission; that access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation; the Commission shall decide on the reciprocal access and on its duration after consultation of the recipient country or countries concerned;	(e) countries for which reciprocal access to external funding is established by the Commission; that access may be granted, for a limited period of at least one year, whenever a country grants eligibility on equal terms to entities from the Union and from countries eligible under this Regulation; the Commission shall decide on the reciprocal access and on its duration after consultation of the recipient country or countries concerned;	Provisionally closed on 2 October 2020
315.	(f) member countries of the Organisation for Economic Cooperation	(f) member countries of the Organisation for Economic Cooperation	(f) member countries of the Organisation for Economic Cooperation	(f) member countries of the Organisation for Economic Cooperation	Provisionally closed on 2 October 2020 pending governance discussion



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance and Development, in and Development, in and Development, in the and Development, in {1} the case of contracts the case of contracts $\{1\}$ the case of contracts case of contracts implemented applied in implemented in a Least in a Least Developed implemented in a Least *EP*: implemented Developed Country or a a Least Developed Developed Country or Country or a Highly applied Indebted Poor Country, Highly Indebted Poor Country or a Highly a Highly Indebted Poor Country, as included in Indebted Poor Country, Country, as included in *CL:* implemented as included in the list of the list of Official the list of Official as included in the list of Official Development Development Official Development Development Assistance recipients. Assistance recipients. Assistance recipients. Assistance recipients. 316. 2. Without prejudice to 2. Without prejudice to 2. Without prejudice to 2. Without prejudice to Provisionally closed on the limitations inherent the limitations inherent the limitations inherent the limitations inherent 2 October 2020 to the nature and to the nature and to the nature and to the nature and objectives of the action, objectives of the action, objectives of the objectives of the action, action, participation in participation in participation in participation in procurement, grant and procurement, grant and procurement, grant and procurement, grant and prize award procedures prize award procedures prize award procedures prize award procedures for actions financed for actions financed for actions financed for actions financed under the Human under the Human Rights under the Human Rights under the Human Rights and Democracy and *the* and Democracy and and Democracy and **Rights and Democracy Stability and Peace Stability and Peace** and the Peace. *Peace*. Stability and programmes as well as programmes as well as Stability and Peace Peace Conflict rapid response actions, rapid response actions, **Prevention** programmes **Conflict Prevention** shall be open without shall be open without as well as rapid programmes as well as limitations. limitations. rapid response actions, response actions, shall shall be open without be open without limitations. limitations. 317. 3. All supplies and 3. All supplies and 3. All supplies and 3. All supplies and Provisionally closed on materials financed materials financed under materials financed materials financed 2 October 2020 under this Regulation this Regulation may under this Regulation under this Regulation

Amounts Governance

Governance	lovernance					
	may originate from any	originate from any	may originate from any	may originate from any		
	country.	country.	country.	country.		
318.	4. The rules laid down	4. The rules laid down in	4. The rules laid down	4. The rules laid down	Provisionally closed on	
	in this Article shall not	this Article shall not	in this Article shall not	in this Article shall not	2 October 2020	
	apply to, and shall not					
	create, nationality	create, nationality	create, nationality	create, nationality		
	restrictions for natural	restrictions for natural	restrictions for natural	restrictions for natural		
	persons employed or	persons employed or	persons employed or	persons employed or		
	otherwise legally	otherwise legally	otherwise legally	otherwise legally		
	contracted by an	contracted by an eligible	contracted by an	contracted by an		
	eligible contractor or,	contractor or, where	eligible contractor or,	eligible contractor or,		
	where applicable,	applicable,	where applicable,	where applicable,		
	subcontractor.	subcontractor.	subcontractor.	subcontractor.		
319.	5. For actions jointly	5. For actions jointly co-	5. For actions jointly	5. For actions jointly	Provisionally closed on	
	co-financed by an	financed by an entity, or	co-financed by an	co-financed by an	2 October 2020 pending	
	entity, or implemented	implemented applied in	entity, or implemented	entity, or $\{1\}$ in direct or	governance discussion	
	in direct or indirect	direct or indirect	in direct or indirect	indirect management		
	management with	management with	management with	with entities as referred	{1}	
	entities as referred to	entities as referred to	entities as referred to	to point (c) (ii) to (viii)	EP: implemented	
	point (c) (ii) to (viii) of	point (c) (ii) to (viii) of	point (c) (ii) to (viii) of	of Article $62(1)$ of the	applied	
	Article $62(1)$ of the	Article $62(1)$ of the	Article $62(1)$ of the	Financial Regulation,	CL: implemented	
	Financial Regulation,	Financial Regulation, the	Financial Regulation,	the eligibility rules of		
	the eligibility rules of	eligibility rules of those	the eligibility rules of	those entities shall also		
	those entities shall also	entities shall also apply.	those entities shall also	apply.		
	apply.		apply.			
320.	6. Where donors	6. Where donors provide	6. Where donors	6. Where donors	Provisionally closed on	
	provide financing to a	financing to a trust fund	provide financing to a	provide financing to a	2 October 2020	
	trust fund established by	established by the	trust fund established	trust fund established by		
	the Commission or	Commission or through	by the Commission or	the Commission or		
	through external	external assigned	through external	through external		

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Sponding torgate	(except migration)				
1 0 0	ng target and related provis	ione			
Neighbourhood	ing target and related provis	10115			
Amounts					
Governance					
	assigned revenues, the	revenues, the eligibility	assigned revenues, the	assigned revenues, the	
	eligibility rules in the	rules in the constitutive	eligibility rules in the	eligibility rules in the	
	constitutive act of the	act of the trust fund or in	constitutive act of the	constitutive act of the	
	trust fund or in the	the agreement with the	trust fund or in the	trust fund or in the	
	agreement with the	donor in case of external	agreement with the	agreement with the	
	donor in case of	assigned revenues shall	donor in case of	donor in case of	
	external assigned	apply.	external assigned	external assigned	
	revenues shall apply.		revenues shall apply.	revenues shall apply.	
321.	7. In the case of actions	7. In the case of actions	7. In the case of actions	7. In the case of actions	Provisionally closed on
	financed under this	financed under this	financed under this	financed under this	2 October 2020
	Regulation and by	Regulation and by	Regulation and by	Regulation and by	
	another Union	another Union	another Union	another Union	
	Programme, eligible	Programme, eligible	Programme, eligible	Programme, eligible	
	entities under any of	entities under any of	entities under any of	entities under any of	
	those Programmes shall	those Programmes shall	those Programmes	those Programmes shall	
	be considered eligible.	be considered eligible.	shall be considered	be considered eligible.	
	Ŭ	C	eligible.	C	
322.	8. In the case of multi-	8. In the case of multi-	8. In the case of multi-	8. In the case of multi-	Provisionally closed on
	country actions legal	country actions legal	country actions legal	country actions legal	2 October 2020
	entities who are	entities who are	entities who are	entities who are	
	nationals of and, in the	nationals of and, in the	nationals of and, in the	nationals of and, in the	
	case of legal entities	case of legal entities who	case of legal entities	case of legal entities	
	who are also effectively	are also effectively	who are also	who are also effectively	
	established in, the	established in, the	effectively established	established in, the	
	countries and territories	countries and territories	in, the countries and	countries and territories	
	covered by the action	covered by the action	territories covered by	covered by the action	
	may be considered	may be considered	the action may be	may be considered	
	eligible.	eligible.	considered eligible.	eligible.	

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Migration spend	(except migration) ing target and related provis	ions			
Neighbourhood					
Amounts					
Governance 323.	9. The eligibility rules of this Article may be restricted with regard to the nationality, geographical location or nature of applicants, where such restrictions are required on account of the specific nature and the objectives of the action and where they are necessary for its effective implementation.	9. The eligibility rules of this Article may be restricted with regard to the nationality, geographical location or nature of applicants, where such restrictions are required on account of the specific nature and the objectives of the action and where they are necessary for its effective implementation application. Nationality restrictions shall not apply to international organisations.	9. The eligibility rules of this Article may be restricted with regard to the nationality, geographical location or nature of applicants, where such restrictions are required on account of the specific nature and the objectives of the action and where they are necessary for its effective implementation.	9. The eligibility rules of this Article may be restricted with regard to the nationality, geographical location or nature of applicants, where such restrictions are required on account of the specific nature and the objectives of the action and where they are necessary for its effective [1].	Provisionally closed on 2 October 2020 pending governance discussion [1] EP:-implementation application CL: implementation
324.	10. Tenderers, applicants and candidates from non- eligible countries may be accepted as eligible in the case of urgency or the unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the	10. Tenderers, applicants and candidates from non-eligible countries may be accepted as eligible in the case of urgency or the unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the eligibility rules would	10. Tenderers, applicants and candidates from non- eligible countries may be accepted as eligible in the case of urgency or the unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of	10. Tenderers, applicants and candidates from non- eligible countries may be accepted as eligible in the case of urgency or the unavailability of services in the markets of the countries or territories concerned, or in other duly substantiated cases where application of the	Provisionally closed on 2 October 2020

Spending targ	ets (except migration)				
	nding target and related provis	ions			
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225	eligibility rules would make the realisation of an action impossible or exceedingly difficult.	make the realisation of an action impossible or exceedingly difficult.	the eligibility rules would make the realisation of an action impossible or exceedingly difficult.	eligibility rules would make the realisation of an action impossible or exceedingly difficult.	
325.	11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation.	11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors, <i>while paying attention</i> <i>to their track record in</i> <i>environmental</i> <i>sustainability or fair</i> <i>trade</i> when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation. In all cases <i>sustainability and due</i> <i>diligence criteria shall</i>	11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation.	11. In order to promote local capacities, markets and purchases, priority shall be given to local and regional contractors when the Financial Regulation provides for an award on the basis of a single tender. In all other cases, participation of local and regional contractors shall be promoted in accordance with the relevant provisions of that Regulation. <i>Sustainability and due</i> <i>diligence criteria shall</i> <i>be promoted.</i>	Provisionally closed on 2 October 2020
326.	12. Under the	<i>be applied.</i> 12. Under the	12. Under the	12. Under the	Provisionally closed or
	Democracy and Human	Democracy and Human	Democracy and	Democracy and Human	2 October 2020

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	s (except migration)				
<u> </u>	ling target and related provisi	ions			
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	Rights programme, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.	Rights programme, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.	Human Rights programme, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.	Rights programme, any entity not covered under the definition of legal entity in Article 2(6) shall be eligible when this is necessary to pursue the areas of intervention of this programme.	
326. bis		12a. The Neighbourhood, Development and International Cooperation Instrument shall not support actions that, according to the environmental screening referred to in Article 21, cause harm to the environment or climate. Allocations shall be fully compatible with the Paris Agreement and overall, European financing dedicated to external action shall contribute to the Paris agreement's long term objectives. In	Programmer		Provisionally closed



Neighbourhood Amounts			
Governance			
	particular, the instrument shall not support:		
326. ter	(a) Actions incompatible with recipient countries' Nationally Determined Contributions under the Paris Agreement;		Provisionally closed
326. qua-ter	(b) investment in upstream, midstream and downstream fossil fuels.		Provisionally closed
326. quinquies		Art.24 bis Excluded activities	<i>Provisionally closed</i> Open to new placement
326. sexies		Union funding under this Regulation shall not support actions and measures that:	Provisionally closed
326. septies			
326. octies			
326. nonies		{ <mark>1</mark> }	To be discussed again after agreement on nuclear safety mention
			{ <mark>1</mark> }



Veighbourhoo Amounts	bo				
overnance					EP: c) support the development of nucleat energy, except for loans provided in accordance with [the European Instrument for Nuclear Safety] Regulation, and cooperation with third countries in the peaceful use of nucleat energy in the areas of health, agriculture and food safety, ensuring full compliance with the highest international standards; CL: no text
327.	Article 25 Carry-overs, annual instalments, commitment appropriations, re- payments and revenue generated by financial instruments	Article 25 Carry-overs, annual instalments, commitment appropriations, re- payments and revenue generated by financial instruments	Article 25 Carry-overs, annual instalments, commitment appropriations, re- payments and revenue generated by financial instruments	Article 25 Carry-overs, annual instalments, commitment appropriations, re- payments and revenue generated by financial instruments <i>and</i> <i>budgetary guarantees</i>	Provisionally closed



	ts (except migration) ding target and related provis l	ions			
Governance					
328.	1. In addition to Article 12(2) of the Financial Regulation, unused commitment and payment appropriations under this Regulation shall be automatically carried over and may be committed up to 31 December of the following financial year. The carried-over amount shall be used first in the following financial year.	1. In addition to Article 12(2) of the Financial Regulation, unused commitment and payment appropriations under this Regulation shall be automatically carried over and may be committed up to 31 December of the following financial year. The carried-over amount shall be used first in the following financial year.	1. In addition to Article 12(24) of the Financial Regulation, unused commitment and payment appropriations under this Regulation shall be automatically carried over and may be respectively committed and used up to 31 December of the following financial year. The carried-over amount shall be used first in the following financial year.	1. In addition to Article 12(24) of the Financial Regulation, unused commitment and payment appropriations under this Regulation shall be automatically carried over and may be <i>respectively</i> committed <i>and used</i> up to 31 December of the following financial year. The carried-over amount shall be used first in the following financial year.	Provisionally closed
329.	The Commission shall inform the European Parliament and the Council of carried over commitment appropriations in line with Article 12(6) of the Financial Regulation.	The Commission shall inform submit to the European Parliament and to the Council of carried over commitment information on appropriations which were automatically carried over, including the amounts involved, in line with Article 12(6) of the Financial Regulation.	The Commission shall inform the European Parliament and the Council of carried over commitment appropriations in line with Article 12(6) of the Financial Regulation.	The Commission shall inform the European Parliament and the Council of carried over commitment appropriations in line with Article 12(6) of the Financial Regulation.	Provisionally closed
330.	2. In addition to the rules laid down in	2. In addition to the rules laid down in Article 15	2. In addition to the rules laid down in	2. In addition to the rules laid down in	



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overnance					
	Article 15 of the Financial Regulation on making appropriations available again, commitment appropriations corresponding to the amount of decommitments made as a result of total or partial non implementation of an action under this Regulation shall be made available again to the benefit of the budget line of origin.	of the Financial Regulation on making appropriations available again, commitment appropriations corresponding to the amount of decommitments made as a result of total or partial non implementation of an action under this Regulation shall be made available again to the benefit of the budget line of origin.	Article 15 of the Financial Regulation on making appropriations available again, commitment appropriations corresponding to the amount of decommitments made as a result of total or partial non implementation of an action under this Regulation shall be made available again to the benefit of the budget line of origin.	Article 15 of the Financial Regulation on making appropriations available again, commitment appropriations corresponding to the amount of decommitments made as a result of total or partial non implementation of an action under this Regulation shall be made available again to the benefit of the budget line of origin.	
331.	References to Article 15 of the Financial Regulation in Article 12(1)(b) of Regulation laying down the multi annual financial framework shall be understood as including a reference to this paragraph for the purpose of this Regulation.	References to Article 15 of the Financial Regulation in Article 12(1)(b) of Regulation laying down the multi annual financial framework shall be understood as including a reference to this paragraph for the purpose of this Regulation.	References to Article 15 of the Financial Regulation in Article 12(1)(b) of Regulation laying down the multi annual financial framework shall be understood as including a reference to this paragraph for the purpose of this Regulation.		Provisionally closed

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Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance 332. 3. Budgetary 3. Budgetary 3. Budgetary Provisionally closed 3. Budgetary commitments for actions commitments for commitments for commitments for extending over more actions extending over actions extending over actions extending over more than one financial than one financial year more than one financial more than one financial year may be broken may be broken down year may be broken year may be broken down over several years over several years into down over several years down over several into annual instalments. annual instalments, in years into annual into annual instalments. instalments, in line in line with Article in line with Article line with Article 112(2)112(2) of the Financial of the Financial with Article 112(2) of 112(2) of the Financial Regulation. Regulation. the Financial Regulation. Regulation. 333. The third subparagraph The third subparagraph The third subparagraph The third subparagraph Provisionally closed of Article 114(2) of the **Financial Regulation** Financial Regulation **Financial Regulation Financial Regulation** shall not apply to these multiannual actions. multiannual actions. The multiannual actions. multiannual actions. The Commission shall Commission shall The Commission shall The Commission shall automatically deautomatically de-commit automatically deautomatically decommit any portion of a any portion of a commit any portion of commit any portion of a budgetary commitment budgetary commitment a budgetary budgetary commitment commitment for an for an action that by 31 for an action that by for an action that by 31 December of the December of the fifth action that by 31 December of the fifth year following that fifth year following that year following that of 31 December of the of the budgetary the budgetary fifth year following of the budgetary commitment has not commitment has not commitment has not that of the budgetary been used for the been used for the commitment has not been used for the purpose of prepurpose of pre-financing been used for the purpose of preor making interim financing or making financing or making purpose of preinterim payments or for payments or for which financing or making interim payments or for which no certified no certified statement of which no certified interim payments or for

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	statement of expenditure or any payment request has been submitted.	expenditure or any payment request has been submitted.	which no certified statement of expenditure or any payment request has been submitted.	statement of expenditure or any payment request has been submitted.	
334.	Paragraph 2 of this Article shall also apply to annual instalments.	Paragraph 2 of this Article shall also apply to annual instalments.	Paragraph 2 of this Article shall also apply to annual instalments.	Paragraph 2 of this Article shall also apply to annual instalments.	Provisionally closed
335.	 4. By way of derogation from Article 209(3) of the Financial Regulation repayments and revenues generated by a financial instrument shall be assigned to the budget line of origin as internal assigned revenue after deduction of management costs and fees. Every five years, the Commission shall examine the contribution made to the achievement of Union objectives, and the effectiveness, of existing financial instruments. 	4. By way of derogation from Article 209(3) of the Financial Regulation repayments and revenues generated by a financial instrument shall be assigned to the budget line of origin as internal assigned revenue after deduction of management costs and fees. Every five years, the Commission shall examine the contribution made to the achievement of Union objectives, and the effectiveness, of existing financial instruments.	4. By way of derogation from Article 209(3) of the Financial Regulation repayments and revenues generated by a financial instrument <i>and budgetary</i> <i>guarantees</i> shall be assigned to the budget line of origin as internal assigned revenue after deduction of management costs and fees. Every five years, the Commission shall examine the contribution made to the achievement of Union objectives, and the effectiveness, of	4. By way of derogation from Article 209(3) of the Financial Regulation repayments and revenues generated by a financial instrument <i>and</i> <i>budgetary guarantees</i> shall be assigned to the budget line of origin as internal assigned revenue after deduction of management costs and fees. Every five years, the Commission shall examine the contribution made to the achievement of Union objectives, and the effectiveness, of existing financial	Provisionally closed



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			existing financial instruments.		
336.	Chapter IV EFSD+, budgetary guarantees and financial assistance to third countries	Chapter IV EFSD+, budgetary guarantees and financial assistance to third countries	Chapter IV EFSD+, budgetary guarantees and financial assistance to third countries	Chapter IV EFSD+, budgetary guarantees and financial assistance to third countries	Provisionally closed on 2 October 2020
337.	Article 26 Scope and financing	Article 26 Scope and financing	Article 26 Scope and financing	Article 26 Scope and financing	Provisionally closed on 2 October 2020
338.	1. The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee.	1. The financial envelope referred to in Article (6)(2)(a) shall finance The European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee shall be financed through the financial envelopes for geographic programmes referred to in point (a) of Article 6(2), whilst ensuring that this financing is not to the detriment of other actions supported by geographic programmes.	1. The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee.	1. The financial envelope referred to in Article (6)(2)(a) shall finance the European Fund for Sustainable Development Plus (EFSD+) and the External Action Guarantee.	Provisionally closed on 2 October 2020

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339.	The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, in order to foster sustainable and inclusive economic and social development and promote the socio- economic resilience in partner countries with a particular focus on the,	The purpose of the EFSD+ as an integrated financial package supplying financial capacity drawing on the methods of implementation set up in the form of grants, guarantees and other financial instruments as set out in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, while maximising additionality, delivering innovative products and crowding in private	The purpose of the EFSD+ as an integrated financial package supplying financial capacity <i>drawing on the</i> <i>methods of</i> <i>implementation set up</i> <i>in the form of grants,</i> <i>technical assistance,</i> <i>financial instruments,</i> <i>budgetary guarantees</i> <i>and blending</i> <i>operations referred to</i> in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, <i>in order to</i>	The purpose of the EFSD+ as an integrated financial package supplying financial capacity <i>drawing on the</i> <i>methods of</i> <i>implementation set up</i> <i>in the form of grants,</i> <i>technical assistance,</i> <i>financial instruments,</i> <i>budgetary guarantees</i> <i>and blending</i> <i>operations referred to</i> in Article 23(1)(a), (e), (f) and (g), shall be to support investments and increase access to financing, <i>as a means</i> <i>of contributing to the</i>	Provisionally closed pending climate discussions
	eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors, micro, small and medium-sized enterprises as well as	<i>sector</i> , in order to foster sustainable and inclusive economic, <i>environmental</i> and social development, and <i>industrialisation and a</i> <i>stable investment</i> <i>environment, in order to</i> promote the socio- economic <i>and</i> <i>environmental</i> resilience	as a means of contributing to the achievement of the objectives and principles referred to in Articles 3 and 8 of this Regulation, and, where relevant, with the objectives of [IPA III]. The EFSD+ shall in particular	achievement of the objectives and principles referred to in Articles 3 and 8 of this Regulation, and, where relevant, the objectives of [IPA III] while maximising additionality and development impact and delivering	 {1} Part of the targets discussion EP: 45 % of the financing shall be allocated to investments that contribute to climate objectives, environmental

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addressing specific socioeconomic root causes of irregular migration, in accordance with the relevant indicative programming documents. Special attention shall be given to countries identified as experiencing fragility or conflict, Least **Developed Countries** and heavily indebted poor countries.

in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, *climate change* adaptation and mitigation, environmental protection and *management*, the creation of decent jobs in compliance with relevant ILO standards. in particular for vulnerable groups, including women and young people, economic opportunities, skills and entrepreneurship, socioeconomic sectors. with a focus on social enterprises and cooperatives in view of their potential to reduce poverty, inequalities, and promote human rights and livelihoods, supporting micro, small and medium-sized enterprises as well as

foster sustainable and inclusive economic and social development and promote the socioeconomic resilience in partner countries with a particular focus on the, eradication of poverty, sustainable and inclusive growth, the creation of decent jobs, economic opportunities, skills and entrepreneurship, socioeconomic sectors. micro, small and medium-sized enterprises, sustainable connectivity, the fight against climate change and the management and protection of the environment, gender equality and the empowerment of women and young *people*, as well as addressing specific

innovative products. including to micro. small and mediumsized enterprises. The EFSD+ shall in *particular* foster sustainable and inclusive economic. environmental and social development, transition into sustainable valueadded economy, a stable investment environment, in order to and promote the socio-economic and environmental resilience in partner countries with a particular focus on the. eradication of poverty, contributing to the reduction of socioeconomic inequalities, sustainable and inclusive growth, climate change adaptation and

management and protection, biodiversity and combatting desertification, of which 30 % of the overall financial envelope shall be dedicated to climate change mitigation and adaptation.

CL:

No text



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	addressing specific	socioeconomic root	mitigation,
	socioeconomic root	causes of irregular	environmental
	causes of irregular	migration and root	protection and
	migration <i>and forced</i>	causes of forced	management, the
	displacement, and	<i>displacement</i> , in	creation of decent jobs
	contributing to the	accordance with the	on the basis of the core
	sustainable	relevant indicative	ILO labour standards,
	reintegration of	programming	economic opportunities,
	returned migrants in	documents and while	skills and
	their countries of origin,	maximising	entrepreneurship,
	in accordance with the	additionality and	socioeconomic sectors,
	relevant indicative	development impact,	including social
	programming	delivering innovative	enterprises and
	documents. 45 % of the	products and crowding	cooperatives, micro,
	financing shall be	in private sector funds,	small and medium-sized
	allocated to investments	including micro, small	enterprises, <i>sustainable</i>
	that contribute to	and medium-sized	connectivity, the
	climate objectives,	enterprises. Special	support to vulnerable
	environmental	attention shall be given	groups, the promotion
	management and	to countries identified	of human rights,
	protection, biodiversity	as experiencing	gender equality and the
	and combatting	fragility or conflict,	empowerment of
	desertification, of which	Least Developed	women and young
	30 % of the overall	Countries and heavily	<i>people</i> , as well as
	financial envelope shall	indebted poor	addressing specific
	be dedicated to climate	countries.	socioeconomic root
	change mitigation and		causes of irregular
	adaptation. Special		migration and root
	attention, and additional		causes of forced
	support for institutional		<i>displacement</i> , in



Amounts Governance					
		capacity building, economic governance, and technical assistance, shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries. The External Action Guarantee shall be used in addition to the government's investment in essential public services, which remain a governmental responsibility.		accordance with the <i>priority areas outlined</i> <i>in Annex V and</i> relevant indicative programming documents. {1} Special attention shall be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted poor countries, <i>including by providing</i> <i>support for</i> <i>institutional capacity</i> <i>building, economic</i> <i>governance and</i> <i>technical assistance.</i>	
340.	2. The External Action Guarantee shall support the EFSD+ operations covered by budgetary guarantees in accordance with Articles 27, 28 and 29	2. The External Action Guarantee shall support the EFSD+ operations covered by budgetary guarantees in accordance with Articles 27, 28 and 29 of this Regulation,	2. The External Action Guarantee shall support the EFSD+ operations covered by budgetary guarantees in accordance with Articles 27, 28 and 29	2. The External Action Guarantee shall support the EFSD+ operations covered by budgetary guarantees in accordance with Articles 27, 28 and 29	Provisionally closed or 2 October 2020



	gets (except migration) ending target and related provis od	ions			
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Governance	of this Regulation, macro-financial assistance and loans to third countries referred to in Article 10(2) of Regulation EINS.	macro-financial assistance and loans to third countries referred to in Article 10(2) of Regulation EINS.	of this Regulation , . <i>The External Action</i> <i>Guarantee shall also</i> <i>support</i> macro- financial assistance and loans to third countries referred to in Article 10(2) of Regulation EINS.	of this Regulation. <i>The</i> <i>External Action</i> <i>Guarantee shall also</i> <i>support</i> macro-financial assistance and loans to third countries referred to in Article 10(2) of Regulation EINS.	
341.	3. Under the External Action Guarantee, the Union may guarantee operations, signed between 1 January 2021 and 31 December 2027, up to [EUR 60 000 000 000].	3. Under the External Action Guarantee, the Union may guarantee operations, signed between 1 January 2021 and 31 December 2027, up to EUR 60 000 000 000. <i>That ceiling shall be</i> <i>reviewed in the context</i> <i>of the mid-term</i> <i>evaluation report</i> <i>pursuant to Article 32.</i>	3. Under the External Action Guarantee, the Union may guarantee operations , signed <i>under Guarantee</i> <i>Agreements approved</i> between 1 January 2021 and 31 December 2027, up to EUR 60 000 53 449 000 000 <i>million</i> .	3. Under the External Action Guarantee, the Union may guarantee operations, signed <i>under Guarantee</i> <i>Agreements signed</i> between 1 January 2021 and 31 December 2027, up to {1}.	Provisionally closed pending overall agreement on the budget Reference to mid-term review included in Article 32 { <mark>1</mark> } CL: EUR 53 449 million EP:
342.	4. The provisioning rate shall range between 9%	4. The provisioning rate shall range between 9 % and 50 % depending on	4. The provisioning rate shall range between 9 % and 50 %	4. The provisioning rate shall range between 9 % and 50 % depending on	EUR 60 000 million Trilogue agreement: Amount limiting the provisioning,

	gets (except migration) ending target and related provision	sions			
	and 50% depending on the type of operations.	the type of operations. A maximum amount of EUR 10 billion shall be provisioned from the Union budget through a specific budget line in the framework of the annual budgetary procedure or through a budget transfer. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend this maximum amount if the need arises.	depending on the type of operations.	the type of operations. {1}	modifiable by a flexible procedure, to be finalised in the governance cluster. { 1} EP: A maximum amount of EUR 10 billion shall be provisioned from the Union budget. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend this maximum amount if the need arises. CL: no text
343.	The provisioning rate for the External Action Guarantee shall be 9% for the Union's macro- financial assistance and for budgetary guarantees covering sovereign risks	The provisioning rate for the External Action Guarantee shall be 9 % for the Union's macro- financial assistance and for budgetary guarantees covering sovereign risks	The provisioning rate for the External Action Guarantee shall be 9 % for the Union's macro- financial assistance and for budgetary guarantees covering sovereign risks	The provisioning rate for the External Action Guarantee shall be 9 % for the Union's macro- financial assistance and for budgetary guarantees covering sovereign risks	Provisionally closed on 2 October 2020

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	associated with lending	associated with lending	associated with lending	associated with lending	
	operations.	operations.	operations.	operations.	
344.	The provisioning rates shall be reviewed every three years from the date of application of this Regulation laid down in Article 40. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend these rates.	The provisioning rates shall be reviewed every three two years starting from the date of application of this Regulation laid down in Article 40. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend these rates, and the financial amounts involved.	The provisioning rates shall be reviewed every three years from the date of application of this Regulation laid down in Article 40. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend these rates.	The provisioning rates shall be reviewed <i>at</i> <i>least</i> every three years from the date of application of this Regulation laid down in Article 40. {1}	<pre>Provisionally closed on 2 October 2020 pending governance discussion (cluster 8) {1} EP: The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend these rates. CL: no text</pre>
345.	5. The External Action Guarantee shall be considered as a single guarantee in the common provisioning fund established by Article 212 of the Financial Regulation.	5. The External Action Guarantee shall be considered as a single guarantee in the common provisioning fund established by Article 212 of the Financial Regulation.	5. The External Action Guarantee shall be considered as a single guarantee in the common provisioning fund established by Article 212 of the Financial Regulation.	5. The External Action Guarantee shall be considered as a single guarantee in the common provisioning fund established by Article 212 of the Financial Regulation.	Provisionally closed on 2 October 2020



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346.	6. The EFSD+ and the	6. The EFSD+ and the	6. The EFSD+ and the	6. The EFSD+ and the	Provisionally closed on
	External Action	External Action	External Action	External Action	2 October 2020
	Guarantee may support	Guarantee may support	Guarantee may support	Guarantee may support	
	financing and	financing and investment	financing and	financing and	
	investment operations	operations in partner	investment operations	investment operations	
	in partner countries in	countries in the	in partner countries in	in partner countries in	
	the geographical areas	geographical areas	the geographical areas	the geographical areas	
	referred to in Article	referred to in Article	referred to in Article	referred to in Article	
	4(2). The provisioning	4(2). The provisioning of	4(2). The provisioning	4(2). The provisioning	
	of the External Action	the External Action	of the External Action	of the External Action	
	Guarantee shall be	Guarantee shall be	Guarantee shall be	Guarantee shall be	
	financed from the	financed from the budget	financed from the	financed from the	
	budget of the relevant	of the relevant	budget of the relevant	budget of the relevant	
	geographic programmes	geographic programmes	geographic	geographic programmes	
	established by Article	established by Article	programmes	established by Article	
	6(2)(a) and shall be	6(2)(a) and shall be	established by Article	6(2)(a) and shall be	
	transferred into the	transferred into the	6(2)(a) and shall be	transferred into the	
	common provisioning	common provisioning	transferred into the	common provisioning	
	fund. The EFSD+ and	fund. <i>The geographic</i>	common provisioning	fund. The EFSD+ and	
	the External Action	distribution of EFSD+	fund. The EFSD+ and	the External Action	
	Guarantee may also	operations shall, to the	the External Action	Guarantee may also	
	support operations in	maximum extent	Guarantee may also	support operations in	
	beneficiaries listed in	possible, also reflect the	support operations in	beneficiaries listed in	
	Annex I of Regulation	relative weight of the	beneficiaries listed in	Annex I of Regulation	
	IPA III. The funding for	financial allocations for	Annex I of Regulation	[IPA III]. The funding	
	these operations under	the different regions as	[IPA III]. The funding	for these operations	
	the EFSD+ and for the	outlined in point (a) of	for these operations	under the EFSD+ and	
	provisioning of the	Article 6(2). The EFSD+	under the EFSD+ and	for the provisioning of	
	External Action	and the External Action	for the provisioning of	the External Action	
	Guarantee shall be	Guarantee may also	the External Action	Guarantee shall be	

	(except migration)				
	ng target and related provis	sions			
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Amounts					
Governance					
	financed from the Regulation IPA. The provisioning of the External Action Guarantee for loans to third countries referred to in Article 10 (2) of Regulation EINS shall be financed from Regulation EINS.	support operations in beneficiaries listed in Annex I of the IPA III Regulation. The funding for these operations under the EFSD+ and for the provisioning of the External Action Guarantee shall be financed from the Regulation IPA. The provisioning of the External Action Guarantee for loans to third countries referred to in Article 10 (2) of Regulation EINS shall be financed from	Guarantee shall be financed from the Regulation IPA. The provisioning of the External Action Guarantee for loans to third countries referred to in Article 10 (2) of Regulation EINS shall be financed from Regulation EINS.	financed from the Regulation IPA. The provisioning of the External Action Guarantee for loans to third countries referred to in Article 10 (2) of Regulation EINS shall be financed from Regulation EINS.	
347.	7. The provisioning referred to in Article 211(2) of the Financial Regulation shall be constituted on the basis of the Union's total outstanding liabilities arising from each operation, including operations signed before 2021 and	Regulation EINS. 7. The provisioning referred to in Article 211(2) of the Financial Regulation shall be constituted on the basis of the Union's total outstanding liabilities arising from each operation, including operations signed before 2021 and guaranteed by	7. The provisioning referred to in Article 211(2) of the Financial Regulation shall be constituted on the basis of the Union's total outstanding liabilities arising from each operation, including operations signed before 2021 and	7. The provisioning referred to in Article 211(2) of the Financial Regulation shall be constituted on the basis of the Union's total <i>outstanding</i> liabilities <i>authorised under the</i> <i>present Regulation</i> <i>arising from each</i>	Provisionally closed on 2 October 2020

	(except migration) ng target and related provis	ions			
Governance	guaranteed by the Union. The annual amount of provisioning required may be constituted during a period of up to seven years.	the Union. The annual amount of provisioning required may be constituted during a period of up to seven years.	guaranteed by the Union. The annual amount of provisioning required may be constituted during a period of up to seven years.	operation, including operations signedbefore 2021 andguaranteed by theUnion.Union.The annual amount of provisioning required may be constituted during a period of up to seven years.years.The provisioning of guarantees authorised under Regulation (EU) 2017/1601 and of guarantees, financial assistance and Euratom loans to third countries authorised under basic acts whose provisioning is governed by Regulation (EC, Euratom) No 480/2009 shall continue to follow the provisions of those Regulations.	
348.	8. The balance of assets by 31 December 2020	8. The balance of assets by 31 December 2020 in	8. The balance of assets by 31 December	8. The balance of assets by 31 December 2020	Provisionally closed on 2 October 2020

LIMITE

Amounts

Governance					
	in the EFSD Guarantee	the EFSD Guarantee	2020 in the EFSD	in the EFSD Guarantee	
	Fund and in the	Fund and in the	Guarantee Fund and in	Fund and in the	
	Guarantee fund for	Guarantee fund for	the Guarantee fund for	Guarantee fund for	
	external actions	external actions	external actions	external actions	
	established respectively	established respectively	established	established respectively	
	by Regulation EU	by Regulation EU	respectively by	by Regulation EU	
	2017/1601 of the	2017/1601 of the	Regulation EU	2017/1601 of the	
	European Parliament	European Parliament and	2017/1601 of the	European Parliament	
	and the Council and	the Council and Council	European Parliament	and the Council and	
	Council Regulation	Regulation (EC,	and the Council and	Council Regulation	
	(EC, Euratom) No	Euratom) No 480/2009	Council Regulation	(EC, Euratom) No	
	480/2009 shall be	shall be transferred into	(EC, Euratom) No	480/2009 shall be	
	transferred into the	the common	480/2009 shall be	transferred into the	
	common provisioning	provisioning fund for the	transferred into the	common provisioning	
	fund for the purpose of	purpose of provisioning	common provisioning	fund for the purpose of	
	provisioning its	its respective operations	fund for the purpose of	provisioning its	
	respective operations	under the same single	provisioning its	respective operations	
	under the same single	guarantee provided for in	respective operations	under the same single	
	guarantee provided for	paragraph 4 of this	under the same single	guarantee provided for	
	in paragraph 4 of this	Article.	guarantee provided for	in paragraph 4 of this	
	Article.		in paragraph 4 of this Article.	Article.	
348. bis					Provisionally closed,
					linked to line 339
348. bis bis		Article 26a	Article 26 bis	Article 26 bis	Provisionally closed on
		Objectives for the	Structure of the	Structure of the	2 October 2020
		EFSD+	EFSD+	EFSD+	
348.		1. The EFSD+	1. The EFSD+ shall	1. The EFSD+ shall be	Provisionally closed on
ter		operations eligible for	be composed of	composed of regional	2 October 2020
		support through the	regional investment	investment platforms	



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance **External** Action platforms within the within the regional Guarantee shall regional areas laid areas laid down in Article 4(2) and the contribute to the down in Article 4(2) following priority areas: and established on the **IPA Regulation and** basis of the working established on the basis of the working *methods*, *procedures* and structures of the methods, procedures existing external and structures of the blending facilities of existing external the Union, which may blending facilities of combine their the Union, which may *combine their blending* blending operations and External Action operations and **External** Action Guarantee operations under the EFSD+. Guarantee operations under the EFSD+. Provisionally closed on (a) providing finance 348. quater and support to private, 2 October 2020 cooperative and social enterprise sector development to contribute to sustainable development in its economic, social and environmental dimensions with a particular focus on the eradication of poverty and, where appropriate, the European



Migration spending target and Neighbourhood		
Amounts		
Governance		
	Neighbourhood Policy and the objectives set out in Article 3 of the of Regulation EU/	
	[IPA III];	
348. quin-quies	(b) addressing bottlenecks to private investments, in particular by ensuring the legal security of	Provisionally closed on 2 October 2020
240	investments;	
348. sexies	(c) leveraging private sector financing, with a particular focus on micro, small and medium-sized	Provisionally closed on 2 October 2020
	enterprises;	
348. septies	(d) strengthening socio- economic sectors and areas and related public and private	Provisionally closed on 2 October 2020
	infrastructure and	
	sustainable connectivity and sustainable	
	production, with the	
	objective of promoting	
	an inclusive and sustainable socio-	
	economic development	
	that respects human	

Migration spending target and seighbourhood				
Amounts				
Governance				
	rights and the environment;			
348. octies	(e) contributing to climate action and environmental protection and management;			Provisionally closed on 2 October 2020
348. nonies	(f) contributing, by promoting sustainable development, to addressing specific root causes of migration, including irregular migration and forced displacement, and contribute to safe, orderly and regular migration and mobility.			Provisionally closed on 2 October 2020
348. decies		2. The management of the EFSD+ shall be ensured by the Commission.	2. The management of the EFSD+ shall be ensured by the Commission.	Provisionally closed on 2 October 2020
348. un-decies		Article 26 ter Strategic board of the EFSD+	Article 26 ter Strategic board of the EFSD+	Provisionally closed on 2 October 2020
348. duo- decies		1. In the management of the EFSD+ the Commission shall be advised by a strategic board, except in the	1. In the management of the EFSD+ the Commission shall be advised by a strategic board, except in the	Provisionally closed pending IPA agreement

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance case of the operations case of the operations covering the Western covering the Western **Balkans' beneficiaries Balkans' beneficiaries** of [IPA III], which of [IPA III], which shall have its specific shall have its specific strategic board. strategic board. Provisionally closed 348. ter-decies 2. The strategic board 2. The EFSD+ strategic shall advise the board shall advise the Commission on the Commission on the strategic orientation strategic orientation and priorities of and priorities of **External** Action External Action *Guarantee investments Guarantee* investments under the EFSD+, under the EFSD+, including for the including for the windows referred to in windows defined in article 27bis(1) and Article 27bis, and contribute to their contribute to their alignment with the alignment with the guiding principles and guiding principles and objectives of the objectives of the Union's external Union's external action, development action, development policy, European policy, European Neighbourhood policy, Neighbourhood Policy, as well as with as well as with the the objectives set out objectives set out in in Articles 3 of this Articles 3 of this **Regulation and the Regulation** and the *purpose of the EFSD*+ purpose of the EFSD+

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Spending targets (except migration)	
	g target and related provisions	
Neighbourhood		
Amounts		
Governance		
	as set out in Article 26.as set out in Article 26.It shall also supportIt shall also supportthe Commission inCommission in settingsetting overalloverall investmentinvestment goals asgoals as regards theregards the use of theuse of the ExternalExternal ActionAction Guarantee toGuarantee to supportsupport EFSD+EFSD+ operationsoperations and monitorand monitor anan appropriate anddiversifiedgeographical andthematic coverage forinvestment windows.	
348. quater- decies	3. The strategic board 3. The EFSD+ strategic 4	Provisionally closed on 2 October 2020

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	provisions		
leighbourhood			
Amounts			
Governance			
	2030 Agenda, including the fight against climate change, as well as with other programmes set out in this Regulation.	implementation of the 2030 Agenda, including the fight against climate change, as well as with Union external programmes and financing instruments.	
348. quin- decies	4. The strategic board shall be composed of representatives of the Commission and of the High Representative, of all Member States and of the EIB. The European Parliament shall have observer status. Contributors, eligible counterparts, partner countries, relevant regional organisations and other stakeholders may be given observer status, where appropriate. The strategic board shall	4. The EFSD+ strategic board shall be composed of representatives of the Commission and of the High Representative, of all Member States and of the European Investment Bank. The European Parliament shall have observer status. Contributors, eligible counterparts, partner countries, relevant regional organisations and other stakeholders may be given observer status, where appropriate. The	Provisionally closed on 2 October 2020





Spending targets (except migration) Migration spending target and related	ovisions
Jeighbourhood	
Amounts	
Governance	
	the inclusion of any new observer. The strategic board shall be co-chaired by the
348. sex-decies	5. The strategic board shall meet at least twice a year and, when possible, adopt opinions by consensus. Additional meetings may be organised at any time by the chair or at the request of one third of its members. Where consensus cannot be reached, the voting rights as agreed during the first meeting of the strategic board and list rules of procedure shall set out the framework procedure shall set out the frameworkThe EFSD+ strategic board shall meet at least twice a year and, when possible, adopt opinions by consensus. Additional meetings may be organised at any time by the chair or any time by the chair or at the request of one third of its members. Where consensus cannot be reached, the voting rights as agreed during the first meeting of the strategic board and laid down in its rules of procedure shall set out the framework the framework the frameworkProvisionally closed on 2 October 20205. The EFSD+ strategic board and laid down in its rules of procedure observers. The minutesProvisionally closed on 2 October 20206. Develop and opinions by consensus. Additional meetings may be organised at any time by the chair or any ti



Migration spending target and related p Neighbourhood			
Amounts			
Governance			
	observers. The m minutes and agendas s of the meetings of the f strategic board shall, a	and agendas of the meetings of the EFSD+ strategic board shall, following their adoption, be made public.	
348. sept- decies	6. The strategic board shall examine the annual report6shall examine the annual report5annual report5submitted by the Commission on progress made in respect of the6implementation of the EFSD+. The strategic6board covering the Western Balkans shall6implementation of the implementation of the guarantee instrument6for the Western Balkans region to complement the above-mentioned6	5. The Commission shall report annually to the EFSD+ strategic board about the progress made in respect of the implementation of the EFSD+. The strategic board covering the Western Balkans shall inform on progress made on the implementation of the guarantee instrument for that region to complement the above mentioned reporting. The strategic board shall examine the evaluations referred to in Article 31(5) and	Provisionally closed pending IPA agreemen



Migration spending target and related provis	ions		
Veighbourhood			
Governance			
	evaluations referred to in Article 31(5) and shall take them into account.	shall take them into account.	
348. octo- decies	The strategic board shall regularly organise a consultation of relevant stakeholders on the strategic orientation and implementation of the EFSD+.	The EFSD+ strategic board shall regularly organise a consultation of relevant stakeholders on the strategic orientation and implementation of the EFSD+.	Provisionally closed on 2 October 2020
348. novo- decies	7. The existence of the two strategic boards does not bear influence on the need to have a single, unified EFSD+ risk management framework.	7. The existence of the EFSD+ strategic board and the strategic board covering the Western Balkans does not bear influence on the need to have a single, unified EFSD+ risk management framework.	Provisionally closed pending IPA agreemen
348. vicies	8. The risk management function for guarantees under EFSD+ shall take into account the objectives and principles of this Regulation. Risk	8. The risk management function for guarantees under EFSD+ shall take into account the objectives and principles of this Regulation. Risk	Provisionally closed



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Amounts

Govern

overnance		
	assessment and	assessment and
	remuneration	remuneration
	methodologies under	methodologies under
	EFSD+ shall be	EFSD+ shall be
	consistently applied to	consistently applied to
	all investment	all investment windows,
	windows, including	including those
	those defined in	referred to in Article
	Article 27bis(1). The	27bis. A technical
	Commission shall	assessment group shall
	ensure an	be established. The
	independent, impartial	Commission shall
	and inclusive high-	ensure an independent,
	quality function of the	impartial and inclusive
	technical assessment	high-quality function
	group and that	of the technical
	information and	assessment group and
	analysis are shared in	that information and
	a timely, transparent	analysis are shared in a
	and inclusive manner	timely, transparent and
	with all Member	inclusive manner with
	States, with due regard	all Member States, with
	to confidentiality	due regard to
	issues. The	confidentiality issues.
	composition, rules of	The composition, rules
	procedure and	of procedure and
	working methods of	working methods of the
	the technical	technical assessment
	assessment group	group shall be
	shall be inclusive,	inclusive, open to



Spending targets (Migration spendir Neighbourhood Amounts Governance	except migration) g target and related provisions	
	open to experts from the EIB, other eligible counterparts and interested Memberexperts from the EIB, otherand interested interested Memberand interested Member and interested MemberStates, and shall be presented to the strategic board. The Commission shall disclose to the Council and the EuropeanEFSD+ strategic board. The Commission shall board. TheParliament the composition, terms of 	
348. vicies bis		Provisionally closed on 2 October 2020

Amounts

Uovernance		
	eligibility criteria set out in Articles 26 and 27 is to be ensured.	
348. un-vicies	Article 26 quater Article 26 quater	Provisionally closed on
	Regional operational boardsRegional operational boards	2 October 2020
348. duo-vicies	The operationalThe operational boards	Provisionally closed on
	boards of regional of regional investment	2 October 2020
	investment platforms, platforms, taking into	
	taking into account account the advice of	
	the advice of the the strategic board and	
	strategic board and relevant risk	
	relevant risk assessments, shall	
	assessments, shall support the	
	support the Commission at the	
	Commission at the implementation level in	
	<i>implementation level defining regional and</i> <i>in defining regional sectoral investment</i>	
	and sectoral goals and regional,	
	investment goals and sectoral and thematic	
	regional, sectoral and investment windows thematic investment and shall formulate	
	thematic investmentand shall formulatewindows and shallopinions on blending	
	formulate opinions on operations and on the	
	the blending use of the External	
	operations and on the Action Guarantee	
	use of the External covering EFSD+	
	Action Guarantee	



Amounts Governance

			· EECD.	1	
			covering EFSD+	proposed investment	
			operations.	programmes.	
349.	Article 27	Article 27	Article 27	Article 27	Provisionally closed on
	Eligibility and selection	Eligibility and selection	Eligibility and	Eligibility and selection	2 October 2020
	of operations and	of operations and	selection of operations	of operations and	
	counterparts	counterparts	and counterparts for	counterparts <i>for the</i>	
			the External Action	External Action	
			Guarantee under the	Guarantee under the	
			EFSD+	EFSD+	
350.	1. The financing and	1. The financing and	1. The financing and	1. The financing and	Provisionally closed
	investment operations	investment operations	investment operations	investment operations	
	eligible for support	eligible for support	eligible for support	eligible for support	
	through the External	through the External	through the External	through the External	
	Action Guarantee shall	Action Guarantee shall	Action Guarantee shall	Action Guarantee shall	
	be consistent and	be consistent and aligned	be consistent and	be consistent and	
	aligned with Union	with Union policies, in	aligned with Union	aligned with Union	
	policies, as well as with	particular its	policies, the relevant	policies, <i>the relevant</i>	
	the partner countries'	development policy and	programming	programming	
	strategies and policies.	the European	<i>documents</i> , as well as	<i>documents</i> , as well as	
	They shall in particular	Neighbourhood Policy,	with the partner	with the partner	
	support the objectives,	as well as with the	countries' strategies	countries' strategies and	
	general principles and	partner countries'	and policies. They	policies. They shall in	
	policy framework of	strategies and policies	shall in particular	particular support the	
	this Regulation and the	and address local	support the objectives,	objectives, general	
	relevant indicative	market failures or sub-	general principles and	principles and policy	
	programming	optimal investment	policy framework of	framework of this	
	documents, with due	operations and without	this Regulation and,	Regulation and, where	
	regard to the priority	unfairly competing with	where relevant,	relevant, Regulation	
	areas laid down in	local economic actors.	Regulation [IPA III],	[IPA III] and the	
	Annex V.	They shall in particular	the relevant indicative	relevant indicative	



Spending targe	ts (except migration)				
	ding target and related provis	sions			
Neighbourhood	1				
Amounts					
Governance					
		support the objectives, general principles and policy framework of this Regulation and the relevant indicative programming documents, with due regard to the priority areas laid down in <i>Article 26 a and further</i> <i>described in</i> Annex V.	programming documents, with due regard to the priority areas laid down in Annex V.	programming documents, with due regard to the priority areas laid down in Annex V.	
350. bis		Ia. The granting of theExternal ActionGuarantee shall besubject to theconclusion of therespective EFSDguarantee agreementsbetween theCommission on behalfof the Union and theeligible counterpart.			Provisionally closed on 2 October 2020
351.	2. The External Action Guarantee shall support financing and investment operations compliant with the conditions set out in points (a) to (c) of Article 209(2) of the	2. The External Action Guarantee shall support financing and investment operations which address market failures or sub-optimal investment situations. Operations shall also be	2. The External Action Guarantee shall support financing and investment operations compliant with the conditions set out in points (a) to (ee) of Article 209(2) of the	2. The External Action Guarantee shall support financing and investment operations compliant with the conditions set out in points (a) to (<i>ee</i>) of Article 209(2) of the	Provisionally closed on 2 October 2020





Amounts					
Governance					
	Financial Regulation and that:	compliant with the conditions set out in points (a) to (c) <i>(d)</i> of Article 209(2) of the Financial Regulation and that:	Financial Regulation concerning notably the need to address market failures or sub- optimal investment situations, achieve additionality, avoid distorting competition, alignment of interest of the eligible counterparts and, where appropriate, maximise private investment, and that:	Financial Regulation concerning notably the need to achieve additionality, including by addressing market failures or sub-optimal investment situations, avoid distorting competition, alignment of interest of the eligible counterparts and, where appropriate, maximise private investment, and that:	
351. bis		(-aa) provide financial and development additionality;			Provisionally closed on 2 October 2020
351. ter		(-ab) undergo a publicly available participatory ex ante human rights, social, labour and environmental impact assessment identifying and addressing risks in those fields and taking due account of the principle of free and prior informed consent (FPIC) of affected		(ab) undergo, in line with article 34 of the Financial Regulation, ex ante evaluations which shall be proportionate to the objectives and amounts of the envisaged operations to determine the possible implications and risks of these operations with	Provisionally closed or 2 October 2020

Neighbourh	ood				
Amounts Governance					
Jovernance		communities in land related investments;		regard to human rights, environmental, labour and social standards including in the form of impact assessments for major programmes that are expected to have a significant impact on these areas, in line with the purpose of the EFSD+ laid down in article 26(1) and taking due account of the principle of free and prior informed consent (FPIC) of affected communities in land related investments;	
352.	(a) ensure complementarity with other initiatives;	(a) ensure complementarity with other initiatives;	(a) ensure complementarity within the different pillars of the External Investment Plan as well as with other initiatives;	(a) ensure complementarity within the different pillars of the External Investment Plan as well as with other initiatives;	Provisionally closed or 2 October 2020
353.	(b) are economically and financially viable, with due regard to the possible support from,	(b) are economically and financially viable, with due regard to the possible support from,	(b) are economically and financially viable, with due regard to the possible support from,	(b) are economically and financially viable, with due regard to the possible support from,	Provisionally closed on 2 October 2020

	s (except migration)				
	ing target and related provis	ions			
Neighbourhood					
Amounts					
Governance			· · · ·		
	and co-financing by,	and co-financing by,	and co-financing by,	and co-financing by,	
	private and public	private and public	private and public	private and public	
	partners to the project,	partners to the project,	partners to the project,	partners to the project,	
	while taking into	while taking into account	while taking into	while taking into	
	account the specific	the specific operating	account the specific	account the specific	
	operating environment	environment and	operating environment	operating environment	
	and capacities of	capacities of countries	and capacities of	and capacities of	
	countries identified as	identified as	countries identified as	countries identified as	
	experiencing fragility or	experiencing fragility or	experiencing fragility	experiencing fragility or	
	conflict, Least	conflict, Least	or conflict, Least	conflict, Least	
	Developed Countries and heavily indebted	Developed Countries and heavily indebted	Developed Countries, small island	Developed Countries,	
	poor countries which	poor countries which	developing states,	small island developing states, landlocked	
	may benefit from	may benefit from	landlocked developing	developing countries	
	concessional terms;	concessional terms;	<i>countries</i> and heavily	and heavily indebted	
	concessional terms,	concessional terms,	indebted poor countries	poor countries which	
			which may benefit	may benefit from <i>more</i>	
			from <i>more</i>	concessional terms;	
			concessional terms;	concessional terms,	
354.	(c) are technically	(c) are technically viable	(c) are technically	(c) are technically	Provisionally closed on
551.	viable and are	and are sustainable from	viable and are	viable and are	2 October 2020
	sustainable from an	an environmental and	sustainable from an	sustainable from an	2 0 0 0 0 0 2 0 2 0
	environmental and	social socio-economic	environmental and	environmental and	
	social point of view.	point of view;	social point of view	social point of view and	
	1	1	and maximise	maximise development	
			development impact.	impact.	
354. bis		(ca) target sectors and	* *	•	Provisionally closed on
		issues where there are			2 October 2020
		clear market or			
		institutional failures			

Neighbourhood			
Amounts			
Governance			
	inhibiting private sector financing;		
354. ter	(cb) are structured in a manner which contributes to catalysing market development and to mobilising private sector resources towards investment gaps;		Provisionally closed on 2 October 2020
354. quater	(cc) focus on projects involving greater risks than private lenders are prepared to undertake on a commercial basis alone;		Provisionally closed on 2 October 2020
354. quin-quies	(cd) do not distort markets in partner countries and regions.	(d) do not distort markets in partner countries and regions and without unfairly competing with local actors.	Provisionally closed on 2 October 2020
354. sexies	(ce) maximise, where possible, the mobilisation of local private sector capital;		Provisionally closed on 2 October 2020
354. septies	(cf) respect the development effectiveness principles as set out in the Busan Partnership for		Provisionally closed on 2 October 2020

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Migration spending target an Neighbourhood		
Amounts		
Bovernance		
	Effective Development Cooperation and reaffirmed in Nairobi in 2016, including ownership, alignment, focus on results, transparency and mutual accountability, as well as the objective of untwing eid.	
354. octies	of untying aid;(cg) are designed to fulfil the criteria for ODA established by the OECD-DAC, taking into account the specificities of private sector development, except for operations in industrialised countries non eligible for ODA;	Provisionally closed
354. nonies	(ch) are applied with full respect for international human rights law as well as internationally agreed guidelines, principles and conventions, including the Principles for Responsible Investment, UN Guiding	Provisionally closed on 2 October 2020



Amounts

Governance	
	Principles on Business
	and Human Rights,
	OECD Guidelines for
	Multinational
	Enterprises, the UN
	Food and Agriculture
	Organization's (FAO)
	Principles for
	Responsible Investment
	in Agriculture and Food
	Systems and
	International Labour
	Organization
	conventions and
	standards, the UN
	Convention on the
	Elimination of All
	Forms of
	Discrimination Against
	Women, the Maastricht
	Principles on the
	Extraterritorial
	Obligations of States in
	the Area of Economic,
	Social and Cultural
	Rights and the FAO
	Voluntary Guidelines
	on the Responsible
	Governance of Tenure
	of Land, Fisheries and

Amounts Courses

Governance				
	Forests in the Context of National Food Security.			
354. decies		(d) are implemented in accordance with the policy framework referred to in Article 7, applicable environmental, social and labour law obligations and standards, agreed guidelines, principles and conventions on investments, as well as in accordance with the objectives and general principles laid down in Articles 3 and 8.	(d) are implemented in accordance with the policy framework referred to in Article 7, applicable environmental, social and labour law obligations and standards and with full respect for international human rights law as well-internationally agreed guidelines, principles and conventions on investments, <i>in</i> <i>particular those</i> <i>adopted by the UN and</i> <i>the OECD, with full</i> <i>respect for</i> <i>international human</i> <i>rights law</i> as well as in accordance with the objectives and general principles laid down in Articles 3 and 8.	Provisionally closed on 2 October 2020 Longer reference to the agreements to be incorporated in recitals



Amounts

Governance					
355.	3. The External Action	3. The External Action	3. The External Action	3. The External Action	Provisionally closed on
	Guarantee shall be used	Guarantee shall be used	Guarantee shall be	Guarantee shall be used	2 October 2020
	to cover the risks for the	to cover the risks for the	used to cover the risks	to cover the risks for the	
	following instruments:	following instruments:	for the following	following instruments:	
			instruments:		
356.	(a) loans, including	(a) loans, including local	(a) loans, including	(a) loans, including	Provisionally closed on
	local currency loans and	currency loans and	local currency loans	local currency loans-and	2 October 2020
	macro-financial	macro-financial	and macro-financial	macro-financial	
	assistance loans;	assistance loans;	assistance loans;	assistance loans;	
357.	(b) guarantees;	(b) guarantees;	(b) guarantees;	(b) guarantees;	Provisionally closed on
					2 October 2020
358.	(c) counter-guarantees;	(c) counter-guarantees;	(c) counter-guarantees;	(c) counter-guarantees;	Provisionally closed on
					2 October 2020
359.	(d) capital market	(d) capital market	(d) capital market	(d) capital market	Provisionally closed on
	instruments;	instruments;	instruments;	instruments;	2 October 2020
360.	(e) any other form of	(e) any other form of	(e) any other form of	(e) any other form of	Provisionally closed on
	funding or credit	funding or credit	funding or credit	funding or credit	2 October 2020
	enhancement,	enhancement, insurance,	enhancement,	enhancement,	
	insurance, and equity or	and equity or quasi-	insurance, and equity	insurance, and equity or	
	quasi-equity	equity participations.	or quasi-equity	quasi-equity	
261	participations.	4 701 11 11	participations.	participations.	
361.	4. The eligible	4. The eligible	4. The eligible	4. The eligible	Provisionally closed
	counterparts for the	counterparts for the	counterparts for the	counterparts for the	on 2 October 2020
	purposes of the External Action Guarantee shall	purposes of the External Action Guarantee shall	purposes of the External Action	purposes of the External Action Guarantee shall	
	be the ones identified in	be the ones identified in	Guarantee shall be the	be the ones identified in	
	Article 208(4) of the	Article 208(4) of the	ones identified in	Article 208(4) of the	
	Financial Regulation,	Financial Regulation,	Article 208(4) of the	Financial Regulation,	
	including those from	including those from	Financial Regulation,	including those from	
	third countries	third countries	including those from	partner countries and	
	unit countries	unia countries	menualing mose mom	parmer countries and	



Amounts

Governance

contributing to the **External Action** Guarantee, subject to approval by the Commission in accordance with Article 28 of this Regulation. In addition, and by derogation to Article 62(2)(c) of the Financial Regulation, bodies governed by the private law of a Member State or a third country which has contributed to the **External Action** Guarantee in accordance with Article 28, and which provide adequate assurance of their financial capacity shall be eligible for the purpose of the Guarantee.

contributing to the **External Action** Guarantee, subject to approval by the Commission in accordance with Article 28 of this Regulation, and the opinion of the strategic board. In addition, and by derogation to Article 62(2)(c) of the Financial Regulation, bodies governed by the private law of a Member State or a third country which has contributed to the External Action Guarantee in accordance with Article 28, and which provide adequate assurance of their financial capacity shall be eligible for the purpose of the Guarantee.

partner countries and third countries contributing to the **External Action** Guarantee, subject to approval by the Commission in accordance with Article 28 of this Regulation. In addition, and by derogation to Article 62(21)(c) of the Financial Regulation, bodies governed by the private law of a Member State, *a partner country* or a third country which has contributed to the External Action Guarantee in accordance with Article 28, and which provide adequate assurance of their financial capacity shall be eligible for the purpose of the

Guarantee.

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third countries contributing to the External Action Guarantee, subject to approval by the Commission in accordance with Article 28 of this Regulation. In addition, and by derogation to Article 62(2I)(c) of the Financial Regulation, bodies governed by the private law of a Member State, *a* partner country or a third country which has contributed to the **External Action** Guarantee in accordance with Article 28, and which provide adequate assurance of their financial capacity shall be eligible for the purpose of the Guarantee.



Migration spending target and r Veighbourhood		
Amounts		
Bovernance		
361. bis	4a. The European Investment Bank group, shall, inter alia:	Provisionally closed on 2 October 2020
361. ter	(a) participate, together with other European financial institutions, in the risk management of the EFSD+, having due regard to the need to avoid possible conflict of interest;	Provisionally closed on 2 October 2020
361. quater	(b) exclusively implement part of an investment window covering sovereign lending to be provisioned with at least EUR 1 000 000 000 from the financial envelopes of the geographic programmes, in accordance with the procedures laid down in chapters 1 and 3 of this title;	Provisionally closed on 2 October 2020
361. quin-quies	(c) be an eligible counterpart of implementing activities	Provisionally closed or 2 October 2020

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Amounts

Governance					
		under other investment			
		windows.			
362.	5. Eligible counterparts	5. Eligible counterparts	5. Eligible counterparts	5. Eligible counterparts	Provisionally closed on
	shall comply with the	shall comply with the	shall comply with the	shall comply with the	2 October 2020
	rules and conditions	rules and conditions	rules and conditions	rules and conditions	
	provided for in Article	provided for in Article	provided for in Article	provided for in Article	
	62(2)(c) of the Financial	62(2)(c) of the Financial	62(21)(c) of the	62(21)(c) of the	
	Regulation. In the case	Regulation. In the case	Financial Regulation.	Financial Regulation. In	
	of bodies governed by	of bodies governed by	In the case of bodies	the case of bodies	
	the private law of a	the private law of a	governed by the private	governed by the private	
	Member State or a third	Member State or a third	law of a Member State,	law of a Member State,	
	country which have	country which have	<i>a partner country</i> or a	<i>a partner country</i> or a	
	contributed to the	contributed to the	third country which	third country which	
	External Action	External Action	have contributed to the	have contributed to the	
	Guarantee in	Guarantee in accordance	External Action	External Action	
	accordance with Article	with Article 28 of this	Guarantee in	Guarantee in	
	28 of this Regulation,	Regulation, preference	accordance with	accordance with Article	
	preference shall be	shall be given to those	Article 28 of this	28 of this Regulation,	
	given to those bodies	bodies that disclose	Regulation, preference	preference shall be	
	that disclose	information related to	shall be given to those	given to those bodies	
	information related to	environment, social, tax	bodies that disclose	that disclose	
	environment, social and	and corporate	information related to	information related to	
	corporate governance	governance criteria.	environment, social	environment, social, tax	
	criteria.		and corporate	and corporate	
			governance criteria.	governance criteria.	
363.	The Commission shall	The Commission shall	The Commission shall	The Commission shall	Provisionally closed on
	ensure an effective,	ensure an effective,	ensure an effective,	ensure an effective,	2 October 2020
	efficient and fair use of	efficient and fair use of	efficient and fair use of	efficient and fair use of	
	available resources	available resources	available resources	available resources	
	among eligible	among eligible	among eligible	among eligible	





<mark>Neighbourhoo</mark> Amounts					
Governance					
	counterparts, while promoting cooperation between them.	counterparts, while promoting cooperation between them.	counterparts, <i>including</i> <i>small and medium-</i> <i>sized counterparts</i> , while promoting cooperation between them <i>and taking due</i> <i>account of their</i> <i>capacities, added value</i> <i>and experience</i> .	counterparts, <i>including</i> <i>small and medium-</i> <i>sized counterparts</i> , while promoting cooperation between them <i>and taking due</i> <i>account of their</i> <i>capacities, added value</i> <i>and experience</i> .	
364.	The Commission shall ensure fair treatment for all eligible counterparts and shall ensure that conflicts of interest are avoided throughout the implementation period of the EFSD+. In order to ensure complementarity, the Commission may request any relevant information from eligible counterparts about their non-EFSD+ operations.	The Commission shall ensure fair treatment <i>and</i> <i>equal access to funding</i> for all eligible counterparts and shall ensure that conflicts of interest are avoided throughout the implementation <i>application</i> period of the EFSD+. In order to ensure complementarity, the Commission may request any relevant information from eligible counterparts about their non-EFSD+ operations.	The Commission shall ensure fair treatment for all eligible counterparts, <i>in</i> <i>accordance with</i> <i>Article 23(6)</i> , and shall ensure that conflicts of interest are avoided throughout the implementation period of the EFSD+. In order to ensure complementarity, the Commission may request any relevant information from eligible counterparts about their non-EFSD+ operations.	The Commission shall ensure fair treatment for all eligible counterparts, <i>in accordance with</i> <i>Article 23(6)</i> , and shall ensure that conflicts of interest are avoided throughout the implementation period of the EFSD+. In order to ensure complementarity, the Commission may request any relevant information from eligible counterparts about their non-EFSD+ operations.	Provisionally closed or 2 October 2020
364. bis		5a. The European Parliament or the			



Neighbourhood				
Amounts				
Governance	Council may invite eligible counterparts, CSOs and local communities to an exchange of views concerning the financing and investment operations covered by this Regulation.			Provisionally closed on 2 October 2020 Content to be put in recital
364. bis bis	Itiguuutotti	5 bis The condition set out in Article 219(4) of the Financial Regulation on contributions with own resources shall apply to each eligible counterpart allocated a budgetary guarantee under this Regulation on a portfolio basis.	5 bis The condition set out in Article 219(4) of the Financial Regulation on contributions with own resources shall apply to each eligible counterpart allocated a budgetary guarantee under this Regulation on a portfolio basis.	Provisionally closed or 2 October 2020
364. ter		5 ter In respect of the EIB exclusive dedicated window, the own resources contribution shall be understood as the assumption of residual risk.		Provisionally closed



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance 6. The Commission 6. The Commission shall 6. The Commission 6. The Commission Provisionally closed on 365. shall select the eligible select the eligible shall select the eligible shall select the eligible 2 October 2020 counterparts in counterparts in counterparts in counterparts in accordance with Article accordance with Article accordance with Article accordance with 154 of the Financial 154 of the Financial 154 of the Financial Article 154 of the Regulation, taking due Regulation, taking due Financial Regulation, Regulation, taking due taking due account of: account of: account of: account of: (a) the advice of the Provisionally closed on 366. 2 October 2020 strategic and regional strategic and regional strategic and regional strategic and regional operation boards, in operation boards, in operational boards, in operational boards, in accordance with Annex accordance with Annex accordance with Annex accordance with Annex ¥ł; $\mathbf{\overline{VI}}$: VI: VI: (b) the objectives of the (b) the objectives of the (b) the objectives of (b) the objectives of the Provisionally closed on 367. investment window; investment window; the investment investment window; 2 October 2020 window: 368. (c) the experience and (c) the experience and (c) the experience and (c) the experience and Provisionally closed on risk management risk management risk management risk management 2 October 2020 capacity of the eligible capacity of the eligible capacity of the eligible capacity of the eligible counterpart; counterpart; counterpart; counterpart; (d) the amount of own (d) the amount of own 369. (d) the amount of own (d) the amount of own Provisionally closed on resources, as well as and additional and additional 2 October 2020 resources, as well as private sector coprivate sector coresources, as well as resources, as well as private sector cofinancing, that the financing, that the private sector coeligible counterpart is eligible counterpart is financing, that the financing, that the ready to mobilise for ready to mobilise for the eligible counterpart is eligible counterpart is the investment window. ready to mobilise for ready to mobilise for investment window. the investment window the investment window taking in due account taking in due account the size of the the size of the investment. investment:





Amounts Courses

Governance					
369. bis		(da) the principles of fair and open tender procedures.			Provisionally closed on 2 October 2020
369. ter 369. quater			 (e) The sectoral or geographic expertise of the eligible counterparts. (f) The benefits of promoting collaboration between 	 (e) The sectoral or geographic expertise of the eligible counterparts; (f) The benefits of promoting collaboration between 	Provisionally closed on 2 October 2020 Provisionally closed on 2 October 2020
370.	7. The Commission shall set up investment windows for regions, specific partner countries or both, for specific sectors, or for specific projects, specific categories of final beneficiaries or both, which are to be funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount. The Commission shall inform the European Parliament and the Council on how the	7. The Commission shall set up investment windows for regions, specific partner countries or both, for specific sectors, or for specific projects, specific categories of final beneficiaries or both, which are to be funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount. The Commission shall inform the European Parliament and the Council on how the investment windows	eligible counterparts. 7. On the basis of the multi-annual indicative programmes adopted by the Commission pursuant to Article 14 after the favourable opinion of the Committee laid down in Article 35 and of the advice provided by the strategic board, Tthe Commission shall, after consulting the operational boards and informing the European Parliament and the Council, set up investment windows for regions, specific	eligible counterparts. 7. On the basis of the multi-annual indicative programmes {1} and of the advice provided by the EFSD+ strategic board and the strategic board covering the Western Balkans, the Commission shall, after consulting the operational boards and informing the European Parliament and the Council, set up investment windows for regions, specific partner countries or both, for specific sectors, or for specific projects,	Part of the governance discussion {1} CL: adopted by the Commission after the favourable opinion of the Committee laid down in Article 35 EP: No text



	(except migration) ing target and related provis	ions			
Neighbourhood	ing target and related provis	IOIIS			
Amounts					
Governance					
Governance	investment windows comply with this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made to the Commission.	comply with this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made to the Commission.	partner countries or both, for specific sectors, or for specific projects, specific categories of final beneficiaries or both, which are to be funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount. The Commission shall inform the European Parliament and the Council on how the investment windows comply with this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made	specific categories of final beneficiaries or both, which are to be funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount. The Commission shall inform the European Parliament and the Council on how the investment windows comply with this Article and their detailed funding priorities. All requests for financial support within investment windows shall be made to the Commission.	
371.	The choice of investment windows shall be duly justified by an analysis of the	The choice of investment windows shall be duly justified by an analysis of the market failure or	to the Commission. The choice of investment windows shall be duly justified by an analysis of the	The choice of investment windows shall be duly justified by an analysis of the	Provisionally closed on 2 October 2020
	market failure or sub-	sub-optimal investment	market failure or sub-	market failure or sub-	

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Veighbourhoo	ending target and related provis				
mounts					
overnance					
	optimal investment situations. That analysis shall be carried out by the Commission in cooperation with potentially eligible counterparts and stakeholders.	situations. That analysis shall be carried out by the Commission in cooperation with potentially eligible counterparts and stakeholders.	optimal investment situations and an assessment of its alignment with the priorities of this Regulation and, where relevant, [IPA III]. That analysis shall be carried out by the Commission in cooperation with potentially eligible counterparts and stakeholders.	optimal investment situations and an assessment of its alignment with the priorities of this Regulation and, where relevant, [IPA III]. That analysis shall be carried out by the Commission in cooperation with potentially eligible counterparts and stakeholders.	
372.	 Eligible counterparts may provide the instruments referred to in paragraph 3 under an investment window or individual project administered by an eligible counterpart. The instruments may be provided for the benefit of partner countries, including countries experiencing fragility or conflict or countries facing challenges in reconstruction and post- 	Eligible counterparts may provide the instruments referred to in paragraph 3 under an investment window or individual project administered by an eligible counterpart. The instruments may be provided for the benefit of partner countries, including countries experiencing fragility or conflict or countries facing challenges in reconstruction and post-	Eligible counterparts may provide the instruments referred to in paragraph 3 under an investment window or individual project administered by an eligible counterpart. The instruments may be provided for the benefit of partner countries, including countries experiencing fragility or conflict or countries facing challenges in	Eligible counterparts may provide the instruments referred to in paragraph 3 under an investment window or individual project administered by an eligible counterpart. The instruments may be provided for the benefit of partner countries, including countries experiencing fragility or conflict or countries facing challenges in reconstruction and post-	Provisionally closed



Spending targets	(except migration)				
Migration spendi	ng target and related provis	ions			
Neighbourhood					
Amounts					
Governance		r			
	conflict recovery, for the benefit of those partner countries' institutions, including their public national and private local banks and finance institutions, as well as for the benefit of private sector entities of those partner countries.	conflict recovery, for the benefit of those partner countries' institutions, including their public national and private local banks and finance institutions, as well as for the benefit of private sector entities of those partner countries.	reconstruction and post-conflict recovery, for the benefit of those partner countries' institutions, including their public national and private local banks and finance institutions, as well as for the benefit of private sector entities, <i>including micro, small</i> <i>and medium-sized</i> <i>enterprises</i> , of those partner countries.	conflict recovery, for the benefit of those partner countries' institutions, including their public national and private local banks and finance institutions, as well as for the benefit of private sector entities, <i>including micro, small</i> <i>and medium-sized</i> <i>enterprises,</i> of those partner countries. <i>The</i> <i>instrument shall not</i> <i>benefit companies</i> <i>controlled by the</i> <i>military or state</i> <i>security sector, except</i> <i>for duly justified cases.</i>	
373.	8. The Commission shall assess the operations supported by the External Action Guarantee against the eligibility criteria set out in paragraphs 2 and 3, where possible drawing on the existing result measurement systems of eligible	8. The Commission shall assess the operations supported by the External Action Guarantee against the eligibility criteria set out in paragraphs 2 and 3. , where possible drawing <i>The Commission shall</i> <i>establish a scoreboard</i> <i>of indicators to guide</i>	8. The Commission shall assess the operations supported by the External Action Guarantee against the eligibility criteria set out in paragraphs <i>1</i> , 2 and 3, where possible drawing on the existing result measurement systems of <i>the EU and</i>	8. The Commission shall assess the operations supported by the External Action Guarantee against the eligibility criteria set out in paragraphs <i>1</i> , 2 and 3, where possible drawing on the existing result measurement	Provisionally closed on 2 October 2020



Amounts

Governance				-
counterparts.		of eligible	systems of the EU and	
Commission			of eligible counterparts.	
publish the re	v	Commission shall	The Commission shall	
assessment fe	·····	publish the result of its	establish a checklist of	
investment w		assessment for each	the eligibility criteria	
an annual bas	sis. EFSD+. The	investment window	listed in Articles 26, 27	
	Commission shall asse	ess broken down by	(1) and 27 (2) and shall	
	all operations supporte		assess-and select all	
	by the Guarantee	an annual basis.	proposals to be	
	against eligibility		supported by the	
	criteria listed in Article	e	Guarantee against this	
	27 and shall use the		checklist, on the basis	
	scoreboard to perform		of the information	
	an independent quality	v	provided by the eligible	
	<i>check</i> on the existing		counterparts. If	
	result measurement		necessary, the	
	systems of eligible		Commission shall ask	
	counterparts. due		for clarification and	
	diligence and		modifications to the	
	assessment made by		eligible counterparts.	
	implementing partners	3	The Commission shall	
	at project level. If		publish these checklists	
	necessary, the		and the results of its	
	Commission shall ask		assessment for each	
	for clarification and		investment window	
	modifications to the		broken down by	
	implementing partners	S.	country and sector on	
	The Commission shall		an annual basis.	
	publish the <i>scoreboard</i>			
	for all projects after			





Neighbourhood Amounts	nding target and related provis d			
Governance				
		approval for the use of the guarantee by the Commission and implementing partners, and the result of all guarantee tools and individual projects under its assessment for each investment window on an annual basis.		
374.	9. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend the priority areas in Annex V and the governance of the EFSD+ in Annex VI.	 9. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend the priority areas and investment windows indicated in Annex V and the governance of the EFSD+ in Annex VI. When supplementing or amending investment windows for specific regions, specific partner countries or both, for specific sectors, or for specific projects, specific categories of final beneficiaries or 	9. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend the priority areas in Annex V and the governance of the EFSD+ in Annex VI. The-European Parliament or the Council may invite eligible counterparts to an exchange of views concerning financing and investment operations covered by this{1}	{1} EP: 9. The Commission shall be empowered to adopt delegated acts in accordance with Article 34 to supplement or amend the priority area in Annex V and to supplement this regulation with the creation of investment windows. and the governance of the EFSD+ in Annex VI.

Aigration spending target a leighbourhood		
mounts		
lovernance		
	funded by this Regulation, to be covered by the External action Guarantee up to a fixed amount, the Commission shall take due account of the advice provided by the strategic board, and consult the operational	CL Amendment addressed in line 364 bis/ recital
374. bis	Consult the operational boards. The Commission shall inform the European Parliament and the	Provisionally closed on 2 October 2020
	Council on how the investment windows comply with the	
	requirements set out in Article 26a and this Article and their detailed funding	
	priorities. All requests for financial support within investment	
	windows shall be made to the Commission.	
374. er	The choice of investment windows shall be duly justified by an analysis of the	Provisionally closed of 2 October 2020



ligration spending target and	a related provisions	
leighbourhood		
mounts		
lovernance		
	market failure or sub-	
	optimal investment	
	situations. That analysis shall be carried out by	
	the Commission in	
	cooperation with	
	potentially eligible	
	counterparts and stakeholders.	
274 quoton		Drovisionally aloged a
374. quater	Eligible counterparts	Provisionally closed of 2 October 2020
	may provide the	2 October 2020
	instruments referred to	
	in paragraph 3 under an investment window	
	or individual project	
	administered by an eligible counterpart.	
	The instruments may be	
	provided for the benefit	
	of partner countries,	
	including countries	
	experiencing fragility or	
	conflict or countries	
	facing challenges in	
	reconstruction and post-	
	conflict recovery, for the	
	benefit of those partner	
	countries' institutions,	
	including their public	
	national and private	



Jeighbourhood				
mounts				
lovernance		r		
	local banks and finance institutions, as well as for the benefit of private sector entities of those partner countries. In countries experiencing fragility or conflict, and other countries, where justified, support may be provided to public sector investments that have relevant effects on private sector development.			
374. quin-quies	Article 27a Governance and structure of the EFSD +	Article 27 bis Role of the European Investment Bank	Article 27 bis Role of the European Investment Bank	Provisionally closed of 2 October 2020
374. sexies	1. The EFSD+ shall be composed of regional	1. The EIB shall be entrusted with the	1. The EIB shall be entrusted with the	Provisionally closed
	investment platforms established on the basis	implementation of dedicated investment	implementation of an exclusive	Trilogue agreement:
	of the working methods, procedures and structures of the existing external blending facilities of the Union, which may combine their blending operations and External Action Guarantee	windows for an indicative volume of EUR 26 725 million and covering: (a) an exclusive comprehensive risk cover for operations with sovereign and non-commercial sub-	investment window covering a comprehensive risk cover for sovereign and non-commercial sub sovereign operations with an indicative minimum amount of [EUR 11	Three EIB windows (sovereign and non- commercial sub- sovereign; commercial sub-sovereign; and private) in an article. Exclusive sovereign an non-commercial sub-



Amounts

Governance			
operations EFSD+.	under the sovereign counterparts; (b) a non-exclusive comprehensive risk cover for operations with commercial sub- sovereign counterparts; and, (c) non-exclusive operations for the promotion of foreign direct investment, trade and the internationalisation of partner countries' economies, providing a political risk cover for private sector operations.	000 million] which shall be programmed in accordance with the procedures laid down in chapters 1 and 3 of this title. The EIB shall have the exclusivity for operations with sovereign and non- commercial sub- sovereign counterparts under this exclusive dedicated window. Under this exclusive dedicated window, the own resources contribution shall be understood as the assumption of residual risk and the EU guarantee shall cover 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all related amounts.	sovereign window for the EIB, with exceptions for operations that the EIB decides not to or cannot carry out, and with reference to the residual risk and risk cover of 65%.

mounts	
overnance	By way of derogation from the second subparagraph, if the EIB cannot carry out or decides not to carry out operations under this exclusive dedicated window, the implementation of these operations shall be open to other eligible counterparts,
	engine counterparts, under the conditions which shall be laid down in the relevant External Guarantee Agreements, which shall take into account the conditions offered to the EIB for the same type of operations and the specific needs, circumstances and nature of the eligible counterpart implementing these operations.



Neighbourhood Amounts		
Governance		
	Ibis. The EIB shall be eligible for implementing operations with sub- sovereign counterparts not covered under the window referred to in paragraph 1 and operations with the private sector. The procedure defined in Article 27 shall be used to entrust the EIB, if appropriate, with two additional dedicated windowscovering: (a) a non-exclusive comprehensive risk cover for operations with commercial sub- sovereign counterparts; and, (b) non-exclusive operations for the promotion of foreign direct investment, trade and the internationalisation of partner countries' economies, providing a	Possible two dedicated windows for the EIB fo commercial sub- sovereign and private, without reference to risk cover or to a limited duration. To be further discussed

Spending targets (except migration Migration spending target and rela Neighbourhood Amounts Governance			political risk cover for private sector	
374. septies		In implementing the dedicated investment windows referred to in Article 27bis(1) the EIB shall contribute to and comply with the relevant programming documents, the objectives of the EFSD+ and the overall objectives of this Regulation and, where relevant, of [IPA III]. The reporting of these dedicated investment windows shall comply with the reporting obligations of this Regulation.	operations. 2. The indicative volume for the dedicated windows referred to in paragraphs 1 and 1bis shall be of {1}. In implementing the windows referred to in paragraphs 1 and 1bis, the EIB shall comply with this Regulation, including its overall objectives and those of the EFSD+ and, where relevant, of [IPA III], as well as with the relevant programming documents and the reporting obligations.	Trilogue agreement: Overall indicative amount for the EIB in an article. {1} EP: [EUR 30 000 million] to be discussed with budget CL [EUR 26 725 million]
374. octies	2. The Commission shall be responsible for the overall management of the EFSD+ and the	2. Due to the nature and policy objective, the operations under the dedicated window	3. In line with the provisions of Article 209(2)(f) of the Financial Regulation,	Provisionally closed

Amounts

Governance

	(External Action Guarantee. Beyond that, the Commission shall not seek to carry out general banking operations. The Commission shall inform the European Parliament regularly to ensure the highest standards of transparency and financial accountability.	referred to in paragraph 1(a), in line with the provisions of Article 209(2)f of the Financial Regulation, may be non- remunerated. The EIB shall have the exclusivity for operations with sovereign and non- commercial sub- sovereign counterparts under this dedicated window, except where the EIB decides not to or cannot operate in a given country. In such cases, the implementation of this type of operations under this dedicated window in this country shall be open to other eligible counterparts.	due to the nature and policy objective of the window referred to in paragraph 1 the relevant External Action Guarantee agreement may provide that the Union shall not be remunerated for operations under that window.	
374. nonies			For the purpose of this Article, sub-sovereign operations shall be considered commercial unless	4. For the purpose of this Article, sub- sovereign operations shall be considered commercial unless	Provisionally closed Trilogue agreement:

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Migration spending target and Neighbourhood Amounts				
Governance				
		otherwise duly justified by the EIB.	otherwise duly justified by the EIB and confirmed by the Commission .	Definitions of the type of operations (exclusive window or commercial sub-sovereign window) Operations considered commercial unless justified by the EIB, with confirmation by the Commission.
374. decies		The operations under the investment window defined in paragraph 1(c) shall be coherent with those of the export credit agencies of Member States.	The operations under the investment window defined in paragraph Ibis(b) shall be coherent with those of the export credit agencies of Member States.	Provisionally closed
374. un-decies	3. In the management of the EFSD+ the Commission shall be advised by a strategic board, except in the case of the operations covering the Union's Enlargement policy and financed by IPA III, where the Commission	3. The dedicated windows implemented by the EIB under paragraph 1 may cover any of the countries eligible for the External Action Guarantee in particular where most needed and in		Provisionally closed



Amounts

shall be advised by a strategic board of the Western Balkans Investment Framework (WBIF). The Commission shall also work in close cooperation with all eligible counterparts as regards the operational management of the External Action Guarantee. To that end, a technical working group, composed of experts from the experts from the commission and eligible counterparts, shall be established in order to assess the risk and the related pricing. shall be established in order to assess the risk and the related pricing. statistical and the related pricing. shall be established in order to assess the risk and the related pricing. statistical and the related pricing. a texperts from the eligible counterparts, shall be established in order to assess the risk and the related pricing. a texpert of the aggregate amount disbursed and guarantee under EIB financing operations, less amounts referred to in paragraphs 1(b) and I(c) shall cover up to 65% of the aggregate amount disbursed and guarantee under EIB financing operations, less amounts reimbursed, plus all related amounts. bes amounts reimbursed and guarantee under EIB financing operations, less amounts reimbursed, plus all related amounts.	Oovernance			
Western Balkans Investment Framework (WBIF). The Commission shall also work in close cooperation with all eligible counterparts as regards the operational management of the External Action Guarantee. To that end, a technical working group, composed of eligible counterparts, shall be established in order to assess the risk and the related pricing.of fhis Regulation and where relevant (IPA III). The EU guarantee under the exclusive dedicated corver 65% of the aggregate amount aggregate amount guaranteed under EIB financing operations, less amounts referred to in order to assess the risk and the related pricing.of fhis Regulation and where relevant (IPA III). The EU guarantee under the exclusive dedicated cover 65% of the aggregate amount stall be established in order to assess the risk and the related pricing.of fhis Regulation and where relevant (IPA III). The EU guarantee under The exclusive dedicated cover 65% of the aggregate amount stall be established in order to assess the risk and the related pricing.of fhis Regulation and where relevant (IPA III). The EU guaranteed under EIB financing operations, less amounts referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		shall be advised by a	accordance with the	
Investment Framework (WBIF). The Commission shall also work in close cooperation with all eligible counterparts as regards the chinical working group, composed of experts from the Commission and management of the External Action Guarantee. To that end, a technical working group, composed of experts from the Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.		strategic board of the	geographical priorities	
(WBIF). The Commission shall also work in close[IPA III]. The EU guarantee under the exclusive dedicated window referred to in paragraph 1(a) shall cover 65% of the agregate amount disbursed and guaranteed under EIB financing operations, less amountsImage ment of the External Action Guarantee. To that end, a technical working group, composed of experts from the Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.[IPA III]. The EU guarantee under the exclusive dedicated window referred to in paragraph 1(a) shall cover 65% of the agregate amount disbursed and guaranteed under EIB financing operations, less amounts. The EU guarantee under the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the agregate amount disbursed and guaranteed under EIB financing operations, less amounts the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the agregate amount disbursed and guaranteed under EIB financing operations, less amount disbursed and guaranteed under EIB financing operations, less amount disbursed and guaranteed under EIB financing operations, less amount disbursed and guaranteed under EIB 		Western Balkans	of this Regulation and	
Commission shall also work in close cooperation with all eligible counterparts as regards the operational management of the External Action Guarantee. To that end, a technical working group, composed of experts from the commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.guarantee under the external Action disbursed and guarantee under EIB financing operations, less amounts referred to in paragraph 1(a) shall cover 65% of the aggregate amount disbursed and guarantee under EIB financing operations, less amounts referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		Investment Framework	where relevant	
work in close cooperation with all eligible counterparts as regards the operational management of the External Action Guarantee. To that end, a technical working group, composed of experts from the Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing. work in close exclusive dedicated window referred to in pargraph 1(a) shall cover 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, Eus amounts related amounts. The Eugurantee under shall be established in the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		(WBIF). The	[IPA III]. The EU	
cooperation with all eligible counterparts as regards the operational management of the External Actionwindow referred to in paragraph 1(a) shall cover 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amountsGuarantee. To that end, a technical working group, composed of experts from the Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.window referred to in paragraph 1(a) shall cover 5% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amountsLine Down Server Ser		Commission shall also	guarantee under the	
eligible counterparts as regards the operational management of the External Actionparagraph I(a) shall cover 65% of the aggregate amountGuarantee. To that end, Guarantee. To that end, a technical working group, composed of experts from the eligible counterparts, shall be established in order to assess the risk and the related pricing.guarantee under related amounts. The EU guarantee under the non-exclusive dedicated windows referred to in paragraphs 1(b) and I(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts		work in close	exclusive dedicated	
regards the operational management of the External Action Guarantee. To that end, a technical working group, composed of experts from the Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing. Figure 1 assess the risk and the related pricing. Figure 2 a		cooperation with all	window referred to in	
management of the External Actionaggregate amount disbursed and guaranteed under EIB financing operations, less amountsa technical working group, composed of experts from the eligible counterparts, shall be established in order to assess the risk and the related pricing.aggregate amount disbursed and guaranteed under EIB tess amountsb 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		eligible counterparts as	paragraph 1(a) shall	
External Actiondisbursed andGuarantee. To that end, a technical working group, composed of experts from the cligible counterparts, shall be established in order to assess the risk and the related pricing.disbursed and guaranteed under EIB financing operations, less amounts related amounts. The EU guarantee under the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		regards the operational	cover 65% of the	
Guarantee. To that end, a technical working group, composed of experts from the Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.guaranteed under EIB financing operations, less amountsImage: Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.related amounts. The EU guarantee under the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts		management of the	aggregate amount	
a technical working group, composed of experts from the Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.financing operations, less amounts reimbursed, plus all related amounts. The EU guarantee under the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		External Action	disbursed and	
group, composed of experts from the Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.less amounts reimbursed, plus all the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts		Guarantee. To that end,	guaranteed under EIB	
experts from the Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.reimbursed, plus all related amounts. The EU guarantee under the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		a technical working	financing operations,	
Commission and eligible counterparts, shall be established in order to assess the risk and the related pricing.related amounts. The EU guarantee under the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		group, composed of	less amounts	
eligible counterparts, EU guarantee under shall be established in order to assess the risk and the related pricing. dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all reimbursed, plus all		experts from the	reimbursed, plus all	
shall be established in order to assess the risk and the related pricing.the non-exclusive dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		Commission and	related amounts. The	
order to assess the risk and the related pricing.dedicated windows referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		eligible counterparts,	EU guarantee under	
and the related pricing. referred to in paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		shall be established in	the non-exclusive	
paragraphs 1(b) and 1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		order to assess the risk	dedicated windows	
1(c) shall cover up to 65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all		and the related pricing.	referred to in	
65% of the aggregate amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all			paragraphs 1(b) and	
amount disbursed and guaranteed under EIB financing operations, less amounts reimbursed, plus all			1(c) shall cover up to	
guaranteed under EIB financing operations, less amounts reimbursed, plus all			v 88 8	
financing operations, less amounts reimbursed, plus all			amount disbursed and	
less amounts reimbursed, plus all			guaranteed under EIB	
reimbursed, plus all			financing operations,	
related amounts.			_	
			related amounts.	

Amounts

374. duo- decies	5 5 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	4. The strategic board shall advise the Commission on the strategic orientation and priorities of External Action Guarantee investments under the EFSD+ and contribute to their alignment with the guiding principles and objectives of the	4. In implementing these windows, the EIB shall be subject to the advice of the boards laid down in Article 26ter. It shall inform the relevant operational boards of planned operations, but the EIB shall not be bound by the	5. The EIB shall be subject to the opinion of the boards laid down in Articles 26ter and 26quater. For EIB operations falling under the windows referred to in Article 27 bis, , the eligibility assessment	Provisionally closed Trilogue agreement: Governance: the EIB is aligned to the EFSD+ governance, including the operational boards.
		as well as with the objectives set out in Article 3 and the purpose of the EFSD+ as set out in Article 26. It shall also support the Commission in setting overall investment goals as regards the use of the External Action Guarantee to support EFSD+ operations and monitor an appropriate and diversified geographical and	adoption. The eligibility assessment referred to in Article 27(8) of EIB financing operations falling under these windows shall be satisfied within the framework of the procedure provided for in Article 19 of Protocol No 5. The EIB shall timely provide to the Commission all information necessary	provided for in Article 19 of Protocol No 5 of the Treaty of the Functioning of the EU. The EIB shall timely provide all information required by the Commission for this purpose. The EIB financing operations falling under these windows shall not be covered by the EU guarantee where the	

Spending targets (except mig Migration spending target ar Neighbourhood Amounts				
Governance	thematic coverage for investment windows, while giving special attention to countries identified as experiencing fragility or conflict, Least Developed Countries ('LDCs') and heavily indebted poor countries.	for this purpose. The EIB financing operations falling under these windows shall not be covered by the EU guarantee where the Commission delivers an unfavourable opinion under the procedure provided for in Article 19 of Protocol No 5 of the Treaty on the Functioning of the EU. All further modalities applicable to the EIB dedicated windows shall be established in the relevant External Action Guarantee agreements.	Commission delivers an unfavourable opinion under the procedure provided for in Article 19 of Protocol No 5 of the Treaty on the Functioning of the EU. All further modalities applicable to the EIB shall be established in the relevant External Action Guarantee agreements.	
374. ter-decies	5. The strategic board shall also support overall coordination, complementarity and coherence between the regional investment platforms, between the three pillars of the	5. The EIB shall be eligible for implementing activities under other investment windows established under Article 27(7).	6. The EIB shall be eligible for implementing activities under other investment windows established under Article 27(7).	Provisionally closed on 2 October 2020

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance **European Investment** Plan, between the **European Investment** Plan and the Union's other efforts on migration and on the *implementation of the* 2030 Agenda, as well as with other programmes set out in this Regulation, other Union funding instruments and Trust Funds. 374. quater-6. The strategic board 6. In compliance with 7. In compliance with Provisionally closed shall be composed of the objectives and this Regulation. decies including its objectives representatives of the principles of this Commission and of the Regulation as well as and principles, the High Representative, of relevant programming Article 29(3), the all Member States and relevant programming documents as well as, of the European documents as well as. where relevant, Investment Bank. The where relevant, Regulation [IPA III], **European Parliament** Regulation [IPA III], the Commission and the EIB shall conclude shall have observer the Commission and the EIB shall conclude status. Contributors, dedicated External eligible counterparts, *dedicated guarantee* **Action Guarantee** partner countries, agreements for the agreements for the relevant regional investment windows investment windows organisations and other referred to in referred to in stakeholders may be paragraphs 1 and 1bis. paragraph 1. given observer status,



Migration spending target an Neighbourhood		
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Jovernance	whose appropriate The	
	where appropriate. The strategic board shall be	
	consulted prior to the	
	-	
	inclusion of any new	
	observer. The strategic board shall be co-	
	chaired by the	
	Commission and the	
	High Representative.	
374. quin-	7. The strategic board	Provisionally closed of
decies	shall meet at least twice	2 October 2020
decies	a year and, when	2 0010001 2020
	possible, adopt opinions	
	by consensus.	
	Additional meetings	
	may be organised at any	
	time by the chair or at	
	the request of one third	
	of its members. Where	
	consensus cannot be	
	reached, the voting	
	rights as agreed during	
	the first meeting of the	
	strategic board and laid	
	down in its rules of	
	procedure shall apply.	
	Those voting rights	
	shall take due account	
	of the source of	
	financing. The rules of	



Migration spending target and pre-		
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	procedure shall set out the framework regarding the role of observers. The minutes and agendas of the meetings of the strategic board shall, following	
	their adoption, be made public.	
374. sex-decies	8. The Commission shall report annually to the strategic board about the progress made in respect of the application of the EFSD+. The strategic board of the WBIF shall provide progress made	Provisionally closed on 2 October 2020
	on the application of the guarantee instrument for the Enlargement region to complement that reporting. The strategic board shall regularly organise a consultation of relevant stakeholders on the	
	stakenolaers on the strategic orientation and application of the EFSD+.	



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374. sept- decies	9. The existence of the two strategic boards does not bear influence on the need to have a single, unified EFSD+ risk management framework	Provisionally closed on 2 October 2020
374. octo- decies	framework.10. During the application period of the EFSD+, the strategic board shall, as soon as possible, adopt and publish guidelines setting out how conformity of EFSD+ operations with the objectives and eligibility criteria set out in Articles 26 a and 27 is to be ensured.	Provisionally closed of 2 October 2020
374. novo- decies	11. In its strategic guidance, the strategic board shall take due account of relevant European Parliament resolutions and Council decisions and 	Provisionally closed on 2 October 2020
374. vicies	12. The operational boards of regional	Provisionally closed on 2 October 2020



Neighbourho Amounts					
Governance					
Jovernance		investment platforms shall support the Commission at the application level in defining regional and sectoral investment goals and regional, sectoral and thematic investment windows and shall formulate opinions on blending operations and on the use of the External Action Guarantee covering EFSD+ operations.			
375.	Article 28 Contribution from other donors to the External Action Guarantee	Article 28 Contribution from other donors to the External Action Guarantee	Article 28 Contribution from other donors to the External Action Guarantee	Article 28 Contribution from other donors to the External Action Guarantee	Provisionally closed on 2 October 2020
376.	1. Member States, third countries and other third parties may contribute to the External Action Guarantee.	1. Member States, third countries and other third parties may contribute to the External Action Guarantee.	1. Member States, third countries and other third parties may contribute to the External Action Guarantee.	1. Member States, third countries and other third parties may contribute to the External Action Guarantee.	Provisionally closed on 2 October 2020
377.	By derogation from the second sub-paragraph of Article 218(2) of the Financial Regulation,	By derogation from the second sub-paragraph of Article 218(2) of the Financial Regulation, the	By derogation from the second sub-paragraph of Article 218(2) of the Financial Regulation,	By derogation from the second sub-paragraph of Article 218(2) of the Financial Regulation,	Provisionally closed on 2 October 2020

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Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance the contracting parties contracting parties to the the contracting parties the contracting parties to the Agreement on the Agreement on the to the Agreement on the to the Agreement on European Economic European Economic European Economic the European Area may contribute in Area may contribute in Economic Area may Area may contribute in the form of guarantees or contribute in the form the form of guarantees the form of guarantees or cash. of guarantees or cash. cash. or cash. Contribution from third Contribution from third Contribution from third Provisionally closed on 378. Contribution from third countries other than the countries other than the countries other than the countriesother than the 2 October 2020 contracting parties to contracting parties to the contracting parties to contracting parties to the Agreement on the Agreement on the the Agreement on the the Agreement on the European Economic European Economic European Economic European Economic Area and from other Area and from other Area and from other Area and from other third parties shall be in third parties shall be in third parties shall be in third partiesshall be in the form of cash and subject to approval by subject to the opinion of subject to *the opinion* subject to the opinion the Commission. the Strategic Board and of the strategic board of the EFSD+ Strategic to approval by the *and* approval by the **Board and to approval** Commission. Commission. by the Commission. The Commission shall The Commission shall The Commission shall The Commission shall Provisionally closed on 379. inform the European inform the European inform the European inform the European 2 October 2020 Parliament and the Parliament and the Parliament and the Parliament and the Council without delay Council without delay Council without delay of Council without delay of the contributions the contributions of the contributions of the contributions confirmed. confirmed. confirmed. confirmed. At the request of the 380. Provisionally closed Member States, their Member States, their Member States, their Member States, their contributions may be contributions may be contributions may be contributions may be earmarked for the earmarked for the earmarked for the earmarked for the *Trilogue agreement:* initiation of actions in initiation of actions in initiation of actions in initiation of actions in specific regions, specific regions, specific regions, specific regions,



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	countries, sectors or existing investment windows.	countries, sectors or existing investment windows.	countries, sectors or existing investment windows.	countries, sectors or existing investment windows.	Possibility to earmark contributions from Member states.
381.	2. Contributions in the form of a guarantee shall not exceed 50% of the amount referred to in Article 26(2) of this Regulation.	2. Contributions in the form of a guarantee shall not exceed 50 % of the amount referred to in Article 26(2) of this Regulation.	2. Contributions in the form of a guarantee shall not exceed 50 % of the amount referred to in Article 26(2) of this Regulation.	2. Contributions in the form of a guarantee shall not exceed 50 % of the amount referred to in Article $26(\underline{3})$ of this Regulation.	Provisionally closed closed on 2 October 2020
382.	The contributions made by the Member States and the contracting parties to the Agreement on the European Economic Area in the form of a guarantee may only be called for payments of guarantee calls after the funding from the general budget of the Union increased by any other cash contributions has been used on payments of guarantee	The contributions made by the Member States and the contracting parties to the Agreement on the European Economic Area in the form of a guarantee may only be called for payments of guarantee calls after the funding from the general budget of the Union increased by any other cash contributions has been used on payments of guarantee calls.	The contributions made by the Member States and the contracting parties to the Agreement on the European Economic Area in the form of a guarantee may only be called for payments of guarantee calls after the funding from the general budget of the Union increased by any other cash contributions has been used on payments of	The contributions made by the Member States and the contracting parties to the Agreement on the European Economic Area in the form of a guarantee may only be called for payments of guarantee calls after the funding from the general budget of the Union increased by any other cash contributions has been used on payments of guarantee	Provisionally closed on 2 October 2020

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383.	Any contribution may be used to cover	Any contribution may be used to cover guarantee	Any contribution may be used to cover	Any contribution may be used to cover	Provisionally closed
	guarantee calls regardless of earmarking.	calls regardless of earmarking.	guarantee calls regardless of earmarking.	guarantee calls regardless of earmarking.	Trilogue agreement: Possibility to earmark contributions from Member states.
384.	A contribution agreement shall be concluded between the Commission, on behalf of the Union, and the contributor, and shall contain, in particular, provisions concerning the payment conditions.	A contribution agreement shall be concluded between the Commission, on behalf of the Union, and the contributor, and shall contain, in particular, provisions concerning the payment conditions.	A contribution agreement shall be concluded between the Commission, on behalf of the Union, and the contributor, and shall contain, in particular, provisions concerning the payment	A contribution agreement shall be concluded between the Commission, on behalf of the Union, and the contributor, and shall contain, in particular, provisions concerning the payment conditions.	Provisionally closed on 2 October 2020
385.	Article 29 Implementation of External Action Guarantee agreements	Article 29 Implementation Application of External Action Guarantee agreements	conditions. Article 29 Implementation of External Action Guarantee agreements	Article 29 Implementation of External Action Guarantee agreements	Provisionally closed on 2 October 2020
386.	1. The Commission, on behalf of the Union, shall conclude External Action Guarantee agreements with the eligible counterparts	1. The Commission, on behalf of the Union, shall conclude External Action Guarantee agreements with the eligible counterparts	1. The Commission, on behalf of the Union, shall conclude External Action Guarantee agreements with the eligible counterparts	1. The Commission, on behalf of the Union, shall conclude External Action Guarantee agreements with the eligible counterparts	Provisionally closed on 2 October 2020



Spending targets (
	ng target and related provis	ions			
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	Article 27. Agreements may be concluded with a consortium of two or more eligible counterparts.	Article 27. Those agreements shall be unconditional, irrevocable, at first demand, and in favour of selected counterparts. Agreements may be concluded with a consortium of two or more eligible counterparts.	Article 27. Agreements may be concluded with a consortium of two or more eligible counterparts. When concluding guarantee agreements, the Commission shall take due account of the advice and guidance of the boards and of the technical assessment group. In compliance with Article 219(1) of the Financial Regulation, budgetary guarantees shall be irrevocable, unconditional and on demand for the types of operations covered under the External Action Guarantee.	Article 27. Agreements may be concluded with a consortium of two or more eligible counterparts. In compliance with Article 219(1) of the Financial Regulation, budgetary guarantees shall be irrevocable, unconditional and on demand for the types of operations covered under the External Action Guarantee. When concluding guarantee agreements, the Commission shall take due account of the advice and guidance of the boards and of the technical assessment group.	
387.	2. One or more External Action Guarantee	2. One or more External Action Guarantee	2. One or more External Action	2. One or more External Action Guarantee	Provisionally closed on 2 October 2020
	agreements shall be	agreements shall be	Guarantee agreements	agreements shall be	2 0010001 2020
	concluded for each	concluded for each	shall be concluded for	concluded for each	
	investment window	investment window	each investment	investment window	
	between the	between the Commission	window between the	between the	
	Commission and the	and the eligible	Commission and the	Commission and the	
	commission and the	una une engiere	commission and the	commission and the	



	rgets (except migration) pending target and related provis	ions			
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	eligible counterpart or eligible counterparts selected. In addition, in order to address specific needs, the External Action Guarantee may	counterpart or eligible counterparts selected. In addition, in order to address specific needs, the External Action Guarantee may be	eligible counterpart or eligible counterparts selected. In addition, in order to address specific needs, the External Action	eligible counterpart or eligible counterparts selected. In addition, in order to address specific needs, the External Action Guarantee may	
	be granted for individual financing or investment operations.	granted for individual financing or investment operations.	Guarantee may be granted for individual financing or investment operations.	be granted for individual financing or investment operations.	
388.	All External Action Guarantee agreements shall, upon request, be made available to the European Parliament and to the Council, taking into account the protection of confidential and commercially sensitive information.	All External Action Guarantee agreements shall , upon request, be made available to the European Parliament and to the Council, taking into account the protection of confidential and commercially sensitive information.	All External Action Guarantee agreements shall, upon request, be made available to the European Parliament and to the Council, taking into account the protection of confidential and commercially sensitive information.	All External Action Guarantee agreements shall be <i>notified</i> to the European Parliament and to the Council <i>and</i> , <i>upon request, be made</i> <i>available</i> , taking into account the protection of confidential and commercially sensitive information.	Provisionally closed on 2 October 2020
389.	3. External Action Guarantee agreements shall contain, in particular:	3. External Action Guarantee agreements shall contain, in particular:	3. External Action Guarantee agreements shall contain, in particular:	3. External Action Guarantee agreements shall contain, in particular:	Provisionally closed on 2 October 2020
390.	(a) detailed rules on the coverage, requirements, eligibility, eligible counterparts, and procedures;	(a) detailed rules on the coverage, requirements, eligibility, eligible counterparts, and procedures;	(a) detailed rules on the coverage, requirements, eligibility, eligible	(a) detailed rules on the coverage, requirements, eligibility, eligible counterparts, and procedures;	Provisionally closed on 2 October 2020



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Governance					
			counterparts, and procedures;		
391.	 (b) detailed rules on the provision of the External Action Guarantee, including its arrangements on the coverage and its defined coverage of portfolios and of projects of specific types of instruments, as well as a risk analysis of projects and project portfolios, including at sectoral, regional and national levels; 	(b) detailed rules on the provision of the External Action Guarantee, including its arrangements on the coverage and its defined coverage of portfolios and of projects of specific types of instruments, as well as a risk analysis of projects and project portfolios, including at sectoral, regional and national levels;	(b) detailed rules on the provision of the External Action Guarantee, including its arrangements on the coverage and its defined coverage of portfolios and of projects of specific types of instruments, as well as a risk analysis of projects and project portfolios, including at sectoral, regional and national levels;	(b) detailed rules on the provision of the External Action Guarantee, including its arrangements on the coverage and its defined coverage of portfolios and of projects of specific types of instruments, as well as a risk analysis of projects and project portfolios, including at sectoral, regional and national levels;	Provisionally closed on 2 October 2020
392.	(c) a mention of the objectives and purpose of this Regulation, a needs assessment and an indication of the expected results, taking into account the promotion of corporate social responsibility and responsible business conduct;	(c) a mention of the objectives and purpose of this Regulation, a needs assessment and an indication of the expected results, taking into account the promotion of corporate social responsibility and <i>the need to ensure a</i> responsible business conduct, <i>including, in</i> <i>particular, by respect</i>	(c) a mention of the objectives and purpose of this Regulation, a needs assessment and an indication of the expected results, taking into account the promotion of corporate social responsibility and responsible business conduct, <i>including in particular</i> <i>by respect of</i>	(c) a mention of the objectives and purpose of this Regulation, a needs assessment and an indication of the expected results, taking into account the promotion of corporate social responsibility and responsible business conduct, <i>including in</i> <i>particular by respect of</i> <i>internationally agreed</i>	Provisionally closed on 2 October 2020



Neighbourh Amounts	pending target and related provision				
Governance					
		for the internationally agreed guidelines, principles and legal instruments referred to in point (c h) of Article 27(2);	guidelines, principles and conventions on investment referred to in Article 27(2)(d);	guidelines, principles and conventions on investment referred to in Article 27(2)(d);	
393.	(d) the remuneration of the guarantee, which is to reflect the risk level, and the possibility for the remuneration to be partly subsidised in order to give concessional terms in duly justified cases;	(d) the remuneration of the guarantee, which is to reflect the risk level, and the possibility for the remuneration to be partly subsidised in order to give concessional terms in duly justified cases, and in particular countries experiencing fragility or conflict, LDCs and heavily indebted countries;	(d) the remuneration of the guarantee, which is to reflect the risk level, and the possibility for the remuneration to be partly subsidised in order to give <i>more</i> concessional terms in duly justified cases, <i>in</i> <i>particular in the</i> <i>countries identified as</i> <i>experiencing fragility</i> <i>or conflict, Least</i> <i>Developed Countries</i> <i>and heavily indebted</i> <i>poor countries</i> ;	(d) the remuneration of the guarantee, which is to reflect the risk level, and the possibility for the remuneration to be partly subsidised in order to give <i>more</i> concessional terms in duly justified cases; <i>and</i> <i>in particular countries</i> <i>experiencing fragility</i> <i>or conflict, LDCs and</i> <i>heavily indebted poor</i> <i>countries</i> ;	Provisionally closed on 2 October 2020
394.	 (e) requirements for the use of the External Action Guarantee, including payment conditions, such as specific time frames, interest to be paid on due amounts, expenses 	(e) requirements for the use of the External Action Guarantee, including payment conditions, such as specific time frames, interest to be paid on due amounts, expenses and	(e) requirements for the use of the External Action Guarantee, including payment conditions, such as specific time frames, interest to be paid on due amounts, expenses	(e) requirements for the use of the External Action Guarantee, including payment conditions, such as specific time frames, interest to be paid on due amounts, expenses	Provisionally closed on 2 October 2020



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	terms of the External	terms of the External	terms of the External	terms of the External	
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	agreement.	agreement.	agreement.	agreement.	
399.	5. The External Action	5. The External Action	5. The External Action	5. The External Action	Provisionally closed on
	Guarantee may cover:	Guarantee may cover:	Guarantee may cover:	Guarantee may cover:	2 October 2020
400.	(a) for debt instruments,	(a) for debt instruments,	(a) for debt	(a) for debt instruments,	Provisionally closed on
	the principal and all	the principal and all	instruments, the	the principal and all	2 October 2020
	interests and amounts	interests and amounts	principal and all	interests and amounts	
	due to the selected	due to the selected	interests and amounts	due to the selected	
	eligible counterpart, but	eligible counterpart, but	due to the selected	eligible counterpart, but	
	not received by it in	not received by it in	eligible counterpart,	not received by it in	
	accordance with the	accordance with the	but not received by it	accordance with the	
	terms of the financing	terms of the financing	in accordance with the	terms of the financing	
	operations after an	operations after an event	terms of the financing	operations after an	
	event of default has	of default has occurred;	operations after an	event of default has	
	occurred;		event of default has	occurred;	
			occurred;		
401.	(a) for equity	(a) for equity	(ab) for equity	(ab) for equity	Provisionally closed on
	investments, the	investments, the amounts	investments, the	investments, the	2 October 2020
	amounts invested and	invested and their	amounts invested and	amounts invested and	
	their associated	associated financing	their associated	their associated	
	financing costs;	costs;	financing costs;	financing costs;	
402.	(b) for other financing	(b) for other financing	(b <i>c</i>) for other financing	(bc) for other financing	Provisionally closed on
	and investment	and investment	and investment	and investment	2 October 2020
	operations referred to in	operations referred to in	operations referred to	operations referred to in	
	Article 27(2), the	Article 27(2), the	in Article 27(2), the	Article $27(2)$, the	
	amounts used and their	amounts used and their	amounts used and their	amounts used and their	
	associated funding	associated funding costs;	associated funding	associated funding	
	costs;		costs;	costs;	



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403.	(c) all relevant expenses and recovery costs related to an event of default, unless deducted from recovery proceeds.	(c) all relevant expenses and recovery costs related to an event of default, unless deducted from recovery proceeds.	(ed) all relevant expenses and recovery costs related to an event of default, unless deducted from recovery proceeds.	(ed) all relevant expenses and recovery costs related to an event of default, unless deducted from recovery proceeds.	Provisionally closed on 2 October 2020
403. bis		5a. The Commission, when concluding External Action Guarantee agreements with eligible counterparts, shall take due account of:			Provisionally closed on 2 October 2020
403. ter		(a) the advice and guidance of the strategic and regional operational boards;			Provisionally closed on 2 October 2020
403. quater		(b) the objectives of the investment window;			Provisionally closed on 2 October 2020
403. quin-quies		(c) the experience and operational, financial and risk management capacity of the eligible counterpart;			Provisionally closed on 2 October 2020
403. sexies		(d) the amount of own resources, as well as private sector co- financing, that the eligible counterpart is			Provisionally closed on 2 October 2020



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		ready to mobilise for the			
		investment window.			
404.	6. For the purposes of the Commission's accounting, its reporting of the risks covered by the External Action Guarantee and in line with Article 209(4) of the Financial Regulation, eligible counterparts with which a guarantee agreement has been concluded shall provide the Commission and the Court of Auditors annually with the financial reports on financing and investment operations covered by this Regulation, audited by an independent external auditor, containing, inter alia, information on:	6. For the purposes of the Commission's accounting, its reporting of the risks covered by the External Action Guarantee and in line with Article 209(4) of the Financial Regulation, eligible counterparts with which a guarantee agreement has been concluded shall provide the Commission and the Court of Auditors annually with the financial reports on financing and investment operations covered by this Regulation, audited by an independent external auditor, containing, inter alia, information on:	6. For the purposes of the Commission's accounting, its reporting of the risks covered by the External Action Guarantee and in line with Article 209(4) of the Financial Regulation, eligible counterparts with which a guarantee agreement has been concluded shall provide the Commission and the Court of Auditors annually with the financial reports on financing and investment operations covered by this Regulation, audited by an independent external auditor, containing, inter alia, information on:	6. For the purposes of the Commission's accounting, its reporting of the risks covered by the External Action Guarantee and in line with Article 209(4) of the Financial Regulation, eligible counterparts with which a guarantee agreement has been concluded shall provide the Commission and the Court of Auditors annually with the financial reports on financing and investment operations covered by this Regulation, audited by an independent external auditor, containing, inter alia, information on:	Provisionally closed on 2 October 2020



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance (a) the risk assessment (a) the risk assessment of (a) the risk assessment (a) the risk assessment Provisionally closed on 405. of financing and financing and investment of financing and of financing and 2 October 2020 investment operations operations of the eligible investment operations investment operations of the eligible counterparts, including of the eligible of the eligible information on Union counterparts, including counterparts, including counterparts, including information on Union liabilities measured in information on Union information on Union liabilities measured in liabilities measured in liabilities measured in compliance with the compliance with the accounting rules referred compliance with the compliance with the to in Article 80 of the accounting rules accounting rules accounting rules referred to in Article 80 referred to in Article 80 Financial Regulation and referred to in Article of the Financial IPSAS; 80 of the Financial of the Financial Regulation and IPSAS; Regulation and IPSAS; **Regulation and IPSAS:** (b) the outstanding (b) the outstanding (b) the outstanding (b) the outstanding Provisionally closed on 406. financial obligation for financial obligation for financial obligation for financial obligation for 2 October 2020 the Union arising from the Union arising from the Union arising from the Union arising from the EFSD+ operations the EFSD+ operations the EFSD+ operations the EFSD+ operations provided to the eligible provided to the eligible provided to the eligible provided to the eligible counterparts and their counterparts and their counterparts and their counterparts and their financing and investment financing and financing and financing and investment operations, operations, broken down investment operations, investment operations, by individual operations. broken down by broken down by broken down by individual operations. individual operations. individual operations. 7. The eligible Provisionally closed on 407. 7. The eligible 7. The eligible 7. The eligible counterparts shall, upon counterparts shall, upon counterparts shall, counterparts shall, upon 2 October 2020 request, provide the request, provide the upon request, provide request, provide the Commission with any Commission with any the Commission with Commission with any additional information additional information any additional additional information information necessary necessary to fulfil the necessary to fulfil the necessary to fulfil the Commission's Commission's to fulfil the Commission's Commission's obligations in relation to obligations in relation to



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408.	8. The Commission shall report on financial instruments, budgetary guarantees, financial assistance in accordance with Article 241 and 250 of the Financial Regulation. To this purpose, the eligible counterparts shall provide annually the information necessary to allow the Commission to comply with the reporting obligations.	8. The Commission shall report on financial instruments, budgetary guarantees, financial assistance in accordance with Article 241 and 250 of the Financial Regulation. To this purpose, the eligible counterparts shall provide annually the information necessary to allow the Commission to comply with the reporting obligations. <i>In</i> <i>addition, the</i> <i>Commission shall</i> <i>submit an annual report</i> <i>to the European</i> <i>Parliament and to the</i>	8. The Commission shall submit an annual report to the strategic board, to the operational boards, the European Parliament and the Council on financial instruments, budgetary guarantees, including those implemented by the EIB, financial assistance in accordance with Articles 41 (4 and 5), 241 and 250 of the Financial Regulation- which shall include, in addition:	8. The Commission shall submit an annual report to the strategic board, to the operational boards, to the European Parliament and to the Council on financial instruments, budgetary guarantees, including those implemented by the EIB, financial assistance in accordance with Articles 41 (4 and 5), 241 and 250 of the Financial Regulation. To this purpose, the eligible counterparts shall provide annually the information	Provisionally closed on 2 October 2020

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance Council as set out in necessary to allow the (a) an assessment of Article 31(6a). the results Commission to comply contributing to the with the reporting purpose and objectives obligations. of this Regulation and, where relevant, Regulation [IPA III]; (b) an assessment, on the basis of indicators in line with Articles 26(1) and 27(2), of the additionality and added value, the mobilisation of private sector resources including micro, small and medium-size enterprises, the estimated and actual outputs and the outcomes and impact of the financing and investment operations covered by the **External** Action Guarantee under the EFSD+ on an aggregated basis, including the impact on decent job creation,



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	the eradication of	
	poverty and on the	
	way in which the root	
	causes of migration,	
	including irregular	
	migration and forced	
	displacement, are	
	addressed; that	
	assessment shall	
	include a gender	
	analysis of the	
	operations covered	
	based on evidence and	
	data broken down by	
	gender, country and	
	sector where possible;	
	(c) an assessment of	
	the synergies and	
	complementarity	
	between operations	
	covered by the	
	External Action	
	Guarantee under the	
	EFSD+ including	
	those referred to in	
	Article 27bis and other	
	pillars of the External	
	Investment Plan based	
	on relevant existing	
	reports;	



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	(d) an assessment of	
	the compliance of the	
	operations supported	
	by the External Action	
	Guarantee under the	
	EFSD+ with the	
	internationally agreed	
	development	
	effectiveness	
	principles;	
	(e) an assessment of	
	the remuneration of	
	the guarantees and of	
	the implementation of	
	Article 155(2) and	
	155(3) of the	
	Financial Regulation;	
	(f) an assessment of	
	the leverage effect	
	achieved by the	
	operations covered;	
	(g) an assessment of	
	the additionality and	
	added value of	
	financing and	
	investment operations;	
	(h) an assessment of	
	the contribution of the	
	results on the	
	implementation of the	
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	policy frameworkreferred to in Article 7.To this purpose, theeligible counterpartsshall provide annuallythe informationnecessary to allow theCommission to complywith the reportingobligations.	
408. bis	Sa. The Commission or the eligible counterparts shall immediately notify OLAF when, at any stage of the preparation, implementation or closure of financing and investment operations covered by this Regulation, there are grounds for suspecting fraud, corruption, money laundering or any other illegal activity that may affect the financial interests of the Union. The Commission or the eligible counterparts shall provide OLAF with all	Provisionally closed of 2 October 2020 Include missing elements on notificatio to OLAF in recital 44



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408. ter	necessary information to enable it to carry out a full and thorough investigation. Article 29a Grievance and redress mechanism	Article 29 bis Grievance and redress mechanism	Provisionally closed of 2 October 2020
408. quater	In view of possible grievances of third parties in partner countries, including communities and individuals affected by projects supported by the EFSD+ and the External Action Guarantee, the Commission and European Union Delegations shall publish on their websites direct references to the complaints mechanisms of the relevant counterparts that have concluded agreements with the Commission. The Commission shall	In view of possible grievances of third parties in partner countries, including communities and individuals affected by projects supported by the EFSD+ and the External Action Guarantee, the Commission and European Union Delegations shall publish on their websites direct references to the complaints mechanisms of the relevant counterparts that have concluded agreements with the	Provisionally closed on 2 October 2020



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	also establish an EU centralised grievance mechanism for all projects pursuant to Chapter IV of this Regulation to provide the possibility of directly receiving complaints related to the treatment of grievances by eligible counterparts. The Commission shall take that information into account in view of future cooperation with those counterparts.	Commission. The Commission shall also provide the possibility of directly receiving complaints related to the treatment of grievances by eligible counterparts. The Commission shall take that information into account in view of future cooperation with those counterparts.
408. quin-quies	Article 29b Excluded activities and non-cooperative jurisdictions	Provisionally closed
408. sexies	1. The External Action Guarantee shall not support financing and investment operations which:	Provisionally closed
408. septies	(a) are linked to the military or state security sector.	Provisionally closed
408. octies	(b) support the development of nuclear	Linked to discussion on exclusion

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	energy, except for loans provided in accordance with Regulation EINS, and fossil fuels and promote further carbon lock-in of economies and societies.	
408. nonies	(c) have significant environmental external costs, such as those that involve degradation of protected areas, Critical Habitats and Heritage sites for which no sustainable development and management plan is carried out.	Provisionally closed
408. decies	(d) result in violation of human rights in partner countries, such as depriving communities from their right to access and control natural resources such as land, contribute to forced displacement of populations, or involve forced labour or child labour.	

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2. In their financing Persons and entities and investment implementing financial operations, the eligible instruments and counterparts shall *budgetary guarantees* comply with applicable shall comply with Union law and agreed applicable Union law international and Union and principles and standards and. agreed international therefore, shall not and Union standards as support projects under laid down in Article this Regulation that 155 (2) and (3) of the contribute to money Financial Regulation. laundering, terrorism The Commission shall financing, tax assess whether the avoidance, tax fraud systems, rules and and tax evasion. In procedures of those addition, the eligible persons and entities counterparts shall not ensure a protection of enter into new or the financial interests renewed operations with of the Union equivalent entities incorporated or to the one that is established in provided for when the *iurisdictions listed* Commission under the relevant implements the EU Union policy on nonbudget, with due regard cooperative to the principle of jurisdictions, or that are proportionality taking *identified as high risk* into account the nature third countries pursuant of the action and the to Article 9(2) of

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		Directive (EU) 2015/849	conditions under which	
		of the European	it is implemented.	
		Parliament and of the		
		Council, or that do not		
		effectively comply with		
		Union or internationally		
		agreed tax standards on		
		transparency and		
		exchange of		
		information. The		
		eligible counterparts		
		may derogate from this		
		principle only if the		
		project is physically		
		implemented in one of		
		those jurisdictions, and		
		does not present any		
		indication that the		
		relevant operation falls		
		under any of the		
		categories listed in the		
		first subparagraph of		
		this paragraph. When		
		concluding agreements		
		with financial		
		intermediaries, the		
		eligible counterparts		
		shall transpose the		
		requirements referred to		
		in this Article into the		
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	relevant agreements and shall request the financial intermediaries to report on their observance.	
408. duo- decies	3. In its financing and investment operations, the eligible counterpart shall apply the principles and standards set out in Union law on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing and in particular Regulation (EU) 2015/847 of the European Parliament and of the Council (2) and Directive (EU) 2015/849. The eligible counterparts shall make both direct funding and funding via intermediaries under this Regulation contingent upon the	



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		ownership information in accordance with Directive (EU) 2015/849 and publish country-by- country reporting data in accordance with Article 89(1) of Directive 2013/36/EU of the European Parliament and of the Council.			
409.	Article 30 Capital participation in a development bank	Article 30 Capital participation in a development bank	Article 30 Capital participation in a development bank	Article 30 Capital participation in a development bank	Provisionally closed on 2 October 2020
410.	The envelope for geographic programmes, referred to in Article 6(2)(a), may be used to contribute to the capital endowment of European and other development finance institutions.	The envelope for geographic programmes, referred to in Article 6(2)(a), may be used to contribute to the capital endowment of European and other development finance institutions.	The envelope for geographic programmes, referred to in Article 6(2)(a), may be used to contribute to the capital endowment of European and other development finance institutions.	The envelope for geographic programmes, referred to in Article 6(2)(a), may be used to contribute to the capital endowment of European and other development finance institutions.	Provisionally closed on 2 October 2020
411.	Chapter V Monitoring, reporting and evaluation	Chapter V Monitoring, reporting and evaluation	Chapter V Monitoring, reporting and evaluation	Chapter V Monitoring, reporting and evaluation	Provisionally closed on 11 June 2020
412.	Article 31 Monitoring and reporting	Article 31 Monitoring and reporting	Article 31 Monitoring and reporting	Article 31 Monitoring and reporting	Provisionally closed on 11 June 2020

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412. bis		-1. The achievement of the objectives of this Regulation shall be measured through an adequate, transparent and accountable monitoring, reporting and evaluation system, ensuring the proper involvement of the European Parliament and the Council, as well as enhancing the participation of all Union partners, including civil society, in the application of the programmes.			Provisionally closed of 11 June 2020
413.	1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out in Article 3 are set in Annex VII, in line with the Sustainable Development Goals indicators. The values of the indicators on 1 January 2021 shall be	1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out in Article 3 (2) are set in Annex VII, in line with the Sustainable Development Goals indicators. The values of the indicators on 1 January 2021 shall be	1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out in Article 3 are set in Annex VII, in line with the Sustainable Development Goals indicators. The values of the indicators on 1 January 2021 and shall	1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out in Article 3 (2) are set in Annex VII, in line with the Sustainable Development Goals indicators The values of the indicators on 1 January 2021 and shall	Provisionally closed of 11 June 2020



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	used as a basis for assessing the extent to which the objectives have been achieved.	used as a basis for assessing the extent to which the objectives have been achieved.	be used <i>together with</i> <i>data from evaluations</i> <i>and other results</i> <i>reporting</i> , as a basis for assessing the extent to which the objectives have been achieved.	be used <i>together with</i> <i>data from evaluations</i> <i>and other existing</i> <i>results reporting</i> , as a basis for assessing the extent to which the objectives have been achieved.	
414.	2. The Commission shall regularly monitor its actions and review progress made towards delivering expected results, covering outputs and outcomes.	2. The Commission shall regularly monitor its actions and review progress made towards delivering <i>the targets</i> <i>established in Article 3,</i> <i>as well as</i> expected results, covering outputs and outcomes.	2. The Commission shall <i>regularly</i> <i>continuously</i> monitor, <i>and at least on an</i> <i>annual basis</i> , its actions and review progress made towards delivering expected results, covering outputs and outcomes.	2. The Commission shall-regularly continuously monitor its actions, at least on an annual basis, and review progress made towards delivering the targets established by in Article 3this Regulation, as well as expected results, covering outputs and outcomes.	Provisionally closed on 11 June 2020
415.	Progress with respect to expected results should be monitored on the basis of clear, transparent and, where appropriate, measurable indicators. Indicators shall be kept at a limited	Progress with respect to expected results should shall be monitored on the basis of clear, transparent and, where appropriate, measurable indicators set in Annex VII and in the monitoring and	Progress with respect to expected results should shall be monitored, in a transparent manner, on the basis of clear, transparent relevant and, where appropriate, measurable indicators.	Progress with respect to expected results should shall be monitored, in a transparent, and timely manner, on the basis of clear, transparent relevant and, where appropriate, measurable qualitative and	Provisionally closed on 11 June 2020 pending agreement on governance {1} EP: and in the monitoring and



Amounts					
overnance	number to facilitate timely reporting.	evaluation framework adopted pursuant to paragraph 9, as well as in accordance with the provisions on Union budgetary execution. Indicators shall be kept at a limited number to facilitate timely reporting and, as a minimum, shall be disaggregated by sex and age.	Indicators, as well as qualitative and timely data. Whenever possible this data shall be disagregated by sex, age and other factors kept at a limited number to facilitate timely reporting.	quantitative data - Indicators including, but not limited to those set out in Annex VII {1}, as well as on qualitative data. Whenever possible, this data indicators-shall be disagregated by sex, age and other relevant factors-kept at a limited number to facilitate timely reporting.	evaluation frameworl adopted pursuant to paragraph 9 CL: no text
416.	3. Joint results frameworks included within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide the basis for the joint monitoring by the Union and the Member States of the implementation of their collective support to a partner country.	3. Joint results frameworks included within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide the basis for the joint monitoring by the Union and the Member States of the implementation application of their collective support to a partner country.	3. Where feasible, Jjoint results frameworks included within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide the basis for the joint monitoring by the Union and the Member States of the implementation of their collective support to a partner country as agreed within the respective joint	3. Joint results frameworks included <i>and agreed</i> within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide, <i>where feasible,</i> the basis for the joint monitoring by the Union and the Member States of the <i>implementation of</i> their collective support to a partner country.	Provisionally closed of 11 June 2020



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Governance					
			programming		
			document.		
417.	The performance	The performance	The performance	The performance	Provisionally closed on
	reporting system shall	reporting system shall	reporting system shall	reporting system shall	11 June 2020 pending
	ensure that data for	ensure that data for	ensure that data for	ensure that data for	discussions on
	monitoring programme	monitoring programme	monitoring programme	monitoring programme	governance
	implementation and	implementation	implementation and	{1}-implementation and	
	results are collected	application and results	results are collected	results are collected	{1}
	efficiently, effectively,	are collected efficiently,	efficiently, effectively,	efficiently, effectively,	
	and in a timely manner.	effectively, and in a	and in a timely manner.	and in a timely manner.	EP: <i>application</i>
	To that end,	timely manner. To that	To that end,	To that end,	CL: implementation
	proportionate reporting	end, proportionate	proportionate reporting	proportionate reporting	
	requirements shall be	reporting requirements	requirements shall be	requirements shall be	
	imposed on recipients	shall be imposed on	imposed on recipients	imposed on recipients	
	of Union funds.	recipients of Union	of Union funds.	of Union funds.	
		funds.			
418.	4. The Commission	4. The Commission shall	4. The Commission	4. The Commission	Provisionally closed on
	shall examine the	examine the progress	shall examine the	shall examine the	11 June 2020 pending
	progress made in	made in implementing	progress made in	progress made in {1}	agreement on
	implementing this	applying this Regulation.	implementing this	implementing this	governance
	Regulation. From 2022	From 2022 onwards, the	Regulation. From 2022	Regulation.	
	onwards, the	Commission shall	onwards, the	<i>Starting</i> Ffrom 2022	{1}
	Commission shall	submit to the European	Commission shall, <i>in a</i>	onwards, the	
	submit to the European	Parliament and to the	timely manner and no	Commission shall, <i>in a</i>	EP: <i>applying</i>
	Parliament and to the	Council an annual report	later than the 31st of	timely manner by the	CL: implementing
	Council an annual	on the achievement of	October each year,	end of November each	
	report on the	the objectives of this	submit to the European	<i>year</i> , submit to the	
	achievement of the	Regulation by means of	Parliament and to the	European Parliament	
	objectives of this	indicators, <i>including</i> ,	Council an annual	and to the Council an	
	Regulation by means of	but not limited to, those	report on <i>progress</i>	annual report on	





Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance set in Annex VII as well towards the indicators, measuring progress towards the achievement of the the results delivered and as Union budgetary achievement of the *execution*, measuring the objectives of this objectives of this the efficiency of the Regulation by means Regulation by means of Regulation. That report results delivered and the shall also be submitted efficiency of the indicators, *including*, of indicators. to the European Regulation. That report measuring the but not limited to, those shall also be submitted Economic and Social reporting on the set in Annex VII. Committee and to the to the European ongoing activities, measuring the reporting Economic and Social results delivered and Committee of the on the ongoing Regions. Committee and to the the efficiency *activities*, results Committee of the effectiveness of the delivered and the Regulation. That report Regions. effectiveness shall also be submitted efficiency of the to the European Regulation. That report shall also be submitted Economic and Social Committee and to the to the European Committee of the Economic and Social Committee and to the Regions. Committee of the Regions. 5. The annual report 419. 5. The annual report 5. The annual report 5. The annual report Provisionally closed on shall contain shall contain information shall contain shall contain 11 June 2020 pending information relating to relating to the previous information, relating to information relating to agreement on the previous year on the vear on the measures the previous year on the previous year on the Neighbourhood measures financed, the financed, the results of measures financed, the the measures financed. **{1}** results of monitoring monitoring and the results of results of monitoring and evaluation evaluation exercises, the monitoring and and evaluation exercises, the involvement and level of **EP**: performance evaluation exercises. exercises, the involvement of the *cooperation* of the the involvement of the involvement and level (related also to of cooperation of the relevant partners, and the relevant partners, and relevant partners, and



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	the implementation of	implementation	broken down by type	relevant partners, and	discussions on progress
	budgetary commitments	application of budgetary	of entity as referred to	broken down by type of	reports in line 225)
	and of payment	commitments and of	in Article 62 of the	entity as referred to in	
	appropriations broken	payment appropriations	Financial Regulation	Article 62 of the	CL: incentive
	down by country,	broken down by country,	for both direct and	Financial Regulation	
	region and cooperation	region and cooperation	indirect management,	for both direct and	
	sector. It shall assess the	sector. It shall <i>include</i>	the implementation of	indirect management,	
	results of the Union	an assessment of	budgetary	as well as the	
	funding using, as far as	progress made towards	commitments and of	implementation of	
	possible, specific and	expected results and	payment appropriations	budgetary	
	measurable indicators	regarding the	broken down by	commitments,	
	of its role in meeting the	incorporation of cross-	programming	including contracted	
	objectives of this	cutting issues as	document when	<i>amounts</i> , and of	
	Regulation. In the case	mentioned in Article	applicable, country,	payment appropriations,	
	of development	8(6). It shall assess the	region and cooperation	broken down by	
	cooperation, the report	results of the Union	sector. It shall assess	country, region and	
	shall also assess, where	funding using , as far as	the results of the Union	cooperation sector. It	
	possible and relevant,	possible, specific and	funding using, as far as	shall assess the results	
	the adherence to	measurable indicators of	possible, specific and	of the Union funding	
	development	its role in meeting the	measurable indicators	using, as far as possible,	
	effectiveness principles,	objectives of this	of its role in meeting to	specific and measurable	
	including for innovative	Regulation. In the case	show progress towards	indicators of its role in	
	financial instruments.	of development	the targets and	meeting to show	
		cooperation, the report	objectives of this	progress towards the	
		shall also assess , where	Regulation. It shall	targets and objectives	
		possible and relevant,	also present a	of this Regulation, as	
		the adherence to	breakdown on the	well as the progress	
		development	forms of EU funding	made towards	
		effectiveness principles,	as defined in Article	mainstreaming issues	
			23 of this Regulation.	referred to in Article	

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	cluding for innovative nancial instruments.	The report shall contain qualitative and quantitative information on the emerging challenges and priorities cushion referred to in Article 15 and on the use of funds dedicated to the incentive-based approach referred to in Article 17. In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to development effectiveness principles, including for innovative financial instruments.	8(6). It shall also present a breakdown on the forms of EU funding as defined in Article 23 of this Regulation. The report shall contain qualitative and quantitative information including on measures taken pursuant to Article 9 and on the use of the emerging challenges and priorities cushion referred to in Article 15 and on the use of funds dedicated to the { }- based approach referred to in Article 17. In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to development effectiveness principles, including for innovative financial instruments.



Neighbourhood

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Governance 420.

6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article 40(2), including external assigned revenues and contributions to trust funds, and offering a breakdown of spending by country, use of financial instruments, commitments and payments. The report shall reflect the main lessons learnt and the follow-up to the recommendations of the external evaluative exercises carried out in previous years.

6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article 40(2) 39(2), including external assigned revenues and contributions to trust funds, and offering a breakdown of spending by country, use of financial instruments. commitments and payments. The report shall reflect the main lessons learnt and the follow-up to the recommendations of the external evaluative exercises carried out in previous years. It shall include an assessment of the level of staff capacity at headquarters and Union delegations

level for the delivery of

6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article **3940**(2), including external assigned revenues and contributions to trust funds, guarantees and offering a breakdown of spending by country, use forms of financial instruments EU funding as defined in Article 23 of this Regulation, type of entity as listed in Article 62 of the **Financial Regulation** for both direct and indirect management, commitments and payments. The report shall reflect the main lessons learnt and the

6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article 40(2) 39(2), including external assigned revenues and contributions to trust funds, guarantees and offering a breakdown of spending by country, forms use of financial instruments EU funding, as defined in Article 23 of this Regulation, type of entity as listed in Article 62 of the **Financial Regulation** for both direct and indirect management, commitments and payments. The report shall reflect the main

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lessons learnt and the

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Governance				
	all objectives covered in this Regulation.	follow-up to the recommendations of the external evaluative exercises carried out in previous years.	follow-up to the recommendations of the external evaluative exercises carried out in previous years.	
420. bis	6a. The Commission shall submit as part of the annual report detailed reporting on the financing and investment operations covered by the External Action Guarantee, and the functioning of the EFSD+, its management and its effective contribution to its objectives. That part of the annual report shall be accompanied by an opinion of the Court of Auditors. It shall include the following elements:		6a. The Commission shall submit as part of the annual report detailed reporting on the financing and investment operations covered by the External Action Guarantee, and the functioning of the EFSD+, its management and its effective contribution to its objectives. It shall include the following elements:	Provisionally closed or 2 October 2020
420. ter	(a) an assessment of the results contributing to the purpose and objectives of the EFSD+ as set out in this Regulation;		(a) an assessment of the results contributing to the purpose and objectives of this Regulation and, where relevant, other funding	Provisionally closed on 2 October 2020



Spending targets (except migr	ration)		
Migration spending target and			
Neighbourhood			
Amounts			
Governance			
		instruments as laid down in Art 26 (6)	
420. quater	(b) an assessment of current financing and investment operations and covered by the External Action Guarantee at sector, country and regional levels and their compliance with this Regulation, including the risk measures and their impact on the financial and economic stability of the partners;		Provisionally closed on 2 October 2020 AM integrated into line 420 quinquies
420. quin-quies	(c) an assessment of the additionality and added value, the mobilisation of private sector resources, the estimated and actual outputs and the outcomes and impact of the financing and investment operations covered by the External Action Guarantee on an	(b) an assessment, on the basis of indicators in line with Articles 26(1) and 27(2), of the additionality and added value, the mobilisation of private sector resources including micro, small and medium-size enterprises, the type of private sector entities	Provisionally closed on 2 October 2020 pending migration discussion {1} EP: No text CL:
	aggregated basis,	supported, the	



Amounts

Oovernance			
	including the impact on decent job creation and the ability to provide a living wage, the eradication of poverty and the reduction of inequality; that assessment shall include a gender analysis of the operations covered based on evidence and data broken down by gender, where possible, and an analysis of the type of private sector supported, including cooperatives and social enterprises;	estimated and actual outputs and the outcomes and impact of the financing and investment operations covered by the External Action Guarantee under the EFSD+ on an aggregated basis, including the impact on decent job creation, and the eradication of poverty {1}; the assessment shall include an analysis of the risk measures and their impact on the financial and economic stability of the partners and a gender analysis of the operations covered based on evidence and data broken down by gender, country and sector where possible;	and on the way in which the root causes of migration including irregular migration and forced displacement are addressed
420. sexies	(d) an assessment of the compliance with the requirements concerning the use of	(c) an assessment of the compliance of the operations supported by the External Action	Provisionally closed on 2 October 2020



Neighbourhood			
Amounts			
Governance			D 111
	the External Action Guarantee and of the achievement of key performance indicators established for each proposal submitted;	Guarantee under the EFSD+ with the internationally agreed development effectiveness principles;	Possible to extend to other eligibility criteria in Article 27 (2)?
420. sept-ies	(e) an assessment of the leverage effect achieved by the operations covered by the External Action Guarantee and the EFSD+;	(d) an assessment of the leverage effect achieved by the operations covered;	Provisionally closed on 2 October 2020
420. octies	(f) the financial amount transferred to beneficiaries and an assessment of financing and investment operations by each eligible counterpart on an aggregated basis;		Provisionally closed on 2 October 2020
420. non-ies	(g) an assessment of the additionality and added value of financing and investment operations of the eligible counterparts, and of the aggregate risk associated with those operations;		Provisionally closed on 2 October 2020



Migration spending target and Neighbourhood			
mounts			
lovernance			
420. decies	(h) detailed information on calls on the External Action Guarantee, losses, returns, amounts recovered and any other payments received, as well as overall risk exposure;		Provisionally closed of 2 October 2020
420. un-decies	(i) the financial reports on financing and investment operations of the eligible counterparts covered by this Regulation, audited by an independent external auditor;		Provisionally closed on 2 October 2020
420. duo-decies	(j) an assessment of the synergies and complementarity between operations covered by the External Action Guarantee and the second and third pillars of the EIP based on relevant existing reports, with particular regard to progress made on good governance, including in the fight against corruption and	(e) an assessment of the synergies and complementarity between operations covered by the External Action Guarantee under the EFSD+ including those referred to in Article 27 bis and other pillars of the External Investment Plan based on relevant existing reports, with particular	Provisionally closed or 2 October 2020



Migration spending target and Neighbourhood			
Amounts			
Governance			
	illicit financial flows, respect for human rights, the rule of law and gender-responsive policies, as well as the boosting of entrepreneurship, the local business environment and local financial markets;	regard to progress made on good governance, including in the fight against corruption and illicit financial flows, respect for human rights, the rule of law and gender- responsive policies, as well as the boosting of entrepreneurship, the local business environment and local financial markets;	
420. ter-decies	(k) an assessment of the compliance of the External Action Guarantee operations with the internationally agreed development effectiveness principles;		Provisionally closed on 2 October 2020
420. quater-decies	(l) an assessment of the remuneration of the guarantees;	(f) an assessment of the remuneration of the guarantees and of the implementation of Article 155(2) and 155(3) of the Financial Regulation;	Provisionally closed on 2 October 2020



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Governance					
420. quin-decies		(m) an assessment of the implementation of provisions related to excluded activities and non-cooperative jurisdictions.			Provisionally closed on 2 October 2020 pending agreement on excluded activities, including reporting
421.	7. An annual estimate of the overall spending related to climate action and biodiversity shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development ('Rio markers'), without excluding the use of more precise methodologies where these are available, integrated into the	7. An annual estimate of the overall spending related to elimate action and biodiversity the targets set by this Regulation shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development <i>including</i> ('Rio markers'), without excluding the use of more precise	7. An annual estimate of the overall spending related to climate action, <i>desertification</i> and biodiversity shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development (<i>'environmental and</i> Rio markers'), without excluding the use of	7. An annual estimate of the overall spending related to climate action, <i>desertification</i> and biodiversity shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development (<i>'environmental and</i> Rio markers'), without excluding the use of more precise methodologies where	Provisionally closed on 11 June 2020

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Governance					
	existing methodology	methodologies where	more precise	these are available,	
	for performance	these are available,	methodologies where	integrated into the	
	management of Union	integrated into the	these are available,	existing methodology	
	programmes, to	existing methodology for	integrated into the	for performance	
	quantify the expenditure	performance	existing methodology	management of Union	
	related to climate action	management of Union	for performance	programmes, to	
	and biodiversity at the	programmes, to quantify	management of Union	quantify the expenditure	
	level of the action plans	the expenditure related	programmes, to	related to	
	and measures referred	to climate action, and	quantify the	environmental	
	to in Article 19 and	biodiversity and	expenditure related to	management and	
	recorded within	environment, human	environmental	protection, climate	
	evaluations and the	development and social	management and	action, desertification	
	annual report.	inclusion, gender	protection, climate	and biodiversity at the	
		equality, and Official	action, desertification	level of the action plans	
		Development	and biodiversity at the	and measures referred	
		Assistance, at the level	level of the action	to in Article 19 and	
		of the action plans and	plans and measures	recorded within	
		measures referred to in	referred to in Article	evaluations and the	
		Article 19 and recorded	19 and recorded within	annual report.	
		within evaluations and	evaluations and the		
		the annual report. The	annual report.		
		Commission shall			
		transmit the estimate to			
		the European			
		Parliament as part of			
		the annual report.			
422.	8. The Commission	8. The Commission shall	8. The Commission	The Commission shall	Provisionally closed on
	shall make available	make available	shall make available	make available	11 June 2020
	information on	information on	information on	information on	
	development co-	development co-	development co-	development co-	





	(except migration) ng target and related provis	sions			
	operation through recognised international standards.	operation cooperation through recognised international standards, including those of the International Labour Organisation, and using the framework for a common standard developed by the International Aid Transparency Initiative.	operation through cooperation in accordance with recognised international standards- such as those from the OECD and the International Aid Transparency Initiative (IATI).	operation through cooperation in accordance with recognised international standards- such as those from the International Labour Organisation and the OECD and by using the framework for a common standard developed by the International Aid Transparency Initiative (IATI).	
423.	9. To ensure effective assessment of progress of this Regulation towards the achievement of its objectives, the Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend Annex VII to review or complement the indicators where considered necessary and to supplement this	9. To ensure effective assessment of progress of this Regulation towards the achievement of its objectives, the Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend Annex VII to review or complement the indicators where considered necessary, <i>including in the context</i> <i>of the mid-term review</i> <i>pursuant to Article 32</i> ,	9. To ensure effective assessment of progress of this Regulation towards the achievement of its objectives, the Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend Annex VII to review or complement the indicators where considered necessary and to supplement this		EP and CL maintain their mandates



Neighbourhood					
Amounts					
Bovernance					
	Regulation with provisions on the establishment of a monitoring and evaluation framework.	and to supplement this Regulation with provisions on the establishment of a monitoring and evaluation framework, which may include additional performance indicators applicable for each of the specific objectives of this Regulation.	Regulation with provisions on the establishment of a monitoring and evaluation framework.		
424.	Article 32 Evaluation	Article 32 <i>Mid-term review and</i>	Article 32 Evaluation <i>of this</i>	Article 32 <i>Evaluation</i>	Provisionally closed or 11 June 2020
		evaluation	Regulation		
425.	1. An interim evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument.	1. An interim No later than 30 June 2024, the Commission shall submit a mid-term evaluation report on the application of this Regulation. The mid- term evaluation report shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the	1. An interim The mid- term and final evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument. make use of the good practice principles of the Development	1. The Commission shall evaluate the impact and effectiveness of its actions per area of intervention, and the effectiveness of programming, where appropriate by means of independent external evaluations. Proposals by the European Parliament or the Council for independent external	Provisionally closed or 11 June 2020 pending discussions on governance {1} CL: through the relevant committee referred to in Article 35 Specific eEvaluations may be discussed in the committee at the request of Member States.



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Governance				
	implementation cover the period from 1 January 2021 to 31 December 2023 and shall examine the Union contribution to the achievement of the instrument objectives of this Regulation, by means of indicators measuring the results delivered, and any findings and conclusions concerning the impact of this Regulation, including of the European Fund for Sustainable Development Plus and the External Action Guarantee.	Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain the relevance, effectiveness, efficiency, sustainability and impact of this Regulation and whether the objectives of this Regulation have been met, and to formulate recommendations with a view to improving future actions.	evaluations shall be taken into due account. Where applicable, evaluations shall make use of the good practice principles of the Development Assistance Committee of the OECD, seeking to ascertain whether the specific objectives, have been met and to formulate recommendations with a view to improving future actions. The Commission shall communicate the findings and conclusions of the evaluations accompanied by its observations and follow-up, to the European Parliament, to the Council and to the Member States [1].	EP: no text

Spending targets (except migrat	n)
Migration spending target and re	
Neighbourhood	
Amounts	
Governance	
Governance	resource allocation. Evaluations and follow-up shall be made publicly available. The Commission shall, to an appropriate extent, associate all relevant stakeholders, including beneficiaries, civil society actors and local authorities in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and other partners with close involvement of the partner countries.
	An interim evaluation
	of this Regulation shall
	be performed once there
	is sufficient information
	available about its

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				later than four years after the start of the implementation of the	
				instrument.	
426.	Where appropriate evaluations shall make use of the good practise		Where appropriate evaluations shall make use of the good	Where appropriate evaluations shall make use of the good practise	Provisionally closed on 11 June 2020
	principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the objectives have been met and to formulate		practise principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the objectives have been met and to formulate	principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the objectives have been met and to formulate	Moved to line 425.
	recommendations with a view to improving		recommendations with a view to improving	recommendations with a view to improving	
	future actions.		future actions.	future actions.	
426. bis		The European Parliament may provide input to this evaluation. The Commission and the EEAS shall organise a consultation with key stakeholders and beneficiaries, including civil society	1 bis The mid-term evaluation of the implementation of this Regulation shall be submitted by the Commission no later than 31 December 2024. It shall cover the period from 1 January	1 bis A mid-term evaluation of this Regulation shall be submitted by the Commission no later than 31 December 2024. It shall cover the period from 1 January	Provisionally closed on 11 June 2020



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	organisations. The Commission and EEAS shall give particular attention to ensure that the most marginalised are represented.	2021 until the launch of the evaluation.	2021 until the launch of the evaluation.	
426. ter	The Commission shall also evaluate the impact and effectiveness of its actions per area of intervention, and the effectiveness of programming, by means of external evaluations. The Commission and the EEAS shall take into account proposals and views of the European Parliament and the Council on independent external evaluations. Where appropriate applicable evaluations shall make use of the good practise 			Provisionally closed of 11 June 2020 Covered under 425

	nding target and related provis	ions			
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mounts					
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		and Development, seeking to ascertain whether the objectives have been met and to formulate recommendations with a view to improving future actions. <i>The interim</i> <i>evaluation shall assess</i> <i>how the Union</i> <i>performed on targets</i> <i>established by this</i> <i>Regulation.</i>			
427.	2. At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, a final evaluation of the Regulation shall be carried out by the Commission. This evaluation shall look at the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results	2. At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, a final The mid-term evaluation report of the Regulation shall also address efficiency, the added value, the functioning of the simplified and streamlined external financing architecture, internal and external coherence, and the	2. At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, <i>31 December 2030</i> a final evaluation of the Regulation shall be carried out <i>submitted</i> by the Commission. This evaluation shall look at analyse and assess the Union contribution to the achievement of the objectives of this	2. four years after the end of the period specified in Article 1 A final evaluation of the Regulation shall be carried out by the Commission within the mid-term review of the next financial period. This evaluation shall look at analyse and assess the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators	Provisionally closed o 11 June 2020



Spending targets (except migration) Migration spending target and related prov	isions			
Neighbourhood	1510115			
Amounts				
Governance				
delivered and any findings and conclusions concerning the impact of this Regulation.	continued relevance be carried out by the Commission. This evaluation shall look at the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results delivered and any the complementarity and synergies between the actions funded, the contribution of the measures to consistent 	Regulation, taking into account indicators measuring the results delivered and any as well as findings and conclusions concerning the impact of this Regulation.	measuring the results delivered and any findings and conclusions concerning the impact of this Regulation.	

Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance 428. The final evaluation The *mid-term and the* The *mid-term and the* Provisionally closed on The final evaluation report shall also address report shall also address final evaluation-report final evaluations-report 11 June 2020 shall also address efficiency, the added efficiency, the added shalladdress efficiency, value, the scope for value, the scope for efficiency, the added effectiveness, impact, simplification, internal simplification, internal value, the scope for sustainability, the and external coherence. simplification, internal added value, the scope and external coherence. and the continued and the continued and external coherence. for simplification, relevance of the relevance of the *lessons learnt*, and the internal and external objectives of this objectives of this continued relevance of coherence, *including* Regulation. Regulation. the objectives of this complementarity and Regulation. The synergies, and the evaluations shall also continued relevance of include information the objectives of this on the added value of Regulation. Evaluations shall integrating previously separate instruments *identify lessons* into one streamlined learned. The evaluations shall instrument. assess the maximum amount of the External Action Guarantee laid down in article 26(3) and include information on the added value of integrating previously separate instruments into one streamlined instrument.

Migration spea Neighbourhoo	nding target and related provised	sions			
Amounts	u				
Governance					
429.	The final evaluation report shall be undertaken for the specific purpose of improving the implementation of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the	The final mid-term evaluation report shall be undertaken for the specific purpose of improving the implementation application of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented	The final evaluation report shall be undertaken for the specific purpose of improving the implementation of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the	The final evaluation report shall be undertaken for the specific purpose of improving the implementation of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the	Provisionally closed or 11 June 2020 Moved to line 430 bis.
429. bis	Regulation.	under this Regulation.	Regulation. The mid-term and final evaluation reports shall also contain consolidated information from annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds, offering a breakdown of spending by beneficiary country, forms of EU funding, and involevment of	Regulation.The mid-term and finalevaluations shall alsocontain consolidatedinformation fromannual reports on allfunding governed bythis Regulation,including externalassigned revenues andcontributions to trustfunds, offering abreakdown of spendingby beneficiary country,forms of EU funding,and involvement of EUMember States andrelevant partners,	Provisionally closed or 11 June 2020



Migration spe	nding target and related provised	sions			
Amounts					
Governance					
			EU Member States and relevant partners, commitments and payments.	commitments and payments, as well as a breakdown per geographic programmes, thematic programmes and rapid response actions, including the use of funds mobilised from the emerging challenges and priorities cushion, as referred to in Article 6.	
430.	The final evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments, commitments and payments	The final mid-term evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by, beneficiary country, use of financial instruments, commitments and payments, as well as by	The final evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments,	The final evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments, commitments and payments.	Provisionally closed on 11 June 2020 Moved to line 429bis.

Neighbourhood Amounts				
Governance				
	geographic and thematic programme and rapid response action, including funds mobilised from the emerging challenges and priorities cushion.	commitments and payments.		
430. bis		The mid-term and final evaluation shall be undertaken for the specific purpose of improving the implementation of the Union funding and its contribution to promoting development outcomes in partner countries. They shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation.	The <i>mid-term and</i> final evaluations report shall be undertaken for the specific purpose of improving the implementation-Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation. The mid-term evaluation shall be accompanied, if appropriate, by legislative proposals setting out necessary	Provisionally closed of 11 June 2020

Governance	9			
431.	The Commission shall	The Commission shall	The Commission shall	Provisionally closed on
	communicate the	communicate the	communicate the	11 June 2020
	conclusions of the	conclusions of the	findings and	
	evaluations	evaluations accompanied	conclusions of the all	Moved to line 425
	accompanied by its	by its observations, to	evaluations	
	observations, to the	the European Parliament,	accompanied by its	
	European Parliament, to	to the Council and to the	observations and	
	the Council and to the	Member States through	management response,	
	Member States through	the relevant committee	to the European	
	the relevant committee	referred to in Article 35.	Parliament, to the	
	referred to in Article 35.	Specific evaluations may	Council and to the	
	Specific evaluations	be discussed in that	Member States through	
	may be discussed in that	committee at the request	the relevant committee	
	committee at the request	of Member States. The	referred to in Article	
	of Member States. The	results shall feed into	35. Specific	
	results shall feed into	programme design and	eEvaluations may be	
	programme design and	resource allocation.	discussed in that	
	resource allocation.		committee at the	
			request of Member	
			States. The <i>respective</i>	
			results shall feed into	
			programme action	
			design and resource	
			allocation. <i>All</i>	
			evaluation reports and	
			management response	
			shall be made publicly	
			available.	
432.	The Commission shall,	The Commission shall,	The Commission shall,	Provisionally closed on
	to an appropriate extent,	to an appropriate extent,	to an appropriate	11 June 2020





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	associate all relevant	associate all relevant	extent, associate all	
	stakeholders in the	stakeholders and	relevant stakeholders	Moved to end of line
	evaluation process of	beneficiaries, including	in the evaluation	425
	the Union's funding	<i>CSOs</i> in the evaluation	process of the Union's	
	provided under this	process of the Union's	funding provided under	
	Regulation, and may,	funding provided under	this Regulation, and	
	where appropriate, seek	this Regulation, and	may, where	
	to undertake joint	may, where appropriate,	appropriate, seek to	
	evaluations with the	seek to undertake joint	undertake joint	
	Member States and	evaluations with the	evaluations with the	
	development partners	Member States and	Member States and	
	with close involvement	development partners	development other	
	of the partner countries.	with close involvement	partners with close	
		of the partner countries.	involvement of the	
			partner countries.	
432. bis		2a. The Commission		Provisionally closed on
		shall submit the mid-		11 June 2020
		term evaluation report		
		referred to in paragraph		Moved to line 430bis.
		2 to the European		
		Parliament and to the		
		Council. The report		
		shall be accompanied, if		
		appropriate, by		
		legislative proposals		
		setting out necessary		
		amendments to this		
		Regulation.		
432.		2b. At the end of the		Provisionally closed on
ter		period of application of		11 June 2020





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Governance					
		this Regulation, but no later than three years after the end of the period specified in Article 1, the Commission shall carry out a final evaluation of the Regulation on the same terms as the mid- term evaluation referred to in paragraph 2 of this Article.			
433.	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December 2025 and every three years thereafter, the Commission shall evaluate the use and the functioning of the External Action Guarantee. The Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December 2025 and every three years thereafter, the Commission shall evaluate the use and the functioning of the External Action Guarantee. The Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall be	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December 20252023 and every three years thereafter, the Commission shall evaluate the use and the functioning of the External Action Guarantee. The Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December 2024 and every three years thereafter, the Commission shall evaluate, on the basis of an external evaluation, the use and the functioning of the External Action Guarantee, in particular its contribution to the overall objectives, the achieved results and additionality. The	Provisionally closed of 2 October 2020

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	be accompanied by an opinion of the Court of Auditors.	accompanied by an opinion of the Court of Auditors.	be accompanied by an opinion of the Court of Auditors. <i>The</i> <i>evaluation report and</i> <i>the opinion of the</i> <i>Court of Auditors</i> <i>shall be made publicly</i> <i>available. The</i> <i>Commission shall</i> <i>conduct an</i> <i>independent</i> <i>evaluation every three</i> <i>years containing, inter</i> <i>alia, information on</i> <i>the contribution to the</i> <i>overall objectives, the</i> <i>achieved results and</i> <i>financial additionality.</i>	Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall be accompanied by an opinion of the Court of Auditors. <i>The</i> <i>evaluation report and</i> <i>the opinion of the</i> <i>Court of Auditors shall</i> <i>be made publicly</i> <i>available</i> .	
434.	TITLE III FINAL PROVISIONS	TITLE III FINAL PROVISIONS	TITLE III FINAL PROVISIONS	TITLE III FINAL PROVISIONS	Provisionally closed or 11 June 2020
435.	Article 33 Participation by a country or territory not covered by this Regulation	Article 33 Participation by a country or territory not covered by this Regulation	Article 33 Participation by a country or territory not covered by this Regulation	Article 33 <i>Extension of</i> <i>geographic scope</i>	Provisionally closed or 11 June 2020
436.	1. In duly justified cases and where the action to be implemented is of a global, trans-regional or regional nature, the	1. In duly justified cases and where the action to be implemented <i>applied</i> is of a global, trans- regional or regional	1. In duly justified cases and where the action to be implemented is of a global, trans-regional	 In duly justified cases and where the action to be {1} is of a global, trans-regional or regional nature, the 	Provisionally closed {1} EP: -implemented applied

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Governance	Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans- regional cooperation.	nature, the Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to shall be empowered to adopt a delegated act in accordance with Article 34 in order to supplement this Regulation by adding countries and territories not to those covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans- regional cooperation for	or regional nature, the Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans- regional cooperation.	Commission may decide, within the relevant multiannual {2} programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans- regional cooperation.	<i>CL:</i> implemented {2} EP: no text CL: indicative
		the purpose of those actions.			
437.	2. The Commission may include a specific financial allocation to assist partner countries and regions in	2. The Commission may include a specific financial allocation to assist partner countries and regions in	2. The Commission may include a specific financial allocation to assist partner countries, and regions in	2. The Commission may include a specific financial allocation to assist partner countries, and regions in	Provisionally closed on 11 June 2020



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strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision *OCT Decision*. To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the OCT *Decision* and/or *the* ETC Regulation, to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the OCT Decision or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation

strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision OCT Decision. To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the OCT Decision and/or the ETC **Regulation**, to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the OCT Decision or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation programmes or measures established

strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision [OCT Decision]. To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the *[OCT Decision*] and/or the [ETC Regulation], to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the [OCT Decision] or by a Union outermost region in the frame of joint operational programmes or to

strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision [OCT Decision]. To this end, this Regulation, may contribute. where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the *[OCT Decision*] and/or the [ETC Regulation], to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the [OCT Decision] or by a Union outermost region in the frame of joint operational programmes or to interregional



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	programmes or measures established and implemented under <i>the ETC Regulation</i> .	and implemented under the ETC Regulation.	interregional cooperation programmes or measures established and implemented under [the ETC Regulation].	cooperation programmes or measures established and implemented under [the ETC Regulation].	
437. bis		Article 33a Cooperation between partner countries and regions with neighbouring Union outermost regions and with overseas countries and territories			Provisionally closed on 11 June 2020
437. ter		1. The Commission may include a specific financial allocation to assist partner countries and regions in strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision OCT Decision. To this end, this Regulation, may contribute, where appropriate and on the			Provisionally closed on 11 June 2020 Covered in line 437



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	basis of reciprocity and proportionality as regards the level of funding from the OCT Decision and/or the ETC Regulation, to actions applied by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the OCT Decision or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation programmes or measures established and applied under the ETC Regulation.	
437. quater	2. The Union co- financing rate shall not be higher than 90 % of	Provisionally closed of 11 June 2020
	the eligible expenditure of a programme or	



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		financing rate shall be		
438.	Article 34	100 %. Article 34	Article 34	EP and CL maintain
+50.			Exercise of the	
	Exercise of the	Exercise of the		their mandates
- 120	delegation	delegation	delegation	
439.	1. The power to adopt	1. The power to adopt	1. The power to adopt	EP and CL maintain
	delegated acts is	delegated acts is	delegated acts is	their mandates
	conferred on the	conferred on the	conferred on the	
	Commission subject to	Commission subject to	Commission subject to	
	the conditions laid	the conditions laid down	the conditions laid	
	down in this Article.	in this Article.	down in this Article.	
440.	2. The power to adopt	2. The power to adopt	2. The power to adopt	EP and CL maintain
	delegated acts referred	delegated acts referred to	delegated acts referred	their mandates
	to in Article 4(6),	in Article 4(6), Article	to in Article 4(6),	
	Article 26(3), Article	26(3) 8(7a), Article	Article 26(3), Article	
	27(9) and Article 31(9)	8(8b), Article 14(1),	27(9) and Article 31(9)	
	shall be conferred on	Article 15(a), Article	shall be conferred on	
	the Commission for the	17(4), Article 21(3a),	the Commission for the	
	period of validity of this	Article 26(4), Article	period of validity of	
	Regulation.	27(9), and Article 31(9)	this Regulation.	
		and Article 33(1) shall		
		be conferred on the		
		Commission for the		
		period of validity of this		
		Regulation. <i>The</i>		
		Commission shall adopt		
		those delegated acts as		
		soon as possible.		
		However, the delegated		
		<i>,</i> 8		
		acts referred to in		



Governance				
	8 A a a iii	Article 8(7a), Article 8(8b), Article 17(4), and Article 31(9) shall be adopted by[6 months after the date of entry into force of this Regulation].		
power Articl 26(3), Articl revok the Eu Parlia Counce revok to the power decisi effect the pu decisi Journa Union specifi shall r	e delegation of c referred to in e 4(6), Article3Article 27(9) and e 31(9) may be ed at any time by uropean4Ment or by the cil. A decision to e shall put an end delegation of c specified in that4Adelegation of c specified in that on. It shall take on in the Official al of the European or at a later date4al of the European or at a later date ty of any ated acts already3	3. The delegation of power referred to in Article 4(6), Article 26(3), 8(7a), Article 26(3), 8(7a), Article 26(3), 8(7a), Article 26(3), 8(7a), Article 26(3), 8(7a), Article 27(4), Article 14(1), Article 15a, Article 27(4), Article 21(3a), Article 26(4), Article 27(9), Article 31(9) and Article 33(1) may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall but an end to the delegation of power specified in that decision. It shall take effect the day following the publication of the decision in the Official dournal of the European Union or at a later date	3. The delegation of power referred to in Article 4(6), Article 26(3), Article 27(9) and Article 31(9) may be revoked at any time by the European Parliament or by the Council. A decision to revoke shall put an end to the delegation of power specified in that decision. It shall take effect the day following the publication of the decision in the Official Journal of the European Union or at a later date specified therein. It shall not affect the validity of	EP and CL maintain their mandates

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		specified therein. It shall	any delegated acts	
		not affect the validity of	already in force.	
		any delegated acts		
		already in force.		
442.	4. Before adopting a	4. Before adopting a	4. Before adopting a	EP and CL maintain
	delegated act, the	delegated act, the	delegated act, the	their mandates
	Commission shall	Commission shall	Commission shall	
	consult experts	consult experts	consult experts	
	designated by each	designated by each	designated by each	
	Member State in	Member State in	Member State in	
	accordance with the	accordance with the	accordance with the	
	principles laid down in	principles laid down in	principles laid down in	
	the Interinstitutional	the Interinstitutional	the Interinstitutional	
	Agreement on Better	Agreement on Better	Agreement on Better	
	Law-Making of	Law-Making of 13 April	Law-Making of 13	
	13 April 2016.	2016.	April 2016.	
443.	5. As soon as it adopts a	5. As soon as it adopts a	5. As soon as it adopts	EP and CL maintain
	delegated act, the	delegated act, the	a delegated act, the	their mandates
	Commission shall	Commission shall notify	Commission shall	
	notify it simultaneously	it simultaneously to the	notify it	
	to the European	European Parliament and	simultaneously to the	
	Parliament and to the	to the Council.	European Parliament	
	Council.		and to the Council.	
444.	6. A delegated act	6. A delegated act	6. A delegated act	EP and CL maintain
	adopted pursuant to	adopted pursuant to	adopted pursuant to	their mandates
	Article 4(6), Article	Article 4(6), Article	Article 4(6), Article	
	26(3), Article 27(9) and	26(3), 8(7a), Article	26(3), Article 27(9)	
	Article 31(9) shall enter	8(8b), Article 14(1),	and Article 31(9) shall	
	into force only if no	Article 15a, Article	enter into force only if	
	objection has been	17(4), Article 21(3a),	no objection has been	



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	expressed either by the	Article 26(4), Article	expressed either by the	
	European Parliament or	27(9), Article 31(9) and	European Parliament	
	the Council within a	Article 33(1) shall enter	or the Council within a	
	period of two months of	into force only if no	period of two months	
	notification of that act	objection has been	of notification of that	
	to the European	expressed either by the	act to the European	
	Parliament and the	European Parliament or	Parliament and the	
	Council or if, before the	the Council within a	Council or if, before	
	expiry of that period,	period of two months of	the expiry of that	
	the European	notification of that act to	period, the European	
	Parliament and the	the European Parliament	Parliament and the	
	Council have both	and the Council or if,	Council have both	
	informed the	before the expiry of that	informed the	
	Commission that they	period, the European	Commission that they	
	will not object. That	Parliament and the	will not object. That	
	period shall be extended	Council have both	period shall be	
	by two months at the	informed the	extended by two	
	initiative of the	Commission that they	months at the initiative	
	European Parliament or	will not object. That	of the European	
	of the Council.	period shall be extended	Parliament or of the	
		by two months at the	Council.	
		initiative of the		
		European Parliament or		
		of the Council.		
444. bis		Article 34a		EP and CL maintain
		Urgency procedure		their mandates
444.		1. Where, in the case of		EP and CL maintain
ter		natural or man-made		their mandates
		disasters, or immediate		
		threats to democracy,		



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	the rule of law, human	
	rights or fundamental	
	freedoms, imperative	
	grounds of urgency so	
	require, the Commission	
	is empowered to adopt	
	delegated acts and the	
	procedure provided for	
	in paragraphs 2 and 3	
	of this Article shall	
44. quater	apply.	EP and CL maintain
++. quater	2. Delegated acts	their mandates
	adopted under this Article shall enter into	their mandates
	force without delay and	
	•	
	shall apply as long as no objection is expressed in	
	accordance with	
44. quin-quies	paragraph 3.	EP and CL maintain
++. quin-quies	The notification of a	their mandates
	delegated act to the European Parliament	then mandates
	and to the Council shall	
	state the reasons for the	
	use of the urgency procedure.	
14. sexies	1	EP and CL maintain
TT. SUAIUS	3. Either the European Parliament or the	their mandates
		their mandates
	Council may object to a	
	delegated act in	



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	accordance with the procedure referred to in Article 34(6). In such a case, the Commission shall repeal the act immediately following the notification of the decision to object by the European Parliament or	
111	by the Council.	
444. septies	Article 34b Democratic accountability	EP and CL maintain their mandates
444. octies	1. In order to enhance	EP and CL maintain
	dialogue between the	their mandates
	institutions of the	
	Union, in particular the	
	European Parliament,	
	Commission and the	
	EEAS, and to ensure	
	greater transparency	
	and accountability, as	
	well as the expediency	
	in the adoption of acts	
	and measures by the	
	Commission, the	
	European Parliament	
	may invite the	
	Commission and the	
	EEAS to appear before	

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	it to discuss the strategic orientations and guidelines for the programming under this 	
	basis in view of major political developments, at the request of the European Parliament or the European Commission or the EEAS.	
44. nonies	2. The Commission and the EEAS shall present to the European Parliament all relevant	EP and CL maintain their mandates



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Jvernance	regard at least one	
	month prior to the	
	dialogue. For the	
	dialogue related to the	
	annual budget,	
	consolidated	
	information on all	
	action plans and	
	measures adopted or	
	planned in accordance	
	with Article 21,	
	information on	
	cooperation per country,	
	region and thematic	
	area, and the use of	
	rapid response actions,	
	the emerging challenges	
	and priorities cushion,	
	and the External Action	
	Guarantee shall be	
	provided by the	
	Commission and the	
	EEAS.	
4. decies	3. The Commission and	EP and CL maintain
	the EEAS shall take	their mandates
	utmost account of the	
	position expressed by	
	the European	
	Parliament. In the event	
	that the Commission or	



<u> </u>	ling target and related provis	510118		
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Jovernance		the EEAS do not take		
		European Parliament's		
		positions into account, it		
		shall provide due		
		justification.		
444. un- decies		4. The Commission and		EP and CL maintain
		the EEAS, in particular		their mandates
		through the steering		
		group pursuant to		
		Article 38, shall be		
		responsible for keeping		
		the European		
		Parliament informed		
		about the state of this		
		Regulation's		
		application, in particular about		
		ongoing measures,		
		actions and results.		
445.	Article 35	Article 35	Article 35	EP and CL maintain
	Committee	Committee	Committee	their mandates
146.	1. The Commission	1. The Commission shall	1. The Commission	EP and CL maintain
	shall be assisted by the	be assisted by the	shall be assisted by the	their mandates
	Neighbourhood,	Neighbourhood,	Neighbourhood,	
	Development and	Development and	Development and	
	International	International	International	
	Cooperation committee.	Cooperation committee.	Cooperation	
	This committee shall be	This committee shall be	committee. This	
	a committee within the	a committee within the	committee shall be a	
			committee within the	



<u> </u>	pending target and related provis	sions		
eighbourh	lood			
mounts				
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	meaning of Regulation	meaning of Regulation	meaning of Regulation	
	(EU) No 182/2011.	(EU) No 182/2011.	(EU) No 182/2011.	
			The committee may	
			convene in different	
			formats in charge of specific areas of	
			intervention, such as	
			geographic, thematic	
			and rapid response	
			actions.	
47.	2. Where reference is	2. Where reference is	2. Where reference is	EP and CL maintain
	made to this paragraph,	made to this paragraph,	made to this paragraph,	their mandates
	Article 5 of Regulation	Article 5 of Regulation	Article 5 of Regulation	
	(EU) No 182/2011 shall	(EU) No 182/2011 shall	(EU) No 182/2011	
	apply.	apply.	shall apply. <i>When the</i>	
	11.5	11.2	committee delivers no	
			opinion, the	
			Commission shall not	
			adopt the draft	
			implementing act and	
			the third	
			subparagraph of	
			Article 5(4) of	
			Regulation (EU) No	
			182/2011 shall apply.	
48.	3. Where the opinion of	3. Where the opinion of	3. Where the opinion	EP and CL maintain
	the committee is to be	the committee is to be	of the committee is to	their mandates
	obtained by a written	obtained by a written	be obtained by a	
	procedure, the	procedure, the procedure	written procedure, the	
	procedure shall be	shall be terminated	procedure shall be	





Governance				
	terminated without	without result when,	terminated without	
	result when, within the	within the time limit for	result when, within the	
	time limit for delivery	delivery of the opinion,	time limit for delivery	
	of the opinion, the chair	the chair of the	of the opinion, the	
	of the committee so	committee so decides or	chair of the committee	
	decides or a simple	a simple majority of	so decides or a simple	
	majority of committee	committee members so	majority of committee	
	members so requests.	requests.	members so requests.	
449.	4. Where reference is	4. Where reference is	4. Where reference is	EP and CL maintain
	made to this paragraph,	made to this paragraph,	made to this paragraph,	their mandates
	Article 8 of Regulation	Article 8 of Regulation	Article 8 of Regulation	
	(EU) No 182/2011, in	(EU) No 182/2011, in	(EU) No 182/2011, in	
	conjunction with Article	conjunction with Article	conjunction with	
	5 thereof, shall apply.	5 thereof, shall apply.	Article 5 thereof, shall	
			apply.	
450.	5. The adopted decision	5. The adopted decision	5. The adopted	EP and CL maintain
	shall remain in force for	shall remain in force for	decision shall remain	their mandates
	the duration of the	the duration of the	in force for the	
	adopted or modified	adopted or modified	duration of the adopted	
	document, action	document, action	or modified document,	
	programme or measure.	programme or measure.	action programme or	
			measure.	
451.	6. An observer from the	6. An observer from the	6. An observer from	EP and CL maintain
	European Investment	European Investment	the European	their mandates
	Bank shall take part in	Bank shall take part in	Investment Bank shall	
	the Committee's	the Committee's	take part in the	
	proceedings with regard	proceedings with regard	Cc ommittee's	
	to questions concerning	to questions concerning	proceedings with	
	the European	the European Investment	regard to questions	
	Investment Bank.	Bank.	concerning the	





Neighbourhoo Amounts					
Governance					
			European Investment Bank.		
451. bis			7. The committee shall be assisting the Commission by examining any other matter concerning the implementation of this Regulation, in particular with regard to multi-annual programming documents including mid-term or ad-hoc reviews.		EP and CL maintain their mandates
452.	Article 36 Information, communication and publicity	Article 36 Information <i>Transparency</i> , communication and publicity public disclosure of information	Article 36 Information, communication and publicity	Article 36 Information, communication and publicity <i>visibility</i>	Provisionally closed on 11 June 2020
453.	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting the actions and their results by	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting the actions and their results by	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, <i>in coherence</i> <i>with Union values</i> , in particular when	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting <i>and</i> <i>reporting on</i> the	Provisionally closed on 11 June 2020



Spending targets	(except migration)				
	ng target and related provis	ions			
Neighbourhood					
Amounts					
Governance					
	providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public.	providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public. <i>The Commission shall</i> <i>be responsible for</i> <i>monitoring recipients'</i> <i>compliance with those</i> <i>requirements.</i>	promoting <i>and</i> <i>reporting on</i> the actions and their results by providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public.	actions, and their results by highlighting the support received from the Union in a visible manner on communication material related to the actions supported under this Regulation; and by_ providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public. Agreements concluded with the recipients of Union funding shall contain obligations in that respect.	
454.	2. The Commission shall implement information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this	2. The Commission shall implement apply information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this	2. The Commission shall implement information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this	2. The Commission shall <i>carry out</i> implement information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this	Provisionally closed on 11 June 2020



Neighbourho	ending target and related provis	ions			
mounts					
lovernance					
Jovernance	Regulation shall also contribute to the corporate communication of the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.	Regulation shall also contribute to the corporate communication of the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.	Regulation shall also contribute to the corporate communication of <i>and</i> <i>reporting on</i> the political priorities of the Union, <i>as well as</i> <i>fight against</i> <i>disinformation</i> , as far as those priorities are directly related to the objectives referred to in Article 3.	Regulation shall also contribute to the corporate communication of <i>and</i> <i>reporting on</i> the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.	
454. bis		2a. The Commission shall take measures to strengthen strategic communication and public diplomacy for communicating the values of the Union and the Union's added value.		2bis. This Regulation shall support strategic communication and public diplomacy, including the fight against disinformation, with a view to communicating the values of the Union as well as the added value of, and results achieved by the Union's actions.	Provisionally closed o 11 June 2020
454. ter		2b. The Commission shall establish a single comprehensive public central electronic repository of all actions		2ter. The Commission shall make publicly available information on actions financed under this Regulation	Provisionally closed of 11 June 2020



Spending targets (except migration) Migration spending target and related provisions Neighbourhood Amounts Governance financed under this as referred to in Article *Regulation*, *including* 38 of the Financial the criteria used to Regulation, including as appropriate through establish partners' needs in the resource a comprehensive single website. allocation process, and ensure its regular update, with the exception of those actions deemed to give rise to security issues or local political sensitivities pursuant to Article 37. 454. quater 2c. The repository shall 2c. This website shall Provisionally closed also include also include information on all information on financing and financing and investment operations, investment operations including at individual and the essential and project level and the elements of all EFSD + essential elements of all guarantee agreements, EFSD + guarantee including information agreements, including on the legal identity of information on the legal

identity of eligible

and complaints

counterparts, expected

procedures, taking into

development benefits

eligible counterparts,

expected development

accordance with sub-

procedures, in

benefits and complaints

paragraph h) of Article



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UU	vei	па	nc	C

	account the protection	29(3), taking into
	of confidential and	account the protection
	commercially sensitive	of confidential and
	information.	commercially sensitive
	<i>.</i>	information.
54. quin-quies	2d. In accordance with	2d. In accordance with Provisionally close
	their transparency	their transparency
	policies and Union rules	policies and Union
	on data protection and	rules on data protection
	on access to documents	and on access to
	and information,	documents and
	eligible EFSD +	information, eligible
	counterparts shall	EFSD + counterparts
	proactively and	shall proactively and
	systematically make	systematically make
	publicly available on	publicly available on
	their websites	their websites
	information relating to	information relating to
	all financing and	all financing and
	investment operations	investment operations
	covered by the External	covered by the External
	Action Guarantee,	Action Guarantee,
	relating in particular to	relating in particular to
	the manner in which	the manner in which
	those operations	those operations
	contribute to the	contribute to the
	achievement of the	achievement of the
	objectives and	objectives and
	requirements of this	requirements of this
	Regulation. Such	Regulation. Where



Amounts

Governance

Derogation from visibility requirementsDerogation from visibility requirementsDerogation from visibility requirements11 June 2020Derogation from visibility requirementsDerogation from visibility requirementsDerogation from visibility requirements11 June 2020	Oovernance			-		1
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455.Article 37 Derogation from visibility requirementsArticle 37 Derogation from visibility requirementsProvisionally closed on Policial sensitivities may make it preferable or necessary to limit communication and visibility activities inArticle 37 visibility activities inProvisionally closed on Policial and communication and visibility activities inProvisionally closed on Policial and visibility activities in			shall always take into		level. Such information	
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455.Article 37 Derogation from visibility requirementsArticle 37 Derogation from visibility requirementsArticle 37 Derogation from visibility requirementsArticle 37 Derogation from visibility requirementsProvisionally closed on 11 June 2020456.Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities inSecurity issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities inSecurity issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities inSecurity issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities inSecurity issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities inSecurity issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities inSecurity issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities inSecurity issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities inSecurity issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities inSecurity issues or necessary to limit communication and visibility activities in			covered by the External		and investment	
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456.Security issues or local political sensitivities may make it preferable or necessary to limit or munication and visibility activities inSecurity issues or local political sensitivities may make it preferable or necessary to limit or necessary to limit 			Derogation from	-	Derogation from	11 June 2020
political sensitivities may make it preferable or necessary to limit communication and visibility activities in visibility activities in political sensitivities may make it preferable or necessary to limit communication and visibility activities in political sensitivities may make it preferable or necessary to limit communication and visibility activities in political sensitivities may make it preferable or necessary to limit communication and visibility activities in political sensitivities may make it preferable or necessary to limit communication and visibility activities in political sensitivities may make it preferable or necessary to limit communication and visibility activities in political sensitivities may make it preferable or necessary to limit communication and visibility activities in political sensitivities may make it preferable or necessary to limit communication and visibility activities in political sensitivities may make it preferable or necessary to limit communication and visibility activities in political sensitivities may make it preferable or necessary to limit communication and visibility activities in political sensitivities may make it preferable or necessary to limit communication and visibility activities in		visibility requirements	visibility requirements		visibility requirements	
may make it preferable or necessary to limit communication and visibility activities inmay make it preferable may make it preferable or necessary to limit or necessary to limit<	456.	-	•	-		Provisionally closed on
or necessary to limit communication and visibility activities inor necessary to limit or necessary to limit communication and visibility activities inor necessary to limit communication and visibility activities inor necessary to limit communication and visibility activities in		political sensitivities	political sensitivities	political sensitivities	political sensitivities	11 June 2020
communication and visibility activities incommunication and visibility activities incommunication and visibility activities incommunication and visibility activities in		may make it preferable	may make it preferable	may make it preferable	may make it preferable	
visibility activities in visibility activities in visibility activities in visibility activities in		3	3	2	•	
		communication and	communication and		communication and	
certain countries or certain countries or areas certain countries or certain countries or		visibility activities in	visibility activities in	visibility activities in	visibility activities in	
		certain countries or	certain countries or areas	certain countries or	certain countries or	



overnance		L			l.
	areas or during certain	or during certain periods.	areas or during certain	areas or during certain	
	periods. In such cases,	In such cases, the target	periods. In such cases,	periods. In such cases,	
	the target audience and	audience and the	the target audience and	the target audience and	
	the visibility tools,	visibility tools, products	the visibility tools,	the visibility tools,	
	products and channels	and channels to be used	products and channels	products and channels	
	to be used in promoting	in promoting a given	to be used in	to be used in promoting	
	a given action shall be	action shall be	promoting a given	a given action shall be	
	determined on a case-	determined on a case-by-	action shall be	determined on a case-	
	by-case basis, in	case basis, in	determined on a case-	by-case basis, in	
	consultation and	consultation and	by-case basis, in	consultation and	
	agreement with the	agreement with the	consultation and	agreement with the	
	Union. Where rapid	Union. Where rapid	agreement with the	Union. Where rapid	
	intervention is required	intervention is required	Union. Where rapid	intervention is required	
	in response to a sudden	in response to a sudden	intervention is required	in response to a sudden	
	crisis, it is not necessary	crisis, it is not necessary	in response to a sudden	crisis, it is not necessary	
	to produce a full	to produce a full	crisis, it is not	to produce a full	
	communication and	communication and	necessary to produce a	communication and	
	visibility plan	visibility plan	full communication	visibility plan	
	immediately. In such	immediately. In such	and visibility plan	immediately. In such	
	situations, however, the	situations, however, the	immediately. In such	situations, however, the	
	Union's support shall	Union's support shall	situations, however,	Union's support shall	
	nevertheless be	nevertheless be	the Union's support	nevertheless be	
	appropriately indicated	appropriately indicated	shall nevertheless be	appropriately indicated	
	from the start.	from the start.	appropriately indicated	from the start.	
			from the start.		
57.	Article 38	Article 38	Article 38		EP and CL maintain
	EEAS clause	EEAS clause	EEAS clause		their mandates
58.	This Regulation shall	This Regulation shall	This Regulation shall		EP and CL maintain
	apply in accordance	apply in accordance with	apply in accordance		their mandates
		Decision 2010/427/EU.	** *		



Governance			
	with Decision 2010/427/EU.	with Decision 2010/427/EU.	
458. bis		Article 38a	EP and CL maintain
		Governance	their mandates
458.		A horizontal steering	EP and CL maintain
ter		group composed of all	their mandates
		relevant Commission	
		and EEAS services and	
		chaired by the VP/HR	
		or a representative of	
		that office shall be	
		responsible for the	
		steering, coordination	
		and management of this	
		instrument throughout	
		the management cycle in order to ensure	
		consistency, efficiency,	
		transparency and	
		accountability of all	
		Union external	
		financing. The VP/HR	
		shall ensure overall	
		political coordination of	
		the Union's external	
		action. For all actions,	
		including rapid	
		response actions and	
		exceptional assistance	
		measures, and	



ligration spending target a	id related provisions	
eighbourhood		
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overnance		
	throughout the whole cycle of programming, planning and application of the instrument, the High Representative and the EEAS shall work with the relevant members and services of the Commission, identified on the basis of the nature and objectives of the action foreseen, building upon their expertise. All proposals for decisions shall be prepared by following the Commission's procedures and shall be submitted to the Commission for	
58. quater	adoption. The European	EP and CL maintain
	Parliament shall be fully involved in the	their mandates
	design, programming, monitoring and	
	evaluation phases of the instruments in order to	
	guarantee political	



Spending targets (except migration)

Migration spending target and related provisions

Neighbourhood

Amounts

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	Regulation (EU) No	(EU) No 230/2014;	Regulation (EU) No	Regulation (EU) No	
	230/2014; Regulation	Regulation (EU) No	230/2014;, Regulation	230/2014 ; , Regulation	
	(EU) No 235/2014;	235/2014; Regulation	(EU) No 235/2014 ; ,	(EU) No 235/2014 ; ,	
	Regulation (EU) No	(EU) No 234/2014,	Regulation (EU) No	Regulation (EU) No	
	234/2014, Regulation	Regulation (Euratom)	234/2014, Regulation	234/2014, Regulation	
	(Euratom) No	No 237/2014, Regulation	(Euratom) No	(Euratom) No	
	237/2014, Regulation	(EU) No 236/2014,	237/2014, Regulation	237/2014, Regulation	
	(EU) No 236/2014,	Decision No	(EU) No 236/2014,	(EU) No 236/2014,	
	Decision No	466/2014/EU,	Decision No	Decision No	
	466/2014/EU,	Regulation (EC,	466/2014/EU,	466/2014/EU,	
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	and Regulation (EU)	2017/1601.	and Regulation (EU)	and Regulation (EU)	
	2017/1601.		2017/1601.	2017/1601.	
462.	3. The financial	3. The financial	3. The financial	3. The financial	Provisionally closed on
	envelope for this	envelope for this	envelope for this	envelope for this	11 June 2020
	regulation may cover	regulation may cover	regulation may cover	regulation may cover	
	expenditures related to	expenditures related to	expenditures related to	expenditures related to	
	the preparation of any	the preparation of any	the preparation of any	the preparation of any	
	successor to this	successor to this	successor to this	successor to this	
	Regulation.	Regulation.	Regulation.	Regulation.	
463.	4. If necessary,	4. If necessary,	4. If necessary,	4. If necessary,	Provisionally closed on
	appropriations may be	appropriations may be	appropriations may be	appropriations may be	11 June 2020
	entered in the budget	entered in the budget	entered in the budget	entered in the budget	
	beyond 2027 to cover	beyond 2027 to cover	beyond 2027 to cover	beyond 2027 to cover	
	the expenditures	the expenditures	the expenditures	the expenditures	
	provided for in Article	provided for in Article	provided for in Article	provided for in Article	
	20(1), to enable the	20(1), to enable the	20(1), to enable the	20(1), to enable the	
	management of actions	management of actions	management of actions	management of actions	
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464.	not completed by 31 December 2027. Article 40	not completed by 31 December 2027. Article 40	not completed by 31 December 2027. Article 40	not completed by 31 December 2027. Article 40	Provisionally closed on
	Entry into force	Entry into force	Entry into force	Entry into force	11 June 2020
465.	This Regulation shall enter into force on the day following that of its publication in the <i>Official Journal of the</i> <i>European Union</i> .	This Regulation shall enter into force on the day following that of its publication in the <i>Official Journal of the</i> <i>European Union</i> .	This Regulation shall enter into force on the day following that of its publication in the <i>Official Journal of the</i> <i>European Union</i> .	This Regulation shall enter into force on the day following that of its publication in the <i>Official Journal of the</i> <i>European Union</i> .	Provisionally closed on 11 June 2020
466.	It shall apply from 1 January 2021.	It shall apply from 1 January 2021 <i>until</i> <i>31 December 2027</i> .	It shall apply from 1 January 2021 <i>until</i> 31 December 2027.	It shall apply from 1 January 2021 <i>until</i> <i>31 December 2027</i> . { <mark>1</mark> }	<pre>{1 } EP: Where no Council regulation determining a new financial framework has been adopted by the end of the 2021 – 2027 financial framework, this Regulation shall continue to apply until the adoption of the new financial framework. CL: no text</pre>
467.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	Provisionally closed on 11 June 2020



Spending targets (except migration)	
Migration spending target and related provisions	
Neighbourhood	
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