

**Draft**  
**Regulation of the European Parliament and of the Council establishing**  
**the Neighbourhood, Development and International Cooperation Instrument**  
**(NDICI) \***

**Final provisions**

**(version of 3 June 2020)**

- Monitoring and evaluation (Articles 31, 32 and Annex VII)
- Participation of third countries (Article 33)
- Visibility rules (Articles 36 and 37)
- Final clauses (Articles 39 and 40)

Lines in **dark green** are provisionally closed.

Lines in **light green** are provisionally closed, pending agreement on parts of them related to issues outside this cluster.

Parts in **grey** are not discussed under this cluster, either because they are not part of the Council's partial mandate for negotiations or because they are part of another cluster. In both cases, they will be discussed at a later stage.

Parts in **yellow** are not agreed yet but an agreement could be reached at technical level.

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The proposed Regulation is part of the package of proposals linked to the MFF 2021-2027 and therefore dependent on the outcome of the horizontal negotiations. Pending these, the reference amounts in Article 6 are put within square brackets. Other provisions of the draft NDICI Regulation appear in square brackets due to their inclusion in the draft Negotiating Box set out in ST 10010/19. Moreover, other provisions have been bracketed and the discussions on them are ongoing. All provisions which appear between square brackets are excluded from the Council negotiating mandate at this stage. Provisions related to the participation of non-EU countries have not been amended due to the horizontal nature of such provisions.

Parts in red are not agreed and should be discussed during the Trilogue.

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
411.	Chapter V Monitoring, reporting and evaluation	Chapter V Monitoring, reporting and evaluation	Chapter V Monitoring, reporting and evaluation	Chapter V Monitoring, reporting and evaluation	<i>Provisionally closed</i>
412.	Article 31 Monitoring and reporting	Article 31 Monitoring and reporting	Article 31 Monitoring and reporting	Article 31 Monitoring and reporting	<i>Provisionally closed</i>
412. bis		<b><i>-1. The achievement of the objectives of this Regulation shall be measured through an adequate, transparent and accountable monitoring, reporting and evaluation system, ensuring the proper involvement of the European Parliament and the Council, as well as enhancing the participation of all Union partners, including civil society, in the application of the programmes.</i></b>			<i>Provisionally closed</i> Text for recital (14 bis):  <b><i>(14 bis) The Commission should regularly monitor actions financed under this Regulation and review progress made towards expected results, covering outputs and outcomes. Wherever possible, existing results frameworks should be used. The indicators used to measure progress should be in line with the Sustainable Development Goals and be clear, relevant and have robust methodologies. The data for the indicators should be readily available and of a good quality. The</i></b>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
					<p><i>values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also make the appropriate use of independent external evaluations. In this regard, the Commission should ensure, where relevant, appropriate involvement of the European Parliament, the Council as well as other stakeholders, including civil society organisations.</i></p>
413.	1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out	1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out	1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out	1. Indicators to report on progress under this Regulation towards the achievement of the specific objectives set out	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	in Article 3 are set in Annex VII, in line with the Sustainable Development Goals indicators. The values of the indicators on 1 January 2021 shall be used as a basis for assessing the extent to which the objectives have been achieved.	in Article 3 (2) are set in Annex VII, in line with the Sustainable Development Goals indicators. The values of the indicators on 1 January 2021 shall be used as a basis for assessing the extent to which the objectives have been achieved.	in Article 3 are set in Annex VII, in line with the Sustainable Development Goals indicators. <del>The values of the indicators on 1 January 2021</del> <b>and</b> shall be used <b>together with data from evaluations and other results reporting</b> , as a basis for assessing the extent to which the objectives have been achieved.	in Article 3 ( <del>2</del> ) are set in Annex VII, in line with the Sustainable Development Goals indicators. <del>The values of the indicators on 1 January 2021</del> <b>and</b> shall be used <b>together with data from evaluations and other existing results reporting</b> , as a basis for assessing the extent to which the objectives have been achieved.	
414.	2. The Commission shall regularly monitor its actions and review progress made towards delivering expected results, covering outputs and outcomes.	2. The Commission shall regularly monitor its actions and review progress made towards delivering <b>the targets established in Article 3, as well as</b> expected results, covering outputs and outcomes.	2. The Commission shall <del>regularly</del> <b>continuously</b> monitor, <b>and at least on an annual basis</b> , its actions and review progress made towards delivering expected results, covering outputs and outcomes.	2. The Commission shall <del>regularly</del> <b>continuously</b> monitor its actions, <b>at least on an annual basis</b> , and review progress made towards delivering <b>the targets established by in Article 3</b> <del>this Regulation</del> , <b>as well as</b> -expected results, covering outputs and outcomes.	<i>Provisionally closed</i>
415.	Progress with respect to expected results should be monitored on the basis of clear, transparent and, where appropriate, measurable indicators.	Progress with respect to expected results <del>should</del> <b>shall</b> be monitored on the basis of clear, transparent and, <del>where appropriate</del> , measurable indicators <b>set</b>	Progress with respect to expected results <del>should</del> <b>shall</b> be monitored, <b>in a transparent manner</b> , on the basis of clear, transparent <del>relevant</del> and,	Progress with respect to expected results <del>should</del> <b>shall</b> be monitored, <b>in a transparent, and timely manner</b> , on the basis of clear, transparent <del>relevant</del>	<i>Provisionally closed, pending agreement on governance</i>  {1}

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	Indicators shall be kept at a limited number to facilitate timely reporting.	<b><i>in Annex VII and in the monitoring and evaluation framework adopted pursuant to paragraph 9, as well as in accordance with the provisions on Union budgetary execution.</i></b> Indicators shall be kept at a limited number to facilitate timely reporting <b><i>and, as a minimum, shall be disaggregated by sex and age.</i></b>	<del>where appropriate, measurable indicators. Indicators, as well as qualitative and timely data.</del> <b><i>Whenever possible this data</i></b> shall be <b><i>disaggregated by sex, age and other factors</i></b> kept at a limited number to facilitate timely reporting.	and, where appropriate, measurable <b><i>qualitative and quantitative data</i></b> - <del>Indicators including, but not limited to those set out in Annex VII {1}, as well as on qualitative data.</del> <b><i>Whenever possible, this data</i></b> indicators shall be <b><i>disaggregated by sex, age and other relevant factors</i></b> kept at a limited number to facilitate timely reporting	EP: <b><i>and in the monitoring and evaluation framework adopted pursuant to paragraph 9</i></b>  CL: no text
416.	3. Joint results frameworks included within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide the basis for the joint monitoring by the Union and the Member States of the implementation of their collective support to a partner country.	3. Joint results frameworks included within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide the basis for the joint monitoring by the Union and the Member States of the <del>implementation</del> <b><i>application</i></b> of their collective support to a partner country.	3. <b><i>Where feasible, joint</i></b> results frameworks included within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide the basis for the joint monitoring by the Union and the Member States of the implementation of their collective support to a partner country <b><i>as agreed within the respective joint programming document.</i></b>	3. Joint results frameworks included <b><i>and agreed</i></b> within joint programming documents that fulfil the criteria set out in Article 12(4) shall provide, <b><i>where feasible,</i></b> the basis for the joint monitoring by the Union and the Member States of <del>the implementation of</del> their collective support to a partner country.	<i>Provisionally closed</i>
417.	The performance	The performance	The performance	The performance	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	reporting system shall ensure that data for monitoring programme implementation and results are collected efficiently, effectively, and in a timely manner. To that end, proportionate reporting requirements shall be imposed on recipients of Union funds.	reporting system shall ensure that data for monitoring programme <del>implementation</del> <b>application</b> and results are collected efficiently, effectively, and in a timely manner. To that end, proportionate reporting requirements shall be imposed on recipients of Union funds.	reporting system shall ensure that data for monitoring programme implementation and results are collected efficiently, effectively, and in a timely manner. To that end, proportionate reporting requirements shall be imposed on recipients of Union funds.	reporting system shall ensure that data for monitoring programme <del>{1} implementation</del> and results are collected efficiently, effectively, and in a timely manner. To that end, proportionate reporting requirements shall be imposed on recipients of Union funds.	<i>pending discussions on governance</i>  {1}  EP: <b>application</b> CL: implementation
418.	4. The Commission shall examine the progress made in implementing this Regulation. From 2022 onwards, the Commission shall submit to the European Parliament and to the Council an annual report on the achievement of the objectives of this Regulation by means of indicators, measuring the results delivered and the efficiency of the Regulation. That report shall also be submitted to the European Economic and Social Committee and	4. The Commission shall examine the progress made in <del>implementing</del> <b>applying</b> this Regulation. From 2022 onwards, the Commission shall submit to the European Parliament and to the Council an annual report on the achievement of the objectives of this Regulation by means of indicators, <b>including, but not limited to, those set in Annex VII as well as Union budgetary execution</b> , measuring the results delivered and the efficiency of the	4. The Commission shall examine the progress made in implementing this Regulation. From 2022 onwards, the Commission shall, <b>in a timely manner and no later than the 31st of October each year</b> , submit to the European Parliament and to the Council an annual report on <b>progress towards</b> the achievement of the objectives of this Regulation by means of indicators, <del>measuring the</del> <b>reporting on the ongoing activities</b> , results	4. The Commission shall examine the progress made in <del>{1}</del> <b>implementing</b> this Regulation. <b>Starting from 2022 onwards, the Commission shall, in a timely manner by the end of November each year</b> , submit to the European Parliament and to the Council an annual report on <b>progress towards</b> the achievement of the objectives of this Regulation by means of indicators, <b>including, but not limited to, those set in Annex VII, measuring</b>	<i>Provisionally closed</i>  {1}  EP: <b>applying</b> CL: implementing

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	to the Committee of the Regions.	Regulation. That report shall also be submitted to the European Economic and Social Committee and to the Committee of the Regions.	delivered and the <del>efficiency</del> <b>effectiveness</b> of the Regulation. That report shall also be submitted to the European Economic and Social Committee and to the Committee of the Regions.	<del>thereporting on the ongoing activities</del> , results delivered and the <del>effectiveness efficiency</del> of the Regulation. That report shall also be submitted to the European Economic and Social Committee and to the Committee of the Regions.	
419.	5. The annual report shall contain information relating to the previous year on the measures financed, the results of monitoring and evaluation exercises, the involvement of the relevant partners, and the implementation of budgetary commitments and of payment appropriations broken down by country, region and cooperation sector. It shall assess the results of the Union funding using, as far as possible, specific and measurable indicators of its role in meeting the objectives of this	5. The annual report shall contain information relating to the previous year on the measures financed, the results of monitoring and evaluation exercises, the involvement of the relevant partners, <b>and level of cooperation</b> and the <del>implementation</del> <b>application</b> of budgetary commitments and of payment appropriations broken down by country, region and cooperation sector. It shall <b>include an assessment of progress made towards expected results and regarding the incorporation of cross-</b>	5. The annual report shall contain information, relating to the previous year on the measures financed, the results of monitoring and evaluation exercises, the involvement of the relevant partners; <del>and</del> <b>broken down by type of entity as referred to in Article 62 of the Financial Regulation for both direct and indirect management</b> , the implementation of budgetary commitments and of payment appropriations broken down by <b>programming document when</b>	5. The annual report shall contain information relating to the previous year on the measures financed, the results of monitoring and evaluation exercises, the involvement of the relevant partners, <b>and level of cooperation</b> and <del>and</del> <b>broken down by type of entity as referred to in Article 62 of the Financial Regulation for both direct and indirect management, as well as the implementation of budgetary commitments, including contracted amounts, and of payment appropriations, broken</b>	<i>Provisionally closed pending agreement on Neighbourhood</i>  {1}  <b>CL: and on the use of funds dedicated to the incentive-based approach referred to in Article 17</b>  <b>EP: link to discussions on Neighbourhood + amendment on CBDS reporting</b>

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	Regulation. In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to development effectiveness principles, including for innovative financial instruments.	<b>cutting issues as mentioned in Article 8(6).</b> <b>It shall</b> assess the results of the Union funding using, <del>as far as possible,</del> specific and measurable indicators of its role in meeting the objectives of this Regulation. In the case of development cooperation, the report shall also assess, <del>where possible and relevant,</del> the adherence to development effectiveness principles, including for innovative financial instruments.	<b>applicable,</b> country, region and cooperation sector. It shall assess the results of the Union funding using, as far as possible, specific and measurable indicators of <del>its role in meeting to</del> <b>show progress towards</b> the <b>targets and</b> objectives of this Regulation. <b>It shall also present a breakdown on the forms of EU funding as defined in Article 23 of this Regulation. The report shall contain qualitative and quantitative information on the emerging challenges and priorities cushion referred to in Article 15 and on the use of funds dedicated to the incentive-based approach referred to in Article 17.</b> In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to	down by country, region and cooperation sector. It shall assess the results of the Union funding using, as far as possible, specific and measurable indicators <del>of its role in meeting to</del> <b>show progress towards</b> the <b>targets and</b> objectives of this Regulation, <b>as well as the progress made towards mainstreaming issues referred to in Article 8(6). It shall also present a breakdown on the forms of EU funding as defined in Article 23 of this Regulation. The report shall contain qualitative and quantitative information on the use of the emerging challenges and priorities cushion referred to in Article 15{1}.</b> In the case of development cooperation, the report shall also assess, where possible and relevant, the adherence to development effectiveness	

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			development effectiveness principles, including for innovative financial instruments.	principles, including for innovative financial instruments.	
420.	6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article 40(2), including external assigned revenues and contributions to trust funds, and offering a breakdown of spending by country, use of financial instruments, commitments and payments. The report shall reflect the main lessons learnt and the follow-up to the recommendations of the external evaluative exercises carried out in previous years.	6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article <del>40(2)</del> <b>39(2)</b> , including external assigned revenues and contributions to trust funds, and offering a breakdown of spending by country, use of financial instruments, commitments and payments. The report shall reflect the main lessons learnt and the follow-up to the recommendations of the external evaluative exercises carried out in previous years. <b><i>It shall include an assessment of the level of staff capacity at headquarters and</i></b>	6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article 40(2), including external assigned revenues and contributions to trust funds, <b><i>guarantees</i></b> and <del>offering</del> a breakdown of spending by country, <del>use</del> <b><i>forms of financial instruments EU funding as defined in Article 23 of this Regulation, type of entity as listed in Article 62 of the Financial Regulation for both direct and indirect management,</i></b> commitments and payments. The report shall reflect the main	6. The annual report prepared in 2021 shall contain consolidated information from annual reports concerning the period from 2014 to 2020 on all funding from the Regulations referred to in Article <del>40(2)</del> <b>39(2)</b> , including external assigned revenues and contributions to trust funds, <b><i>guarantees</i></b> and <del>offering</del> a breakdown of spending by country, <del>use</del> <b><i>forms use of financial instruments EU funding, as defined in Article 23 of this Regulation, type of entity as listed in Article 62 of the Financial Regulation for both direct and indirect management,</i></b> commitments and payments. The report shall reflect the main	<i>Provisionally closed</i>

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		<b><i>Union delegations level for the delivery of all objectives covered in this Regulation.</i></b>	lessons learnt and the follow-up to the recommendations of the external evaluative exercises carried out in previous years.	lessons learnt and the follow-up to the recommendations of the external evaluative exercises carried out in previous years.	
420. bis		<b><i>6a. The Commission shall submit as part of the annual report detailed reporting on the financing and investment operations covered by the External Action Guarantee, and the functioning of the EFSD+, its management and its effective contribution to its objectives. That part of the annual report shall be accompanied by an opinion of the Court of Auditors. It shall include the following elements:</i></b>		{1}	Lines 420bis–420quindecies to be discussed under cluster 4 (EFSD+)
420. ter		<b><i>(a) an assessment of the results contributing to the purpose and objectives of the EFSD+ as set out in this Regulation;</i></b>			
420. quater		<b><i>(b) an assessment of current financing and</i></b>			

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		<i>investment operations and covered by the External Action Guarantee at sector, country and regional levels and their compliance with this Regulation, including the risk measures and their impact on the financial and economic stability of the partners;</i>			
420. quin-ques		<i>(c) an assessment of the additionality and added value, the mobilisation of private sector resources, the estimated and actual outputs and the outcomes and impact of the financing and investment operations covered by the External Action Guarantee on an aggregated basis, including the impact on decent job creation and the ability to provide a living wage, the eradication of poverty and the reduction of inequality; that</i>			

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		<i>assessment shall include a gender analysis of the operations covered based on evidence and data broken down by gender, where possible, and an analysis of the type of private sector supported, including cooperatives and social enterprises;</i>			
420. sexies		<i>(d) an assessment of the compliance with the requirements concerning the use of the External Action Guarantee and of the achievement of key performance indicators established for each proposal submitted;</i>			
420. sept-ies		<i>(e) an assessment of the leverage effect achieved by the operations covered by the External Action Guarantee and the EFSD+;</i>			
420. octies		<i>(f) the financial amount transferred to beneficiaries and an assessment of financing and investment operations by each</i>			

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
		<i>eligible counterpart on an aggregated basis;</i>			
420. non-ies		<i>(g) an assessment of the additionality and added value of financing and investment operations of the eligible counterparts, and of the aggregate risk associated with those operations;</i>			
420. decies		<i>(h) detailed information on calls on the External Action Guarantee, losses, returns, amounts recovered and any other payments received, as well as overall risk exposure;</i>			
420. un-decies		<i>(i) the financial reports on financing and investment operations of the eligible counterparts covered by this Regulation, audited by an independent external auditor;</i>			
420. duo-decies		<i>(j) an assessment of the synergies and complementarity between operations covered by the External Action</i>			

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		<i>Guarantee and the second and third pillars of the EIP based on relevant existing reports, with particular regard to progress made on good governance, including in the fight against corruption and illicit financial flows, respect for human rights, the rule of law and gender-responsive policies, as well as the boosting of entrepreneurship, the local business environment and local financial markets;</i>			
420. terdecies		<i>(k) an assessment of the compliance of the External Action Guarantee operations with the internationally agreed development effectiveness principles;</i>			
420. quaterdecies		<i>(l) an assessment of the remuneration of the guarantees;</i>			
420. quindecies		<i>(m) an assessment of the implementation of provisions related to</i>			

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
		<i>excluded activities and non-cooperative jurisdictions.</i>			
421.	7. An annual estimate of the overall spending related to climate action and biodiversity shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development ('Rio markers'), without excluding the use of more precise methodologies where these are available, integrated into the existing methodology for performance management of Union programmes, to quantify the expenditure related to climate action and biodiversity at the level of the action plans and measures referred to	7. An annual estimate of the overall spending related to <del>climate action and biodiversity</del> <b>the targets set by this Regulation</b> shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development <b>including</b> ('Rio markers'), without excluding the use of more precise methodologies where these are available, integrated into the existing methodology for performance management of Union programmes, to quantify the expenditure related to climate action,	7. An annual estimate of the overall spending related to climate action, <b>desertification</b> and biodiversity shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development ( <b>'environmental and Rio markers'</b> ), without excluding the use of more precise methodologies where these are available, integrated into the existing methodology for performance management of Union programmes, to quantify the expenditure related to <b>environmental management and</b>	7. An annual estimate of the overall spending related to climate action, <b>desertification</b> and biodiversity shall be made on the basis of the indicative programming documents adopted. The funding allocated under this Regulation shall be subject to an annual tracking system based on the methodology of the Organisation for Economic Cooperation and Development ( <b>'environmental and Rio markers'</b> ), without excluding the use of more precise methodologies where these are available, integrated into the existing methodology for performance management of Union programmes, to quantify the expenditure related to <b>environmental management and</b>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	in Article 19 and recorded within evaluations and the annual report.	<del>and</del> biodiversity <b>and environment, human development and social inclusion, gender equality, and Official Development Assistance</b> , at the level of the action plans and measures referred to in Article 19 and recorded within evaluations and the annual report. <b>The Commission shall transmit the estimate to the European Parliament as part of the annual report.</b>	<b>protection</b> , climate action, <b>desertification</b> and biodiversity at the level of the action plans and measures referred to in Article 19 and recorded within evaluations and the annual report.	<b>protection</b> , climate action, <b>desertification</b> and biodiversity at the level of the action plans and measures referred to in Article 19 and recorded within evaluations and the annual report.	
422.	8. The Commission shall make available information on development co-operation through recognised international standards.	8. The Commission shall make available information on development <del>co-operation</del> <b>cooperation</b> through recognised international standards, <b>including those of the International Labour Organisation, and using the framework for a common standard developed by the International Aid Transparency Initiative.</b>	8. The Commission shall make available information on development <del>co-operation</del> <b>through cooperation in accordance with</b> recognised international standards: <b>such as those from the OECD and the International Aid Transparency Initiative (IATI).</b>	The Commission shall make available information on development <del>co-operation</del> <b>through cooperation in accordance with</b> recognised international standards: <b>such as those from the International Labour Organisation and the OECD and by using the framework for a common standard developed by the International Aid</b>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal (IATI)</i>	<i>Comments</i>
				<b>Transparency Initiative (IATI).</b>	
423.	9. To ensure effective assessment of progress of this Regulation towards the achievement of its objectives, the Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend Annex VII to review or complement the indicators where considered necessary and to supplement this Regulation with provisions on the establishment of a monitoring and evaluation framework.	9. To ensure effective assessment of progress of this Regulation towards the achievement of its objectives, the Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend Annex VII to review or complement the indicators where considered necessary, <b>including in the context of the mid-term review pursuant to Article 32,</b> and to supplement this Regulation with provisions on the establishment of a framework, <b>which may include additional performance indicators applicable for each of the specific objectives of this Regulation.</b>	<del>9. To ensure effective assessment of progress of this Regulation towards the achievement of its objectives, the Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend Annex VII to review or complement the indicators where considered necessary and to supplement this Regulation with provisions on the establishment of a monitoring and evaluation framework.</del>	{1}	Linked to discussions on governance.  {1} EP: 9. To ensure effective assessment of progress of this Regulation towards the achievement of its objectives, the Commission shall be empowered to adopt delegated acts in accordance with Article 34 to amend Annex VII to review or complement the indicators where considered necessary, <b>including in the context of the mid-term review pursuant to Article 32,</b> and to supplement this Regulation with provisions on the establishment of a framework, <b>which may include additional performance indicators applicable for each of the specific objectives of this</b>

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					<b>Regulation.</b>  CL: no text
424.	Article 32 Evaluation	Article 32 <b>Mid-term review and evaluation</b>	Article 32 Evaluation <b>of this Regulation</b>	Article 32 <b>Evaluation</b>	<i>Provisionally closed</i>
425.	1. An interim evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument.	1. <del>An interim</del> <b>No later than 30 June 2024, the Commission shall submit a mid-term evaluation report on the application of this Regulation. The mid-term evaluation report shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation cover the period from 1 January 2021 to 31 December 2023 and shall examine the Union contribution to the achievement of the instrument objectives of this Regulation, by means of indicators measuring the results</b>	1. <del>An interim</del> <b>The mid-term and final evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument. make use of the good practice principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain the relevance, effectiveness, efficiency, sustainability and impact of this Regulation and whether</b>	1. <b>The Commission shall evaluate the impact and effectiveness of its actions per area of intervention, and the effectiveness of programming, where appropriate by means of independent external evaluations. Proposals by the European Parliament or the Council for independent external evaluations shall be taken into due account. Where applicable, evaluations shall make use of the good practice principles of the Development Assistance Committee of the OECD, seeking to ascertain whether the specific</b>	<i>Provisionally closed pending discussions on governance</i>  {1}  CL: through the relevant committee referred to in Article 35. Specific evaluations may be discussed in that committee at the request of Member States.  EP: no text

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
		<i>delivered, and any findings and conclusions concerning the impact of this Regulation, including of the European Fund for Sustainable Development Plus and the External Action Guarantee.</i>	<i>the objectives of this Regulation have been met, and to formulate recommendations with a view to improving future actions.</i>	<p><i>objectives, have been met and to formulate recommendations with a view to improving future actions.</i></p> <p><i>The Commission shall communicate the findings and conclusions of the evaluations accompanied by its observations and follow-up, to the European Parliament, to the Council and to the Member States [1]. The results shall feed into action design and resource allocation. Evaluations and follow-up shall be made publicly available.</i></p> <p><i>The Commission shall, to an appropriate extent, associate all relevant stakeholders, including beneficiaries, civil society actors and local authorities in the evaluation process of the</i></p>	

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
				<p><i>Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and other partners with close involvement of the partner countries.</i></p> <p>An interim evaluation of this Regulation shall be performed once there is sufficient information available about its implementation, but no later than four years after the start of the implementation of the instrument.</p>	
426.	Where appropriate evaluations shall make use of the good practise principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the	<del>Where appropriate evaluations shall make use of the good practise principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the</del>	<del>Where appropriate evaluations shall make use of the good practise principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the</del>	<del>Where appropriate evaluations shall make use of the good practise principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the</del>	<p><i>Provisionally closed</i></p> <p>Moved to line 425.</p>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	objectives have been met and to formulate recommendations with a view to improving future actions.	objectives have been met and to formulate recommendations with a view to improving future actions.	objectives have been met and to formulate recommendations with a view to improving future actions.	objectives have been met and to formulate recommendations with a view to improving future actions.	
426. bis		<i>The European Parliament may provide input to this evaluation. The Commission and the EEAS shall organise a consultation with key stakeholders and beneficiaries, including civil society organisations. The Commission and EEAS shall give particular attention to ensure that the most marginalised are represented.</i>	<i>1 bis The mid-term evaluation of the implementation of this Regulation shall be submitted by the Commission no later than 31 December 2024. It shall cover the period from 1 January 2021 until the launch of the evaluation.</i>	<i>1 bis A mid-term evaluation of this Regulation shall be submitted by the Commission no later than 31 December 2024. It shall cover the period from 1 January 2021 until the launch of the evaluation.</i>	<i>Provisionally closed</i>
426. ter		<i>The Commission shall also evaluate the impact and effectiveness of its actions per area of intervention, and the effectiveness of programming, by means of external evaluations. The Commission and the EEAS shall take into account proposals and</i>			<i>Provisionally closed</i>  Covered under 425

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
		<p><i>views of the European Parliament and the Council on independent external evaluations.</i></p> <p>Where appropriate <i>applicable</i> evaluations shall make use of the good practise <i>practice</i> principles of the Development Assistance Committee of the Organisation for Economic Cooperation and Development, seeking to ascertain whether the objectives have been met and to formulate recommendations with a view to improving future actions. <i>The interim evaluation shall assess how the Union performed on targets established by this Regulation.</i></p>			
427.	2. At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, a final evaluation of the	2. At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, a final <i>The mid-term</i>	2. At the end of the implementation of the Regulation, but no later than four years after the end of the period specified in Article 1, <i>31 December 2030</i> a final	2. <del>four years after the end of the period specified in Article 1</del> <i>A final evaluation of the Regulation shall be carried out by the Commission within the</i>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	Regulation shall be carried out by the Commission. This evaluation shall look at the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results delivered and any findings and conclusions concerning the impact of this Regulation.	evaluation <del>report</del> of the Regulation shall <del>also</del> <b><i>address efficiency, the added value, the functioning of the simplified and streamlined external financing architecture, internal and external coherence, and the continued relevance</i></b> be carried out by the Commission. This evaluation shall look at the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results delivered and any <b><i>the complementarity and synergies between the actions funded, the contribution of the measures to consistent Union external action, and the degree to which the public in recipient countries are aware of Union financial support,</i></b>	evaluation of the Regulation shall be carried out <del>submitted</del> by the Commission. This evaluation shall look at <b><i>analyse and assess</i></b> the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results delivered and any <b><i>as well as</i></b> findings and conclusions concerning the impact of this Regulation.	<b><i>mid-term review of the next financial period.</i></b> This evaluation shall look at <b><i>analyse and assess</i></b> the Union contribution to the achievement of the objectives of this Regulation, taking into account indicators measuring the results delivered and any findings and conclusions concerning the impact of this Regulation.	

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
		<i>where appropriate, and include the findings and conclusions concerning the impact of this Regulation. of the reports referred to in article 31(4).</i>			
428.	The final evaluation report shall also address efficiency, the added value, the scope for simplification, internal and external coherence, and the continued relevance of the objectives of this Regulation.	<del>The final evaluation report shall also address efficiency, the added value, the scope for simplification, internal and external coherence, and the continued relevance of the objectives of this Regulation.</del>	The <i>mid-term and the</i> final evaluation report shall also address efficiency, the added value, the scope for simplification, internal and external coherence, <i>lessons learnt</i> , and the continued relevance of the objectives of this Regulation. <i>The evaluations shall also include information on the added value of integrating previously separate instruments into one streamlined instrument.</i>	The <i>mid-term and the</i> final evaluations report shall address efficiency, <i>effectiveness, impact, sustainability</i> , the added value, the scope for simplification, internal and external coherence, <i>including complementarity and synergies</i> , and the continued relevance of the objectives of this Regulation. <i>Evaluations shall identify lessons learned. The evaluations shall also include information on the added value of integrating previously separate instruments into one streamlined instrument.</i>	<i>Provisionally closed</i>
429.	The final evaluation report shall be undertaken	The final <i>mid-term</i> evaluation report shall be	<del>The final evaluation report shall be undertaken</del>	<del>The final evaluation report shall be undertaken</del>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	for the specific purpose of improving the implementation of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation.	undertaken for the specific purpose of improving the implementation <b>application</b> of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under this Regulation.	<del>for the specific purpose of improving the implementation of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation.</del>	<del>for the specific purpose of improving the implementation of the Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation.</del>	Moved to line 430 bis.
429. bis			<i>The mid-term and final evaluation reports shall also contain consolidated information from annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds, offering a breakdown of spending by beneficiary country, forms of EU funding, and involvement of EU Member States and relevant partners, commitments and payments.</i>	<i>The mid-term and final evaluations shall also contain consolidated information from annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds, offering a breakdown of spending by beneficiary country, forms of EU funding, and involvement of EU Member States and relevant partners, commitments and payments, as well as a breakdown per</i>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
				<i>geographic programmes, thematic programmes and rapid response actions, including the use of funds mobilised from the emerging challenges and priorities cushion, as referred to in Article 6.</i>	
430.	The final evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments, commitments and payments	The <del>final</del> <b>mid-term</b> evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by, beneficiary country, use of financial instruments, commitments and payments, <b>as well as by geographic and thematic programme and rapid response action, including funds mobilised from the emerging challenges and priorities cushion.</b>	The <del>final</del> evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments, commitments and payments.	The <del>final</del> evaluation report shall also contain consolidated information from relevant annual reports on all funding governed by this Regulation, including external assigned revenues and contributions to trust funds offering a breakdown of spending by beneficiary country, use of financial instruments, commitments and payments.	<i>Provisionally closed</i>  Moved to line 429bis.

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
430. bis			<i>The mid-term and final evaluation shall be undertaken for the specific purpose of improving the implementation of the Union funding and its contribution to promoting development outcomes in partner countries. They shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation.</i>	The <i>mid-term and final</i> evaluations <del>report</del> shall be undertaken for the specific purpose of improving the <del>implementation</del> Union funding. It shall inform decisions on the renewal, modification or suspension of the types of actions implemented under the Regulation.  <i>The mid-term evaluation shall be accompanied, if appropriate, by legislative proposals setting out necessary amendments to this Regulation.</i>	<i>Provisionally closed</i>
431.	The Commission shall communicate the conclusions of the evaluations accompanied by its observations, to the European Parliament, to the Council and to the Member States through the relevant committee	The Commission shall communicate the conclusions of the evaluations accompanied by its observations, to the European Parliament, to the Council and to the Member States <del>through the relevant committee</del>	The Commission shall communicate the <i>findings and</i> conclusions of <del>the all</del> evaluations accompanied by its observations <i>and management response</i> , to the European Parliament, to the Council and to the Member States through		<i>Provisionally closed</i>  Moved to line 425

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	referred to in Article 35. Specific evaluations may be discussed in that committee at the request of Member States. The results shall feed into programme design and resource allocation.	<del>referred to in Article 35. Specific evaluations may be discussed in that committee at the request of Member States. The results shall feed into programme design and resource allocation.</del>	the relevant committee referred to in Article 35. Specific <del>e</del> Evaluations may be discussed in that committee at the request of Member States. The <i>respective</i> results shall feed into programme <i>action</i> design and resource allocation. <i>All evaluation reports and management response shall be made publicly available.</i>		
432.	The Commission shall, to an appropriate extent, associate all relevant stakeholders in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and development partners with close involvement of the partner countries.	The Commission shall, <del>to an appropriate extent,</del> associate all relevant stakeholders <i>and beneficiaries, including CSOs</i> in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and development partners with close involvement of the partner countries.	The Commission shall, to an appropriate extent, associate all relevant stakeholders in the evaluation process of the Union's funding provided under this Regulation, and may, where appropriate, seek to undertake joint evaluations with the Member States and <del>development</del> <i>other</i> partners with close involvement of the partner countries.		<i>Provisionally closed</i>  Moved to end of line 425
432. bis		<i>2a. The Commission</i>			<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
		<i>shall submit the mid-term evaluation report referred to in paragraph 2 to the European Parliament and to the Council. The report shall be accompanied, if appropriate, by legislative proposals setting out necessary amendments to this Regulation.</i>			Moved to line 430bis.
432. ter		<i>2b. At the end of the period of application of this Regulation, but no later than three years after the end of the period specified in Article 1, the Commission shall carry out a final evaluation of the Regulation on the same terms as the mid-term evaluation referred to in paragraph 2 of this Article.</i>			<i>Provisionally closed</i>  To be addressed in line 427.
433.	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December 2025 and every three years thereafter, the	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December 2025 and every three years thereafter, the	3. In line with the specific reporting provisions in the Financial Regulation, by 31 December <del>2025</del> <b>2023</b> and every three years thereafter, the	{1}	To be discussed under cluster 4 (EFSD+).

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	Commission shall evaluate the use and the functioning of the External Action Guarantee. The Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall be accompanied by an opinion of the Court of Auditors.	Commission shall evaluate the use and the functioning of the External Action Guarantee. The Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall be accompanied by an opinion of the Court of Auditors.	Commission shall evaluate the use and the functioning of the External Action Guarantee. The Commission shall submit its evaluation report to the European Parliament and to the Council. That evaluation report shall be accompanied by an opinion of the Court of Auditors. <b><i>The evaluation report and the opinion of the Court of Auditors shall be made publicly available. The Commission shall conduct an independent evaluation every three years containing, inter alia, information on the contribution to the overall objectives, the achieved results and financial additionality.</i></b>		
434.	TITLE III FINAL PROVISIONS	TITLE III FINAL PROVISIONS	TITLE III FINAL PROVISIONS	TITLE III FINAL PROVISIONS	<i>Provisionally closed</i>
435.	Article 33 Participation by a country or territory not covered by	Article 33 Participation by a country or territory not covered by	Article 33 Participation by a country or territory not covered by	Article 33 <b><i>Extension of geographic scope</i></b>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	this Regulation	this Regulation	this Regulation		
436.	1. In duly justified cases and where the action to be implemented is of a global, trans-regional or regional nature, the Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation.	1. In duly justified cases and where the action to be implemented <b>applied</b> is of a global, trans-regional or regional nature, the Commission <del>may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to</del> <b>shall be empowered to adopt a delegated act in accordance with Article 34 in order to supplement this Regulation by adding</b> countries and territories <del>not to those</del> covered by this Regulation pursuant to Article 4 <del>in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation</del> <b>for the purpose of those actions.</b>	1. In duly justified cases and where the action to be implemented is of a global, trans-regional or regional nature, the Commission may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation.	1. In duly justified cases and where the action to be <del>{1}</del> is of a global, trans-regional or regional nature, the Commission <del>{2}</del> countries and territories not covered by this Regulation pursuant to Article 4 in order to ensure the coherence and effectiveness of Union financing or to foster regional or trans-regional cooperation.	Linked to discussions on governance  {1} EP: <del>implemented</del> <b>applied</b>  CL: implemented  {2} EP: <del>may decide, within the relevant multiannual indicative programmes or within the relevant action plans or measures to extend the scope of actions to</del> <b>shall be empowered to adopt a delegated act in accordance with Article 34 in order to supplement this Regulation by adding</b>
437.	2. The Commission may include a specific financial allocation to	2. The Commission may <del>include a specific financial allocation to</del>	2. The Commission may include a specific financial allocation to	2. The Commission may include a specific financial allocation to	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	assist partner countries and regions in strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision <i>OCT Decision</i> . To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the <i>OCT Decision</i> and/or the <i>ETC Regulation</i> , to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the <i>OCT Decision</i> or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation programmes or measures established	<del>assist partner countries and regions in strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision <i>OCT Decision</i>. To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the <i>OCT Decision</i> and/or the <i>ETC Regulation</i>, to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the <i>OCT Decision</i> or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation programmes or measures established</del>	assist partner countries, and regions in strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision [ <i>OCT Decision</i> ]. To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the [ <i>OCT Decision</i> ] and/or the [ <i>ETC Regulation</i> ], to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the [ <i>OCT Decision</i> ] or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation programmes or measures	assist partner countries, and regions in strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision [ <i>OCT Decision</i> ]. To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the [ <i>OCT Decision</i> ] and/or the [ <i>ETC Regulation</i> ], to actions implemented by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the [ <i>OCT Decision</i> ] or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation programmes or measures	

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	and implemented under the ETC Regulation.	<del>and implemented under the ETC Regulation.</del>	established and implemented under [the ETC Regulation/].	established and implemented under [the ETC Regulation/].	
437. bis		<b>Article 33a</b> <b>Cooperation between partner countries and regions with neighbouring Union outermost regions and with overseas countries and territories</b>			<i>Provisionally closed</i>
437. ter		<b>1. The Commission may include a specific financial allocation to assist partner countries and regions in strengthening their cooperation with neighbouring Union outermost regions and with overseas countries and territories covered by Council Decision OCT Decision. To this end, this Regulation, may contribute, where appropriate and on the basis of reciprocity and proportionality as regards the level of funding from the OCT</b>			<i>Provisionally closed</i> Covered in line 437

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
		<i>Decision and/or the ETC Regulation, to actions applied by a partner country or region or any other entity under this Regulation, by a country, territory or any other entity under the OCT Decision or by a Union outermost region in the frame of joint operational programmes or to interregional cooperation programmes or measures established and applied under the ETC Regulation.</i>			
437. quater		<i>2. The Union co-financing rate shall not be higher than 90 % of the eligible expenditure of a programme or measure. For technical assistance, the co-financing rate shall be 100 %.</i>			<i>Provisionally closed</i>
452.	Article 36 Information, communication and publicity	Article 36 <del>Information</del> <b>Transparency</b> , communication and <del>publicity</del> <b>public</b>	Article 36 Information, communication and publicity	Article 36 Information, communication and <del>publicity</del> <b>visibility</b>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
		<i>disclosure of information</i>			
453.	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting the actions and their results by providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public.	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting the actions and their results by providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public. <b><i>The Commission shall be responsible for monitoring recipients' compliance with those requirements.</i></b>	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding, <b><i>in coherence with Union values</i></b> , in particular when promoting <b><i>and reporting on</i></b> the actions and their results by providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public.	1. The recipients of Union funding shall acknowledge the origin and ensure the visibility of the Union funding in particular when promoting <b><i>and reporting on</i></b> the actions, and their results by <b><i>highlighting the support received from the Union in a visible manner on communication material related to the actions supported under this Regulation; and by</i></b> providing coherent, effective and proportionate targeted information to multiple audiences, including the media and the public.  <b><i>Agreements concluded with the recipients of Union funding shall contain obligations in that respect.</i></b>	<i>Provisionally closed</i>
454.	2. The Commission shall implement information	2. The Commission shall <del>implement</del> <b><i>apply</i></b>	2. The Commission shall implement information	2. The Commission shall <b><i>carry out</i></b> <del>implement</del>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this Regulation shall also contribute to the corporate communication of the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.	information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this Regulation shall also contribute to the corporate communication of the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.	and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this Regulation shall also contribute to the corporate communication of <b><i>and reporting on</i></b> the political priorities of the Union, <b><i>as well as fight against disinformation</i></b> , as far as those priorities are directly related to the objectives referred to in Article 3.	information and communication actions relating to this Regulation, and its actions and results. Financial resources allocated to this Regulation shall also contribute to the corporate communication of <b><i>and reporting on</i></b> the political priorities of the Union, as far as those priorities are directly related to the objectives referred to in Article 3.	
454. bis		<b><i>2a. The Commission shall take measures to strengthen strategic communication and public diplomacy for communicating the values of the Union and the Union's added value.</i></b>		<b><i>2bis. This Regulation shall support strategic communication and public diplomacy, including the fight against disinformation, with a view to communicating the values of the Union as well as the added value of, and results achieved by the Union's actions.</i></b>	<i>Provisionally closed</i>
454.		<b><i>2b. The Commission</i></b>		<b><i>2ter. The Commission</i></b>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
ter		<i>shall establish a single comprehensive public central electronic repository of all actions financed under this Regulation, including the criteria used to establish partners' needs in the resource allocation process, and ensure its regular update, with the exception of those actions deemed to give rise to security issues or local political sensitivities pursuant to Article 37.</i>		<i>shall make publicly available information on actions financed under this Regulation as referred to in Article 38 of the Financial Regulation, including as appropriate through a comprehensive single website.</i>	
454. quater		<i>2c. The repository shall also include information on all financing and investment operations, including at individual and project level and the essential elements of all EFSD + guarantee agreements, including information on the legal identity of eligible counterparts, expected development benefits and complaints procedures, taking into account the</i>		{1}	To be discussed under cluster 4

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
		<i>protection of confidential and commercially sensitive information.</i>			
454. quin-ques		<i>2d. In accordance with their transparency policies and Union rules on data protection and on access to documents and information, eligible EFSD + counterparts shall proactively and systematically make publicly available on their websites information relating to all financing and investment operations covered by the External Action Guarantee, relating in particular to the manner in which those operations contribute to the achievement of the objectives and requirements of this Regulation. Such information shall be broken down at project level. Such information shall always take into</i>		{1}	To be discussed under cluster 4

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
		<i>account the protection of confidential and commercially sensitive information. Eligible counterparts shall also publicise Union support in all information which they publish on financing and investment operations covered by the External Action Guarantee in accordance with this Regulation.</i>			
455.	Article 37 Derogation from visibility requirements	<i>Provisionally closed</i>			
456.	Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries or areas or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in	Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries or areas or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in	Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries or areas or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in	Security issues or local political sensitivities may make it preferable or necessary to limit communication and visibility activities in certain countries or areas or during certain periods. In such cases, the target audience and the visibility tools, products and channels to be used in promoting a given action shall be determined on a case-by-case basis, in	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	consultation and agreement with the Union. Where rapid intervention is required in response to a sudden crisis, it is not necessary to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.	consultation and agreement with the Union. Where rapid intervention is required in response to a sudden crisis, it is not necessary to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.	consultation and agreement with the Union. Where rapid intervention is required in response to a sudden crisis, it is not necessary to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.	consultation and agreement with the Union. Where rapid intervention is required in response to a sudden crisis, it is not necessary to produce a full communication and visibility plan immediately. In such situations, however, the Union's support shall nevertheless be appropriately indicated from the start.	
459.	Article 39 Repeal and transitional provisions	<i>Provisionally closed</i>			
460.	1. Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601 are repealed with effect from 1 January 2021.	1. Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601 are repealed with effect from 1 January 2021.	1. Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601 are repealed with effect from 1 January 2021.	1. Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601 are repealed with effect from 1 January 2021.	<i>Provisionally closed</i>
461.	2. The financial envelope for this Regulation may also cover technical and administrative assistance expenditures necessary to ensure the transition	2. The financial envelope for this Regulation may also cover technical and administrative assistance expenditures necessary to ensure the transition	2. The financial envelope for this Regulation may also cover technical and administrative assistance expenditures necessary to ensure the transition	2. The financial envelope for this Regulation may also cover technical and administrative assistance expenditures necessary to ensure the transition	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	between this Regulation and the measures adopted under its predecessors: Regulation (EU) No 233/2014; Regulation (EU) No 232/2014; Regulation (EU) No 230/2014; Regulation (EU) No 235/2014; Regulation (EU) No 234/2014, Regulation (Euratom) No 237/2014, Regulation (EU) No 236/2014, Decision No 466/2014/EU, Regulation (EC, Euratom ) No 480/2009 and Regulation (EU) 2017/1601.	between this Regulation and the measures adopted under its predecessors: Regulation (EU) No 233/2014; Regulation (EU) No 232/2014; Regulation (EU) No 230/2014; Regulation (EU) No 235/2014; Regulation (EU) No 234/2014, Regulation (Euratom) No 237/2014, Regulation (EU) No 236/2014, Decision No 466/2014/EU, Regulation (EC, Euratom ) No 480/2009 and Regulation (EU) 2017/1601.	between this Regulation and the measures adopted under its predecessors: Regulation (EU) No 233/2014; [Regulation (EU) No 232/2014];, Regulation (EU) No 230/2014; Regulation (EU) No 235/2014; Regulation (EU) No 234/2014, Regulation (Euratom) No 237/2014, Regulation (EU) No 236/2014, Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601.	between this Regulation and the measures adopted under its predecessors: Regulation (EU) No 233/2014; [Regulation (EU) No 232/2014];, Regulation (EU) No 230/2014; Regulation (EU) No 235/2014; Regulation (EU) No 234/2014, Regulation (Euratom) No 237/2014, Regulation (EU) No 236/2014, Decision No 466/2014/EU, Regulation (EC, Euratom) No 480/2009 and Regulation (EU) 2017/1601.	
462.	3. The financial envelope for this regulation may cover expenditures related to the preparation of any successor to this Regulation.	3. The financial envelope for this regulation may cover expenditures related to the preparation of any successor to this Regulation.	3. The financial envelope for this regulation may cover expenditures related to the preparation of any successor to this Regulation.	3. The financial envelope for this regulation may cover expenditures related to the preparation of any successor to this Regulation.	<i>Provisionally closed</i>
463.	4. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenditures provided for in Article 20(1), to enable	4. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenditures provided for in Article 20(1), to enable	4. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenditures provided for in Article 20(1), to enable	4. If necessary, appropriations may be entered in the budget beyond 2027 to cover the expenditures provided for in Article 20(1), to enable	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	the management of actions not completed by 31 December 2027.	the management of actions not completed by 31 December 2027.	the management of actions not completed by 31 December 2027.	the management of actions not completed by 31 December 2027.	
464.	Article 40 Entry into force	<i>Provisionally closed</i>			
465.	This Regulation shall enter into force on the day following that of its publication in the <i>Official Journal of the European Union</i> .	This Regulation shall enter into force on the day following that of its publication in the <i>Official Journal of the European Union</i> .	This Regulation shall enter into force on the-day following that of its publication in the <i>Official Journal of the European Union</i> .	This Regulation shall enter into force on the-day following that of its publication in the <i>Official Journal of the European Union</i> .	<i>Provisionally closed</i>
466.	It shall apply from 1 January 2021.	It shall apply from 1 January 2021 <b><i>until 31 December 2027.</i></b>	It shall apply from 1 January 2021 <b><i>until 31 December 2027.</i></b>	It shall apply from 1 January 2021 <b><i>until 31 December 2027.</i></b>	<i>Provisionally closed</i>
467.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	This Regulation shall be binding in its entirety and directly applicable in all Member States.	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
246.	ANNEX VII LIST OF KEY PERFORMANCE INDICATORS	ANNEX VII LIST OF KEY PERFORMANCE INDICATORS	ANNEX VII LIST OF KEY PERFORMANCE INDICATORS	ANNEX VII LIST OF KEY PERFORMANCE INDICATORS	<i>Provisionally closed</i>
247.	In coherence with the Sustainable Development Goals, the following list of key performance indicators shall be used to help measure the Union's contribution to the achievement of its specific objectives.	In coherence with the Sustainable Development Goals, the following list of key performance indicators shall be used to help measure the Union's contribution to the achievement of its specific objectives.	<del>In coherence with the Sustainable Development Goals, the</del> <b>The</b> following <b>non-exhaustive</b> list of key performance indicators shall be used to help measure the Union's contribution to the achievement of <del>its</del> <b>the</b> specific objectives: <b>of this Regulation:</b>	In coherence with the Sustainable Development Goals, the following <b>non-exhaustive</b> list of key performance indicators shall be used to help measure the Union's contribution to the achievement of <del>its</del> <b>the</b> specific objectives: <b>of this Regulation:</b>	<i>Provisionally closed</i>
248.	(1) Rule of Law score	(1) Rule of Law score	(1) Rule of Law score <b>in relation to countries benefiting from EU assistance</b>	(1) Rule of Law score <b>in relation to countries benefiting from EU assistance</b>	<i>Provisionally closed</i>
249.	(2) Proportion of population below the international poverty line	(2) Proportion of population below the international poverty line	(2) Proportion of population below the international poverty line <b>by sex, age, employment status and geographical location (urban/rural)</b>	(2) Proportion of population below the international poverty line <b>by sex, age, employment status and geographical location (urban/rural)</b>	<i>Provisionally closed</i>
250.	(3) Number of women of reproductive age, adolescent girls, and	(3) Number of women of reproductive age, adolescent girls, and	(3) Number of <del>women of reproductive age, adolescent girls, and</del>	(3) Number of women of reproductive age, adolescent girls, and	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	children under 5 reached by nutrition programmes with EU support	children under 5 reached by nutrition programmes with EU support	<del>children under 5 reached by nutrition programmes with EU support</del> <i>smallholders reached with EU supported interventions aimed to increase their sustainable production, access to markets and/or security of land</i>	children under 5 reached by nutrition programmes with EU support	
				<i>(3 bis) Number of smallholders reached with EU supported interventions aimed to increase their sustainable production, access to markets and/or security of land</i>	<i>Provisionally closed</i>
251.	(4) Number of 1-year olds fully immunised with EU support	(4) Number of 1-year olds fully immunised with EU support	(4) Number of 1-year olds fully immunised with EU support	(4) Number of 1-year olds fully immunised with EU support	<i>Provisionally closed</i>
252.	(5) Number of students enrolled in primary and/or secondary education and training with EU support	(5) Number of students <del>enrolled in</del> <i>having completed</i> primary and/or secondary education and <i>acquired minimal skills in reading and mathematics, and</i> training with <del>EU</del> <i>the Union's</i> support	(5) Number of students enrolled in primary <del>and/or</del> secondary education, <del>and</del> <i>or</i> training with EU support	(5) Number of students enrolled in <i>education: a)</i> primary <del>and/or</del> <i>education b)</i> secondary education; and <i>number of people who have benefitted from institution or workplace-based VET / skills development interventions,</i>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
				<i>supported by the EU training with EU support</i>	
253.	(6) Greenhouse gas emissions reduced or avoided (Ktons CO2eq) with EU support	(6) Greenhouse gas emissions reduced or avoided (Ktons CO2eq) with EU support	(6) Greenhouse gas emissions reduced or avoided (Ktons CO2eq) with EU support	(6) Greenhouse gas emissions <del>reduced or</del> avoided (Ktons CO2eq) with EU support	<i>Provisionally closed</i>
254.	(7) Area of marine, terrestrial and freshwater ecosystems protected and/or sustainably managed with EU support	(7) Area of marine, terrestrial and freshwater ecosystems protected and/or sustainably managed with EU support	(7) Area of marine, terrestrial and freshwater ecosystems protected and/or sustainably managed with EU support	(7) Area of marine, terrestrial and freshwater ecosystems protected and/or sustainably managed with EU support	<i>Provisionally closed</i>
255.	(8) Leverage of investments and multiplier effect achieved	(8) Leverage of investments and multiplier effect achieved	(8) Leverage of investments and multiplier effect achieved	(8) Leverage of investments and multiplier effect achieved	<i>Provisionally closed</i>
256.	(9) Political stability and absence of violence indicator	(9) Political stability and absence of violence indicator <b><i>built on a baseline assessment</i></b>	(9) <del>Political stability and absence of violence indicator</del> <b><i>Number of individuals directly benefiting from EU supported interventions that specifically aim to support civilian post-conflict, peace building or conflict prevention.</i></b>		<i>Provisionally closed</i>  Replaced by 9bis
				<b><i>(9 bis) Number of individuals directly benefiting from EU supported interventions that specifically aim to support civilian post-</i></b>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
				<b><i>conflict, peace building or conflict prevention.</i></b>	
257.	(10) Number of processes related to partner country practices on trade, investment and business, or promoting the external dimension of EU internal policies, which have been influenced	(10) Number of processes related to partner country practices on trade, investment and business, or promoting the external dimension of EU internal policies, which have been influenced	(10) Number of processes related to partner country practices on trade, investment and business; or promoting the external dimension of EU internal policies, <b><i>EU interest</i></b> , which have been influenced	(10) Number of processes related to partner country practices on trade, investment and business, or promoting the external dimension of EU internal policies <b><i>or EU interest</i></b> , which have been influenced	<i>Provisionally closed</i>
257. bis			<b><i>(11) Number of individuals with access to improved drinking water source and/or sanitation facilitation with EU support</i></b>	<b><i>(11) Number of individuals with access to improved drinking water source and/or sanitation facilitation with EU support</i></b>	<i>Provisionally closed.</i>
257. ter			<b><i>(12) Number of migrants, refugees and internally displaced people or individuals from host communities protected or assisted with EU support</i></b>		Part of the migration discussion
257. quater			<b><i>(13) Number of countries and cities with climate change and/or disaster risk reduction strategies a) developed or b) under implementation with EU support</i></b>	<b><i>(13) Number of countries and cities with climate change and/or disaster risk reduction strategies a) developed or b) under implementation with EU support</i></b>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
257. quin-cies			<i>(14) Number of micro, small and medium sized enterprises applying sustainable consumptions and productions practice with EU support</i>	<i>(14) Number of micro, small and medium sized enterprises applying sustainable consumptions and productions practice with EU support</i>	<i>Provisionally closed</i>
257. sixies			<i>(15) Renewable energy generation capacity installed (MW) with EU support</i>	<i>(15) Renewable energy generation capacity installed (MW) with EU support</i>	<i>Provisionally closed</i>
257. octies			<i>(16) Proportion of EU funded cooperation promoting gender equality and women's empowerment</i>	<i>(16) Proportion of EU funded cooperation promoting gender equality and women's empowerment</i>	<i>Provisionally closed Pending agreement on gender target</i>
257. non-ies			<i>(17) Number of victims of human right violations directly benefitting from assistance funded by the EU</i>	<i>(17) Number of victims of human right violations directly benefitting from assistance funded by the EU</i>	<i>Provisionally closed</i>
257. decies			<i>(18) Progress by beneficiaries in implementing bilateral and other agreements, as well as agreed political, economic and social reforms</i>	<i>(18) Number of EU funded initiatives supporting the implementation of political, economic and social reforms and joint agreements in partner countries.</i>	<i>Provisionally closed</i>
258.	All indicators shall be sex	All indicators <b>Indicator</b>	All indicators <i>referring to</i>	All indicators <i>referring to</i>	<i>Provisionally closed</i>

<i>Line</i>	<i>Commission proposal</i>	<i>EP position</i>	<i>Council partial negotiating mandate</i>	<i>Compromise proposal</i>	<i>Comments</i>
	disaggregated whenever relevant.	(4) shall be sex disaggregated, <del>whenever relevant</del> <i>and indicators (2), (3) and (5) shall be sex and age disaggregated.</i>	<i>individuals</i> shall be sex disaggregated whenever relevant <del>possible, in particular to monitor progress towards gender equality.</del>	<i>individuals</i> shall be <del>sex</del> disaggregated, whenever relevant <del>possible, by sex, in particular to monitor progress towards gender equality, and age.</del>	
258. bis			<i>All indicators shall be disaggregated by NDICI's geographic areas whenever possible.</i>	<i>All indicators shall be disaggregated by NDICI's geographic areas whenever possible.</i>	<i>Provisionally closed</i>