Draft

Regulation of the European Parliament and of the Council establishing the Neighbourhood, Development and International Cooperation Instrument (NDICI)⁵

Recitals linked to Clusters I, II, III, IV and VI

- version of 24 September 2020 -

Lines in dark green are provisionally closed.

Lines in light green are provisionally closed, pending agreement on parts of them related to issues outside this cluster.

Parts in grey are not discussed under this cluster, either because they are not part of the Council's partial mandate for negotiations or because they are part of another cluster. In both cases, they will be discussed at a later stage.

Parts in yellow are not agreed yet but an agreement could be reached at technical level.

Parts in red are not agreed and should be discussed during the Trilogue.

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
1.	Proposal for a	Position of the	Proposal for a		

The proposed Regulation is part of the package of proposals linked to the MFF 2021-2027 and therefore dependent on the outcome of the horizontal negotiations. Pending these, the reference amounts in Article 6 are put within square brackets. Other provisions of the draft NDICI Regulation appear in square brackets due to their inclusion in the draft Negotiating Box set out in ST 10010/19. All provisions which appear between square brackets are excluded from the Council negotiating mandate at this stage. Moreover, other provisions, on which the discussions are ongoing have been deleted, as they don not form part of the Council partial negotiating mandate. Provisions related to the participation of non-EU countries have not been amended due to the horizontal nature of such provisions.

1

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing the Neighbourhood, Development and International Cooperation Instrument	European Parliament adopted at first reading on 27 March 2019 with a view to the adoption of Proposal for a Regulation (EU)/ of the European Parliament and of the Council establishing the Neighbourhood, Development and International Cooperation	REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing the Neighbourhood, Development and International Cooperation Instrument		
2.	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	Instrument THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,	THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,		
3.	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 209, 212 and 322(1) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 209, 212 and 322(1) thereof,	Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 209, 212 and 322(1) thereof,		
4.	Having regard to the proposal from the	Having regard to the proposal from the	Having regard to the proposal from the		

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal	_	mandate		
	European	European	European Commission,		
	Commission,	Commission,			
5.	After transmission of	After transmission of	After transmission of		
	the draft legislative	the draft legislative act	the draft legislative act		
	act to the national	to the national	to the national		
	parliaments,	parliaments,	parliaments,		
6.	Having regard to the opinion of the	Having regard to the opinion of the	Having regard to the opinion of the		
	European Economic	European Economic	European Economic		
	and Social	and Social	and Social		
	Committee ¹ ,	Committee ¹ , Court of Auditors ³ ,	Committee ¹ ,		
7.	Having regard to the	Having regard to the	Having regard to the		
	opinion of the	opinion of the	opinion of the		
	Committee of the	Committee of the	Committee of the		
	Regions ² ,	Regions ² , European	Regions ² ,		
		Economic and Social			
		Committee ¹ ,			
8.	Having regard to the	Having regard to the	Having regard to the		
	opinion of the Court	opinion of the Court	opinion of the Court of		
	of Auditors ³ ,	of Auditors ³ ,	Auditors ³ ,		
	,	Committee of the	,		
		Regions ² ,			
9.	Acting in accordance	Acting in accordance	Acting in accordance		

OJ C 110, 22.3.2019, p. 163. OJ C 86, 7.3.2019, p. 295. OJ C 45, 4.2.2019, p. 1. 1

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	with the ordinary	with the ordinary	with the ordinary		
	legislative	legislative procedure ⁴ ,	legislative procedure,		
	procedure,				
10.	Whereas:	Whereas:	Whereas:		
11.	(1) The general	(1) The general	(1) The general	(1) The general objective	Provisionally agreed
	objective of the	objective of the	objective of the	of the Programme	
	Programme	Programme	Programme	"[Neighbourhood,],	
	"Neighbourhood,	"Neighbourhood,	"Neighbourhood,	Development and	
	Development and	Development and	Development and	International Cooperation	
	International	International	International	Instrument" (the	
	Cooperation	Cooperation	Cooperation	'Instrument') should be to	
	Instrument" (the	Instrument" (the	Instrument" (the	uphold and promote the	
	'Instrument') should	'Instrument') should	'Instrument') should	Union's values, and	
	be to uphold and	be to uphold and	be to uphold and	principles and	
	promote the Union's	promote provide the	promote the Union's	<i>fundamental</i> interests	
	values and interests	financial framework	values and interests	worldwide in order to	
	worldwide in order	to support the	worldwide in order to	pursue the objectives and	
	to pursue the	upholding and	pursue the objectives	principles of the Union's	
	objectives and	<i>promotion of</i> the	and principles of the	external action, as laid	
	principles of the	Union's values, and	Union's external	down in Article 3(5),	
	Union's external	principles and	action, as laid down in	Articles 8 and 21 of the	
	action, as laid down	<i>fundamental</i> interests	Article 3(5), Articles 8	Treaty on European	
	in Article 3(5),	worldwide in order to	and 21 of the Treaty on	Union.	
	Articles 8 and 21 of	pursue accordance	European Union.		
	the Treaty on	<i>with</i> the objectives			
	European Union.	and principles of the			

⁴ Position of the European Parliament of 27 March 2019

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		Union's external action, as laid down in Article 3(5), Articles 8 and 21 of the Treaty on European Union (TEU).			
12.	(2) In accordance with Article 21 of the Treaty on European Union, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty.	(2) In accordance with Article 21 of the Treaty on European Union TEU, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty TEU.	(2) In accordance with Article 21 of the Treaty on European Union, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty.	(2) In accordance with Article 21 of the Treaty on European Union, the Union shall pursue consistency between the different areas of its external action and between these and its other policies, as well as it shall work for a high degree of cooperation in all fields of international relations. The wide array of actions enabled by this Regulation should contribute to the objectives set out in that Article of the Treaty.	Provisionally agreed

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
12. bis		(2a) In accordance with Article 21 TEU, the application of this Regulation is to be guided by the principles of the Union's external action, namely democracy, the rule of law, the universality and indivisibility of human rights and fundamental freedoms, respect for human dignity, equality and solidarity, and respect for the principles of the United Nations Charter and international law. This Regulation is intended to contribute to achieving the objectives of the Union's external action, including the Union's policies relating to human		(2a) Union action should promote respect for and be rooted in international human rights law, including the Universal Declaration on Human Rights, and in international humanitarian law and should be guided by the universality and indivisibility of human rights.	Provisionally closed

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		rights and the objectives outlined in the EU Strategic Framework and Action Plan on Human Rights and Democracy. Union action should favour adherence to the Universal Declaration on Human Rights.			
13.	(3) In accordance with Article 8 of the Treaty on European Union, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation should contribute to such	(3) In accordance with Article 8 of the Treaty on European Union TEU, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation should contribute to such objective.	(3) In accordance with Article 8 of the Treaty on European Union, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation should contribute to such objective.	(3) In accordance with Article 8 of the Treaty on European Union, the Union shall develop a special relationship with neighbouring countries, aiming to establish an area of prosperity and good neighbourliness, founded on the values of the Union and characterised by close and peaceful relations based on cooperation. This Regulation should contribute to such objective.	Provisionally agreed

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	objective.		Human		
13. bis	objective.	(3a) In accordance with Article 167 of the Treaty on the Functioning of the European Union (TFEU), The Union and the Member States should foster cooperation with third countries and the competent international organisations in the sphere of culture. This Regulation should contribute to			Provisionally agreed
		the objectives set out			
14.	(4) The primary objective of Union's development cooperation policy, as laid down in Article 208 of the Treaty on the Functioning of the European Union is the reduction and, in the long term, the	in that Article. (4) The primary objective of Union's development cooperation policy, as laid down in Article 208 of the Treaty on the Functioning of the European Union TFEU is the reduction and, in the long term, the eradication of	(4) The primary objective of Union's development cooperation policy, as laid down in Article 208 of the Treaty on the Functioning of the European Union is the reduction and, in the long term, the	(4) The primary objective of Union's development cooperation policy, as laid down in Article 208 of the Treaty on the Functioning of the European Union is the reduction and, in the long term, the eradication of poverty. The Union's development cooperation policy also contributes to	Provisionally closed

Line	Commission	EP position	Council negotiating mandate	Possible compromise	Comments
	proposal eradication of	poverty. The Union's	eradication of poverty.	the objectives of the	
	poverty. The	development	The Union's	Union's external action, in	
	Union's	cooperation policy	development	particular to foster the	
	development	also contributes to the	cooperation policy also	sustainable economic,	
	cooperation policy	objectives of the	contributes to the	social and environmental	
	also contributes to	Union's external	objectives of the	development of	
	the objectives of the	action, in particular to	Union's external	developing countries, with	
	Union's external	foster the sustainable	action, in particular to	the primary aim of	
	action, in particular	economic, social and	foster the sustainable	eradicating poverty, as set	
	to foster the	environmental	economic, social and	out in Article 21(2)(d) of	
	sustainable	development of	environmental	the Treaty on European	
	economic, social and	developing countries,	development of	Union .	
	environmental	with the primary aim	developing countries,		
	development of	of eradicating poverty,	with the primary aim		
	developing	as set out in Article	of eradicating poverty,		
	countries, with the	21(2)(d) of the Treaty	as set out in Article		
	primary aim of	on European Union	21(2)(d) of the Treaty		
	eradicating poverty,	TEU, and to preserve	on European Union.		
	as set out in Article	lasting peace, prevent			
	21(2)(d) of the	conflicts and			
	Treaty on European	strengthen			
	Union.	international security,			
		as set out in point (c)			
1.5	(5) == -7.1 1.11	of Article 21(2) TEU.	(5) 50 51		
15.	(5) The Union shall	(5) The Union shall	(5) The Union shall	(5) The Union shall ensure	Provisionally closed
	ensure policy	ensure policy	ensure policy	policy coherence for	
	coherence for	coherence for	coherence for	development as required	
	development as	development as	development as	by Article 208 of the	
	required by Article	required by Article	required by Article 208	Treaty on the Functioning	EP's second amendment added
	208 of the Treaty on	208 of the Treaty on	of the Treaty on the	of the European Union.	in line 33bis.

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	the Functioning of	the Functioning of the	Functioning of the	The Union should take	
	the European Union.	European Union	European Union. The	account of the objectives	
	The Union should	<i>TFEU</i> . The Union	Union should take	of development	
	take account of the	should take account of	account of the	cooperation in the policies	
	objectives of	the objectives of	objectives of	that are likely to affect	
	development	development	development	developing countries,	
	cooperation in the	cooperation in the	cooperation in the	which will be a crucial	
	policies that are	policies that are likely	policies that are likely	element of the strategy to	
	likely to affect	to affect developing	to affect developing	achieve the Sustainable	
	developing	countries, which will	countries, which will	Development Goals	
	countries, which will	be a crucial element of	be a crucial element of	defined in the 2030	
	be a crucial element	the strategy to achieve	the strategy to achieve	Agenda for Sustainable	
	of the strategy to	the Sustainable	the Sustainable	Development ('2030	
	achieve the	Development Goals	Development Goals	Agenda') adopted by the	
	Sustainable	defined in the 2030	defined in the 2030	United Nations in	
	Development Goals	Agenda for	Agenda for Sustainable	September 2015 ⁵ .	
	defined in the 2030	Sustainable	Development ('2030	Ensuring policy coherence	
	Agenda for	Development ('2030	Agenda') adopted by	for sustainable	
	Sustainable	Agenda') adopted by	the United Nations in	development, as	
	Development ('2030	the United Nations in	September 2015 ⁵ .	embedded in the 2030	
	Agenda') adopted by	September 2015 ⁵ .	Ensuring policy	Agenda, requires taking	
	the United Nations	Ensuring policy	coherence for	into account the impact of	
	in September 2015 ⁵ .	coherence for	sustainable	all policies on sustainable	
	Ensuring policy	sustainable	development, as	development at all levels	
	coherence for	development, as	embedded in the 2030	nationally, within the	

Transforming our world: the 2030 Agenda for Sustainable Development", adopted at the United Nations Sustainable Development Summit on 25 September 2015 (A/RES/70/1).

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	sustainable development, as embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels — nationally, within the Union, in other countries and at global level.	embedded in the 2030 Agenda, requires taking into account the impact of all policies on sustainable development at all levels — nationally, within the Union, in other countries and at global level. Union and Member States development cooperation policies should complement and reinforce each other.	Agenda, requires taking into account the impact of all policies on sustainable development at all levels nationally, within the Union, in other countries and at global level.	Union, in other countries and at global level.	
15 bis				(5 bis) This Regulation should contribute to preserving lasting peace, preventing conflicts and strengthening international security, as set out in point (c) of Article 21(2) TEU.	Provisionally closed
16.	(6) This Instrument provides for actions in support of those objectives and of the external action policies and builds	(6) This Instrument provides for actions in support of those objectives and of the external action policies and builds on	(6) This Instrument provides for actions in support of those objectives and of the external action policies and builds on the	(6) This Instrument provides for actions in support of those objectives and of the external action policies and builds on the actions previously	Provisionally closed

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	on the actions	the actions previously	actions previously	supported under	
	previously supported	supported under	supported under	Regulation (EU) No	
	under Regulation	Regulation (EU) No	Regulation (EU) No	$233/2014^6$; the 11th	
	(EU) No 233/2014 ⁶ ;	233/2014 ⁶ ; the 11th	233/2014 ⁶ ; the 11th	European Development	
	the 11th European	European	European	Fund (EDF)'s Internal	
	Development Fund	Development Fund	Development Fund	Agreement ⁷ and	
	(EDF)'s Internal	(EDF)'s Internal	(EDF)'s Internal	Implementing	
	Agreement ⁷ and	Agreement ⁷ and	Agreement ⁷ and	Regulation ⁸ ; Regulation	
	Implementing	Implementing	Implementing	(EU) No 232/2014 ⁹ ;	
	Regulation ⁸ ;	Regulation ⁸ ;	Regulation ⁸ ;	Regulation (EU) No	
	Regulation (EU) No	Regulation (EU) No	[Regulation (EU) No	230/2014 ¹⁰ ; Regulation	
	232/2014 ⁹ ;	232/20149; Regulation	232/2014 ⁹ ; <i>J</i> Regulation	(EU) No 235/2014 ¹¹ ;	
	Regulation (EU) No	(EU) No 230/2014 ¹⁰ ;	(EU) No 230/2014 ¹⁰ ;	Regulation (EU) No	
	230/2014 ¹⁰ ;	Regulation (EU) No	Regulation (EU) No	234/2014 ¹² ; Regulation	

Regulation (EU) No 233/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for development cooperation for the period 2014-2020 (OJ L 77, 15.3.2014, p. 44.)

Internal agreement between the Representatives of the Governments of the Member States of the European Union, meeting within the Council, on the financing of European Union aid under the multiannual financial framework for the period 2014 to 2020, in accordance with the ACP-EU Partnership Agreement, and on the allocation of financial assistance for the Overseas Countries and Territories to which Part Four of the Treaty on the Functioning of the European Union applies (OJ L210/1, 6.8.2013).

⁸ Council Regulation (EU) 2015/322 of 2 March 2015 on the implementation of the 11th European Development Fund (OJ L 58/1, 3.3.2015).

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27.)

Regulation (EU) No 230/2014 of the European Parliament and of the Council of 11 March 2014 establishing an instrument contributing to stability and peace (OJ L 77, 15.3.2014, p. 1.)

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	Regulation (EU) No	235/2014 ¹¹ ;	235/2014 ¹¹ ; Regulation	(Euratom) No 237/2014 ¹³ ;	
	235/2014 ¹¹ ;	Regulation (EU) No	(EU) No 234/2014 ¹² ;	Regulation (EU) No	
	Regulation (EU)	234/2014 ¹² ;	Regulation (Euratom)	236/2014 ¹⁴ ; Decision No	
	No 234/2014 ¹² ;	Regulation (Euratom)	No 237/2014 ¹³ ;	466/2014/EU; Regulation	
	Regulation	No 237/2014 ¹³ ;	Regulation (EU)	(EC, Euratom) No	
	(Euratom)	Regulation (EU) No	No 236/2014 ¹⁴ ;	480/2009 ¹⁵ and Regulation	
	No 237/2014 ¹³ ;	236/2014 ¹⁴ ; Decision	Decision No	(EU) 2017/1601 ¹⁶ .	
	Regulation (EU)	No 466/2014/EU;	466/2014/EU;		
	No 236/2014 ¹⁴ ;	Regulation (EC,	Regulation (EC,		
	Decision No	Euratom) No	Euratom) No		
	466/2014/EU;	480/2009 ¹⁵ and	480/2009 ¹⁵ and		
	Regulation (EC,	Regulation (EU)	Regulation (EU)		
	Euratom) No	2017/1601 ¹⁶ .	$2017/1601^{16}$.		
	480/2009 ¹⁵ and				

Regulation (EU) No 235/2014 of the European Parliament and of the Council of 11 March 2014 establishing a financing instrument for democracy and human rights worldwide (OJ L 77, 15.3.2014, p. 85.)

Regulation (EU) No 234/2014 of the European Parliament and of the Council of 11 March 2014 establishing a Partnership Instrument for cooperation with third countries (OJ L 77, 15.3.2014, p. 77.)

Council Regulation (Euratom) No 237/2014 of 13 December 2013 establishing an Instrument for Nuclear Safety Cooperation (OJ L 77, 15.3.2014, p. 109)

Regulation (EU) 236/2014 of the European Parliament and of the Council laying down common rules and procedures for the implementation of the Union's instruments for financing external action (OJ L 77, 15.3.2014, p. 95)

Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (OJ L 145, 10.6.2009, p. 10)

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	Regulation (EU)		пиниис		
	$2017/1601^{16}$.				
17.	(7) The global	(7) The global context	(7) The global context	(7) The global context for	Provisionally closed
	context for action is	for action is the	for action is the pursuit	action is the pursuit of a	
	the pursuit of a rules-	pursuit of a rules-	of a rules-based global	rules- and values-based	
	based global order,	based rules-and	order, with	global order, with	
	with multilateralism	values-based global	multilateralism as its	multilateralism as its key	
	as its key principle	order, with	key principle and the	principle and the United	
	and the United	multilateralism as its	United Nations at its	Nations at its core. The	
	Nations at its core.	key principle and the	core. The 2030	2030 Agenda, together	
	The 2030 Agenda,	United Nations at its	Agenda, together with	with the Paris Agreement	
	together with the	core. The 2030	the Paris Agreement on	on Climate Change ¹⁷ and	
	Paris Agreement on	Agenda, together with	Climate Change ¹⁷ and	the Addis Ababa Action	
	Climate Change ¹⁷	the Paris Agreement	the Addis Ababa	Agenda ¹⁸ is the	
	and the Addis Ababa	on Climate Change ¹⁷	Action Agenda ¹⁸ is the	international community's	
	Action Agenda ¹⁸ is	('the Paris	international	response to global	
	the international	Agreement') and the	community's response	challenges and trends in	
	community's	Addis Ababa Action	to global challenges	relation to sustainable	
	response to global	Agenda ¹⁸ is the	and trends in relation	development. With the	
	challenges and	international	to sustainable	Sustainable Development	
	trends in relation to	community's response	development. With the	Goals at its core, the 2030	
	sustainable	to global challenges	Sustainable	Agenda is a	

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

Signed in New York on 22 April 2016.

[&]quot;Addis Ababa Action Agenda of the Third International Conference on Financing for Development", adopted on 16 June 2015 and endorsed by the United Nations General Assembly on 27 July 2015 (A/RES/69/313).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	development. With	and trends in relation	Development Goals at	transformative framework	
	the Sustainable	to sustainable	its core, the 2030	to eradicate poverty and	
	Development Goals	development. With the	Agenda is a	achieve sustainable	
	at its core, the 2030	Sustainable	transformative	development globally. It is	
	Agenda is a	Development Goals at	framework to eradicate	universal in scope,	
	transformative	its core, the 2030	poverty and achieve	providing a	
	framework to	Agenda is a	sustainable	comprehensive shared	
	eradicate poverty	transformative	development globally.	framework for action that	
	and achieve	framework to	It is universal in scope,	applies to the Union, to its	
	sustainable	eradicate poverty, and	providing a	Member States and to its	
	development	achieve sustainable	comprehensive shared	partners. It balances the	
	globally. It is	development globally	framework for action	economic, social and	
	universal in scope,	and promote peaceful,	that applies to the	environmental dimensions	
	providing a	just and inclusive	Union, to its Member	of sustainable	
	comprehensive	societies, while	States and to its	development, recognising	
	shared framework	tackling climate	partners. It balances	the essential interlinkages	
	for action that	change and working	the economic, social	between its goals and	
	applies to the Union,	to preserve oceans	and environmental	targets. The 2030 Agenda	
	to its Member States	and forests. It is	dimensions of	aims to leave no one	
	and to its partners. It	universal in scope,	sustainable	behind and seeks to reach	
	balances the	providing a	development,	the furthest behind first.	
	economic, social and	comprehensive shared	recognising the	The implementation of the	
	environmental	framework for action	essential interlinkages	2030 Agenda will be	
	dimensions of	that applies to the	between its goals and	closely coordinated with	
	sustainable	Union, to its Member	targets. The 2030	the Union's other relevant	
	development,	States and to its	Agenda aims to leave	international	
	recognising the	partners. It balances	no one behind. The	commitments. Actions	
	essential	the economic, social,	implementation of the	undertaken by this	
	interlinkages	cultural, educational	2030 Agenda will be	Regulation should <i>be</i>	
	between its goals	and environmental	closely coordinated	guided by the principles	

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	and targets. The	dimensions of	with the Union's other	and objectives set out in	
	2030 Agenda aims to	sustainable	relevant international	the 2030 Agenda, the	
	leave no one behind.	development,	commitments. Actions	Paris Agreement and the	
	The implementation	recognising the	undertaken by this	Addis Ababa Action	
	of the 2030 Agenda	essential interlinkages	Regulation should pay	Agenda and should	
	will be closely	between its goals and	particular attention to	contribute to achieving	
	coordinated with the	targets. The 2030	interlinkages between	the Sustainable	
	Union's other	Agenda aims to leave	Sustainable	Development Goals.	
	relevant international	no one behind <i>and</i>	Development Goals	P articular attention should	
	commitments.	seeks to reach the	and to integrated	be paid to interlinkages	
	Actions undertaken	furthest behind first.	actions that can create	between Sustainable	
	by this Regulation	The implementation of	co-benefits and meet	Development Goals and to	
	should pay particular	the 2030 Agenda will	multiple objectives in a	integrated actions that can	
	attention to	be closely coordinated	coherent way.	create co-benefits and	
	interlinkages	with the Union's other		meet multiple objectives	
	between Sustainable	relevant international		in a coherent way without	
	Development Goals	commitments. Actions		undermining other	
	and to integrated	undertaken by this		objectives.	
	actions that can	Regulation should pay			
	create co-benefits	be guided by the			
	and meet multiple	principles and			
	objectives in a	objectives set out in			
	coherent way.	the 2030 Agenda, the			
		Paris Agreement and			
		the Addis Ababa			
		Action Agenda and			
		should contribute to			
		achieving the			
		Sustainable			
		Development Goals,			

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
		paying particular			
		attention to			
		interlinkages between			
		Sustainable			
		Development Goals			
		<i>them</i> and to integrated			
		actions that can create			
		co-benefits and meet			
		multiple objectives in			
		a coherent way			
		without undermining			
		other objectives.			
18.	(8) The	(8) The	(8) The	The {1} of this Regulation	Provisionally closed pending
	implementation of	implementation	implementation of this	should be guided by the	agreement on line 27and
	this Regulation	application of this	Regulation should be	five priorities established	governance
	should be guided by	Regulation should be	guided by the five	in the Global Strategy for	
	the five priorities	guided by based on	priorities established in	the European Union's	{1}
	established in the	the five priorities	the Global Strategy for	Foreign and Security	EP: implementation application
	Global Strategy for	established in the	the European Union's	Policy (the 'Global	CL: implementation
	the European	Global Strategy for the	Foreign and Security	Strategy') endorsed by the	
	Union's Foreign and	European Union's	Policy (the 'Global	Council in its	
	Security Policy (the	Foreign and Security	Strategy') ¹⁹ , presented	Conclusions of	
	'Global Strategy') ¹⁹ ,	Policy (the 'Global	on 19 June 2016 <i>and</i>	17 October 2016, which	
	presented on 19 June	Strategy') ¹⁹ , presented	endorsed by the	represents the Union's	
	2016, which	on 19 June 2016,	Council in its	vision and the framework	{4}

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	represents the	which represents the	Conclusions of	for united and responsible	Colegislators agree to place
	Union's vision and	Union's vision and the	17 October 2016 ²⁰ ,	external engagement in	language on nuclear safety
	the framework for	framework for united	which represents the	partnership with others, to	elsewhere in the recitals. Text
	united and	and responsible	Union's vision and the	advance its values and	to be aligned with the wording
	responsible external	external engagement	framework for united	interests. The Union	in the enacting provisions and
	engagement in	in partnership with	and responsible	should enhance	annexes
	partnership with	others, to advance its	external engagement in	partnerships, promote	
	others, to advance its	values and interests.	partnership with	policy dialogue and	
	values and interests.	The Union should	others, to advance its	collective responses to	
	The Union should	enhance partnerships,	values and interests.	challenges of global	
	enhance	promote policy	The Union should	concern. Its action should	
	partnerships,	dialogue and	enhance partnerships,	support the Union's	
	promote policy	collective responses to	promote policy	fundamental interests,	
	dialogue and	challenges of global	dialogue and collective	<i>principles</i> and values in	
	collective responses	concern. Its action	responses to challenges	all its aspects {4} In doing	
	to challenges of	should support the	of global concern. Its	so, the Union should <i>use</i>	
	global concern. Its	Union's <i>fundamental</i>	action should support	an integrated approach	
	action should	interests, <i>principles</i>	the Union's interests	and comply with, and	
	support the Union's	and values in all its	and values in all its	promote, the principles of	
	interests and values	aspects, including	aspects, including	respect for high social,	
	in all its aspects,	promoting democracy	preserving peace,	<i>labour</i> and environmental	
	including preserving	and human rights,	preventing conflicts,	standards, including with	
	peace, preventing	contributing to the	strengthening	regard to climate change,	
	conflicts,	eradication of	international security,	for the rule of law, for	
	strengthening	<i>poverty</i> , preserving	inclusive governance,	international law	
	international	peace, preventing	fighting root causes of	including in respect of	

²⁰ Council Conclusions on the Global Strategy for the European Union's Foreign and Security Policy of 17 October 2016 (13202/16).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	security, fighting	conflicts conflict	irregular migration,	humanitarian and	
	root causes of	prevention, mediation	forced displacement	<i>international</i> human	
	irregular migration	and post-conflict	and assisting	rights <i>law</i> .	
	and assisting	reconstruction	populations, countries		
	populations,	including women at	and regions		
	countries and regions	all stages, ensuring	confronting natural or		
	confronting natural	nuclear safety,	man-made disasters,		
	or man-made	strengthening	supporting trade		
	disasters, supporting	international security,	policy, economic		
	trade policy,	fighting addressing	diplomacy and		
	economic diplomacy	root causes of	economic cooperation,		
	and economic	irregular migration	promoting digital		
	cooperation,	and forced	solutions and		
	promoting digital	displacement and	technologies, and		
	solutions and	assisting populations,	fostering the		
	technologies, and	countries and regions	international		
	fostering the	confronting	dimension of <i>the</i>		
	international	confronted with	Union's policies. In		
	dimension of	natural or man-made	promoting its interests,		
	Union's policies. In	disasters, <i>bringing</i>	the Union should <i>use</i>		
	promoting its	about the conditions	an integrated		
	interests, the Union	to create an	approach and comply		
	should comply with,	international legal	with, and promote, the		
	and promote, the	framework for the	principles of respect		
	principles of respect	protection of persons	for high social and		
	for high social and	displaced due to	environmental		
	environmental	climate change,	standards, for the rule		
	standards, for the	fostering inclusive	of law, for		
	rule of law, for	quality education,	international law and		
	international law and	supporting <i>a fair</i> ,	for human rights.		

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	for human rights.	sustainable and rules-			
		and value-based trade			
		policy as a tool for			
		development and to			
		bring improvements			
		to the rule of law and			
		human rights,			
		economic and			
		cultural diplomacy			
		and economic			
		cooperation,			
		promoting innovation,			
		digital solutions and			
		technologies,			
		protecting cultural			
		heritage especially in			
		conflict areas,			
		addressing global			
		public health threats			
		and fostering the			
		international			
		dimension of Union's			
		policies. In promoting			
		its fundamental			
		interests, principles			
		and values, the Union			
		should comply with,			
		and promote, the			
		principles of respect			
		for high social, <i>labour</i>			

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	proposur	and environmental	munuare		
		standards <i>including</i>			
		with regard to climate			
		<i>change</i> , for the rule of			
		law, for international			
		law, and for including			
		in respect of			
		humanitarian and			
		international human			
		rights law.			
19.	(9) The new	(9) The new	(9) The new European	(9) The {1} <i>of this</i>	Provisionally closed pending
	European Consensus	application of this	Consensus on	Regulation should also be	agreement on governance
	on Development	Regulation should	Development ('the	guided by	{1}
	('the Consensus') ²¹ ,	also be based on the	Consensus') ²¹ , signed	the European Consensus	EP: application
	signed on 7 June	European Consensus	on 78 June 2017,	on Development ('the	CL: implementation
	2017, provides the	on Development ('the	provides the	Consensus') ²¹ of 8 June	
	framework for a	Consensus') ²¹ , signed	framework for a	2017 provides the	
	common approach to	on 7 June 2017, <i>which</i>	common approach to	framework for a common	
	development	provides the	development	approach to development	
	cooperation by the	framework for a	cooperation by the	cooperation by the Union	
	Union and its	common approach to	Union and its Member	and its Member States to	
	Member States to	development	States to implement the	implement the 2030	
	implement the 2030	cooperation by the	2030 Agenda and the	Agenda and the Addis	
	Agenda and the	Union and its Member	Addis Ababa Action	Ababa Action Agenda.	
	Addis Ababa Action	States to implement	Agenda. Eradicating	Eradicating poverty,	

²¹ "The New European Consensus on Development 'Our World, our Dignity, Our Future'", Joint statement by the Council and the Representatives of the governments of the Member States meeting within the Council, the European Parliament and the European Commission, 8 June 2017.

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	Agenda. Eradicating poverty, tackling discrimination and inequalities, leaving no one behind and strengthening resilience are at the heart of development cooperation policy.	the 2030 Agenda and the Addis Ababa Action Agenda. Eradicating poverty, tackling discrimination and inequalities, leaving no one behind, protecting the environment and fighting climate change, and strengthening resilience are at the heart of development cooperation policy should underpin the application of this Regulation.	poverty, tackling discrimination and inequalities, leaving no one behind and strengthening resilience are at the heart of development cooperation policy.	tackling discrimination and inequalities, leaving no one behind , protecting the environment and fighting climate change, and strengthening resilience are at the heart of development cooperation policy and should underpin the {1} of this Regulation.	
19. bis		(9a) In addition to the UN 2030 Agenda, the Paris Agreement on Climate Change the Addis Ababa Action Agenda, the EU Global Strategy, and the European Consensus on Development and the European		{ <mark>1</mark> }	Follow-up at technical level for inclusion of a list to be specified at a later stage. Not necessarily linked only to EU documents. [1] EP: (9a) In addition to the UN 2030 Agenda, the Paris Agreement on Climate Change

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		Neighbourhood			the Addis Ababa Action
		Policy, which			Agenda, the EU Global
		constitute the primary			Strategy, and the European
		policy framework, the			Consensus on Development
		following documents			and the European
		and their future			Neighbourhood Policy, which
		revisions should also			constitute the primary policy
		guide the application			framework, the following
		of this Regulation:			documents and their future
		- the EU Strategic			revisions should also guide the
		Framework and			application of this Regulation:
		Action Plan on			- the EU Strategic
		Human Rights			Framework and Action
		and Democracy;			Plan on Human Rights and
		- the EU Human			Democracy;
		Rights guidelines;			- the EU Human Rights
		- the EU Integrated			guidelines;
		Approach to			- the EU Integrated
		External Conflicts			Approach to External
		and Crises and			Conflicts and Crises and
		the EU's			the EU's comprehensive
		comprehensive			approach to external
		approach to			conflicts and crises of
		external conflicts			2013;
		and crises of			- the Comprehensive
		2013;			approach to the EU
		- the			implementation of the
		Comprehensive			United Nations Security
		approach to the			Council Resolutions 1325
		EU			and 1820 on women, peace

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
Line		implementation of the United Nations Security Council Resolutions 1325 and 1820 on women, peace and security; - the Union Programme for the Prevention of Violent Conflicts; - the Council conclusions of 20 June 2011 on conflict prevention; - the Concept on Strengthening EU Mediation and Dialogue Capacities; - the EU-wide Strategic Framework to support Security	0	Tossiote compromise	and security; - the Union Programme for the Prevention of Violent Conflicts; - the Council conclusions of 20 June 2011 on conflict prevention; - the Concept on Strengthening EU Mediation and Dialogue Capacities; - the EU-wide Strategic Framework to support Security Sector Reform (SSR); - the EU strategy against illicit firearms, small arms and light weapons (SALW) and their ammunition; - the EU Concept for Support to Disarmament, Demobilisation and Reintegration (DDR); - the Council conclusions of 19 November 2007 on a EU response to situations of
		Sector Reform (SSR); - the EU strategy against illicit			fragility and the conclusions of the Council and the Representatives of the Governments of the

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		firearms, small arms and light weapons (SALW) and their ammunition; - the EU Concept for Support to Disarmament, Demobilisation and Reintegration (DDR); - the Council conclusions of 19 November 2007 on a EU response to situations of			Member States meeting within the Council, also dated 19 November 2007, on security and development; - the European Council Declaration of 25 March 2004 on Combating Terrorism, the European Union Counter-Terrorism Strategy, of 30 November 2005 and the Council conclusions of 23 May 2011 on enhancing the links between internal and external aspects of counter-
		fragility and the conclusions of the Council and the Representatives of			terrorism; - the OECD guidelines for multinational enterprises; - the UN guiding principles
		the Governments of the Member States meeting within the Council, also			on business and human rights; - the UN New Urban Agenda; - the UN Convention on the
		Council, also dated 19 November 2007, on security and development; - the European			 the UN Convention on the Rights of Persons with Disabilities; the Refugee Convention; the Convention on the Elimination of All Forms

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		Council			of Discrimination against
		Declaration of 25			Women,
		March 2004 on			- the Council of Europe
		Combating			Istanbul Convention
		Terrorism, the			- the outcomes of the Beijing
		European Union			Platform for Action and
		Counter-			the Programme of Action
		Terrorism			of the International
		Strategy, of 30			Conference on Population
		November 2005			and Development (ICPD);
		and the Council			- the UNCTAD Roadmap
		conclusions of 23			towards Sustainable
		May 2011 on			Sovereign Debt Workouts
		enhancing the			(April 2015);
		links between			- the Guiding Principles on
		internal and			Foreign Debt and Human
		external aspects of			Rights drawn up by the
		counter-terrorism;			Office of the UN High
		- the OECD			Commissioner for Human
		guidelines for			Rights;
		multinational			- the Global Compact on
		enterprises;			Refugees;
		- the UN guiding			- the Global Compact on
		principles on			Safe, Orderly and Regular
		business and			Migration, adopted in
		human rights;			Marrakech on December
		- the UN New			10th 2018;
		Urban Agenda;			- the United Nations
		- the UN			Convention on the Rights
		Convention on the			of the Child.

Line	Commission	EP position	Council negotiating mandate	Possible compromise	Comments
	proposal	Rights of Persons with Disabilities; the Refugee Convention; the Convention on the Elimination of All Forms of Discrimination against Women, the outcomes of the Beijing Platform for Action and the Programme of Action of the International Conference on Population and Development (ICPD); the UNCTAD Roadmap towards Sustainable Sovereign Debt Workouts (April 2015);	mandate		 the Kimberley Process, the Sustainability Compact, Regulation (EU) No 978/2012 of the European Parliament and the Council²² (GSP Regulation)

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal	. ~	mandate		
		- the Guiding			
		Principles on			
		Foreign Debt and			
		Human Rights			
		drawn up by the			
		Office of the UN			
		High			
		Commissioner for			
		Human Rights;			
		- the Global			
		Compact on			
		Refugees;			
		- the Global			
		Compact on Safe,			
		Orderly and			
		Regular			
		Migration,			
		adopted in Marrakech on			
		December 10th			
		2018; - the United			
		- the United Nations			
		Convention on the			
		Rights of the Child.			
20.	(10) In order to	(10) In order to	(10) In order to	(10) This Regulation	Provisionally closed
	implement the new	implement the new	implement the new	should aim at increasing	
	international	international	international	the coherence and	
	framework	framework established	framework established	ensuring the effectiveness	

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	established by the	by the 2030 Agenda,	by the 2030 Agenda,	of the Union's external	
	2030 Agenda, the	the Global Strategy	the Global Strategy	action <i>thus improving</i> the	
	Global Strategy and	and the Consensus,	and the Consensus,	implementation of the	
	the Consensus, this	this Regulation should	<i>tT</i> his Regulation	different external action	
	Regulation should	aim at increasing the	should aim at	policies.	
	aim at increasing the	coherence and	increasing the		
	coherence and	ensuring the	coherence and		
	ensuring the	effectiveness of the	ensuring the		
	effectiveness of the	Union's external	effectiveness of the		
	Union's external	action by	Union's external action		
	action by	concentrating its	by concentrating its		
	concentrating its	efforts through a	efforts through a		
	efforts through a	streamlined instrument	streamlined instrument		
	streamlined	to improve the	to improve thus		
	instrument to	implementation of the	improv <i>ing</i> the		
	improve the	different external	implementation of the		
	implementation of	action policies.	different external		
	the different external		action policies.		
	action policies.		_		
21.	(11) In accordance	(11) In accordance	(11) In accordance	(11) In accordance with	Provisionally closed
	with the Global	with the Global	with the Global	the Global Strategy, <i>the</i>	
	Strategy and the	Strategy and the	Strategy, <i>the</i>	Consensus and the Sendai	
	Sendai Framework	Sendai Framework for	Consensus and the	Framework for Disaster	
	for Disaster Risk	Disaster Risk	Sendai Framework for	Risk Reduction (2015-	
	Reduction (2015-	Reduction (2015-	Disaster Risk	2030) as adopted on 18	

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	2030) as adopted on	2030) as adopted on	Reduction (2015-2030)	March 2015 ²³ , recognition	
	18 March 2015 ²³ ,	18 March 2015 ²³ ,	as adopted on 18	should be given to the	
	recognition should	recognition should be	March 2015^{23} ,	need to move away from	
	be given to the need	given to the need to	recognition should be	crisis response and	
	to move away from	move away from crisis	given to the need to	containment to a more	
	crisis response and	response and	move away from crisis	structural, long-term	
	containment to a	containment to a more	response and	approach that more	
	more structural,	structural, <i>preventive</i>	containment to a more	effectively addresses	
	long-term approach	long-term approach	structural, long-term	situations of fragility,	
	that more effectively	that more effectively	approach that more	natural and man-made	
	addresses situations	addresses situations of	effectively addresses	disasters, and protracted	
	of fragility, natural	fragility, natural and	situations of fragility,	crises. Greater emphasis	
	and man-made	man-made disasters,	natural and man-made	and collective approaches	
	disasters, and	and protracted crises.	disasters, and	are required on risk	
	protracted crises.	Greater emphasis and	protracted crises.	reduction, prevention,	
	Greater emphasis	collective approaches	Greater emphasis and	mitigation and	
	and collective	are required on risk	collective approaches	preparedness; and further	
	approaches are	reduction, prevention,	are required on risk	efforts are required to	
	required on risk	mitigation and	reduction, prevention,	enhance swift response	
	reduction,	preparedness; and	mitigation and	and a durable recovery.	
	prevention,	further efforts are	preparedness; and	This Regulation should	
	mitigation and	required to enhance	further efforts are	therefore contribute to	
	preparedness; and	swift response and a	required to enhance	strengthening resilience	
	further efforts are	durable recovery. This	swift response and a	and linking humanitarian	
	required to enhance	Regulation should	durable recovery. This	aid and development	

[&]quot;Sendai Framework for Disaster Risk Reduction", adopted on 18 March 2015 and endorsed by the United Nations General Assembly on 3 June 2015 (A/RES/69/283).

LIMITE SOLUTION SOLUT

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	swift response and a durable recovery. This Regulation should therefore contribute to strengthening resilience and linking humanitarian aid and development action through rapid response actions.	therefore contribute to strengthening resilience and linking humanitarian aid and development action particularly through rapid response actions as well as relevant geographic and thematic programmes, while ensuring the appropriate predictability, transparency and accountability, as well as coherence, consistency and complementarity with humanitarian aid and full compliance with international humanitarian law and without hindering the delivery of humanitarian aid according to the principles of humanity, neutrality, impartiality and	Regulation should therefore contribute to strengthening resilience and linking humanitarian aid and development action through rapid response actions.	action particularly through rapid response actions as well as relevant geographic and thematic programmes, while ensuring respect of humanitarian principles.	

Line Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
proposar	independence in emergency and post- emergency contexts.	munuut		
(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level Forum in 2016 and recalled in the Consensus, the Union's development cooperation should apply the development effectiveness principles, namely ownership of development priorities by developing countries, a focus on results, inclusive development partnerships as well	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level Forum in 2016 and recalled in the Consensus, the Union's Union, in the context of its official development ecoperation assistance and across all aid modalities, should apply the development effectiveness principles, namely ownership of development priorities by developing countries, a focus on results, inclusive development partnerships as well as	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level Forum in 2016 and recalled in the Consensus, the Union's development cooperation should apply the development effectiveness principles, namely ownership of development priorities by developing countries, a focus on results, inclusive development partnerships as well as transparency and <i>mutual</i> accountability.	(12) In line with the international commitments of the Union on development effectiveness as adopted in Busan in 2011 and renewed at the Nairobi High Level Forum in 2016 and recalled in the Consensus, the Union's Union, in the context of its official development eooperation assistance and across all aid modalities should apply the development effectiveness principles, namely ownership of development priorities by developing countries, a focus on results, inclusive development partnerships as well as transparency and mutual accountability as well as alignment and harmonisation.	Provisionally closed

LIMITE SINGLE STATE STAT

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	as transparency and	mutual transparency			
	accountability.	and accountability, in			
		addition to the			
		principles of			
		alignment and			
22	(10) 5	harmonisation.	(10) 7		
23.	(13) Pursuant to the	(13) Pursuant to the	(13) Pursuant to the		
	Sustainable	Sustainable	Sustainable		
	Development Goals,	Development Goals,	Development Goals,		
	this Regulation	this Regulation should	this Regulation should		
	should contribute to	contribute to	contribute to		
	reinforced	reinforced monitoring	reinforced monitoring		
	monitoring and	and reporting with a	and reporting with a		
	reporting with a	focus on results,	focus on results,		
	focus on results,	covering outputs,	covering outputs,		
	covering outputs, outcomes and	outcomes and impacts in partner countries	outcomes and impacts in partner countries		
	impacts in partner	benefiting from the	benefiting from the		
	countries benefiting	Union's external	Union's external		
	from the Union's	financial assistance. In	financial assistance. In		
	external financial	particular, as agreed in	particular, as agreed in		
	assistance. In	the Consensus, actions	the Consensus, actions		
	particular, as agreed	under this Regulation	under this Regulation		
	in the Consensus,	are expected to should	are expected to		
	actions under this	contribute <i>at least</i>	contribute 20% of the		
	Regulation are	20 % of the Official	Official Development		
	expected to	Development	Assistance funded		
	contribute 20% of	Assistance funded	under this Regulation		
	the Official	under this Regulation	to social inclusion and		
	Development	to social inclusion and	human development,		

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	Assistance funded under this Regulation to social inclusion and human development, including gender equality and women's empowerment.	human development, including with a focus on basic social services, such as health, education, nutrition, water, sanitation and hygiene, and social protection, particularly to the most marginalised, taking into account gender equality, and women's empowerment and children's rights as horizontal issues.	including gender equality and women's empowerment.		
24.	(14) Whenever possible and appropriate, the results of the Union's external action should be monitored and assessed on the basis of pre-defined, transparent, country-specific and measurable indicators, adapted to the specificities	(14) Whenever possible and appropriate, In order to improve effective accountability and transparency of the Union budget, the Commission should set up clear monitoring and evaluation mechanisms to ensure effective assessment	(14) Whenever possible and appropriate, the results of the Union's external action should be monitored, <i>evaluated</i> and assessed on the basis of pre-defined, transparent, country-specific and measurable indicators, adapted to the specificities and	(14) The Commission should set up ensure clear monitoring and evaluation mechanisms are in place in order to provide improve effective accountability and transparency in implementing the Union budget, and in order to ensure effective assessment of progress towards the achievement	Provisionally closed

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	and objectives of the Instrument and preferably based on the results framework of the partner country.	of progress towards the achievement of this Regulation's objectives. The results of the Union's external action should be monitored and assessed on the basis of pre-defined, transparent, country- specific and measurable indicators, adapted to the specificities and objectives of the Instrument and preferably based on the results framework of the partner country. The Commission should regularly monitor its actions and review progress, making the results publicly available, in particular in the form of an annual report to the European Parliament and the Council.	objectives of the Instrument and preferably based on the results framework of the partner country.	of this Regulation's objectives. Whenever possible and appropriate, Tethe results of the Union's external action should be monitored and evaluated and assessed on the basis of pre-defined, transparent, country-specific and measurable indicators, adapted to the specificities and objectives of the Instrument and preferably based on the results framework of the partner country.	

LIMITE SS EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
24. bis			(14 bis) The Commission should regularly monitor actions financed under this Regulation and review progress made towards expected results, covering outputs and outcomes. Wherever possible, existing results frameworks should be used. The indicators used to measure progress should be in line with the Sustainable Development Goals and be clear, relevant and have robust methodologies. The data for the indicators should be readily available and of a good quality. The values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to	(14 bis) The Commission should regularly monitor actions financed under this Regulation and review progress made towards expected results, covering outputs and outcomes. Wherever possible, existing results frameworks should be used. The indicators used to measure progress should be in line with the Sustainable Development Goals and be clear, relevant and have robust methodologies. The data for the indicators should be readily available and of a good quality. The values of the indicators on 1 January 2021 should be used as a basis for assessing the extent to which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the	Provisionally closed

LIMITE SA EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
			which the objectives of this Regulation have been achieved and will feed into the annual reports as well as the mid-term and final evaluations of the Regulation. The Commission should also evaluate the impact and effectiveness of its sectoral policies, indicative programmes and specific actions, where appropriate by means of independent external evaluations.	Regulation. The Commission should also make appropriate use of independent external evaluations. In this regard, the Commission should ensure, where relevant, appropriate involvement of the European Parliament, the Council as well as other stakeholders,including civil society organisations.	
24. ter			(14 ter) The Commission should send its evaluation reports to the European Parliament, to the Council and to the Member States through the relevant committee. Evaluations may be discussed in that committee at the	{1}	To be discussed in the governance discussion

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
			request of Member		
			States. The results		
			should feed back into		
			programme design		
			and resource		
			allocation.		
25.	(15) This Regulation	(15) This Regulation	(15) This Regulation		
	should contribute to	should contribute to	should contribute to		
	the collective Union	the collective Union	the collective Union		
	objective of	objective of providing	objective of providing		
	providing 0.7% of	0,7% of Gross	0.7% of Gross		
	Gross National	National Income as	National Income as		
	Income as Official	Official Development	Official Development		
	Development	Assistance within the	Assistance within the		
	Assistance within the	timeframe of the 2030	timeframe of the 2030		
	timeframe of the	Agenda. <i>That</i>	Agenda. In that regard,		
	2030 Agenda. In that	commitment should	at least 92% of the		
	regard, at least 92%	be based on a clear	funding under this		
	of the funding under	roadmap for the	Regulation should		
	this Regulation	Union and its	contribute to actions		
	should contribute to	Member States to set	designed in such a way		
	actions designed in	out deadlines and	that they fulfil the		
	such a way that they	modalities for its	criteria for Official		
	fulfil the criteria for	achievement. In that	Development		
	Official	regard, at least 92 %	Assistance as		
	Development	95 % of the funding	established by the		
	Assistance as	under this Regulation	Development		
	established by the	should contribute to	Assistance Committee		
	Development	actions designed in	of the Organisation for		
	Assistance	such a way that they	Economic Cooperation		

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	Committee of the	fulfil the criteria for	and Development.		
	Organisation for	Official Development			
	Economic	Assistance as			
	Cooperation and	established by the			
	Development.	Development			
		Assistance Committee			
		of the Organisation for			
		Economic			
		Cooperation and			
		Development.			
26.	(16) In order to	(16) In order to ensure	(16) In order to ensure		
	ensure resources are	resources are provided	resources are provided		
	provided to where	to where the need is	to where the need is		
	the need is greatest,	greatest, especially to	greatest, especially to		
	especially to the	the Least Developed	the Least Developed		
	Least Developed	Countries and the	Countries and the		
	Countries and the	countries in situation	countries in situation		
	countries in situation	of fragility and	of fragility and		
	of fragility and	conflict, this	conflict, this		
	conflict, this	Regulation should	Regulation should		
	Regulation should	contribute to the	contribute to the <i>EU</i>		
	contribute to the	collective target of	collective target of		
	collective target of	reaching 0,20 % of the	reaching 0.15- 0.20%		
	reaching 0.20% of	Union Gross National	of the Union Gross		
	the Union Gross	Income towards Least	National Income		
	National Income	Developed Countries	towards as Official		
	towards Least	within the timeframe	Development		
	Developed Countries within the timeframe	of the 2030 Agenda. This commitment	Assistance to Least		
			Developed Countries		
	of the 2030 Agenda.	should be based on a	in the short term, and		

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		clear roadmap for the EU and its Member States to set out deadlines and modalities for its achievement.	to reach 0.20% of Gross National Income as Official Development Assistance within the timeframe of the 2030 Agenda.		
26. bis		(16a) In line with existing commitments in the EU Gender Action Plan II, at least 85 % of Official Development Assistance funded programmes, geographic and thematic, should have gender equality as a principal or a significant objective, as defined by the OECD DAC. A mandatory review of the spending should ensure that a significant part of these programmes have gender equality and women's and girls' rights and	(16 bis) As agreed in the Consensus, actions under this Regulation are expected to contribute at least 20% of the Official Development Assistance funded under this Regulation to social inclusion and human development objectives.		

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		empowerment as a principal objective.			
26. ter		(16b) This regulation should give particular attention to children and youth as contributors to the realisation of Agenda 2030. The Union's external action under this Regulation should give particular attention to their needs and empowerment and will contribute to the realisation of their potential as key agents of change by investing in human development and social inclusion.		(16b) This regulation should support children and youth as key agents of change and as contributors to the realisation of Agenda 2030, giving particular attention to their needs and empowerment.	Provisionally closed
26. quater		(16c) The inhabitants of the countries of Sub-Saharan Africa are mainly adolescents and young people. Each country should decide on its demographic			Provisionally closed

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		policy. However, the demographic dynamic should be tackled in a global way in order to ensure that current and future generations will be able to achieve their full potential in a sustainable way.			
27.	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically – the European Neighbourhood and Africa, as well as countries that are fragile and most in need, but also thematically – security, migration, climate change and human rights.	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically – the European Neighbourhood and Africa, as well as countries that are fragile and most in need, particularly Least Developed Countries, but also thematically – sustainable development, poverty eradication, democracy and human rights, the rule of law, good	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically the European Neighbourhood and Africa, as well as countries that are fragile and most in need, but also thematically security, migration, climate change and <i>environment</i> , and human rights.	(17) This Regulation should reflect the need to focus on strategic priorities, both geographically [the European Neighbourhood] and Africa, as well as countries that are fragile and most in need {1}, but also thematically sustainable development, human rights, democracy and the rule of law, security, migration, climate change and environment, and global public health.	COM to revert with proposal that includes other country categories .as agreed in Article 11. {1} EP: particularly Least Developed Countries CL: This Regulation should reflect the need to focus on strategic priorities, both geographically - [the European Neighbourhood] and Africa, as well as countries that are fragile and most in need in particular Least Developed Countries, low income

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		governance, security, safe, orderly and regular migration, the reduction of inequalities, gender equality, addressing environmental degradation and climate change and human rights global public health threats.			countries, countries in crisis, post-crisis, fragile and vulnerable situations, including small islands developing states and landlocked developing countries. In addition, this reulgation should address the specific challenges of Middle Income Countries and in particular countries that graduate from lower income status. This regulation should also focus on strategic priorities thematically - sustainable development, human rights, democracy and the rule of law, security, migration, climate change and environment, and global public health.
27. bis		(17a) This Regulation should contribute to creating State and societal resilience in the area of global public health by	•		To be adapted after second discussion on Covid-related proposals

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		addressing global public health threats, strengthening health systems, achieving universal health coverage, preventing and combatting communicable diseases and helping to secure affordable medicines and vaccines for all.			
27. ter		,	(17 bis) The financial envelopes foreseen for the Neighbourhood and Sub-Saharan Africa geographic programmes should only be increased, given the particular priority the Union gives to these regions		To be discussed after Art.6
28.	(18) This Regulation should support the implementation of the European	(18) The special relationship developed with the Union's neighbouring	(18) This Regulation should support the implementation of the European		

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal	,	mandate		
	Neighbourhood	countries, in	Neighbourhood Policy,		
	Policy, as reviewed	accordance with	as reviewed in 2015^{24} ,		
	in 2015, and the	Article 8 TEU, should	and endorsed by the		
	implementation of	be preserved and	Council in its		
	regional cooperation	enhanced through the	Conclusions of		
	frameworks, such as	application of this	14 December 2015 ²⁵ ,		
	cross-border	Regulation. <i>This</i>	while maintaining an		
	cooperation and the	Regulation should	adequate geographical		
	external aspects of	contribute to	balance, and the		
	relevant macro-	reinforcing States'	implementation of		
	regional and sea	and societies'	regional cooperation		
	basin strategies and	resilience in the	frameworks, such as		
	policies. Those	Union's	cross-border		
	initiatives offer	neighbourhood,	cooperation,		
	political frameworks	following the	transnational and		
	for deepening	engagement taken in	maritime cooperation		
	relations with and	the Global Strategy. It	as well as the external		
	among partner	should support the	aspects of relevant		
	countries, based on	implementation of the	macro-regional and sea		
	the principles of	European	basin strategies and		
	mutual	Neighbourhood	policies. Those		
	accountability,	Policy, as reviewed in	initiatives offer		
	shared ownership	2015, and the	political frameworks		
	and responsibility.	implementation of	for deepening relations		

Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18
November 2015

²⁵ Council Conclusions on the Review of the European Neighbourhood Policy, of 14 December 2015 (926/15).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
		regional cooperation	with and among		
		frameworks, such as	partner countries,		
		cross-border	based on the principles		
		cooperation and the	of mutual		
		external aspects of	accountability, shared		
		relevant macro-	ownership and		
		regional and sea basin	responsibility.		
		strategies and policies			
		in the eastern and			
		southern			
		neighbourhood,			
		including the			
		Northern Dimension			
		and the Black Sea			
		regional cooperation.			
		Those initiatives offer			
		supplementary			
		political frameworks			
		for deepening			
		relations with and			
		among partner			
		countries, based on the			
		principles of mutual			
		accountability, shared			
		ownership and			
		responsibility.			
29.	(19) The European	(19) The European	(19) The European		

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	Neighbourhood	Neighbourhood	Neighbourhood Policy,		
	Policy, as reviewed	Policy , as reviewed in	as reviewed in 2015 ²⁷		
	in 2015^{26} , aims at the	2015²⁶, aims at <i>the</i>	and endorsed by the		
	stabilisation of	deepening of	Council in its		
	neighbouring	democracy,	Conclusions of 14		
	countries and	promotion of human	December 2015 28 ,		
	strengthening	rights and upholding	aims at the stabilisation		
	resilience,	of the rule of law, the	of neighbouring		
	particularly by	stabilisation of	countries and		
	boosting economic	neighbouring	strengthening <i>their</i>		
	development, as the	countries and	resilience, particularly		
	Union's main	strengthening	by boosting economic		
	political priorities. In	resilience, particularly	development, as the		
	order to attain its	by boosting	Union's main political		
	objective, the	promoting political,	priorities. In order to		
	reviewed European	economic	attain its objective, the		
	Neighbourhood	development and	reviewed European		
	Policy has been	social reforms, as the	Neighbourhood Policy		
	focusing on four	Union's main political	has been focusing on		
	priority areas: good	priorities. In order to	four the following		

Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

EP position: Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

Joint communication to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions, "Review of the European Neighbourhood policy", 18 November 2015.

²⁸ Council Conclusions on the Review of the European Neighbourhood Policy, of 14 December 2015 (926/15).

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	governance,	attain its objective, the	priority areas: good		
	democracy, the rule	implementation of the	governance,		
	of law and human	reviewed European	democracy, the rule of		
	rights, with a	Neighbourhood Policy	law and human rights,		
	particular focus in	has been focusing on	with a particular focus		
	engaging further	four through this	in engaging further		
	with civil society;	Regulation should	with civil society;		
	economic	focus on the following	economic		
	development;	priority areas: good	development;		
	security; migration	governance,	enhancement of		
	and mobility,	democracy, the rule of	connectivity; security;		
	including tackling	law and human rights,	reform agenda;		
	the root causes of	with a particular focus	migration and		
	irregular migration	in engaging further	mobility, including		
	and forced	with civil society;	tackling the root causes		
	displacement.	economic socio-	of irregular migration		
	Differentiation and	economic	and forced		
	enhanced mutual	development,	displacement.		
	ownership are the	including the fight	Differentiation and		
	hallmark of the	against youth	enhanced mutual		
	European	unemployment, as	ownership are the		
	Neighbourhood	well as education and	hallmark of the		
	Policy, recognising	environmental	European		
	different levels of	sustainability;	Neighbourhood Policy,		
	engagement, and	security; migration	recognising different		
	reflecting the	and mobility,	levels of engagement		
	interests of each	including tackling the	and needs, and		
	country concerning	root causes of	reflecting the interests		
	the nature and focus	irregular migration	of each country		
	of its partnership	and forced	concerning the nature		

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	with the Union.	displacement and	and focus of its		
		supporting	partnership with the		
		populations, countries	Union.		
		and regions			
		confronted with			
		enhanced migratory			
		pressure. This			
		Regulation should			
		support the			
		implementation of the			
		Union's association			
		agreements and deep			
		and comprehensive			
		free trade agreements			
		with countries in the			
		neighbourhood.			
		Differentiation and			
		enhanced mutual			
		ownership are the			
		hallmark of the			
		European			
		Neighbourhood			
		Policy, recognising			
		different levels of			
		engagement, and			
		reflecting the interests			
		of each country			
		concerning the nature			
		and focus of its			
		partnership with the			

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
		Union. The			
		performance-based			
		approach is one of the			
		key tenets of the			
		European			
		Neighbourhood			
		Policy. In the case of			
		a serious or persistent			
		degradation of			
		democracy in one of			
		the partner countries,			
		support should be			
		suspended.			
		Neighbourhood			
		funding is a key lever			
		in addressing			
		common challenges,			
		such as irregular			
		migration and climate			
		change, as well as in			
		spreading prosperity,			
		security and stability			
		through economic			
		development and			
		better governance.			
		The visibility of			
		Union assistance in			
		the neighbourhood			
		area should be			
		enhanced.			

LIMITE 50 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
30.	(20) This Regulation	(20) This Regulation	(20) This Regulation	(20) [This Regulation	Provisionally closed
	should support the	should support the	should support the	should support the	
	implementation of a	implementation of a	implementation of a	implementation of a	
	modernised	modernised	modernised association	modernised association	
	association	association agreement	agreement with	agreement with countries	
	agreement with	with countries of the	countries of the Africa,	of the Africa, Caribbean	
	countries of the	Africa, Caribbean and	Caribbean and Pacific	and Pacific (ACP) Group	
	Africa, Caribbean	Pacific (ACP) Group	(ACP) Group of States	of States and allow the EU	
	and Pacific (ACP)	of States and allow the	and allow the EU and	and its ACP partners to	
	Group of States and	EU and its ACP	its ACP partners to	develop further strong	
	allow the EU and its	partners to develop	develop further strong	alliances on key global	
	ACP partners to	further strong	alliances on key global	challenges.] In particular,	
	develop further	alliances on key <i>and</i>	challenges. In	this Regulation should	
	strong alliances on	shared global	particular, this	support the continuation	
	key global	challenges. In	Regulation should	of the established	
	challenges. In	particular, this	support the	cooperation between the	
	particular, this	Regulation should	continuation of the	Union and the African	
	Regulation should	support the	established cooperation	Union in line with the	
	support the	continuation of the	between the Union and	Joint Africa-EU	
	continuation of the	established	the African Union in	Strategyand build on the	
	established	cooperation between	line with the Joint	future EU-ACP agreement	
	cooperation between	the Union and the	Africa-EU Strategy	after 2020, including	
	the Union and the	African Union in line	and build on the future	through a continental	
	African Union in	with the Joint Africa-	EU-ACP agreement	approach towards Africa,	
	line with the Joint	EU Strategy,	after 2020, including	and a mutually beneficial	
	Africa-EU Strategy	including the	through a continental	partnership of equals	
	and build on the	engagement from	approach towards	between the EU and	
	future EU-ACP	Africa and the Union	Africa.	Africa.	
	agreement after	to promote children's			
	2020, including	rights as well as the			

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	through a continental approach towards Africa.	empowerment of Europe's and Africa's youth, and build on the future EU-ACP agreement after 2020, including through a continental approach towards Africa, and a mutually beneficial partnership of equals between the EU and Africa.			
		Аунии.		(20 bis) The Union should also seek to further develop relations and build partnerships with third countries in Asia and the Americas.	Provisionally closed
30. bis		(20a) This Regulation should also contribute to the trade-related aspects of the Union's external relations, such as cooperation with third countries on supply chain due diligence for tin, tantalum and gold, the Kimberley Process, the		(20a) This Regulation should also contribute to the trade-related aspects of the Union's external relations including supply-chain due diligence in order to ensure consistency and mutual support between Union trade policy and development goals and actions.	Provisionally closed

LIMITE 52 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal	Sustainability Compact, the implementation of commitments under Regulation (EU) No 978/2012 of the European Parliament and the Council ²⁹ (GSP Regulation), cooperation under the Forest Law Enforcement, Governance and Trade (FLEGT) and Aid for Trade initiatives in order to ensure consistency and mutual support between Union trade policy and development goals and actions.	mandate		
			(20 bis) The Union should seek to further		Provisionally closed
			develop relations and		Moved to line 30.

Regulation (EU) No 978/2012 of the European Parliament and of the Council of 25 October 2012 applying a scheme of generalised tariff preferences and repealing Council Regulation (EC) No 732/2008 (OJ L 303, 31.10.2012, p. 1).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
			build partnerships		
			with third countries,		
			including in Asia and		
			the Americas.		
31.	(21) The Union	(21) The Union should	(21) The Union should	(21) The Union should	Provisionally closed
	should seek the most	seek the most efficient	seek the most efficient	seek the most efficient use	
	efficient use of	use of available	use of available	of available resources in	
	available resources	resources in order to	resources in order to	order to optimise the	
	in order to optimise	optimise the impact of	optimise the impact of	impact of its external	
	the impact of its	its external action.	its external action.	action. That should be	
	external action. That	That should be	That should be	achieved through	
	should be achieved	achieved through	achieved through	coherence, consistency	
	through coherence	coherence,	coherence, consistency	and complementarity	
	and complementarity	consistency and	and complementarity	among the Union's	
	among the Union's	complementarity	among the Union's	external financing	
	external financing	among the Union's	external financing	instruments, notably the	
	instruments, notably	external financing	instruments, notably	Instrument for Pre-	
	the Instrument for	instruments, notably	the Instrument for Pre-	Accession Assistance	
	Pre-Accession III ³⁰ ,	the Instrument for Pre-	Accession III ³⁰ , the	III ³⁰ , the Humanitarian	
	the Humanitarian	Accession III ³⁰ , the	Humanitarian Aid	Aid Instrument ³¹ , the	
	Aid Instrument ³¹ , the	Humanitarian Aid	Instrument ³¹ , the	Decision on Overseas	
	Decision on	Instrument ³¹ , the	Decision on Overseas	Countries and	

COM (2018) 465 final Proposal for a Regulation of the European Parliament and of the Council establishing the Instrument for Pre-accession Assistance (IPA III)

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	Overseas Countries	Decision on Overseas	Countries and	Territories ³² , the European	
	and Territories ³² , the	Countries and	Territories ³² , the	Instrument for Nuclear	
	European Instrument	Territories ³²³² , the	European Instrument	Safety to complement the	
	for Nuclear Safety to	European Instrument	for Nuclear Safety to	Neighbourhood,	
	complement the	for Nuclear Safety to	complement the	Development and	
	Neighbourhood,	complement the	Neighbourhood,	International Cooperation	
	Development and	Neighbourhood,	Development and	Instrument on the basis of	
	International	Development and	International	the Euratom Treaty ³³ , the	
	Cooperation	International	Cooperation	common foreign and	
	Instrument on the	Cooperation	Instrument on the basis	security policy including,	
	basis of the Euratom	Instrument on the	of the Euratom	where relevant, the	
	Treaty ³³ , the	basis of the Euratom	Treaty ³³ , the common	Common Security and	
	common foreign and	Treaty 33 , the common	foreign and security	Defence Policy, and [the	
	security policy and	foreign and security	policy <i>including</i> ,	European Peace Facility ³⁴	
	the newly proposed	policy and the newly	where relevant, the	which is financed outside	
	European Peace	proposed European	Common Security and	the Union budget], as well	
	Facility ³⁴ which is	Peace Facility ³⁴ which	Defence Policy, and	as the creation of	
	financed outside the	is financed outside the	the newly proposed	synergies with other	
	Union budget, as	Union budget, as well	European Peace	Union policies and	
	well as the creation	as the creation of	Facility ³⁴ which is	Programmes. This	

COM(2018) 461 final Proposal for a Council Decision on the Association of the Overseas Countries and Territories with the European Union including relations between the European Union on the one hand, and Greenland and the Kingdom of Denmark on the other ('Overseas Association Decision').

COM(2018) 462 final Proposal for a Council Regulation establishing a European Instrument for Nuclear Safety complementing the Neighbourhood, Development and International Cooperation Instrument on the basis of the Euratom Treaty.

C(2018) 3800 final Proposal of the High Representative of the Union for Foreign Affairs and Security Policy to the Council for a Council Decision establishing a European Peace Facility.

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	of synergies with	synergies with other	financed outside the	includes coherence and	
	other Union policies	Union policies and	Union budget, as well	complementarity with	
	and Programmes.	Programmes,	as the creation of	macro-financial	
	This includes	including Trust	synergies with other	assistance, where relevant.	
	coherence and	Funds as well as	Union policies and	In order to maximise the	
	complementarity	policies and	Programmes. This	impact of combined	
	with macro-financial	programmes of the	includes coherence and	interventions to achieve a	
	assistance, where	EU Member States.	complementarity with	common objective, this	
	relevant. In order to	This includes	macro-financial	Regulation should allow	
	maximise the impact	coherence and	assistance, where	for the combination of	
	of combined	complementarity with	relevant. In order to	funding with other Union	
	interventions to	macro-financial	maximise the impact of	Programmes, as long as	
	achieve a common	assistance, where	combined interventions	the contributions do not	
	objective, this	relevant. In order to	to achieve a common	cover the same costs.	
	Regulation should	maximise the impact	objective, this		
	allow for the	of combined	Regulation should		
	combination of	interventions to	allow for the		
	funding with other	achieve a common	combination of		
	Union Programmes,	objective, this	funding with other		
	as long as the	Regulation should	Union Programmes, as		
	contributions do not	allow for the	long as the		
	cover the same costs.	combination of	contributions do not		
		funding with other	cover the same costs.		
		Union Programmes, as			
		long as the			
		contributions do not			
		cover the same costs.			
32.	(22) Funding from	(22) Funding from this	(22) Building on its	(22) Building on its	Provisionally closed
	this Regulation	Regulation should be	success, this	success, this Regulation	
	should be used to	used to finance actions	Regulation should	should enable the Union	

LIMITE 56 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	finance actions under	under the international	enable the Union to	to further strengthen the	
	the international	dimension of Erasmus	further strengthen the	external dimension of the	
	dimension of	and Creative Europe,	external dimension of	Erasmus programme.	
	Erasmus, the	the implementation of	the Erasmus	Funding from this	
	implementation of	which should be done	<i>programme</i> . Funding	Regulation should be used	
	which should be	according to	from this Regulation	to finance actions under	
	done according to	Regulation (EU)/	should be used to	the international	
	the Erasmus	of the European	finance actions under	dimension of Erasmus, the	
	Regulation ³⁵ .	Parliament and of the	the international	implementation of which	
		Council ('the Erasmus	dimension of Erasmus,	should be done according	
		Regulation') ³⁵ and	the implementation use	to the Erasmus	
		Regulation (EU)/	of which should be	Regulation ³⁵ and in	
		of the European	done according to the	accordance with the	
		Parliament and of the	Erasmus Regulation ³⁵	programming document	
		Council ('the Creative	and in accordance	adopted under this	
		Europe	with the programming	Regulation.	
		Regulation') ³⁶ .	document adopted	Programming under this	
		,	under this Regulation.	Regulation should fully	
			Programming under	enhance the potential of	
			this Regulation should	Erasmus programme.	
			fully enhance the	1 0	
			potential of Erasmus		
			programme.		

³⁵ COM (2018) 367 final Proposal for a Regulation of the European Parliament and of the Council establishing 'Erasmus': the Union programme for education, training, youth and sport and repealing Regulation (EU) 1288/2013.

COM(2018)0366 Proposal for a Regulation of the European Parliament and of the Council establishing the Creative Europe programme (2021 to 2027) and repealing Regulation (EU) No 1295/2013.

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
32. bis		international dimension of the Erasmus Plus Programme should be boosted aiming at increasing opportunities for mobility and cooperation for individuals and organisations from less developed countries of the world - supporting capacity- building in third countries, skills' development, people- to-people exchanges, while offering a greater number of opportunities for cooperation and mobility with developed and emerging countries.			Provisionally closed
				(22)Funding from this Regulation should be used to finance actions under the international	Provisionally closed

LIMITE 58 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
				dimension of Creative Europe in order to contribute to foster international cultural relations, and recognise the role of culture in promoting European values.	
32. ter		(22b) Considering the relevance of addressing education and culture in line with the 2030 Agenda for Sustainable Development and the EU strategy for international cultural relations, this Regulation should contribute to ensure inclusive and equitable quality education, promote life-long learning opportunities for all, foster international cultural relations, and recognise the role of culture in promoting European values			Provisionally closed

LIMITE 59
EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
22	(22) TI	through dedicated and targeted actions designed to have a clear impact on the Union's role on the global scene.	(22) TI	(22) TI	
33.	(23) The main approach for actions financed under this Regulation should be through geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations. This general approach should be complemented by thematic programmes and by rapid response actions, where relevant.	(23) The main approach for actions financed under this Regulation should be through geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations, .This general approach while supporting thematic priorities such as human rights, civil society and sustainability. The objectives under the geographic and thematic programmes should be consistent and coherent with each other and should	(23) The main approach for actions financed under this Regulation should be through geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations. This general approach should be complemented by thematic programmes and by rapid response actions, where relevant.	(23) The main approach for actions financed under this Regulation should be through geographic programmes, in order to maximise the impact of the Union's assistance and bring Union's action closer to partner countries and populations. This general approach should be complemented by thematic programmes and by rapid response actions, where relevant whilst ensuring the consistency and coherence of all programmes and actions [1].	Provisionally closed pending agreement on governance {1} EP: In order to take account of the specificities of each programme, the power to adopt acts in accordance with Article 290 TFEU should be delegated to the Commission to supplement the provisions of this Regulation by setting out the Union's strategy, the priority areas, detailed objectives, the expected results, specific performance indicators and the specific financial allocation for each programme. It is of particular importance that the Commission carry out appropriate consultations during its preparatory work,

Line	Commission	EP position	Council negotiating mandate	Possible compromise	Comments
	proposal	be complemented by	manaate		including at expert level, and
		thematic programmes			that those consultations be
		and by rapid response			conducted in accordance with
		actions, where			the principles laid down in the
		relevant. Effective			Interinstitutional Agreement of
		complementarity			13 April 2016 on Better Law-
		between the			Making ³⁸ . In particular, to
		geographic, thematic			ensure equal participation in
		and rapid response			the preparation of delegated
		programmes and			acts, the European Parliament
		actions should be			and the Council receive all
		ensured. In order to			documents at the same time as
		take account of the			Member States' experts, and
		specificities of each			their experts systematically
		programme, the			have access to meetings of
		power to adopt acts in			Commission expert groups
		accordance with			dealing with the preparation of
		Article 290 TFEU			delegated acts.
		should be delegated to			
		the Commission to			CL:
		supplement the			No text
		provisions of this			
		Regulation by setting			
		out the Union's			
		strategy, the priority			
		areas, detailed			

³⁸ *OJ L 123, 12.5.2016, p. 1.*

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	, , , , , , , , , , , , , , , , , , , ,	objectives, the			
		expected results,			
		specific performance			
		indicators and the			
		specific financial			
		allocation for each			
		programme. It is of			
		particular importance that the Commission			
		carry out appropriate			
		consultations during			
		its preparatory work,			
		including at expert			
		level, and that those			
		consultations be			
		conducted in			
		accordance with the			
		principles laid down			
		in the			
		Interinstitutional			
		Agreement of 13 April			
		2016 on Better Law-			
		Making ³⁷ . In			
		particular, to ensure			
		equal participation in			
		the preparation of			

³⁷ *OJ L 123, 12.5.2016, p. 1.*

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.			
33. bis			(23 bis) The EU and its Member States should be united in diversity and working better together, using a variety of experiences and approaches, bearing in mind their comparative advantages. Therefore, the Union should foster inclusiveness and collaboration with Member States	(23 bis) The Union's and Member States' development cooperation policies should complement and reinforce each other. The EU and its Member States should be united in diversity and working better together, using a variety of experiences and approaches, bearing in mind their comparative advantages. Therefore, the Union should foster inclusiveness and	Provisionally closed

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
			seeking to maximise added value and taking into account experience and capacities, thus reinforcing shared interests, values and common goals. In this regard, the Union and its Member States should also seek to promote the exchange of best practices, knowledge sharing and capacity-building amongst them.	collaboration with Member States seeking to maximise added value and taking into account experience and capacities, thus reinforcing shared interests, values and common goals. In this regard, the Union and its Member States should also seek to promote the exchange of best practices, knowledge sharing and capacity- building amongst them.	
33. ter				In cases of forms of Union funding in which public administrations of Member States are involved, such as twinning, simplified implementing and contractual provisions should be discussed with Member States and applied in compliance with the Financial Regulation.	Provisionally closed
34.	(24) In line with the	(24) In line with the	(24) In line with the	(24) In line with the	Provisionally closed pending

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal	-	mandate	_	
	Consensus, the	Consensus, the Union	Consensus, the Union	Consensus, the Union and	agreement on governance
	Union and its	and its Member States	and its Member States	its Member States should	
	Member States	should enhance joint	should enhance joint	enhance joint	{1}
	should enhance joint	programming to	programming to	programming to increase	EP: implementation
	programming to	increase their	increase their	their collective impact by	application
	increase their	collective impact by	collective impact by	bringing together their	
	collective impact by	bringing together their	bringing together their	resources and capacities.	CL: implementation
	bringing together	resources and	resources and	Joint Programming	
	their resources and	capacities. Joint	capacities. Joint	should be promoted and	{2}
	capacities. Joint	programming should	Programming should	strengthened, while being	EP: application
	programming should	build on the partner	be promoted and	kept voluntary, flexible,	N.B. Takes note of concept of
	build on the partner	countries'	strengthened, while	inclusive, and tailored to	joint implementation
	countries'	engagement,	being kept voluntary,	the country context, and	
	engagement,	appropriation and	flexible, inclusive, and	allow for the replacement	CL: implementation
	appropriation and	ownership. The Union	tailored to the country	of EU and Member	
	ownership. The	and its Member States	context, and allow for	States' programming	
	Union and its	should seek to support	the replacement of EU	documents with EU Joint	
	Member States	partner countries	and Member States'	Programming documents.	
	should seek to	through joint	programming	Joint programming should	
	support partner	implementation	documents with EU	build on the partner	
	countries through	application, whenever	Joint Programming	countries' engagement,	
	joint	appropriate. Joint	documents. Joint	appropriation and	
	implementation,	application should be	programming should	ownership. The Union and	
	whenever	inclusive and open to	build on the partner	its Member States should	
	appropriate.	all Union partners	countries' engagement,	seek to support partner	
		who agree and can	appropriation and	countries through joint	
		contribute to a	ownership. The Union	{1}, whenever	
		common vision,	and its Member States	appropriate. Joint {2}	
		including Member	should seek to support	should be inclusive and	
		States' agencies and	partner countries	open to all Union	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		their development financial institutions, local authorities, the private sector, civil society and academia.	through joint implementation, whenever appropriate.	partners who agree and can contribute to a common vision, including Member States' agencies and their development financial institutions, local authorities, the private sector, civil society and academia. (24 bis) The criteria used to establish partners' needs in the programming approach should be consistent with the transparency principles laid down in this Regulation.	Provisionally closed
34. bis		(24a) In the case of a serious or persistent degradation of democracy, human rights and rule of law in one of the partner countries, support may, by means of a delegated act, be partially or fully suspended. The Commission should			

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		take due account of			
		relevant European			
		Parliament			
		resolutions in its			
		decision-making.			
34. ter		(24b) This Regulation			
		should reconfirm			
		nuclear safety as an			
		important part of the			
		Union external action			
		and facilitate the			
		objectives of			
		cooperation specified			
		in Regulation (EU)			
		/ of the European			
		Parliament and of the			
		Council ³⁹			
		('Regulation EINS').			
		Therefore, in the			
		event where a partner			
		country persistently			
		fails to respect the			
		basic nuclear safety			
		standards, such as			
		provisions of the			
		relevant international			

Regulation (EU) .../... of the European Parliament and of the Council of... on... (OJ...)

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		Conventions within the Framework of the			
		IAEA, the Espoo and			
		Aarhus Conventions and their subsequent			
		amendments, the			
		Treaty on the Non-			
		Proliferation of			
		Nuclear Weapons and the additional			
		Protocols thereto, the			
		commitments to			
		implementation of			
		stress tests and related			
		measures, and the objectives of			
		cooperation specified			
		in Regulation EINS,			
		assistance under this			
		Regulation for the country concerned			
		should be			
		reconsidered and may			
		be suspended or			
35.	(25) WI: 1-4	partly suspended.	(05) WII-11-4 1	(05) II I 4.:	
33.	(25) Whilst democracy and	(25) Whilst democracy and human	(25) Whilst democracy and human rights,	(25) Under this Regulation, the Union	Provisionally closed pending agreement on local authorities
	human rights,	rights and	including gender	should address human	agreement on toeat aumortites
	including gender	fundamental	equality and women's	rights and	{1}
	equality and	freedoms, including	empowerment should	democratisation issues at	EP: and local authorities

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	women's	the protection of	be reflected throughout	all levels. Whilst	CL: no text
	empowerment	children, minorities,	the implementation of	democracy and human	
	should be reflected	persons with	this Regulation, Union	rights, including gender	
	throughout the	disabilities and	assistance under the	equality and women's	
	implementation of	LGBTI persons, as	thematic programmes	empowerment should be	
	this Regulation,	well as gender	for human rights and	reflected and	
	Union assistance	equality, and women's	democracy and civil	mainstreamed throughout	
	under the thematic	women and girls'	society organisations	the implementation of this	
	programmes for	empowerment should	should have a specific	Regulation, Union	
	human rights and	be <i>consistently</i>	complementary and	assistance under the	
	democracy and civil	reflected and	additional role by	thematic programmes for	
	society organisations	mainstreamed	virtue of its global	human rights and	
	should have a	throughout the	nature and its	democracy and civil	
	specific	implementation	independence of action	society organisations {1}	
	complementary and	application of this	from the consent of the	should have a specific	
	additional role by	Regulation, Union	governments and	complementary and	
	virtue of its global	assistance under the	public authorities of	additional role by virtue of	
	nature and its	thematic programmes	the third countries	its global nature and its	
	independence of	for human rights and	concerned. This role	independence of action	
	action from the	democracy and civil	should allow for	from the consent of the	
	consent of the	society organisations -	cooperation and	governments and public	
	governments and	local authorities	partnership with civil	authorities of the third	
	public authorities of	should have a specific	society, especially on	countries concerned. <i>This</i>	
	the third countries	complementary and	sensitive human rights	role should allow for	
	concerned.	additional role by	and democracy issues.	cooperation and	
		virtue of its global		partnership with civil	
		nature and its		society, especially on	
		independence of		sensitive human rights	
		action from the		and democracy issues.	
		consent of the		The Union should pay	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		governments and public authorities of the third countries concerned. In doing so, the Union should pay particular attention to countries and urgency situations where human rights and fundamental freedoms are most at risk and where disrespect for those rights and freedoms is particularly pronounced and systematic, as well as to situations where space for civil society is at stake. The Union's assistance under this Regulation should be designed in such a way as to allow for support to, and cooperation and partnership with civil society on sensitive and human rights and		particular attention to countries and urgency situations where human rights and fundamental freedoms are most at risk and where disrespect for those rights and freedoms is particularly pronounced and systematic, in a flexible manner.	

LIMITE FN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
		democracy issues,			
		providing the			
		flexibility and			
		requisite reactivity to			
		respond to changing			
		circumstances, needs			
		of beneficiaries, or			
		periods of crisis, and			
		when necessary,			
		contributing to			
		capacity building of			
		civil society. In such			
		cases, the political			
		priorities should be to			
		promote respect for			
		international law and			
		to provide means of			
		action to local civil			
		society and other			
		relevant human rights			
		stakeholders in order			
		to contribute to work			
		that is carried out in			
		very difficult			
		circumstances. This			
		Regulation should			
		offer also the			
		possibility for civil			
		society organisations			
		to receive small			

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal	grants in a fast and efficient manner when necessary, in particular in the most difficult situations, such as those of fragility, crisis, and inter-community	mandate		
35. bis		tensions. (25a) In accordance with Articles 2, 3 and 21 TEU and Article 8 TFEU, the implementation of this Regulation should be guided by the principles of gender equality, women and girls' empowerment, and should seek to protect and promote women's rights in line with the Gender Action Plan II, the Council Conclusions on Women Peace and Security of 10 December 2018, the Council of Europe		(25 a) The {1} of this Regulation should be guided by the principles of gender equality, women and girls' empowerment, and should seek to protect and promote women's rights. The Union should support organisations which are working on {2} combating gender-based violence and discrimination.	EP: application CL: implementation {2} To be discussed under SRHR discussions: EP: promoting sexual and reproductive health and rights and on CL: no text CL notes this recital should be taken into account when the gender target is discussed

LIMITE 72 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		Istanbul Convention and Goal 5 of the 2030 Agenda for Sustainable Development.			
			(25 bis) EU Election Observation Missions should contribute to increase transparency and confidence in electoral processes, and provide an informed assessment of elections as well as recommendations for their further improvement, in the context of Union cooperation and political dialogue with partner countries.	(25 bis) EU Election Observation Missions should contribute to increase transparency and confidence in electoral processes, and provide an informed assessment of elections as well as recommendations for their further improvement, in the context of Union cooperation and political dialogue with partner countries.	Provisionally closed
35. ter		(25b) This Regulation should address and mainstream the promotion of women's rights and gender equality globally, including by supporting organisations which			Provisionally closed

LIMITE 73 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		are working on promoting sexual and reproductive health and rights (access to quality and accessible information, education and services) and combating genderbased violence and discrimination, as well as recognising and addressing the close links between the issues of peace, security, development and gender equality. This work should be coherent with, and promote the implementation of relevant international and European principles and conventions.			
			(25 ter) As reaffirmed in the Council Conclusions of 26 November 2018 on the implementation of the		To be discussed in relation with line 35 bis

LIMITE 74 EN

Line	Commission	EP position	Council negotiating mandate	Possible compromise	Comments
	proposal		EU Gender Action		
			Plan II (GAP II) in		
			2017 ⁴⁰ : Strengthening		
			gender equality and		
			women's		
			empowerment in EU		
			external action,		
			increased efforts to		
			reach the five		
			minimum standards of		
			performance indicated		
			by the GAP II should		
			lead to a gender-		
			sensitive and		
			transformative		
			approach in all EU		
			external action and		
			international		
			cooperation. At least		
			85% of new actions		
			implemented under		
			this Regulation should		
			score G1 or G2 on the		
			Gender Equality		
			Policy Marker of the		
			OECD Development		
			OECD Development		

⁴⁰ Council Conclusions of 26 November 2018 on the implementation of the EU Gender Action Plan II (GAP II) in 2017

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
			Assistance Committee		
			(G-marker), and at		
			least 5% of those		
			actions should have		
			gender equality and		
			women's		
			empowerment as a		
			principal objective.		
35. quater			(25 quater) This	(25 quater) This	Provisionally closed
			Regulation recognizes	Regulation recognises	
			that demographic	that demographic growth	
			growth and	and demographic shifts	
			demographic shifts	can have significant	
			can have significant	impact on development	
			impact on	gains and economic	
			development gains	progress. In order to	
			and economic	ensure that current and	
			progress. It should	future generations will be	
			support partners'	able to achieve their full	
			efforts towards an	potential in a sustainable	
			integrated approach	way, this Regulation	
			that minimises the	should support partners'	
			challenges related to	efforts towards an	
			population growth and	integrated approach that	
			maximises the benefits	minimises the challenges	
			of a demographic	related to population	
			dividend, while	growth and maximises	
			respecting, protecting	the benefits of a	
			and fulfilling human	demographic dividend,	
			rights as well as	while respecting each	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	propositi		gender equality.	country's right to decide on its demographic policy and while respecting, protecting and fulfilling human rights as well as gender equality.	
36.	(26) Civil society organisations should embrace a wide range of actors with different roles and mandates which includes all non-State, not-for-profit structures, non-partisan and non-violent, through which people organise to pursue shared objectives and ideals, whether political, cultural, social or economic. Operating from the local to the national, regional and international levels,	(26) Civil society organisations should embrace a wide range of actors with different multiple roles and mandates which includes all non-State, not-for-profit structures, non-partisan and non-violent structures, through which people organise to pursue shared objectives and ideals, whether political, cultural, social, or religious, environmental, economic or holding authorities to account. Operating	(26) Civil society organisations should embrace a wide range of actors with different roles and mandates which includes all non-State, not-for-profit structures, non-partisan independent and non-violent, through which people organise to pursue shared objectives and ideals, whether political, cultural, social or economic. Operating from the local to the national, regional and international levels, they comprise urban and rural, formal and	gender equality. (26) Civil society organisations should embrace a wide range of actors with different multiple roles and mandates which includes all non-State, not-for- profit structures, non- partisan independent and non-violent structures, through which people organise to pursue shared objectives and ideals, whether political, cultural, religious, environmental, social or economic. Operating from the local to the national, regional and international levels, they comprise urban and rural, formal and informal	Provisionally closed pending agreement on governance and budgetary provisions {1} EP: "implementation application" CL: "implementation" Will revert to {2} in context of discussions on budgetary provisions. {2} EP: Other bodies or actors not specifically excluded by this Regulation should be able to be financed when it is necessary to achieve the objectives of this Regulation.
	they comprise urban and rural, formal and informal	from the local to the national, regional and international levels,	informal organisations. This Regulation should provide EU	organisations. This Regulation should provide EU support to	CL: No text

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	organisations.	they comprise urban and rural, formal and informal organisations. Other bodies or actors not specifically excluded by this Regulation should be able to be financed when it is necessary to achieve the objectives of this Regulation.	support to civil society organisations in order to pursue the values, interests and objectives of the Union.	civil society organisations in order to pursue the values, interests and objectives of the Union. Civil society organisations should be duly consulted and have timely access to relevant information allowing them to be adequately involved and play a meaningful role during the design, {1} and associated monitoring processes of programmes. {2}	
				(26bis) Local authorities embrace a large variety of sub-national levels and branches of government, including municipalities, communities, districts, counties, provinces, regions and their associations. In line with the European Consensus on development, the Union should foster close consultation and association of local	Part of local authorities discussion. Agreed in principle in cluster 2. CL general caveat: acceptable if EP agrees not to set a dedicated thematic LA programme. EP general caveat: the compromises and modifications on LAs made at technical level are seen as working towards a

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
				authorities, as well as their participation in contributing to sustainable development and to the implementation of the sustainable development goals at local level, in particular regarding democracy, the rule of law, fundamental freedoms and human rights, social justice and as providers of basic social services. The Union should recognise the multiple roles played by local authorities as promoters of a territorial approach to local development, including decentralisation processes, participation, and accountability. The Union should further enhance its support for local authorities' capacity building in order to strengthen their voice in the sustainable	possible compromise at political level. For this, the EP maintains its position of envisioning a dedicated CSO-LAs programme

LIMITE 79
EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
				development process and advance political, social and economic dialogue, as well as promote	
				decentralised cooperation.	
36. bis		(26a) In line with the Consensus for Development, the Union and its Member States should foster the participation of civil society organisations (CSOs) and local authorities (LAs) in contributing to sustainable development and to the implementation of the SDGs, inter alia in the sectors of democracy, the rule of law, fundamental freedoms and human rights, social justice and as providers of basic social services to populations most in need. They should		(26a) This Regulation should foster the participation of civil society organisations in contributing to sustainable development and to the implementation of the SDGs inter alia in the areas of democracy, the rule of law, fundamental freedoms and human rights, social justice and basic social services.	Provisionally closed

LIMITE 80 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		recognise the multiple			
		roles played by CSOs			
		and LAs, the latter as			
		promoters of a			
		territorial approach to			
		development,			
		including			
		decentralisation			
		processes,			
		participation,			
		oversight and			
		accountability. The			
		Union and its			
		Member States should			
		promote an operating			
		space and enabling			
		environment for			
		CSOs, and further			
		enhance their support for CSOs' and LAs'			
		•			
		capacity building in order to strengthen			
		their voice in the			
		sustainable			
		development process			
		and advance political,			
		social and economic			
		dialogue, including			
		through civil society			
		facilities programmes.			

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
			(26 bis) Under this Regulation, the Union		Provisionally closed
			should address human rights and		Covered in line 35
			democratisation		
			issues, including in partnership with civil		
			society, at global, regional, national and		
			local levels.		
36. ter		(26b) The Union should support civil			Provisionally closed
		society organisations			
		and promote their			
		greater strategic			
		involvement in all			
		external instruments			
		and programmes,			
		including			
		geographical programmes and the			
		rapid response			
		actions under this			
		Regulation, in			
		keeping with the			
		Council conclusions			
		of 15 October 2012 on			
		'The roots of			
		democracy and sustainable			

LIMITE 82 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		development: Europe's engagement with civil society in external relations'.			
			(26 ter) This Regulation should consider digital solutions and technologies as powerful enablers for sustainable development and inclusive growth, and should contribute to further promoting		
37.	(27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European	(27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the	digitalisation. (27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the	(27) This Regulation lays down a financial envelope for this Instrument, which is to constitute the prime reference amount, within the meaning of point 17 of the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and	Provisionally closed

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	Parliament, the	Commission on	Commission on	on sound financial	
	Council and the	budgetary discipline,	budgetary discipline,	management ⁴¹⁴¹ , for the	
	Commission on	on cooperation in	on cooperation in	European Parliament and	
	budgetary discipline,	budgetary matters and	budgetary matters and	the Council during the	
	on cooperation in	on sound financial	on sound financial	annual budgetary	
	budgetary matters	management ⁴¹ , for the	management ⁴¹⁴¹ , for	procedure.	
	and on sound	European Parliament	the European		
	financial	and the Council during	Parliament and the		
	management ⁴¹ , for	the annual budgetary	Council during the		
	the European	procedure.	annual budgetary		
	Parliament and the		procedure.		
	Council during the				
	annual budgetary				
38.	procedure.	(20) D. C	(20) D. Cl		
36.	(28) Reflecting the	(28) Reflecting the	(28) Reflecting the		
	importance of	importance of tackling	importance of tackling		
	tackling climate change in line with	climate change,	climate change in line with the Union		
	the Union	protecting the environment and	commitments to		
	commitments to	fight biodiversity loss,	implement the Paris		
	implement the Paris	in line with the Union	Agreement and the		
	Agreement and the	commitments to	United Nations		
	United Nations	implement the Paris	Sustainable		
	Sustainable	Agreement, <i>the</i>	Development Goals,		
	Development Goals,	Convention on	this Regulation should		
	this Regulation	Biological Diversity	contribute to		

⁴¹ OJ C 373, 20.12.2013, p. 1.

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	should contribute to	and the United	mainstream climate		
	mainstream climate	Nations Sustainable	action in the Union		
	action in the Union	Development Goals,	policies and to the		
	policies and to the	this Regulation should	achievement of an		
	achievement of an	contribute to	overall target of 30%		
	overall target of	mainstream climate	of the Union budget		
	[25 %] of the Union	and environmental	expenditures		
	budget expenditures	action in the Union	supporting climate		
	supporting climate	policies and to the	objectives. Actions		
	objectives. Actions	achievement of an the	under this Regulation		
	under this	overall target of 25 %	are expected to		
	Regulation are	of the Union budget	contribute at least 25%		
	expected to	expenditures	of its overall financial		
	contribute [25%] of	supporting climate	envelope to climate		
	its overall financial	objectives and	objectives. Relevant		
	envelope to climate	support actions with	actions will be		
	objectives. Relevant	clean and identifiable	identified during the		
	actions will be	co-benefits across	implementation of this		
	identified during the	sectors. Actions under	Regulation, and the		
	implementation of	this Regulation are	overall contribution		
	this Regulation, and	expected to contribute	from this Regulation		
	the overall	25 % 45 % of its	should be part of		
	contribution from	overall financial	relevant <i>monitoring</i> ,		
	this Regulation	envelope to climate	evaluations and review		
	should be part of	objectives,	processes.		
	relevant evaluations	environmental			
	and review	management and			
	processes.	protection,			
		biodiversity and			
		combatting			

LIMITE 85 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate	_	
		desertification, of			
		which 30 % of the			
		overall financial			
		envelope should be			
		dedicated to climate			
		change mitigation			
		and adaptation.			
		Relevant actions will			
		be identified during			
		the implementation			
		<i>application</i> of this			
		Regulation, and the			
		overall contribution			
		from this Regulation			
		should be part of			
		relevant evaluations			
		and review processes.			
		Union action in this			
		area should favour			
		the adherence to the			
		Paris Agreement and			
		to the Rio			
		Conventions, and not			
		contribute to			
		environmental			
		degradation or cause			
		harm to the			
		environment or			
		climate. Actions and			
		measures			

LIMITE 86 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	propositi	contributing to			
		meeting the target on			
		climate shall put			
		special emphasis on			
		support for			
		adaptation to climate			
		change in poor, highly vulnerable			
		countries, and should			
		take into			
		consideration the			
		relation between			
		climate, peace and			
		security, women's			
		empowerment and the			
		fight against poverty.			
		This Regulation			
		should contribute to			
		the sustainable			
		management of			
		natural resources and promote sustainable			
		and secure mining,			
		forest management			
		and agriculture.			
38. bis			(28 bis) Funding		
			allocated in the		
			context of this		
			Regulation should be		
			coherent with the long		

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
			term temperature goal		
			set out in the Paris		
			Agreement of holding		
			the increase in the		
			global average		
			temperature to well		
			below 2°C above pre-		
			industrial levels and		
			pursuing efforts to		
			limit the temperature		
			increase to 1.5°C.		
38. ter			(28 ter) The funding		
			allocated in the		
			context of this		
			Regulation should be		
			subject to the OECD		
			methodology (Rio		
			markers) to track the		
			expenditure related to		
			environmental		
			management and		
			protection, climate		
			action, desertification		
			and biodiversity.		
38. quater			(28 quater) Particular		
			attention should be		
			given to actions that		
			create co-benefits and		
			meet multiple		
			objectives, including		

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	p. open		for climate,		
			biodiversity and the		
			environment.		
39.	(29) It is essential to	(29) It is essential to	(29) It is essential to		
	further step up	further step up	further step up		
	cooperation on	Cooperation on	cooperation on		
	migration with	migration with partner	migration with partner		
	partner countries,	countries , reaping the	countries while		
	reaping the benefits	benefits of well-	respecting		
	of well-managed and	managed and regular	competences of the		
	regular migration	can lead to mutually	Member States,		
	and effectively	benefiting from	reaping the benefits of		
	addressing irregular	orderly, safe and	well-managed and		
	migration. Such	responsible migration	regular migration and		
	cooperation should	and <i>to</i> effectively	effectively addressing		
	contribute to	addressing irregular	irregular migration.		
	ensuring access to	migration and forced	Such cooperation		
	international	displacement. Such	should contribute to		
	protection,	cooperation should	ensuring access to		
	addressing the root	contribute to	international		
	causes of irregular	facilitating safe and	protection, addressing		
	migration, enhancing	legal pathways for	the root causes of		
	border management	migration and	irregular migration and		
	and pursuing efforts	asylum, ensuring	forced displacement,		
	in the fight against	access to international	enhancing border		
	irregular migration,	protection, addressing	management and		
	trafficking in human	the root causes of	pursuing efforts in the		
	beings and migrant	irregular migration	fight against to prevent		
	smuggling, and	and forced	irregular migration,		
	working on returns,	displacement,	and forced		

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	readmission and	engaging with	displacement, fighting		
	reintegration where	diasporas, enhancing	against trafficking in		
	relevant, on the basis	border management	human beings and		
	of mutual	and pursuing efforts in	migrant smuggling,		
	accountability and	the fight against	and working on		
	full respect of	addressing irregular	returns, readmission		
	humanitarian and	migration, trafficking	and reintegration		
	human rights	in human beings and	where relevant, on the		
	obligations.	migrant smuggling,	basis of mutual		
	Therefore, third	and working on safe,	accountability and full		
	countries' effective	dignified and	respect of		
	cooperation with the	sustainable returns,	humanitarian and		
	Union in this area	readmission and	human rights		
	should be an integral	reintegration where	obligations. Therefore,		
	element in the	relevant, in a conflict-	third countries'		
	general principles of	sensitive manner, on	effective cooperation		
	this Regulation. An	the basis of mutual	with the Union in this		
	increased coherence	accountability, and in	area should be an		
	between migration	full respect of	integral element in the		
	and development	humanitarian and	general principles of		
	cooperation policies	human rights	this Regulation. An		
	is important to	obligations. Therefore,	increased coherence		
	ensure that	third countries'	between migration-and,		
	development	effective cooperation	development		
	assistance supports	with the under	cooperation and other		
	partner countries to	international and	external policies is		
	manage migration	Union-in this area	important to ensure		
	more effectively.	should be an integral	that development the		
	This Regulation	element in the general	Union's external		
	should contribute to	principles of this	assistance supports		

LIMITE 90 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate	-	
	a coordinated,	Regulation. An	partner countries to		
	holistic and	increased law.	manage migration		
	structured approach	Coherence between	more effectively. This		
	to migration,	migration and	Regulation should		
	maximising the	development	contribute to a		
	synergies and	cooperation policies is	coordinated, holistic		
	applying the	important to ensure	and structured		
	necessary leverage.	that development	approach to migration,		
		assistance supports	maximising the		
		partner countries to	synergies and applying		
		manage fight poverty	the necessary leverage.		
		and inequality,			
		promote rights and			
		freedoms, as well as			
		contribute to an			
		orderly, safe and			
		responsible migration more effectively			
		management. This			
		Regulation should			
		contribute to a			
		coordinated, holistic			
		and structured			
		approach to migration,			
		maximising the			
		synergies and			
		applying the necessary			
		leverage the positive			
		impact of migration			
		and mobility on			

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		development.			
40.	(30) This Regulation	(30) This Regulation	(30) This Regulation		
	should enable the	should enable the	should enable the		
	Union to respond to	Union to respond to	Union-to, in		
	challenges, needs	challenges, needs and	cooperation with		
	and opportunities	opportunities related	Member States, to		
	related to migration,	to migration, in	comprehensively		
	in complementarity	complementarity with	respond to challenges,		
	with Union	Union migration	needs and		
	migration policy. To	policy and	opportunities related to		
	contribute to that	development policies.	migration, in and		
	end, and without	To contribute to that	forced displacement in		
	prejudice to	end, in order to	coherence and		
	unforeseen	maximise the	complementarity with		
	circumstances, 10%	contribution of	Union migration		
	of its financial	migration to	policy. To contribute		
	envelope is expected	development, and	to that end, and		
	to be dedicated to	without prejudice to	without prejudice to		
	addressing the root	unforeseen	unforeseen		
	causes of irregular	circumstances new	circumstances, at least		
	migration and forced	emerging challenges	10% of its the financial		
	displacement and to	or new needs, a	envelope is expected to		
	supporting migration	maximum of 10 % of	of this Regulation		
	management and	its financial envelope	should be dedicated		
	governance	is expected to be	particularly to actions		
	including the	dedicated to	supporting		
	protection of	addressing the root	management and		
	refugees and	causes of irregular	governance of		
	migrants' rights	migration and forced	migration and forced		
	within the objectives	displacement and to	displacement, within		

LIMITE 92 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	of this Regulation.	supporting	the objectives of this		
		strengthened	Regulation. In		
		engagement to	addition this target		
		facilitate safe,	should also include		
		orderly, regular and	actions to addressing		
		responsible migration	the root causes of		
		management and the	irregular migration and		
		implementation of	forced displacement		
		planned and well-	and to supporting		
		managed migration	migration management		
		<i>policies</i> and	and governance		
		governance, including	including the		
		the protection of	protection of refugees		
		refugees and migrants'	and migrants' rights		
		rights based on	within the objectives of		
		international and	this Regulation. when		
		<i>Union law</i> within the	they directly target		
		objectives of this	specific challenges,		
		Regulation. <i>This</i>	related to migration		
		Regulation should	and forced		
		also contribute to	displacement.		
		addressing the brain-	Migration-related		
		drain phenomenon	actions under this		
		and to help support	Regulation, as needed		
		the needs of displaced	through its geographic		
		people and host	and thematic		
		communities, in	programmes and rapid		
		particular through	response actions		
		the provision of	should build on the		
		access to basic	experience gained		

LIMITE 93 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		services and	during the		
		livelihoods	implementation of the		
		opportunities.	European Agenda on		
			Migration and the		
			Multiannual		
			Financial Framework		
			2014-2020, in		
			particular the		
			European Union		
			Emergency Trust		
			Fund for stability and		
			addressing root causes		
			of irregular migration		
			and displaced persons		
			in Africa, to build		
			comprehensive		
			partnerships. EU		
			support should take		
			into account the		
			development benefits		
			of regular migration		
			and contribute to the		
			effective		
			implementation of EU		
			agreements and		
			dialogues on		
			migration with third		
			countries by		
			encouraging		
			cooperation through a		

LIMITE 94 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	proposat		flexible incitative		
			approach. A facility		
			should enable to		
			address ongoing and		
			emerging migration		
			challenges within this		
			Regulation using all		
			appropriate		
			components through		
			flexible funding while		
			respecting its financial		
			envelopes and relying		
			on their flexible		
			implementation. These		
			actions should be		
			implemented in full		
			respect of		
			international law,		
			including		
			international human		
			rights and refugee		
			law, EU and national		
			competences. The		
			Commission should		
			develop and use a		
			robust and		
			transparent tracking		
			system to measure		
			such expenditure and		
			to report on it.		

LIMITE 95 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
40. bis		(30a) Information and communication technologies (ICT) and services are proven enablers of sustainable development and inclusive growth. They can be key to improving citizens' lives even in the poorest countries, in particular by empowering women and girls, enhancing democratic governance and transparency, and boosting productivity and job creation. Nevertheless, connectivity and affordability remain a problem both across and within regions, since there are large variations between high and lower income countries and between cities and		(30a) This Regulation should consider digital solutions and information and communication technologies as powerful enablers for sustainable development and inclusive growth, and should contribute to further promoting digitalisation.	Provisionally closed

LIMITE 96 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		rural areas. This regulation should therefore help the			
		Union to further			
		mainstream			
		digitalisation into the			
		Union development policies.			
40. ter		(30b) The 2030			
		Agenda for			
		Sustainable			
		Development, adopted			
		by a Resolution of the United Nations			
		General Assembly on			
		25 September 2015,			
		underlined the			
		importance of			
		promoting peaceful			
		and inclusive societies			
		both as a Sustainable			
		Development Goal			
		(SDG) 16 and in			
		order to achieve other			
		development policy			
		outcomes. SDG 16.a			
		specifically requests			
		to 'Strengthen			
		relevant national			
		institutions, including			

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		through international			
		cooperation, for			
		building capacities at			
		all levels, in			
		particular in			
		developing countries,			
		to prevent violence			
		and combat terrorism			
40		and crime'.			
40. quater		(30c) In the High			
		Level Meeting			
		Communiqué of 19			
		February 2016, the			
		Development			
		Assistance Committee			
		of the Organisation			
		for Economic			
		Cooperation and			
		Development updated			
		the reporting directives on Official			
		Development			
		Assistance in the field			
		of peace and security.			
		The financing of the			
		actions undertaken in			
		accordance with this			
		Regulation constitutes			
		Official Development			
		Assistance when it			

LIMITE 98 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		fulfils the criteria set			
		out in those reporting			
		directives or any			
		subsequent reporting			
		directives, upon			
		which the			
		Development			
		Assistance Committee			
		is able to agree.			
40. quin-quies		(30d) The capacity			
		building in support of			
		development and			
		security for			
		development should			
		be used in exceptional			
		cases only, where the			
		objectives of the			
		Regulation cannot be			
		met by other			
		development			
		cooperation activities.			
		Giving support to			
		security sector actors			
		in third countries,			
		including, under			
		exceptional			
		circumstances, the			
		military, in a conflict			
		prevention, crisis			
		management or			

LIMITE SP

Line	Commission	EP position	Council negotiating mandate	Possible compromise	Comments
	proposal	stabilisation context is	manaate		
		essential to ensure			
		appropriate			
		conditions for poverty			
		eradication and			
		development. Good			
		governance, effective			
		democratic control			
		and civilian oversight			
		of the security system,			
		including of the			
		military, as well as			
		compliance with			
		human rights and the			
		rule of law principles			
		are essential			
		attributes of a well- functioning State in			
		any context, and			
		should be promoted			
		through a wider			
		security sector reform			
		support to third			
		countries.			
40. sexies		(30e) This Regulation			
		should build on the			
		conclusions of the			
		evaluation by the			
		Commission			
		requested for June			

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		2020, including a wide-ranging, multistakeholder public consultation, assessing the coherence of capacity building in support of development and security for development within the security-development nexus funded by the Union and its Member States with the Global Strategy and the UN Sustainable Development Goals.			
40. septies		(30f) The Union should also promote a conflict-sensitive and gender-sensitive approach in all actions and programmes under this Regulation, with the aim of avoiding negative impacts and maximising positive ones.		(30f) The Union should also promote a conflict-sensitive and gendersensitive approach in all actions and programmes under this Regulation.	Provisionally closed To be aligned with recital on gender

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
41.	(31) Horizontal	(31) Horizontal	(31) Horizontal	(31) Horizontal financial	To be discussed together with
	financial rules	financial rules adopted	financial rules adopted	rules adopted by the	Article 25.
	adopted by the	by the European	by the European	European Parliament and	{1}
	European Parliament	Parliament and the	Parliament and the	the Council on the basis of	CL: pending completed
	and the Council on	Council on the basis	Council on the basis of	Article 322 of the Treaty	mandate
	the basis of Article	of Article 322 of the	Article 322 of the	on the Functioning of the	
	322 of the Treaty on	Treaty on the	Treaty on the	European Union should	EP: Rules adopted on the basis
	the Functioning of	Functioning of the	Functioning of the	apply to this Regulation.	of Article 322 TFEU also
	the European Union	European Union	European Union	These rules are laid down	concern the protection of the
	should apply to this	TFEU should apply to	should apply to this	in the Financial	Union's budget in case of
	Regulation. These	this Regulation. These	Regulation. These	Regulation and determine	generalised deficiencies as
	rules are laid down	rules are laid down in	rules are laid down in	in particular the procedure	regards the rule of law in
	in the Financial	Regulation (EU,	the Financial	for establishing and	Member States and third
	Regulation and	Euratom) 2018/1046	Regulation and	implementing the budget	countries, as the respect for the
	determine in	of the European	determine in particular	through grants,	rule of law is essential for
	particular the	Parliament and of the	the procedure for	procurement, prizes,	sound financial management
	procedure for	Council ⁴² ("the	establishing and	indirect implementation,	and effective EU funding.
	establishing and	Financial Regulation")	implementing the	financial assistance,	
	implementing the	and determine in	budget through grants,	budget support, trust	
	budget through	particular the	procurement, prizes,	funds, financial	
	grants, procurement,	procedure for	indirect	instruments and budgetary	
	prizes, indirect	establishing and	implementation,	guarantees, and provide	
	implementation,	implementing the	financial assistance,	for checks on the	

Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	financial assistance,	budget through grants,	budget support, trust	responsibility of financial	
	budget support, trust	procurement, prizes,	funds, financial	actors. {1}	
	funds, financial	indirect	instruments and		
	instruments and	implementation,	budgetary guarantees,		
	budgetary	financial assistance,	and provide for checks		
	guarantees, and	budget support, trust	on the responsibility of		
	provide for checks	funds, financial	financial actors. Rules		
	on the responsibility	instruments and	adopted on the basis of		
	of financial actors.	budgetary guarantees,	Article 322 TFEU also		
	Rules adopted on the	and provide for checks	concern other		
	basis of Article 322	on the responsibility	conditionalities to		
	TFEU also concern	of financial actors.	protect the budget the		
	the protection of the	Rules adopted on the	protection of the		
	Union's budget in	basis of Article 322	Union's budget in case		
	case of generalised	TFEU also concern	of generalised		
	deficiencies as	the protection of the	deficiencies as regards		
	regards the rule of	Union's budget in case	the rule of law in		
	law in Member	of generalised	Member States and		
	States and third	deficiencies as regards	third countries, as the		
	countries, as the	the rule of law in	respect for the rule of		
	respect for the rule	Member States and	law is essential for		
	of law is essential for	third countries, as the	sound financial		
	sound financial	respect for the rule of	management and		
	management and	law is essential for	effective EU funding.		
	effective EU	sound financial			
	funding.	management and			
		effective EU funding.			
42.	(32) The types of	(32) The types of	(32) The types of	(32) The types of	{1}
	financing and the	financing and the	financing and the	financing and the methods	EP: implementation application
	methods of	methods of	methods of	of {1}under this	CL: implementation

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	implementation	implementation	implementation under	Regulation should be	
	under this	application under this	this Regulation should	chosen on the basis of	
	Regulation should be	Regulation should be	be chosen on the basis	their ability to achieve the	
	chosen on the basis	chosen on the basis of	of their ability to	specific objectives of the	
	of their ability to	partner's needs,	achieve the specific	actions and to deliver	
	achieve the specific	preferences and	objectives of the	results, taking into	
	objectives of the	specific context, their	actions and to deliver	account, in particular, the	
	actions and to	relevance,	results, taking into	costs of controls, the	
	deliver results,	sustainability and	account, in particular,	administrative burden, and	
	taking into account,	ability to <i>comply with</i>	the costs of controls,	the expected risk of non-	
	in particular, the	the development	the administrative	compliance. This should	
	costs of controls, the	effectiveness	burden, and the	include consideration of	
	administrative	<i>principles</i> , achieve the	expected risk of non-	the use of lump sums, flat	
	burden, and the	specific objectives of	compliance. This	rates and unit costs, as	
	expected risk of non-	the actions and to	should include	well as financing not	
	compliance. This	deliver results, taking	consideration of the	linked to costs as referred	
	should include	into account, in	use of lump sums, flat	to in Article 125(1) of the	
	consideration of the	particular, the costs of	rates and unit costs, as	Financial Regulation.	
	use of lump sums,	controls, the	well as financing not	_	
	flat rates and unit	administrative burden,	linked to costs as		
	costs, as well as	and the expected risk	referred to in		
	financing not linked	of non-compliance.	Article 125(1) of the		
	to costs as referred to	This should include	Financial Regulation.		
	in Article 125(1) of	consideration of the			
	the Financial	use of lump sums, flat			
	Regulation.	rates and unit costs, as			
		well as financing not			
		linked to costs as			
		referred to in Article			
		125(1) of the Financial			

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal	Regulation. <i>The role</i>	mandate		
		of the European			
		Endowment for			
		Democracy (EED) as			
		a foundation			
		mandated through the			
		European institutions			
		for the support of			
		democracy, civil			
		society and human			
		rights worldwide			
		should be			
		strengthened and			
		increased under this			
		Regulation. The EED			
		should be given the administrative			
		flexibility and the			
		financial			
		opportunities to			
		disburse targeted			
		grants to civil society			
		actors in the			
		European			
		Neighbourhood			
		standing for the			
		implementation of the			
		European			
		Neighbourhood			
		Policy, in particular			

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		where it concerns the development of democracy, human rights, free elections and the rule of law.			
42. bis				The role of organisations supporting democracy, free elections, civil society, human rights and the rule of law worldwide, such as the European Endowment for Democracy (EED), should be strengthened and increased under this Regulation.	EP and CL to check
43.	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴³ , should	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴³ , should	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴³ , should	(33) The new European Fund for Sustainable Development Plus ('EFSD+'), building on its successful predecessor, the EFSD ⁴⁴ , should constitute an integrated financial package	Provisionally closed Text on EIB placed in the following recital. From line 338: EP to explore how to incorporate complementarity of EFSD+ to other actions supported by

LIMITE 106 EN

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	constitute an	constitute an	constitute an integrated	supplying financing	geographic programmes in
	integrated financial	integrated financial	financial package	capacity in the form of	recitals 33-35. The CL text on
	package supplying	package supplying	supplying financing	grants, budgetary	balance between blending and
	financing capacity in	financing capacity in	capacity in the form of	guarantees and financial	guarantees has been included in
	the form of grants,	the form of grants,	grants, budgetary	instruments worldwide.	that sense.
	budgetary guarantees	budgetary guarantees	guarantees and	The EFSD+,	From line 346: COM to propose
	and financial	and financial	financial instruments	complemented by	text on geographic distribution
	instruments	instruments	worldwide. The	technical assistance and	of EFSD+.
	worldwide. The	worldwide. The	EFSD+ should support	efforts to improve the	
	EFSD+ should	EFSD+ should support	become one of the	investment climate of	
	support the External	the External	<i>pillars of</i> the External	partners, should become	
	Investment Plan and	Investment Plan and	Investment Plan (EIP)	part of the External	
	combine blending	combine blending and	and <i>should be</i>	Investment Plan and	
	and budgetary	budgetary guarantee	complemented by	combine blending and	
	guarantee operations	operations covered by	pillar 2 and 3 of the	budgetary guarantee	
	covered by the	the External Action	EIP. The EFSD+	operations covered by the	
	External Action	Guarantee, including	should combine	External Action	
	Guarantee, including	those covering	blending and	Guarantee, including those	
	those covering	sovereign risks	budgetary guarantee	covering sovereign risks	
	sovereign risks	associated with	operations covered by	associated with lending	
	associated with	lending operations,	the External Action	operations, previously	
	lending operations,	previously carried out	Guarantee, including	carried out under the	
	previously carried	under the external	those covering	external lending mandate	
	out under the	lending mandate to the	sovereign risks	to the European	
	external lending	European Investment	associated with lending	Investment Bank (EIB).	

Regulation (EU) 2017/1601 of the European Parliament and of the Council of 26 September 2017 establishing the European Fund for Sustainable Development (EFSD), the EFSD Guarantee and the EFSD Guarantee Fund.

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	mandate to the	Bank. Given its role	operations, previously	Given its role under the	
	European Investment	under the Treaties and	carried out under the	Treaties and its experience	
	Bank. Given its role	its experience over the	external lending	over the last decades in	
	under the Treaties	last decades in	mandate to the	supporting Union policies,	
	and its experience	supporting Union	European Investment	the European Investment	
	over the last decades	policies, the European	Bank (EIB). Given its	Bank should remain a	
	in supporting Union	Investment Bank	role under the Treaties	natural partner for the	
	policies, the	should remain a	and its experience over	Commission for the	
	European Investment	natural partner for the	the last decades in	implementation of	
	Bank should remain	Commission for the	supporting Union	operations under the	
	a natural partner for	implementation	policies, the European	External Action	
	the Commission for	application of	Investment Bank	Guarantee. The	
	the implementation	operations under the	should remain a natural	allocation of funds to be	
	of operations under	External Action	partner for the	used for EFSD+	
	the External Action	Guarantee. Other	Commission for the	operations should be	
	Guarantee.	Multilateral	implementation of	based on the relevant	
		Development Banks	operations under the	programming documents,	
		(MDBs) or EU	External Action	in particular the priorities	
		National	Guarantee.	defined therein, and	
		Development Banks	Programming should	should take into	
		(NDBs) also have	lead to an appropriate	consideration, inter alia,	
		skills and capital	balance between	the specific realities and	
		which can add	blending and	needs of each partner	
		significant value to	budgetary guarantee	country or region and the	
		the impact of Union	operations with the	relative weight of the	
		development policy	purpose of	allocation of funds per	
		and their	maximising	geographical area laid	
		participation under	development impact.	down in this Regulation.	
		the EFSD+ should	The EFSD+ should be	Programming should lead	
		therefore also be	composed of regional	to an appropriate balance	

LIMITE 108 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	proposur	strongly promoted	investment platforms	between blending and	
		through this	within the areas	budgetary guarantee	
		Regulation.	covered by this	operations under the	
			Regulation and,	EFSD+ as well as other	
			should also cover,	types of financing	
			where relevant, the	foreseen in Article 23.	
			areas under	The EFSD+ should be	
			Regulation [IPA III].	implemented through an	
			Its implementation	open and collaborative	
			should be supported	investment architecture to	
			by a strategic board.	ensure an optimal use of	
			The EFSD+ should be	the sectoral and	
			implemented through	geographic expertise of	
			an open and	eligible counterparts and	
			collaborative	maximise its development	
			investment	impact. The EFSD+	
			architecture to ensure	should be composed of	
			an optimal use of the	regional investment	
			sectoral and	platforms within the	
			geographic expertise	areas covered by this	
			of eligible	Regulation and, should	
			counterparts and	also cover, where	
			maximise its	relevant, the areas under	
			development impact.	Regulation [IPA III].	
			In order to ensure an	In order to ensure an	
			independent,	independent, impartial,	
			impartial, inclusive	inclusive and transparent	
			and transparent risk	risk management of the	
			management of the	EFSD+, a technical	
			EFSD+, a technical	assessment group, open to	

LIMITE 109 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
			assessment group, open to experts from the EIB, other eligible counterparts and interested Member States, organised and led by the Commission should be established. The conclusions of analysis done by the technical assessment group should be shared in a timely manner with all Member States, with due regard to confidentiality issues. The Commission and all selected eligible counterparts, including the EIB, after consulting and taking into account the advice of the technical risk assessment group, should conclude and sign guarantee agreements and should present the key	experts from the EIB, other eligible counterparts and interested Member States, organised and led by the Commission should be established. The Commission should ensure that information and analysis are shared in a timely, transparent and incluive manner with all Member States, with due regard to confidentiality issues. The Commission should conclude guarantee agreements with all selected eligible counterparts, including the EIB, after consulting and taking into account the advice of the technical risk assessment group, and should present the key elements of these agreements to the strategic board.	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
			elements of these agreements to the strategic board.		
43. bis			(33 bis) Given its role under the Treaties and its experience over the last decades in supporting Union policies, the EIB should remain a natural partner for the Commission for the implementation of operations under the External Action Guarantee. The EIB and the Commission should enhance their cooperation and coordination throughout the implementation of the External Action Guarantee under the EFSD+, including during the programming process and in the field. To this end, the EIB should implement	(33bis) Given its role under the Treaties and its experience over the last decades in supporting Union policies, the EIB should remain a natural partner for the Commission for the implementation of operations under the External Action Guarantee. The EIB should be entrusted with the implementation of a dedicated investment window covering a comprehensive risk cover for operations with sovereign and non- commercial sub- sovereign counterparts which should be exclusive except for operations that the EIB decides not to or cannot carry out. Additional non-exclusive dedicated windows may	Part of the overall discussion on Article 27 bis Per text discussed in line 374 sexies, line 374 quaterdecies and From 374 undecies

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
			dedicated investment	be established for the EIB	
			windows for an	covering a comprehensive	
			indicative volume of	risk cover for operations	
			EUR 26 725 million of	with commercial sub-	
			the External Action	sovereign counterparts to	
			Guarantee for the	be established with a	
			entire Multiannual	specific duration between	
			Financial Framework,	2021 and 2023; and	
			which should	operations for the	
			constitute the	promotion of foreign	
			dedicated mandates	direct investment, trade	
			required by the EIB to	and the	
			operate outside the	internationalisation of	
			EU. These dedicated	partner countries'	
			windows should	economies, providing a	
			support the provision	political risk cover for	
			of a comprehensive	private sector operations	
			risk cover for	to be established with a	
			operations with	specific duration between	
			sovereign and non-	2021 and 2023, which	
			commercial sub-	should be coherent with	
			sovereign counterparts	those of the export credit	
			and for operations	agencies of Member	
			with commercial sub-	States. These windows	
			sovereign counterparts	should apply the same	
			as well as political risk	rules and conditions of	
			cover for private	any other investment	
			sector operations	window under the	
			aiming at the	EFSD+, including the	
			promotion of the	rules of governance, and	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
Line		El position		be established in accordance with the standard procedure defined in Article 27 unless otherwise provided for in this Regulation. For these three EIB-dedicated windows, the overall indicative amount should be [30 000 million]. The amounts for each of the windows should be substantiated and confirmed as a result of the programming process at the beginning of the Multiannual Financial Framework and during the reviews of programming. The objectives, priorities and amounts for each of the windows and their implementation should ensure full policy alignment with the EU's priorities and comply with the present Regulation and the relevant multi-	Comments
			the External Action	annual indicative	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
Line		EP position	mandate Guarantee supporting sovereign and non- commercial sub- sovereign operations may be non- remunerated due to its nature and policy objectives, and should be exclusive except for operations in countries where the EIB decides not to or cannot work. The amounts for each of the dedicated windows should be substantiated and confirmed as a result of the programming process at the beginning of the Multiannual Financial Framework and during the reviews of programming. The	programmes, including its geographic and thematic priorities. The risk assessment and remuneration methodology under EFSD+ should be consistently applied to all investment windows, including those dedicated to the EIB, in order to ensure a level playing field. The windows implemented by the EIB may cover any of the countries eligible for the External Action Guarantee in particular where most needed and in accordance with the geographical priorities of this Regulation and where relevant [IPA III]. EFSD+ guarantees for EIB operations with	Comments
			objectives, priorities and amounts for each of the dedicated windows and their implementation	commercial sub- sovereign counterparts and private sector operations should be undertaken on terms	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	proposal		should ensure full policy alignment with the EU's priorities and comply with the present Regulation and the relevant multiannual indicative programmes, including its geographic and thematic priorities. The implementation of the operations under these dedicated windows should be in line with the EIB's rules, policies and procedures, including EIB internal governance rules. The risk assessment and remuneration methodology under EFSD+ should be consistently applied to all investment windows, including those dedicated to the EIB, in order to ensure a level playing	similar to guarantees provided to other eligible counterparts. EFSD+ guarantees for sovereign and non-commercial sub- sovereign operations and commercial sub- sovereign operations that do not generate substantial revenues, undertaken by the EIB or other eligible counterpart, may be non-remunerated in order to help reduce the cost of financing for public sector investments undertaken by partner countries. In compliance with the objectives and principles of this Regulation, the relevant programming documents as well as, where relevant, Regulation [IPA III], the Commission and the EIB should conclude dedicated guarantee agreements for the EIB dedicated investment windows.	

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
			field.		
44.	(34) The EFSD+	(34) The EFSD+	(34) The EFSD+	(34) The EFSD+ should	Provisionally closed pending
	should aim at	should aim at	should aim at	aim at supporting	discussion on migration cluster
	supporting	supporting	supporting investments	investments as a means of	
	investments as a	investments as a	as a means of	contributing to the	
	means of	means of contributing	contributing to the	achievement of the	
	contributing to the	to the achievement of	achievement of the	Sustainable Development	From 354 septies and 354
	achievement of the	the Sustainable	Sustainable	Goals by fostering	nonies:
	Sustainable	Development Goals	Development Goals by	sustainable and inclusive	Development effectiveness and
	Development Goals	by fostering	fostering sustainable	economic, environmental	investment-related international
	by fostering	sustainable and	and inclusive	and social development,	agreements reference to be
	sustainable and	inclusive economic,	economic and social	transition into sustainable	placed in recital 34, based on
	inclusive economic	cultural and social	development and	value-added economy and	EFSD Regulation.
	and social	development and	promoting the socio-	a stable investment	
	development and	promoting the socio-	economic resilience in	environment, and	{ <mark>1</mark> }
	promoting the socio-	economic resilience in	partner countries with	promoting the socio-	_
	economic resilience	partner countries with	a particular focus on	economic and	CL:
	in partner countries	a particular focus on	the eradication of	environmental resilience	and root causes of forced
	with a particular	the eradication of	poverty, sustainable	in partner countries with a	displacement
	focus on the	poverty, <i>preventing</i>	and inclusive growth,	particular focus on the	
	eradication of	conflict and the	the creation of decent	eradication of poverty,	EP:
	poverty, sustainable	promotion of	jobs, economic	contributing to the	
	and inclusive	peaceful, just and	opportunities, skills	reduction of socio-	and forced displacement
	growth, the creation	inclusive societies,	and entrepreneurship,	economic inequalities,	
	of decent jobs,	sustainable and	socioeconomic sectors,	sustainable and inclusive	
	economic	inclusive growth	micro, small and	growth, climate change	
	opportunities, skills	economic progress,	medium-sized	adaptation and	
	and	tackling climate	enterprises,	mitigation, environmental	
	entrepreneurship,	change through	sustainable	protection and	
	socioeconomic	mitigation and	connectivity, the fight	<i>management</i> , the creation	

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	sectors, micro, small	adaptation,	against climate	of decent jobs on the basis	
	and medium-sized	environmental	change in line with	of the core ILO labour	
	enterprises as well as	degradation, the	the Paris Agreement	standards, economic	
	addressing specific	creation of decent jobs	and the management	opportunities, skills and	
	socioeconomic root	in compliance with	and protection of the	entrepreneurship,	
	causes of irregular	relevant ILO	environment, gender	socioeconomic sectors,	
	migration, in	standards and	equality and the	including social	
	accordance with the	economic	empowerment of	enterprises and	
	relevant indicative	opportunities, in	women and young	cooperatives, micro, small	
	programming	particular for women,	<i>people</i> , as well as	and medium-sized	
	documents. Special	young and vulnerable	addressing specific	enterprises, sustainable	
	attention should be	people. Emphasis	socioeconomic root	connectivity, the fight	
	given to countries	should be placed on	causes of irregular	against climate change in	
	identified as	providing inclusive	migration and root	line with the Paris	
	experiencing	and equitable quality	causes of forced	Agreement and the	
	fragility or conflict,	education, and the	displacement, in	management and	
	Least Developed	development of skills	accordance with the	protection of the	
	Countries and	and entrepreneurship	relevant indicative	environment, support to	
	heavily indebted	by strengthening	programming	vulnerable groups,	
	poor countries.	educational and	documents. The	respect of Human Rights,	
		cultural structures,	implementation of the	gender equality and the	
		including for children	EFSD+ should comply	empowerment of women	
		in humanitarian	with the objectives,	and young people, as well	
		emergencies and	principles and policy	as addressing specific	
		situations of forced	framework of this	socioeconomic root causes	
		displacement. It should also aim at	Regulation and, where	of irregular migration {1}, in accordance with the	
			relevant, Regulation [IPA III] in particular	relevant indicative	
		supporting a stable investment	the applicable	programming documents.	
		environment,	internationally agreed	The implementation of	
		environmeni,	inicinationally agreed	The implementation of	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		industrialisation, socioeconomic sectors, cooperatives, social enterprises, micro, small and medium-sized enterprises as well as addressing strengthening democracy the rule of law and human rights, the lack of which often constitute the specific socioeconomic root causes of irregular migration and forced displacement, in accordance with the relevant indicative programming documents. Special attention should be given to countries identified as experiencing fragility or conflict, Least Developed Countries and heavily indebted	8	the EFSD+ should comply with the objectives, principles and policy framework of this Regulation and, where relevant, Regulation [IPA III] in particular the applicable internationally agreed guidelines, principles and conventions on investment, including the Principles for Responsible Investment, UN Guiding Principles on Business and Human Rights, OECD Guidelines for Multinational Enterprises, the UN Food and Agriculture Organization's Principles for Responsible Investment in Agriculture and Food Systems, International Labour Organization conventions, international human rights law and the	
		poor countries. A	Cooperation and	development effectiveness	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		special focus should be placed also to improve the delivery of essential public basic services, food security, and to improve the quality of life of rapidly growing urban populations, including through adequate, safe and affordable housing. The EFSD+ should encourage forprofit/non-profit partnerships as a means of guiding private sector investments towards sustainable development and poverty eradication. The strategic involvement of civil society organisations and of Union Delegations in partner countries should also be promoted at all stages	reaffirmed in Nairobi in 2016, including ownership, alignment, focus on results, transparency and mutual accountability, as well as the objective of untying aid. Special attention should be given to countries identified as experiencing fragility or conflict, Least Developed Countries, small island developing states, landlocked developing countries and heavily indebted poor countries.	principles as set out in the Busan Partnership for Effective Development Cooperation and reaffirmed in Nairobi in 2016, including ownership, alignment, focus on results, transparency and mutual accountability, as well as the objective of untying aid. Special attention should be given to countries identified as experiencing fragility or conflict, Least Developed Countries, small island developing states, landlocked developing countries and heavily indebted poor countries.	

proposal of the project cycle, to help finding tailor- made solutions for promoting the socioeconomic development of communities, job creation, and new business opportunities. Investments should be based on conflict analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering	ots
made solutions for promoting the socioeconomic development of communities, job creation, and new business opportunities. Investments should be based on conflict analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering	
promoting the socioeconomic development of communities, job creation, and new business opportunities. Investments should be based on conflict analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering	
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communities, job creation, and new business opportunities. Investments should be based on conflict analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering	
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business opportunities. Investments should be based on conflict analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering	
opportunities. Investments should be based on conflict analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering	
Investments should be based on conflict analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering	
based on conflict analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering	
analysis, focus on the root causes of conflict, fragility and instability, maximising the potential for fostering	
root causes of conflict, fragility and instability, maximising the potential for fostering	
conflict, fragility and instability, maximising the potential for fostering	
maximising the potential for fostering	
potential for fostering	
peace and minimising	
the risks of	
exacerbating	
conflicts. 45. (35) The EFSD+ (35) The EFSD+ (35) The EFSD+ (35) The EFSD+ should Provision	11 1 1
	nally closed
	4 bis: will include new
	sed on EP amendment:
	opean Parliament or
	opean I arnament of acil may invite eligible
	arts, CSOs and local
	ities to an exchange of

LIMITE 120 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	innovative products	products and 'crowd-	scaling up and	their investment, deliver	views concerning the financing
	and 'crowd-in'	in' private sector	financing	innovative products and	and investment operations
	private sector funds.	funds to optimise the	autonomously their	'crowd-in' private sector	covered by this Regulation
	Involvement of the	contribution of	<i>investment</i> , deliver	funds. Additionality	
	private sector in the	private finance to	innovative products	should be applied in	
	Union's cooperation	local sustainable	and 'crowd-in' private	accordance with the	
	with partner	development.	sector funds.	objectives and principles	
	countries through the	Involvement of the	Involvement of the	of this Regulation and	
	EFSD+ should yield	private sector in the	private sector	with other relevant EU	
	measurable and	Union's cooperation	including micro, small	policies. Involvement of	
	additional	with partner countries	and medium-sized	the private sector	
	development impact	through the EFSD+	enterprises, in the	including micro, small	
	without distorting	should yield	Union's cooperation	and medium-sized	
	the market and	measurable and	with partner countries	enterprises, in the Union's	
	should be cost-	additional	through the EFSD+	cooperation with partner	
	effective based on	development impact	should yield	countries through the	
	mutual	in full respect of the	measurable and	EFSD+ should yield	
	accountability and	environment and	additional development	measurable and additional	
	risk and cost sharing.	local communities'	impact without	development impact	
	The EFSD+ should	rights and livelihoods	distorting the market	without distorting the	
	operate as a 'one-	and without distorting	and should be cost-	<i>local</i> market and <i>unfairly</i>	
	stop-shop', receiving	the <i>local</i> market and	effective based on	competing with local	
	financing proposals	unfairly competing	mutual accountability	economic actors. It should	
	from financial	with local economic	and risk and cost	be cost-effective,	
	institutions and	actors. It should be	sharing. The EFSD+	transparent, based on	
	public or private	cost-effective based	should operate as a	mutual accountability and	
	investors and	on mutual	'one-stop-shop',	risk and cost sharing. The	
	delivering a wide	accountability and risk	receiving financing	EFSD+ should operate as	
	range of financial	and cost sharing. The	proposals from	a 'one-stop-shop',	
	support to eligible	EFSD+, while based	financial institutions	receiving financing	

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
Line	Commission proposal investments.	on adequate accountability and transparency criteria, should operate as a 'one-stop-shop', receiving financing proposals from financial institutions and public or private investors and delivering a wide range of financial support to eligible investments.	and public or private investors and delivering a wide range of financial support to eligible investments. The leveraging effect of EFSD+ should be evaluated measuring the mobilisation of additional funding for sustainable development by using EFSD+ financial support. The leverage effect should be measured according to the definition laid down in Article 2(38) of the Financial Regulation and international rules and practices for measuring the amounts mobilised	proposals from financial institutions and public or private investors and delivering a wide range of financial support to eligible investments. The leveraging effect of EFSD+ should be evaluated measuring the mobilisation of additional funding for sustainable development by using EFSD+ financial support. The leverage effect should be measured according to the definition laid down in Article 2(38) of the Financial Regulation and international rules and practices for measuring the amounts mobilised from the private sector by	Comments
			and practices for measuring the	the amounts mobilised	
			by official development finance interventions, such as the OECD-DAC methodologies.	such as the OECD-DAC methodologies. The European Parliament or the Council may invite eligible counterparts and	

LIMITE 122 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
				civil society to an exchange of views concerning the financing and investment operations covered by this Regulation.	
45. bis		(35a) An EU guarantee for the sovereign investments operations in the public sector shall form part of the EFSD+. That EU guarantee shall not be extended to sovereign investment operations that involve onlending to the private sector or lending to, or for the benefit of, sub-sovereign entities that can access subsovereign financing without sovereign guarantees. In order to assist capacity planning by the EIB, a minimum guaranteed volume of such sovereign			Part of overall discussion on Article 27 bis (exclusivity) Included in line 43 bis

LIMITE 123 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
		investments			
		operations shall be			
		allocated to the EIB.			
46.	(36) An External	(36) An External	(36) An External	(36) An External Action	Provisionally closed
	Action Guarantee	Action Guarantee	Action Guarantee	Guarantee should be	
	should be established	should be established	should be established	established building on the	All to check
	building on the	building on the	building on the	existing EFSD Guarantee	Correction on mistake on
	existing EFSD	existing EFSD	existing EFSD	and the <i>the guarantees</i>	reference as regards guarantees
	Guarantee and the	Guarantee and the	Guarantee and the	supported by the	vs the fund supporting the
	Guarantee Fund for	Guarantee Fund for	Guarantee Fund for	Guarantee Fund for	guarantees.
	external actions. The	external actions. The	external actions. The	external action. The	
	External Action	External Action	External Action	External Action Guarantee	Text on essential services being
	Guarantee should	Guarantee should	Guarantee should	should support the EFSD+	a responsibility of the
	support the EFSD+	support the EFSD+	support the EFSD+	operations covered by	government.
	operations covered	operations covered by	operations covered by	budgetary guarantees,	
	by budgetary	budgetary guarantees,	budgetary guarantees,	macro-financial assistance	
	guarantees, macro-	macro-financial	macro-financial	and loans to third	
	financial assistance	assistance and loans to	assistance and loans to	countries on the basis of	
	and loans to third	third countries on the	third countries on the	Council Decision	
	countries on the	basis of Council	basis of Council	77/270/Euratom ⁴⁷ . These	
	basis of Council	Decision	Decision	operations should be	
	Decision	77/270/Euratom ⁴⁵ .	77/270/Euratom ⁴⁵ .	supported by	
	77/270/Euratom ⁴⁵ .	These operations	These operations	appropriations under this	

Council decision 77/270/EURATOM of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Council decision 77/270/EURATOM of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	These operations	should be supported	should be supported by	Regulation, together with	
	should be supported	by appropriations	appropriations under	those under Regulation	
	by appropriations	under this Regulation,	this Regulation,	(EU) No/ (IPA III)	
	under this	together with those	together with those	and Regulation (EU) No	
	Regulation, together	under Regulation (EU)	under Regulation (EU)	/ (EINS), which	
	with those under	No/ of the	No/ (IPA III) and	should also cover the	
	Regulation (EU) No	European Parliament	Regulation (EU) No	provisioning and liabilities	
	/ (IPA III) and	and of the Council ⁴⁶	/ (EINS), which	arising from macro-	
	Regulation (EU) No	('IPA III Regulation')	should also cover the	financial assistance loans	
	/ (EINS), which	and Regulation EINS,	provisioning and	and loans to third	
	should also cover the	which should also	liabilities arising from	countries referred to in	
	provisioning and	cover the provisioning	macro-financial	Article 10(2) of	
	liabilities arising	and liabilities arising	assistance loans and	Regulation EINS,	
	from macro-financial	from macro-financial	loans to third countries	respectively. When	
	assistance loans and	assistance loans and	referred to in Article	funding EFSD+	
	loans to third	loans to third countries	10(2) of Regulation	operations, priority should	
	countries referred to	referred to in Article	EINS, respectively.	be given to those which	
	in Article 10(2) of	10(2) of Regulation	When funding EFSD+	maximise additionality	
	Regulation EINS,	EINS, respectively.	operations, priority	and development impact,	
	respectively. When	When funding EFSD+	should be given to	including those which	
	funding EFSD+	operations, priority	those which ensure	have a high impact on	
	operations, priority	should be given to	additionality and	decent job creation and	
	should be given to	those which have a	achieve the highest	whose cost-benefit ratio	
	those which have a	high impact on decent	development impact,	enhances the sustainability	
	high impact on job	job creation and	including those which	of investment and which	
	creation and whose	<i>livelihoods</i> and whose	have a high impact on	provide for sustainability	

Regulation (EU) .../... of the European Parliament and of the Council of ... on ... (OJ...).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	cost-benefit ratio	cost-benefit ratio	job creation and whose	and long-term	
	enhances the	enhances the	cost-benefit ratio	development impact. The	
	sustainability of	sustainability of	enhances the	operations supported with	
	investment. The	investment and which	sustainability of	the External Action	
	operations supported	provide the highest	investment. The	Guarantee should be	
	with the External	guarantees of	operations supported	accompanied by an in-	
	Action Guarantee	sustainability and	with the External	depth ex ante assessment	
	should be	long-term	Action Guarantee	of environmental,	
	accompanied by an	development impact	should be accompanied	financial and social	
	in-depth ex ante	throughout local	by an in-depth ex ante	aspects, as appropriate and	
	assessment of	ownership. The	assessment of	in line with the better	
	environmental,	operations supported	environmental,	regulation requirements.	
	financial and social	with the External	financial and social	Budgetary guarantees	
	aspects, as	Action Guarantee	aspects, as appropriate	and financial	
	appropriate and in	should be	and in line with the	instruments should	
	line with the better	accompanied by an in-	better regulation	adhere to the Union	
	regulation	depth ex ante	requirements. The	policy on non-cooperative	
	requirements. The	assessment of	External Action	jurisdictions for tax	
	External Action	environmental,	Guarantee should not	purposes, and updates	
	Guarantee should not	financial and social	be used to provide	thereto, as laid down in	
	be used to provide	aspects, as appropriate	essential public	relevant legal acts of the	
	essential public	and including the	services, which	Union and Council	
	services, which	impact on human	remains a government	conclusions, in particular	
	remains a	rights and livelihoods	responsibility.	the Council Conclusions	
	government	of affected	-	of 8 November 2016 and	
	responsibility.	communities and the		the Annex thereto as well	
		impact on inequalities		as principles laid down in	
		and the identification		Directive (EU) 2015/849	
		of ways to address		on the prevention of the	
		those inequalities in		use of the financial	

LIMITE 126 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
		line with the better		system for the purposes of	
		regulation		money laundering or	
		requirements and		terrorist financing. All	
		taking due account of		relevant Financial	
		the principle of free		Regulation provisions	
		and prior informed		apply, in particular those	
		consent (FPIC) of		on indirect management	
		affected communities		laid down in Title VI of	
		in land-related		the Financial Regulation.	
		investments. The		The External Action	
		External Action		Guarantee should not be	
		Guarantee should not		used to replace public The	
		be used to provide		provision of provide	
		essential public		essential public services	
		services, which		should remain a	
		remains a government		government	
		responsibility. <i>Ex-post</i>		responsibility, which	
		impact assessments		remains a government	
		should also happen to		responsibility.	
		measure the			
		development impact			
		of the EFSD+			
		operations.			
47.	(37) In order to	(37) In order to	(37) In order to	(37) In order to provide	Provisionally closed
	provide for	provide for flexibility,	provide for flexibility,	for flexibility, increase the	
	flexibility, increase	increase the	increase the	attractiveness for the	
	the attractiveness for	attractiveness for the	attractiveness for the	private sector and	
	the private sector	private sector,	private sector and	maximise the impact of	
	and maximise the	promote fair	maximise the impact of	the investments a	
	impact of the	competition and	the investments a	derogation from the rules	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	investments a derogation from the rules related to the methods of implementation of the Union budget, as laid down in the Financial Regulation, should be provided as regards the eligible counterparts. Those eligible counterparts could also be bodies which are not entrusted with the implementation of a public-private partnership and could also be bodies governed by the private law of a partner country.	maximise the impact of the investments a derogation from the rules related to the methods of implementation of the Union budget, as laid down in the Financial Regulation, should be provided as regards the eligible counterparts. Those eligible counterparts could also be bodies which are not entrusted with the implementation of a public-private partnership and could also be bodies governed by the private law of a partner country.	derogation from the rules related to the methods of implementation of the Union budget, as laid down in the Financial Regulation, should be provided as regards the eligible counterparts. Those eligible counterparts could also be bodies which are not entrusted with the implementation of a public-private partnership and could also be bodies governed by the private law of a partner country.	related to the methods of implementation of the Union budget, as laid down in the Financial Regulation, should be provided as regards the eligible counterparts. Those eligible counterparts could also be bodies which are not entrusted with the implementation of a public-private partnership and could also be bodies governed by the private law of a partner country.	
48.	(38) In order to increase the impact of the External Action Guarantee, Member States and contracting parties to the Agreement on	(38) In order to increase the impact of the External Action Guarantee, Member States and contracting parties to the Agreement on the	(38) In order to increase the impact of the External Action Guarantee, Member States and contracting parties to the Agreement on the	(38) In order to increase the impact of the External Action Guarantee, Member States and contracting parties to the Agreement on the European Economic Area	Provisionally closed

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate	_	
	the European	European Economic	European Economic	should have the possibility	
	Economic Area	Area should have the	Area should have the	of providing contributions	
	should have the	possibility of	possibility of providing	in the form of cash or a	
	possibility of	providing	contributions in the	guarantee. Contribution in	
	providing	contributions in the	form of cash or a	the form of a guarantee	
	contributions in the	form of cash or a	guarantee.	should not exceed 50% of	
	form of cash or a	guarantee.	Contribution in the	the amount of operations	
	guarantee.	Contribution in the	form of a guarantee	guaranteed by the Union.	
	Contribution in the	form of a guarantee	should not exceed 50%	The financial liabilities	
	form of a guarantee	should not exceed	of the amount of	arising from this guarantee	
	should not exceed	50 % of the amount of	operations guaranteed	should not be provisioned	
	50% of the amount	operations guaranteed	by the Union. The	and the liquidity cushion	
	of operations	by the Union. The	financial liabilities	should be provided by the	
	guaranteed by the	financial liabilities	arising from this	common provisioning	
	Union. The financial	arising from this	guarantee should not	fund.	
	liabilities arising	guarantee should not	be provisioned and the		
	from this guarantee	be provisioned and the	liquidity cushion		
	should not be	liquidity cushion	should be provided by		
	provisioned and the	should be provided by	the common		
	liquidity cushion	the common	provisioning fund.		
	should be provided	provisioning fund.			
	by the common				
	provisioning fund.				
49.	(39) External actions	(39) External actions	(39) External actions	(39) External actions are	To be discussed after
	are often	are often implemented	are often implemented	often {1} in a highly	discussions on governance and
	implemented in a	<i>applied</i> in a highly	in a highly volatile	volatile environment	migration
	highly volatile	volatile environment	environment requiring	requiring continuous and	
	environment	requiring continuous	continuous and rapid	rapid adaptation to the	{1}
	requiring continuous	and rapid adaptation to	adaptation to the	evolving needs of Union	EP: implemented applied
	and rapid adaptation	the evolving needs of	evolving needs of	partners and to global	CL: implemented

LIMITE 129 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	to the evolving needs	Union partners and to	Union partners and to	challenges to human	_
	of Union partners	global challenges to	global challenges to	rights, democracy and	{2}
	and to global	human rights and	human rights,	good governance, security	EP: the migration, crisis and
	challenges to human	fundamental	democracy and good	and stability, climate	including its root causes such
	rights, democracy	<i>freedoms</i> , democracy	governance, security	change and environment,	as poverty and inequality, and
	and good	and good governance,	and stability, climate	oceans, {2}. Reconciling	the impact of the increasing
	governance, security	security and stability,	change and	the principle of	number of displaced persons,
	and stability, climate	climate change and	environment, oceans,	predictability with the	especially on developing
	change and	environment, oceans,	and the migration	need to react rapidly to	countries
	environment, oceans,	and the migration,	crisis crises and its	new needs consequently	CL: the migration erisiscrises
	and the migration	crisis and including its	their root causes.	means adapting the	and its-their root causes
	crisis and its root	root causes such as	Reconciling the	financial {3}	
	causes. Reconciling	poverty and	principle of	of the programmes. To	{3}
	the principle of	inequality, and the	predictability with the	increase the ability of the	EP: implemented applied
	predictability with	impact of the	need to react rapidly to	EU to respond to {4},	CL: implemented
	the need to react	increasing number of	new needs	building on the successful	
	rapidly to new needs	displaced persons,	consequently means	experience of the	{4}
	consequently means	especially on	adapting the financial	European Development	EP: unforeseen needs <i>not</i>
	adapting the	developing countries.	implementation of the	Fund (EDF), {5} amount	covered by programmes and
	financial	Reconciling the	programmes. To	should be left unallocated	programming documents
	implementation of	principle of	increase the ability of	as an emerging challenges	CL: unforeseen needs
	the programmes. To	predictability with the	the EU to respond to	and priorities cushion. It	
	increase the ability	need to react rapidly	unforeseen needs,	should be mobilised in	{5}
	of the EU to respond	to new needs	building on the	{6} accordance with the	CL: ana significant
	to unforeseen needs,	consequently means	successful experience	procedures established in	EP: an a pre-defined
	building on the	adapting the financial	of the European	this Regulation.	
	successful	implementation	Development Fund		{6}
	experience of the	application of the	(EDF), ana significant		EP: duly justified cases in
	European	programmes. To	amount should be left		CL: no text
	Development Fund	increase the ability of	unallocated as an		

LIMITE 130 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	(EDF), an amount should be left unallocated as an emerging challenges and priorities cushion. It should be mobilised in accordance with the procedures established in this Regulation.	the EU to respond to unforeseen needs not covered by programmes and programming documents, building on the successful experience of the European Development Fund (EDF), an a predefined amount should be left unallocated as an emerging challenges and priorities cushion. It should be mobilised in duly justified cases in accordance with the procedures established in this Regulation.	emerging challenges and priorities cushion. It should be mobilised in accordance with the procedures established in this Regulation.		
50.	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the	(40) Therefore, while respecting the principle that the Union budget is set annually, this Regulation should preserve the possibility to apply the flexibilities already allowed by the Financial Regulation for	To be discussed after Art.25

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	already allowed by	allowed by the	Financial Regulation	other policies, namely	
	the Financial	Financial Regulation	for other policies,	carryovers and re-	
	Regulation for other	for other policies,	namely carryovers and	commitments of funds, to	
	policies, namely	namely carryovers and	re-commitments of	ensure efficient use of the	
	carryovers and re-	re-commitments of	funds, to ensure	Union funds both for	
	commitments of	funds, to ensure	efficient use of the	Union citizens and the	
	funds, to ensure	efficient use of the	Union funds both for	partner countries, thus	
	efficient use of the	Union funds both for	Union citizens and the	maximising the Union	
	Union funds both for	Union citizens and the	partner countries, thus	funds available for the	
	Union citizens and	partner countries, thus	maximising the Union	Union's external action	
	the partner countries,	maximising the Union	funds available for the	interventions.	
	thus maximising the	funds available for the	Union's external action		
	Union funds	Union's external	interventions.		
	available for the	action interventions.			
	Union's external				
	action interventions.				
50. bis			(40 bis) Capacity		
			building of military		
			actors in third		
			countries should be		
			undertaken as part of		
			the Union's		
			development		
			cooperation policy		
			when it mainly		
			pursues objectives in		
			the field of development and as		
			part of the Union's		
			CFSP when it mainly		
			Cr Sr wnen u mainly		

LIMITE 132 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	proposat		pursues objectives in the field of peace and security, in compliance with Article 40 of the Treaty on European Union. This Regulation respects the application of the procedures and the extent of the powers of the institutions under the Union's development cooperation policy and		
51.	(41) Pursuant to Article 83 of Council Decision/ (OCTs), persons and entities established in overseas countries and territories should be eligible for funding under this Regulation, subject to its rules and objectives and possible arrangements	(41) Pursuant to Article 83 of Council Decision/ (OCTs), persons and entities established in overseas countries and territories should be eligible for funding under this Regulation, subject to its rules and objectives and possible arrangements applicable to the Member State to	the Union's CFSP. (41) The [Council Decision on the Overseas Association, including Greenland/ (EU) lays down the financial envelope for the Association of the OCTs with the Union]. This financial envelope is the main source of funding for OCTs. [Pursuant to Article 83 of Council Decision/	(41) The [Council Decision on the Overseas Association, including Greenland/ (EU)] lays down the financial envelope for the Association of the OCTs with the Union. This financial envelope is the main source of funding for OCTs. [Pursuant to Article 83 of Council Decision/ (OCTs)], persons and entities	Provisionally closed

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	applicable to the	which the relevant	(OCTs)J, persons and	established in overseas	
	Member State to	overseas country or	entities established in	countries and territories	
	which the relevant	territory is linked.	overseas countries and	should be eligible for	
	overseas country or	Moreover, cooperation	territories should be	funding under this	
	territory is linked.	between the partner	eligible for funding	Regulation, subject to its	
	Moreover,	countries and the	under this Regulation,	rules and objectives and	
	cooperation between	overseas countries and	subject to its rules and	possible arrangements	
	the partner countries	territories as well as	objectives and possible	applicable to the Member	
	and the overseas	the Union outermost	arrangements	State to which the relevant	
	countries and	regions under Article	applicable to the	overseas country or	
	territories as well as	349 of the Treaty on	Member State to which	territory is linked.	
	the Union outermost	the Functioning of the	the relevant overseas	Moreover, cooperation	
	regions under Article	European Union	country or territory is	between the partner	
	349 of the Treaty on	<i>TFEU</i> should be	linked. Moreover,	countries and the overseas	
	the Functioning of	encouraged in areas of	cooperation between	countries and territories as	
	the European Union	common interest.	the partner countries	well as the Union	
	should be		and the overseas	outermost regions under	
	encouraged in areas		countries and	Article 349 of the Treaty	
	of common interest.		territories as well as	on the Functioning of the	
			the Union outermost	European Union should be	
			regions under Article	encouraged in areas of	
			349 of the Treaty on	common interest.	
			the Functioning of the		
			European Union		
			should be encouraged		
			in areas of common		
			interest.		
52.	(42) In order to	(42) In order to	(42) In order to	(42) In order to enhance	Provisionally closed
	enhance partner	enhance partner	enhance partner	partner countries'	
	countries' ownership	countries' democratic	countries' ownership of	ownership of their	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own institutions and of partner countries' systems and procedures for all aspects of the project cycle for cooperation.	ownership of their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own institutions, resources, expertise and of partner countries' systems and procedures for all aspects of the project cycle for cooperation while ensuring local resources and expertise and the full involvement of local governments and civil society. The Union should also provide training programmes on how to apply for Union funding to local authorities' civil servants and civil society organisations with the aim of	their development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own institutions and of partner countries' systems and procedures for all aspects of the project cycle for cooperation.	development processes and the sustainability of external aid, the Union should, where relevant, favour the use of partner countries' own institutions, capacities, expertise and of partner countries' systems and procedures for all aspects of the project cycle for cooperation while ensuring the full involvement of local governments and civil society. The Union should make available information and training on how to apply for Union funding to potential beneficiaries of Union funding.	

LIMITE 135 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
		helping them to enhance the eligibility and efficiency of their projects. These programmes should be carried out in the countries concerned, be available in the language of the country and complement any distance learning programmes also established, in order to ensure a targeted training responding to the needs of that country.			
				(42 bis) Communication fosters democratic debate, reinforces institutional control and scrutiny over Union funding, and contributes to boosting the credibility of the Union. The Union and the beneficiaries of Union funding should enhance the visibility of the Union's action, and	Provisionally closed

LIMITE 136 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	proposul			communicate adequately on the added value of Union's support. In this regard, in accordance with the Financial Regulation, agreements concluded with recipients of Union funding should contain obligations ensuring appropriate visibility and the Commission should act in an appropriate and timely manner when these obligations are not met.	
53.	(43) Annual or multi-annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi-annual action plans consist of a set of measures grouped into one document.	(43) Annual or multi- annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi- annual action plans consist of a set of measures grouped into one document.	(43) Annual or multi- annual action plans and measures referred to in Article 19 constitute work programmes under the Financial Regulation. Annual or multi-annual action plans consist of a set of measures grouped into one document.		
54.	(44) In accordance	(44) In accordance	(44) In accordance	(44) In accordance with	To be discussed in relation with

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	with the Financial	with the Financial	with the Financial	the Regulation (EU,	Art.25
	Regulation,	Regulation,	Regulation, Regulation	Euratom) No 2018/1046	
	Regulation (EU,	Regulation (EU,	(EU, Euratom) No	of the European	
	Euratom) No	Euratom) No	883/2013 of the	Parliament and of the	
	883/2013 of the	883/2013 of the	European Parliament	Council ⁵⁴ (the ''Financial	
	European Parliament	European Parliament	and of the Council ⁴⁸ ,	Regulation"), Regulation	
	and of the Council ⁴⁸ ,	and of the Council ⁴⁸	and Council	(EU, Euratom) No	
	Council Regulation	Council Regulation	Regulations (EC,	883/2013 of the European	
	(EC, Euratom) No	(EC, Euratom) No	Euratom) No	Parliament and of the	
	2988/95 ⁴⁹ , Council	2988/95 ⁴⁹ , Council	2988/95 ⁴⁹ , (Euratom,	Council ⁴⁸ , Council	
	Regulation	Regulation (Euratom,	EC) No 2185/96 ⁵⁰⁵⁰	Regulation (EC, Euratom,	
	(Euratom, EC) No	EC) No 2185/96 ⁵⁰ and	and (EU) 2017/1939 ⁵¹ ,	<i>EC</i>) No 2988/95 ⁴⁹ ,	
	2185/96 ⁵⁰ and	Council Regulation	the financial interests	Council Regulation	
	Council Regulation	(EU) $2017/1939^{51}$, the	of the Union are to be	(Euratom, EC) No	
	(EU) 2017/1939 ⁵¹ ,	financial interests of	protected through	2185/96 ⁵⁰⁵⁰ and Council	

Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18.9.2013, p.1)

Council Regulation (EC, Euratom) No 2988/95 of 18 December 1995 on the protection of the European Communities financial interests (OJ L 312, 23.12.95, p.1).

Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15.11.1996, p.2)

OJ L 283, 31.10.2017, p.1.

Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	the financial interests	the Union are to be	effective and by means	Regulation (EU)	
	of the Union are to	protected through	of proportionate	$2017/1939^{51}$, the financial	
	be protected through	effective and	measures, including	interests of the Union are	
	effective and	proportionate	measures relating to	to be protected through	
	proportionate	measures, including	the prevention,	effective and	
	measures, including	the prevention,	detection, correction	proportionate measures,	
	the prevention,	detection, correction	and investigation of	including the prevention,	
	detection, correction	and investigation of	irregularities, including	detection, correction and	
	and investigation of	irregularities,	fraud, to the recovery	investigation of	
	irregularities,	including fraud, the	of funds lost, wrongly	irregularities, including	
	including fraud, the	recovery of funds lost,	paid or incorrectly	fraud, the recovery of	
	recovery of funds	wrongly paid or	used and, where	funds lost, wrongly paid	
	lost, wrongly paid or	incorrectly used and,	appropriate, to the	or incorrectly used and,	
	incorrectly used and,	where appropriate, the	imposition of	where appropriate, the	
	where appropriate,	imposition of	administrative	imposition of	
	the imposition of	administrative	sanctionspenalties. In	administrative sanctions.	
	administrative	sanctions. In	particular, in	In particular, in	
	sanctions. In	particular, in	accordance with	accordance with	
	particular, in	accordance with	Regulations (Euratom,	Regulation (EU, Euratom)	
	accordance with	Regulation (EU,	EC) No 2185/96 and	No 883/2013 and	
	Regulation (EU,	Euratom) No	(EU, Euratom) No	Regulation (Euratom, EC)	
	Euratom) No	883/2013 and	883/2013 and	No 2185/96, the European	
	883/2013 and	Regulation (Euratom,	Regulation (Euratom,	Anti-Fraud Office (OLAF)	
	Regulation	EC) No 2185/96 the	EC) No 2185/96, the	may carry out	
	(Euratom, EC) No	European Anti-Fraud	European Anti-Fraud	administrative	
	2185/96 the	Office (OLAF) may	Office (OLAF) may	investigations, including	
	European Anti-Fraud	carry out	has the power to carry	on-the-spot checks and	
	Office (OLAF) may	administrative	out administrative	inspections, with a view to	
	carry out	investigations,	investigations,	establishing whether there	
	administrative	including on-the-spot	including on-the-spot	has been fraud, corruption	

LIMITE 139 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	investigations,	checks and	checks and inspections,	or any other illegal	
	including on-the-	inspections, with a	with a view to	activity affecting the	
	spot checks and	view to establishing	establishing whether	financial interests of the	
	inspections, with a	whether there has been	there has been fraud,	Union. In accordance with	
	view to establishing	fraud, corruption or	corruption or any other	Regulation (EU)	
	whether there has	any other illegal	illegal activity	2017/1939, the European	
	been fraud,	activity affecting the	affecting the financial	Public Prosecutor's Office	
	corruption or any	financial interests of	interests of the Union.	("the EPPO") may	
	other illegal activity	the Union. In	In accordance with	investigate and prosecute	
	affecting the	accordance with	Regulation (EU)	fraud and other criminal	
	financial interests of	Regulation (EU)	2017/1939, the	offences affecting against	
	the Union. In	2017/1939, the	European Public	the <i>Union's</i> financial	
	accordance with	European Public	Prosecutor's Office	interests-of the Union, as	
	Regulation (EU)	Prosecutor's Office	may investigate and	provided for in Directive	
	2017/1939, the	may investigate and	prosecute fraud and	(EU) 2017/1371 of the	
	European Public	prosecute fraud and	other criminal offences	European Parliament and	
	Prosecutor's Office	other criminal	affecting the financial	of the Council ⁵² . In	
	may investigate and	offences affecting the	interests of the Union,	accordance with the	
	prosecute fraud and	financial interests of	as provided for in	Financial Regulation, any	
	other criminal	the Union, as provided	Directive (EU)	person or entity receiving	
	offences affecting	for in Directive (EU)	2017/1371 of the	Union funds is to fully	
	the financial interests	2017/1371 ⁵² of the	European Parliament	cooperate in the protection	
	of the Union, as	European Parliament	and of the Council ⁵² .	of the Union's financial	
	provided for in	and of the Council. In	The European Public	interests-and, to grant the	

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	Directive (EU)	accordance with the	Prosecutor's Office	necessary rights and	
	2017/1371 ⁵² of the	Financial Regulation,	(EPPO) is empowered,	access to the Commission,	
	European Parliament	any person or entity	in accordance with	OLAF, the EPPO in	
	and of the Council.	receiving Union funds	Regulation (EU)	respect of those Member	
	In accordance with	is to fully cooperate in	2017/1939, to	States participating in	
	the Financial	the protection of the	investigate and	enhanced cooperation	
	Regulation, any	Union's financial	prosecute criminal	pursuant to Regulation	
	person or entity	interests and grant the	offences affecting the	(EU) 2017/1939, and the	
	receiving Union	necessary rights and	financial interests of	European Court of	
	funds is to fully	access to the	the Union as provided	Auditors $(ECA)_{7}$, and to	
	cooperate in the	Commission, OLAF	for in Directive (EU)	ensure that any third	
	protection of the	and the European	2017/1371 of the	parties involved in the	
	Union's financial	Court of Auditors, and	European Parliament	implementation of Union	
	interests and grant	to ensure that any	and of the Council. 53	funds grant equivalent	
	the necessary rights	third parties involved	In accordance with the	rights;. f For this reason,	
	and access to the	in the implementation	Financial Regulation,	agreements with third	
	Commission, OLAF	of Union funds grant	any person or entity	countries and territories	
	and the European	equivalent rights; for	receiving Union funds	and with international	
	Court of Auditors,	this reason,	is to fully cooperate in	organisations, and any	
	and to ensure that	agreements with third	the protection of the	contract or agreement	
	any third parties	countries and	Union's financial	resulting from the	
	involved in the	territories and with	interests of the Union	implementation of this	
	implementation of	international	and, grant the	Regulation, should contain	
	Union funds grant	organisations, and any	necessary rights and	provisions expressly	

Directive (EU) 2017/1371 of the European Parliament and of the Council of 5 July 2017 on the fight against fraud to the Union's financial interests by means of criminal law (OJ 198, 28.7.2017, p.29)

Directive (EU) 2017/1371 of the European Parliament and of the Council of 5 July 2017 on the fight against fraud to the Union's financial interests by means of criminal law (OJ 198, 28.7.2017, p.29)

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	equivalent rights; for	contract or agreement	access to the	empowering the	
	this reason,	resulting from the	Commission, OLAF,	Commission, the Court of	
	agreements with	implementation of this	and the European	Auditors and OLAF to	
	third countries and	Regulation should	Court of Auditors	conduct such audits, on-	
	territories and with	contain provisions	(ECA), and to ensure	the-spot checks and	
	international	expressly empowering	that any third parties	inspections, according to	
	organisations, and	the Commission, the	involved in the	their respective	
	any contract or	Court of Auditors and	implementation of	competences and ensuring	
	agreement resulting	OLAF to conduct such	Union funds grant	that any third parties	
	from the	audits, on-the-spot	equivalent rights; the	involved in the	
	implementation of	checks and	Court of Auditors and,	implementation of Union	
	this Regulation	inspections, according	in respect of those	funding grant equivalent	
	should contain	to their respective	Member States	rights.	
	provisions expressly	competences and	participating in		
	empowering the	ensuring that any third	enhanced cooperation		
	Commission, the	parties involved in the	pursuant to		
	Court of Auditors	implementation of	Regulation (EU)		
	and OLAF to	Union funding grant	2017/1939, the EPPO,		
	conduct such audits,	equivalent rights.	and ensure that any		
	on-the-spot checks		third parties involved		
	and inspections,		in the implementation		
	according to their		of Union funds grant		
	respective		equivalent rights. For		
	competences and		this reason, agreements		
	ensuring that any		with third countries		
	third parties involved		and territories and with		
	in the		international		
	implementation of		organisations, and any		
	Union funding grant		contract or agreement		
	equivalent rights.		resulting from the		

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		implementation of this Regulation, should contain provisions expressly empowering the Commission, the Court of Auditors and OLAF to conduct such audits, on-the-spot checks and inspections, according to their respective competences and ensuring that any third parties involved in the implementation of Union funding grant equivalent rights.		
54. bis		(44a) In order to contribute to the international fight against tax fraud, tax evasion, fraud, corruption and money laundering all financing through this Regulation should be provided in a completely transparent manner. Furthermore, the			To be discussed after Art.24a, linked to EFSD+

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	proposal	eligible counterparts should not support any activities carried out for illegal purposes nor participate in any financing or investment operation through a vehicle located in a non- cooperative jurisdiction or in a tax haven. Counterparts should also refrain from making any use of tax	mandate		
		avoidance or aggressive tax planning schemes.			
55.	(45) In order to ensure uniform conditions for the implementation of the relevant provisions of this Regulation, implementing powers should be conferred on the Commission. Those	(45) In order to ensure uniform conditions for the implementation of the relevant provisions of this Regulation, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with	(45) In order to ensure uniform conditions for the implementation of the relevant provisions of this Regulation, implementing powers should be conferred on the Commission. Those powers should be exercised in accordance with		

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	powers should be	Regulation (EU) No	Regulation (EU) No		
	exercised in	182/2011 ⁵⁵ of the	182/2011 ⁵⁵ of the		
	accordance with	European Parliament	European Parliament		
	Regulation (EU) No	and of the Council.	and of the Council.		
	182/2011 ⁵⁵ of the				
	European Parliament				
	and of the Council.				
56.	(46) In order to	(46) In order to	(46) In order to		
	supplement or	supplement or non-	supplement or amend		
	amend non-essential	essential elements of	non-essential elements		
	elements of this	this Regulation, the	of this Regulation, the		
	Regulation, the	power to adopt acts in	power to adopt acts in		
	power to adopt acts	accordance with	accordance with		
	in accordance with	Article 290 TFEU	Article 290 of the		
	Article 290 of the	should be delegated to	Treaty on the		
	Treaty on the	the Commission in	Functioning of the		
	Functioning of the	respect of setting out	European Union		
	European Union	the Union's strategy,	should be delegated to		
	should be delegated	the priority areas,	the Commission in		
	to the Commission	detailed objectives,	respect of the		
	in respect of the	the expected results,	provisioning rates laid		
	provisioning rates	specific performance	down in Article 26(3),		

Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p.13).

EP position: Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p.13).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	laid down in Article	indicators and the	the areas of		
	26(3), the areas of	specific financial	cooperation and		
	cooperation and	allocation and	intervention listed in		
	intervention listed in	cooperation	Annexes II, III and IV,		
	Annexes II, III and	modalities for each	the priority areas of the		
	IV, the priority areas	geographic and	EFSD+ operations		
	of the EFSD+	thematic programme,	listed in Annex V, the		
	operations listed in	as well as for action	governance of the		
	Annex V, the	plans and measures	EFSD+ in Annex VI,		
	governance of the	not based on	to review or		
	EFSD+ in Annex VI,	programming	complement the		
	to review or	documents	indicators in Annex		
	complement the	establishing a human	VII where considered		
	indicators in Annex	rights operational	necessary and to		
	VII where	framework,	supplement this		
	considered necessary	establishing a risk	Regulation with		
	and to supplement	management	provisions on the		
	this Regulation with	framework, deciding	establishment of a		
	provisions on the	on the needs not	monitoring and		
	establishment of a	covered by	evaluation framework.		
	monitoring and	programmes or			
	evaluation	programming			
	framework.	documents, deciding			
		on the suspension of			
		assistance,			
		establishing the			
		performance-based			
		approach framework,			
		establishing the			
		provisioning rates,			

LIMITE 146 EN

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
		establishing a			
		monitoring and			
		evaluation framework			
		and extending the			
		scope of actions to			
		countries and			
		territories not covered			
		by this Regulation. In			
		order to amend non-			
		essential elements of			
		this Regulation, the			
		power to adopt acts in			
		accordance with			
		Article 290 of the			
		Treaty on the			
		Functioning of the			
		European Union			
		TFEU should be			
		delegated to the			
		Commission in respect			
		of the provisioning rates laid down in			
		Article 26(3), to the			
		areas of cooperation and intervention listed			
		in Annexes II, III and			
		•			
		IV, the priority areas of the EFSD+			
		operations <i>and the</i>			
		investment windows			
		invesiment windows			

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
		listed in Annex V, the			
		governance of the			
		EFSD+ in Annex VI,			
		to review or			
		complement as well as			
		the indicators <i>listed</i> in			
		Annex VII where			
		considered necessary			
		and to supplement this			
		Regulation with			
		provisions on the			
		establishment of a			
		monitoring and			
		evaluation framework.			
57.	(47) Pursuant to	(47) Pursuant to	(47) Pursuant to		
	paragraph 22 and 23	paragraph 22 and 23	paragraph 22 and 23 of		
	of the Inter-	of the Inter-	the Inter-institutional		
	institutional	institutional agreement	agreement for Better		
	agreement for Better	for Better Law-	Law-Making of 13		
	Law-Making of 13	Making of 13 April	April 2016^{56} , there is a		
	April 2016^{56} , there is	2016^{56} , there is a need	need to evaluate this		
	a need to evaluate	to evaluate this	Programme on the		
	this Programme on	Programme on the	basis of information		
	the basis of	basis of information	collected through		
	information	collected through	specific monitoring		

Interinstitutional Agreement between the European Parliament, the Council of the European Union and the European Commission on Better Law-Making of 13 April 2016; OJ L 123, 12.5.2016, p. 1–14.

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
	collected through	specific monitoring	requirements, while		
	specific monitoring	requirements, while	avoiding		
	requirements, while	avoiding	overregulation and		
	avoiding	overregulation and	administrative burdens,		
	overregulation and	administrative	in particular on		
	administrative	burdens, in particular	Member States. These		
	burdens, in particular	on Member States.	requirements , where		
	on Member States.	These requirements,	appropriate, can		
	These requirements,	where appropriate, can	should include		
	where appropriate,	should include	measurable indicators,		
	can include	measurable indicators,	as a basis for		
	measurable	as a basis for	evaluating the effects		
	indicators, as a basis	evaluating the effects	of the Programme on		
	for evaluating the	of the Programme on	the ground. It is of		
	effects of the	the ground. It is of	particular importance		
	Programme on the	particular importance	that the Commission		
	ground. It is of	that the Commission	carry out appropriate		
	particular	carry out appropriate	consultations during its		
	importance that the	consultations during	preparatory work,		
	Commission carry	its preparatory work,	including at expert		
	out appropriate	including at expert	level, and that those		
	consultations during	level with relevant	consultations be		
	its preparatory work,	stakeholders such as	conducted in		
	including at expert	civil society and	accordance with the		
	level, and that those	experts, and that those	principles laid down in		
	consultations be	consultations be	the Interinstitutional		
	conducted in	conducted in	Agreement on Better		
	accordance with the	accordance with the	Law-Making of 13		
	principles laid down	principles laid down in	April 2016. In		
	in the	the Interinstitutional	particular, to ensure		

Line	Commission	EP position	Council negotiating mandate	Possible compromise	Comments
	Interinstitutional Agreement on Better Law-Making of 13 April 2016. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.	Agreement on Better Law-Making of 13 April 2016. In particular, to ensure equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.	equal participation in the preparation of delegated acts, the European Parliament and the Council receive all documents at the same time as Member States' experts, and their experts systematically have access to meetings of Commission expert groups dealing with the preparation of delegated acts.		
58.	(48) The references to Union instruments in Article 9 of	(48) The references to Union instruments in Article 9 of Council	(48) The references to Union instruments in Article 9 of Council		

LIMITE 150 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	Council Decision 2010/427/EU ⁵⁷ , which are replaced by this Regulation, should be read as references to this Regulation and the Commission should ensure that this Regulation is implemented in accordance with the role of the EEAS as provided in that Decision.	Decision 2010/427/EU ⁵⁷ , which are replaced by Due to the broad nature and scope of this Regulation, should be read as references to and to ensure coherence between the principles, objectives and spending under both this Regulation and the other external financing instruments, such as Regulation EINS, or Instruments which are intrinsically linked to external policies, such as the IPA III Regulation, a horizontal steering	Decision 2010/427/EU ⁵⁷ , which are replaced by this Regulation, should be read as references to this Regulation and the Commission should ensure that this Regulation is implemented in accordance with the role of the EEAS as provided in that Decision.		

Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30).

EP position: Council Decision 2010/427/EU of 26 July 2010 establishing the organisation and functioning of the European External Action Service (OJ L 201, 3.8.2010, p. 30).

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
		group composed of all			
		relevant Commission			
		and EEAS services			
		and chaired by the			
		Vice-President of the			
		Commission/High			
		Representative of the			
		Union for Foreign			
		Affairs and Security			
		Policy (VP/HR) or a			
		representative of that			
		office should ensure			
		that be responsible for			
		the steering,			
		coordinating and			
		managing of the			
		policies, programmes,			
		objectives and actions			
		under this Regulation			
		is implemented in			
		accordance with the			
		role of in order to			
		ensure consistency,			
		efficiency,			
		transparency and			
		accountability of			
		Union external			
		financing. The			
		VP/HR should ensure			
		overall political			

LIMITE 152 EN

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	proposal	coordination of the Union's external action. For all actions, including rapid response actions and exceptional assistance measures, and throughout the whole cycle of programming, planning and application of the instrument, the High Representative and the EEAS as provided in that Decision should work with the relevant members and services of the Commission, identified on the basis of the nature and objectives of the action foreseen, building upon their expertise. All proposals for decisions should be	mandate		

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	•	the Commission's			
		procedures and			
		should be submitted			
		to the Commission for			
		adoption.			
58. bis		(48a) The application			
		of this Regulation			
		should, where			
		relevant, be			
		complementary to,			
		and should be			
		consistent with,			
		measures adopted by			
		the Union in pursuit			
		of the Common			
		Foreign and Security			
		Policy objectives			
		within the framework			
		of Chapter Two of			
		Title V TEU and			
		measures adopted			
		within the framework			
		of Part Five TFEU.			
59.	(49) The envisaged	(49) The envisaged	(49) The envisaged		
	actions as provided	actions as provided for	actions as provided for		
	for hereunder should	hereunder should	hereunder should		
	strictly follow the	strictly follow the	strictly follow the		
	conditions and	conditions and	conditions and		
	procedures set out by	procedures set out by	procedures set out by		
	the restrictive	the restrictive	the restrictive		

Line	Commission proposal	EP position	Council negotiating mandate	Possible compromise	Comments
	measures of the	measures of the	measures of the Union,		
	Union,	Union,	as well as the Union's		
		,	decisions and		
			regulations on		
			transfer controls, on		
			products having dual		
			use, and on products		
			used for capital		
			punishment and		
5 0.11			torture;		
59. bis		(49a) The European			
		Parliament should be			
		fully involved in the			
		design, programming,			
		monitoring and			
		evaluation phases of the instruments in			
		order to guarantee			
		political control and			
		democratic scrutiny			
		and accountability of			
		Union funding in the			
		field of external			
		action. An enhanced			
		dialogue between the			
		institutions should be			
		established in order to			
		ensure that the			
		European Parliament			
		is in a position to			

Line	Commission	EP position	Council negotiating	Possible compromise	Comments
	proposal		mandate		
		exercise political			
		control during the			
		application of this			
		Regulation in a			
		systematic and			
		smooth manner			
		thereby enhancing			
		both efficiency and			
		legitimacy,			