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OPERATIONS DIVISION
Joint Operations Unit
Air Border Sector

Annexes of the Operational Plan

Joint Operation Focal Points 2014 Air – Intermediate Managers
2014/ABS/04

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ANNEX 1 - DESCRIPTION AND ASSESSMENT OF THE SITUATION

1.1. Introduction

Joint Operation Focal Points has been created to undertake two specific functions. Firstly, to provide a permanent all year round presence at those airports which are perceived as being the most highly exposed to irregular migration, and secondly to respond to Schengen Member States/SAC requests[1] for specific human expertise where they consider that they require it.

The aim of this exercise is to undertake an assessment that will seek to identify those airports where a permanent all year round presence in 2014 is deemed appropriate in order to support these airports in dealing with their level of exposure.

The assessment is based mainly on Pulsar data provided by the EU Schengen MS / SAC on a weekly basis (reference period: weeks 1 - 46 of 2013) and on results of current and past Frontex operational activities.

The selection of airports was based on several aspects. The following factors were taken into account:

- the main risks identified at the EU/SAC air border in 2013 (See Risk Matrix in Annex 1)

- the level of impact of migration pressure as measured according to the levels of impact assessment mechanism described below

- Other factors, which indicate either a need to support respective national authorities in respect of the management of migration flows, or to obtain relevant information/intelligence for the purpose of risk assessments.

In the course of analysis, five categories/levels of impact of migration pressure at EU/SAC airports were identified according to the best fitting thresholds: **very high**, **high**, **medium**, **low** and **very low**. The five categories were defined separately for the category "refusal of entry" and "asylum" on the basis of clusters/groups of airports which registered in 2013 the closest/similar numbers of persons refused entry and seeking asylum. In order to combine the impact levels regarding both indicators a numerical ranking value was provided in respect of each of the indicators. These were designated as follows: Very high: 5; High: 4, Medium: 3, Low: 2, Very low: 1. In order to obtain the final scoring for the five impact levels, ranking values regarding indicators "refusal of entry" and "asylum" were combined.

It is the case that the larger airports with wide global route networks experience the most diversity in terms of the volume of irregular migrants as well as the type of irregular migration .As it is the intention for Focal Points 2014 to identify and provide information/intelligence in respect of as many phenomena as possible, many of these larger airports have been selected to be permanent Focal Points as they provide the best opportunity to encounter the full range of these phenomena as frequently as possible.

The dominance of such airports can be realised by the fact that just 4 of these airports produce over 40% of all refusals and asylum applications at the external Schengen/SAC air borders. Furthermore, these airports possess by far the majority of direct flights to airports outside of the EU traditionally associated with irregular migration. These airports also permit easy onward connections from high risk flights to most other EU airports. This dispersal opportunity means that the risks of irregular migration affect the other EU Member States and SAC.

It should be noted that some airports which during 2013 experienced unusually high pressures of irregular migration were not included in the list of permanent Focal Points. ███████████ is ███████████

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Commented [A1]: The not disclosed text contains sensitive information obtained in the scope of cooperation with another EU MS related to the effectiveness of border security. Its disclosure would reveal the existing vulnerabilities which, once public, would be explored by the criminal networks of migrant smuggling and of trafficking of human beings. Thus, the disclosure of such information would harm the public interest as regards public security. In this regard the text is not disclosed pursuant to the exception laid down in the first indent of Article 4(1)(a) of Regulation (EC) 1049/2001.

The ranking of the selected airports, together with their scores are provided below:

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[1] Heathrow airport London, in the United Kingdom experiences the highest number of refusals of entry and asylum applications of any EU airport. It is of course not included for the purposes of this exercise.

Airport	Impact Level- Refusal of Entry	Ranking- Refusal of Entry	Impact level - Asylum	Ranking - Asylum	Scoring of Impact Level- Refusal of Entry and Asylum	Impact Level - Refusal of Entry and Asylum	Main Risks at the EU/SAC External Air Border (at least 2 of 5)
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███	███	█	██	█	███	███	██
███	███	█	██	█	███	███	██
███	██	█	███	█	██	██	██

A comprehensive overview of each of these selected airports and the known threats and pressure is provided below.

1.2. List of Airports recommended for Activation as Focal Points

1.2.1. Amsterdam (AMS)

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Commented [A2]: The blanked out parts contain detailed operational information and intelligence related to individual airports. Its disclosure would reveal the existing vulnerabilities which, once public, would be explored by the criminal networks of migrant smuggling and of trafficking of human beings. Thus, the disclosure of such information would harm the public interest as regards public security. In this regard the text is not disclosed pursuant to the exception laid down in the first indent of Article 4(1)(a) of Regulation (EC) 1049/2001.

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2 http://en.wikipedia.org/wiki/Amsterdam_Airport_Schiphol.Note all references to passenger numbers are sourced from Wikipedia and /or EUROSTAT.

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1.2.2. Brussels (BRU)

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1.2.3. Frankfurt (FRA)

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1.2.4. Madrid (MAD)

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1.2.5. Milan (MXP)

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1.2.6. Paris (CDG)

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1.2.7. Paris -Orly (ORY)

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1.2.8. Rome (FCO)

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1.2.9. Stockholm (ARN)

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The large inflow of Syrian asylum seekers commenced at the beginning of the civil war in 2012. There exist a number of "pull factors" which account for this. Firstly there is a fairly well established Syrian diaspora resident in Sweden. Unlike most Member States Sweden provides temporary or indefinite stay and benefits to all Syrians claiming asylum.

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1.2.10. Warsaw (WAW)

Warsaw (WAW) is the largest civil airport in Poland; in 2012 it had an overall passenger flow of 9.5 million passengers. It is the main hub for the national airline *LOT Polish Airlines*.

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1.2.11. Lisbon (LIS)

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1.2.12.Bucharest (OTP)

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1.3. Conclusion

The dual aims of Frontex Focal Points air deployments, on the one hand providing operational support to Member States and on the other hand attempting to increase knowledge of the habitual traffic and emerging phenomena and modi operandi at the external borders of the EU is not easy to fulfil.

However, financial restraints mean that at present there does not exist specific funding streams within Focal Points for the separate deployment of human assets to be able to concentrate on achieving just one of these aims on a sustained basis throughout the operational year.

It is therefore the case that any reporting in support of increasing RAU's objectives of increasing knowledge, means that when officers are able to devote resources to doing so they are instructed to only concentrate on reporting "Cases of Interest". This is to ensure that there is no simple double reporting of activity, especially where an airport is reporting in the Pulsar Data collection. The definition of cases of interest remains loose but essentially refers to case involving, facilitation and trafficking of humans beings, visa fraud and visa miss-issue, transit without visa abuse, and any case that reflects the general traffic of an airport.

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Risk	Threat	Vulnerability	Impact

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ANNEX 2 - OPERATIONAL OBJECTIVES AND INDICATORS

Joint Operation Focal/Coordination Points Air 2014 – Intermediate Managers is going to be implemented under the umbrella of Focal Points Concept 2014. This concept will be acting as a permanent platform for providing a sustained operational presence and information exchange/gathering system at air borders by deploying Regular Officers - Seconded Guest Officers, Guest Officers, Special Advisers or Third Country observers and EU advisers on one hand and Intermediate Managers on the other, to activated Focal and Coordination Point airports.

The aim of the Joint Operation Focal/Coordination Points Air 2014 – Intermediate Managers is to exchange border guards among different airports within and outside Europe in order to contribute to the Integrated Border Management (IBM) enhancement and increase the operational coordination at the external borders of the European Union by establishing a permanent Focal Points system. By deploying intermediate managers also managerial capabilities on operation level will be strengthened via the exchange of knowledge and best practices.

Under the umbrella of the Frontex Programme of Work 2014, in the frame of Focal Points Concept this activity is going to contribute to the following corporate goals and prioritized key objectives, as set in the Multi Annual Programme of Work 2014-2017 and PoW 2014:

Goal 2: **Supporting response**, Key Objective nr. 4: *Intensify the concept of different types of joint operations (land, sea, air, return and multipurpose activities) and to target Frontex operations aligned with the priorities of the Internal Security Strategy (EU Policy Cycle).*

Goal 4: **Development**, Key Objective nr. 3: *Add value and capacity to sound EU border management by increasingly conducting operational activities using functional structures and platforms.*

Goal 4: **Development** – Key objective nr. 8: *Establish operational cooperation with competent authorities of third countries; enhancing the involvement of relevant TC in Frontex activities.*

The objectives of the activity are, as follows:

1. Enhance exchange of information (Frontex & MS/SAC & TC)
2. Exchange best practices at managerial level
3. Share and improve managerial capacities
4. Support establishment of permanent structures
5. Support enhancement of operational cooperation

This activity is expected to deliver the following main benefits:

- Positive impact on the operational cooperation among EU and Non-EU airports based on the intermediate managers experiences and established contacts
- Increased knowledge about different managerial practices in MSs and TC
- Increased knowledge at the managerial levels in Ms and TC about Frontex operational activities and its values
- Increased response capacity of Frontex having permanent Focal Point structure established

Being an annual activity, this joint operation will offer the possibility to deploy officers throughout the course of the year. Deployed officers will be able to share their experience and expertise with their counterparts at the respective hosting airports. A continuous flow of information between officers, airports, point of contacts and Frontex should provide all the participants with an up-to-date knowledge about the situation and best practice of border control and border management. The deployments during the joint operation will be based on the proposals of Member States and Third Countries to Frontex as well as on identified needs.

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The aforementioned objectives are linked with Frontex goals and key objectives and are meant to be achieved by the following activities:

Goals/Key objectives	Objectives of the activity	Activity	Indicators of achievement	Expected output
Goal 2 (Supporting response) / 4	1, 2, 3	Deployment of intermediate managers in and outside EU	Nr of MS/SAC and TC participating in the JO Number of man-days deployed by all participating countries (EU and TC) during the operation	At least 20 MS/SAC and 3 TC participating in the JO At least 310 man-days deployed by all participating countries (EU and TC) in course of the JO
	4	Activation of Focal Points and Coordination Points	Number of Focal Points and Coordination Points activated during the operation	At least 10 Focal Points and 3 Coordination Points activated in course of the JO
	5	Reporting of Cases of interest	Number of Cases of interest reported during the operation	At least three Cases of interest to be reported during the JO
Goal 4 (Development)/3	1, 2	Deployment of intermediate managers in and outside EU	Number of man-days deployed by all participating countries (EU and TC) during the operation	At least 310 man-days deployed by all participating countries (EU and TC) in course of the JO
	5	Reporting of Cases of interest	Number of Cases of interest reported during the operation	At least three Cases of interest to be reported during the JO
Goal 4 (Development)/8	1	Deployment of TC observers to EU MS/SAC Deployment of EU advisers to Third Countries	Nr of man-days deployed by participating TC during the operation Nr of man-days deployed by participating EU countries during the operation	At least 30 man-days deployed by TC in course of the JO At least 30 man-days deployed by EU countries in course of the JO
	4	Activation of Focal Points and Coordination Points	Number of Focal Points and Coordination Points activated during the operation	At least 10 Focal Points and 3 Coordination Points activated in course of the JO

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ANNEX 3 - OPERATIONAL AREA

3.1 List of Focal Points 2014 Air

	Country	Airport	IATA Code	Activation type
1	NETHERLANDS	Amsterdam	AMS	███████
2	BELGIUM	Brussels	BRU	███████
3	GERMANY	Frankfurt	FRA	███████
4	SPAIN	Madrid	MAD	███████
5	FRANCE	Paris Charles de Gaulle	CDG	███████
6	FRANCE	Paris Orly	ORY	███████
7	ITALY	Rome	FCO	███████
8	ITALY	Milan	MXP	███████
9	SWEDEN	Stockholm	ARN	███████
10	PORTUGAL	Lisbon	LIS	███████
11	POLAND	Warsaw	WAW	███████
12	ROMANIA	Bucharest	OTP	███████
13	SWITZERLAND	Zürich	ZRH	███████
14	SWITZERLAND	Geneva	GVA	███████
15	LITHUANIA	Vilnius	VNO	███████
16	AUSTRIA	Vienna	VIE	███████
17	CZECH REPUBLIC	Prague	PRG	███████
18	HUNGARY	Budapest	BUD	███████
19	LATVIA	Riga	RIX	███████
20	FINLAND	Helsinki	HEL	███████
21	SLOVENIA	Ljubljana	LJU	███████
22	GERMANY	Munich	MUC	███████
23	GERMANY	Düsseldorf	DUS	███████
24	GREECE	Athens	ATH	███████
25	ITALY	Bergamo	BGY	███████
26	FRANCE	Lyon	LYN	███████
27	FRANCE	Marseille	MRS	███████
28	FRANCE	Nice	NCE	███████

Commented [A4]: The blanked out parts contain information regarding activation type of operational areas. Its disclosure would expose law enforcement officials' patrolling the area and harm the course of future and ongoing operations, and thus facilitate irregular migration. Therefore, public security will be affected. In light of the above, the text is not disclosed pursuant to the exception laid down in the first indent of Article 4(1)(a) of Regulation No 1049/2001 relating to the protection of the public interest as regards public security.

3.2 List of Coordination Points 2014 Air

To be inserted at the later stage.

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ANNEX 4 – DEPLOYED RESOURCES

	Deploying country	Hosting country	Focal Point Air	First day	Last day	Intermediate Managers
1	ICELAND	FINLAND	FP Helsinki (HEL)	27-Mar	05-Apr	███████████████
2	ESTONIA	POLAND	FP Warsaw (WAW)	31-Mar	11-Apr	████
3	PORTUGAL	SPAIN	FP Madrid (MAD)	07-Apr	16-Apr	████
4	NETHERLANDS	ROMANIA	FP Bucharest (OTP)	14-Apr	25-Apr	█████████████████████
5	ROMANIA	NETHERLANDS	FP Amsterdam (AMS)	15-Apr	25-Apr	███████
6	ITALY	PORTUGAL	FP Lisbon (LIS)	15-Apr	28-Apr	████████
7	CZECH REPUBLIC	GERMANY	FP Munich (MUC)	28-Apr	09-May	███
8	AUSTRIA	GERMANY	FP Düsseldorf (DUS)	29-Apr	08-May	██████
9	CROATIA	ROMANIA	FP Bucharest (OTP)	05-May	09-May	██████████
10	SLOVENIA	FRANCE	FP Paris (CDG)	12-May	23-May	████████████
11	SLOVAKIA	HUNGARY	FP Budapest (BUD)	12-May	23-May	███████
12	SWEDEN	SPAIN	MAD	12-May	21-May	███████
13	GERMANY	ITALY	FP Rome (FCO)	19-May	28-May	████
14	SWEDEN	ROMANIA	FP Bucharest (OTP)	19-May	30-May	████
15	LITHUANIA	POLAND	FP Warsaw (WAW)	02-Jun	13-Jun	███████
16	DENMARK	ITALY	FP Rome (FCO)	09-Jun	15-Jun	██████
17	ITALY	SPAIN	FP Madrid (MAD)	09-Jun	20-Jun	███
18	ITALY	GERMANY	FP Munich (MUC)	16-Jun	20-Jun	███████
19	SWITZERLAND	GERMANY	FP Frankfurt (FRA)	07-Jul	18-Jul	█████████
20	GERMANY	NETHERLANDS	FP Amsterdam (AMS)	28-Jul	06-Aug	██████
21	GERMANY	PORTUGAL	FP Lisbon (LIS)	12-Aug	21-Aug	█████
22	GERMANY	AUSTRIA	FP Vienna (VIE)	26-Aug	06-Sep	████
23	SWITZERLAND	ITALY	FP Rome (FCO)	01-Sep	10-Sep	██████
24	HUNGARY	LATVIA	FP Riga (RIX)	01-Sep	12-Sep	██████
25	AUSTRIA	ITALY	FP Milan (MXP)	09-Sep	18-Sep	█████████
26	ITALY	FRANCE	FP Paris Orly (ORY)	15-Sep	30-Sep	██████████
27	SWITZERLAND	SPAIN	FP Madrid (MAD)	30-Sep	10-Oct	██████
28	BULGARIA	CZECH REPUBLIC	FP Prague (PRG)	06-Oct	17-Oct	█████████
29	GERMANY	FRANCE	FP Paris (CDG)	06-Oct	17-Oct	█████
30	ITALY	GREECE	FP Athens (ATH)	06-Oct	11-Oct	████████████
31	FRANCE	NETHERLANDS	FP Amsterdam (AMS)	20-Oct	31-Oct	██████
32	GERMANY	NETHERLANDS	FP Amsterdam (AMS)	27-Oct	07-Nov	█████
33	GERMANY	SWITZERLAND	FP Zürich (ZRH)	04-Nov	12-Nov	██████
34	FRANCE	SPAIN	FP Madrid (MAD)	17-Nov	28-Nov	█████
35	AUSTRIA	GERMANY	FP Frankfurt (FRA)	02-Dec	11-Dec	██████
36	FINLAND	NETHERLANDS	FP Amsterdam (AMS)	02-Dec	10-Dec	█████

Commented [A5]: The blanked out part contains personal data. Its disclosure would affect the privacy and integrity of the individual. Therefore, its disclosure is precluded pursuant to the exception laid down in Article 4(1)(b) of Regulation 1049/2001 regarding public access to European Parliament, Council and Commission Documents.

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ANNEX 5 - OPERATIONAL BRIEFING

1.4. General briefing delivered by Frontex

The General briefing is part of the Operational briefing carried out by Frontex.

The officers to be deployed and hosting airport representatives will be briefed by Frontex in convenient locations prior to the operational phase. These General Briefing sessions for deployed officers and hosting authorities are the most important tool to prepare the implementation of the operational phase.

The whole briefing package will be delivered to all participants and provided to representatives of the hosting airports. During the General Briefing Frontex Risk Analysis Unit will contribute with information on recent developments in respect of irregular migratory flows arriving at/transiting via respective airports. Frontex Situation centre will introduce its role and tasks and offer practical explanation of FOSS (please, see the respective Chapters in the Handbook to the Operational Plan for air border joint operations).

Each General Briefing is held at the beginning of the operational phase and led by an Air Border Sector Operational Project Team member at the beginning of deployments. In exceptional cases, if respective participants are not available for the General Briefing, an *ad-hoc* briefing will be delivered on the spot.

1.5. National briefing delivered by host MS and host TC

During the first days of deployment at hosting airports, deployed officers from MS and Third Countries will receive also a National briefing delivered by local authorities. The National briefers (airport representatives) are responsible for carrying out National briefings, based on the Common Briefing Pack, for all participants deployed within JO.

Hosting airports (National Briefers) will be requested to arrange briefing activities in situ for the deployed and local officers. During the briefing at the spot the deployed officers should familiarize with local regulations and exchange practical advices for their daily duties. They should also be updated about the local situation of irregular migration. Moreover, the deployed officers should also receive instructions on the use of force, legitimate defense and any other relevant detail concerning the local legislation. The working schedule for the following days should be discussed and agreed according to eight working time hours per day with two days of rest per week regardless they are weekend or week days.

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ANNEX 6 - COMMAND AND CONTROL SCHEME

2.1. Operational Management and Operational team

- Operational Manager (OM): ███████████ (Air Border Sector)
- Operational Team (OT████████████████████████████████████
- Frontex Coordinating Officer (FCO): ████████████
- Operational Analyst (OA████████████
- Pooled Resources: OPERA team
- Frontex Situation Centre (FSC)
    - Senior Duty Officer (SDO)
    - Frontex Support Officer (FSO FSC)
    - FOSS Service Managers

2.2. Participating airports

See the annex 3

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ANNEX 7 – CONTACT DETAILS

7.1. General

Authority	Address	Email address
Frontex (HQ)	Rondo ONZ 1, 00-124 Warsaw, Poland	frontex@frontex.europa.eu
Frontex Situation Center	Rondo ONZ 1, 00-124 Warsaw, Poland	██████████
Focal Points Air team	Rondo ONZ 1, 00-124 Warsaw, Poland	██████████
OPERA team	Rondo ONZ 1, 00-124 Warsaw, Poland	██████████
FOSS team	Rondo ONZ 1, 00-124 Warsaw, Poland	██████████

Commented [A7]: The blanked out parts contain detailed information related to means of communication used by law enforcement officials. Their disclosure would lead to possible abusive usage and harm the course of future and ongoing operations, and thus facilitate irregular migration. Therefore, public security will be affected. In light of the above the text is not disclosed pursuant to the exception laid down in the first indent of Article 4(1)(a) of Regulation No 1049/2001 relating to the protection of the public interest as regards public security.

7.2. Relevant to the operation

Role	Name	Phone number	Email address
Frontex Coordinating Officer	██████████	████████	██████████████
Operational Manager	████████	████████	██████████████
Operational Team Member	██████████	████████	██████████████
Operational Analyst	████████	████████	██████████████
Spokesperson	Izabella Cooper	+48 667667292	izabella.cooper@frontex.europa.eu
Press Officer	Ewa Moncure	+48 785001374	ewa.moncure@frontex.europa.eu

Commented [A8]: The blanked out parts contain the names and contact details of actors participating in Frontex activities. The disclosure of such information would undermine the protection of the privacy and the integrity of the individuals, in particular in accordance with EU laws regarding the protection of personal data. In this regard those parts are not disclosed pursuant to the exception laid down in Article 4(1)(b) of Regulation (EC) 1049/2001.

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7.3. Airports contact list

Country	Airport	Airport contact Person:	E-mail:	Telephone:
Austria	Vienna	███████	███████	██████
Czech Republic	Prague	█████	█████	█████
Finland	Helsinki	█████	██████	██████
France	Paris CDG	█████	██████	██████
France	Paris ORY	██████	███████	██████
France	Paris ORY	██████	███████	██████
Germany	Düsseldorf	█████	██████	█████
Germany	Düsseldorf	████	██████	█████
Germany	Frankfurt	█████	██████	█████
Germany	Munich	████	█████	█████
Greece	Athens	███████	██████	████
Hungary	Budapest	██████	█████	█████
Italy	Rome	███████	████████	█████
Italy	Milan	███	██████	████
Latvia	Riga	████	█████	█████
Netherlands	Amsterdam	██████	█████	█████
Poland	Warsaw	██████	█████████	████
Portugal	Lisbon	█████	█████	█████
Romania	Bucharest	█████	█████	██████
Spain	Madrid	█████	█████	██████
Spain	Madrid	██████	█████	██████
Switzerland	Zürich	█████	████	██████
Switzerland	Zürich	████	████	█████
Switzerland	Zürich	████	████	█████

REMARK:

Any changes related to the contact details of the participants in the course of the joint operation do not require the amendment of the Operational Plan. The updated contact details will be available and shared with the participants during implementation phase on a need to know basis.

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ANNEX 8 – DEPLOYMENT PROPOSAL TEMPLATE

JO Focal Points 2014 Air – Intermediate Managers

Deployment proposal template

Deployment proposal no ……

(Please, rank deployment proposals in accordance of their priority)

Hosting airport proposed for deployment	
From ... to (first and last working days)	
Name of the intermediate manager, management position and rank	
Date of birth	
Home airport of the deployed officer	
Description of the tasks of the officer	
Added value for EU operational cooperation	
Other/Comments	

Date, place   signature

Note:

- Please use one template for each deployment proposal and indicate its priority
- Time frame available for deployment proposal is from 27th March – 31st December 2014
- Please, see main conditions for a deployment in the Handbook (Chapter 8.5)